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ABSTRACT

Selected information is displayed in the form of charts, tables, and graphs about: (1) the State Student Incentive Grant (SSIG) program and other state-level student financial assistance programs; (2) the primary state agency or organization responsible for administering these programs; and (3) the general state characteristics relating to student financial aid. A series of profiles give information in nine general areas: (1) office relationships and program responsibilities; (2) office program management; (3) consumer-oriented efforts; (4) brief program summaries; (5) criteria for determination of need, award, size, etc.; (6) counts of eligibles, recipients, applicants, etc.; (7) program financing; (8) counts of state populations, students, and migration; and (9) state and federal financing of education. (MSE)

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Profiles of State Student Financial Aid Programs

Vol. I Alabama-Kansas

U.S. DEPARTMENT OF HEALTH,
EDUCATION & WELFARE
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HE009488

National Center for Higher Education
Management Systems

Education Commission of the States

STATE DESCRIPTIVE PROFILES
OF
STATE STUDENT-ASSISTANCE PROGRAMS

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Developed by

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FOREWORD

The State Descriptive Profiles are one publication of a series sponsored by the Office of Planning. The others are Issues in State Student Grant Programs, Summary Description of the State Student Incentive Grant Programs and Other State Student Assistance Programs. There is a data tape available as well. Unfortunately, we were unable to provide information on the states of Mississippi and Wyoming. We did not include information on Puerto Rico and other outlying areas due to limitations on time and funds.

The project was a joint effort by the Education Commission of the States (ECS) and the National Center for Higher Education Management Systems (NCHEMS). The Project Director was John Lee of ECS and the Project Manager was Kent Weldon of NCHEMS.

A number of people assisted on the project but special mention should be made of Dr. Joseph Boyd, who has collected more information on state student aid programs than anybody and who shared his knowledge and data with unfailing enthusiasm.

INTRODUCTION

This document is one of a series of documents (one for each state and the District of Columbia) which displays selected information in the form of charts, tables, and graphs about:

- The State Student Incentive Grant (SSIG) program and other state-level student financial assistance programs;
- The primary state agency or organization responsible for administering these programs; and
- The general state characteristics relating to student financial aid -- college age population, postsecondary education enrollments, characteristics of applicants for and recipients of state student aid monies, etc.

This collection of data represents an information base which can be built upon and used to study the SSIG and other state student aid programs in the context in which they operate. The intent was to assemble data from a wide variety of existing data sources and to develop as broad a set of data as was possible and yet one which was manageable and comprehendable in size. The purpose was not to develop a data base to be used to address any one specific problem or issue in the context of state student financial aid, but to develop an information base which would be useful in beginning analyses of any of the current issues in this context.

This document presents information pertaining only to one state. For comparisons between states, the reader is urged to obtain the State Descriptive Profiles pertaining to each of the states of interest. There is also a companion document to this series of individual state profiles which presents summary data on all fifty states and the District of Columbia.

Data Sources

The data presented in the profiles were taken from a number of sources. A complete discussion of data sources is contained in an appendix to this document.

The Profiles

The remainder of this document contains twenty-six profiles which present information in nine general areas. Each of these areas are briefly described below, including the titles of the profiles presented in each of the areas.

A. Office Relationships and Program Responsibilities

These profiles present a statewide view of the agencies/organizations involved in administering the state student financial aid programs.

1. Descriptions of Program Offices and Agencies and Corresponding Program Relationships
2. Relationships of State Agencies

B. Office Program Management

A detailed look at the agency/organization which is primarily responsible for the management of the SSIG and possibly other state programs, and the context in which this agency operates is given.

1. State Agency Organization
2. Management Relationships Between the State Agency and Other State Agencies/Institutions
3, State Program Office Definitions for Selected Terms
4. Information Flow Between the State Program Office and Various Federal Offices
5. Management Staffing of State Program Offices
6. State Agency Calendar of Events for Need-Based Programs for 1976-77 School Year
7. Program and Fiscal Controls of Programs Operated by State Agency

C. Consumer-Oriented Efforts

An explanation of the content of and the mechanisms through which information about programs is disseminated to students and parents.

1. Basic Need-Based Program Information Dissemination Procedures Used for State Agency Programs

D. Brief Program Summaries

These profiles present individual descriptions of the state sponsored programs.

1. Descriptions of State-Sponsored Student Assistance Programs
2. Basic State Program Information

E. Criteria for Determination of Need, Award Size, Etc.

These profiles present more specific information about the operation of each of the programs.

1. Student Eligibility Criteria for State Programs
2. Institutional Eligibility Criteria for State Student-Assistance Programs
3. Need Determination Criteria for State Programs
4. Award Size Determination Criteria for State Programs

F. Counts of Eligibles, Recipients, Applicants, Etc.

These profiles present statistics about the applicants and recipients of program awards.

1. Number of Need-Based Grant Program Applicants
2. Number of Need-Based Grant Program Recipients and Average Award Size

G. Program Financing

Information about state support for student financial aid programs is presented.

1. Sources and Distribution of Need-Based Grant Program Funds
2. Historic State Expenditures on Student-Assistance Programs

H. Counts of State Populations, Students, Etc.

These profiles present information about the potential target population of the student aid programs.

1. State Population Profile
2. State Postsecondary Education Student Enrollments
3. Interstate Migration of Students

I. State and Federal Financing of Education

These profiles provide additional information about state support of student financial aid as well as information about federally supported programs for an overall perspective of student aid within the state.

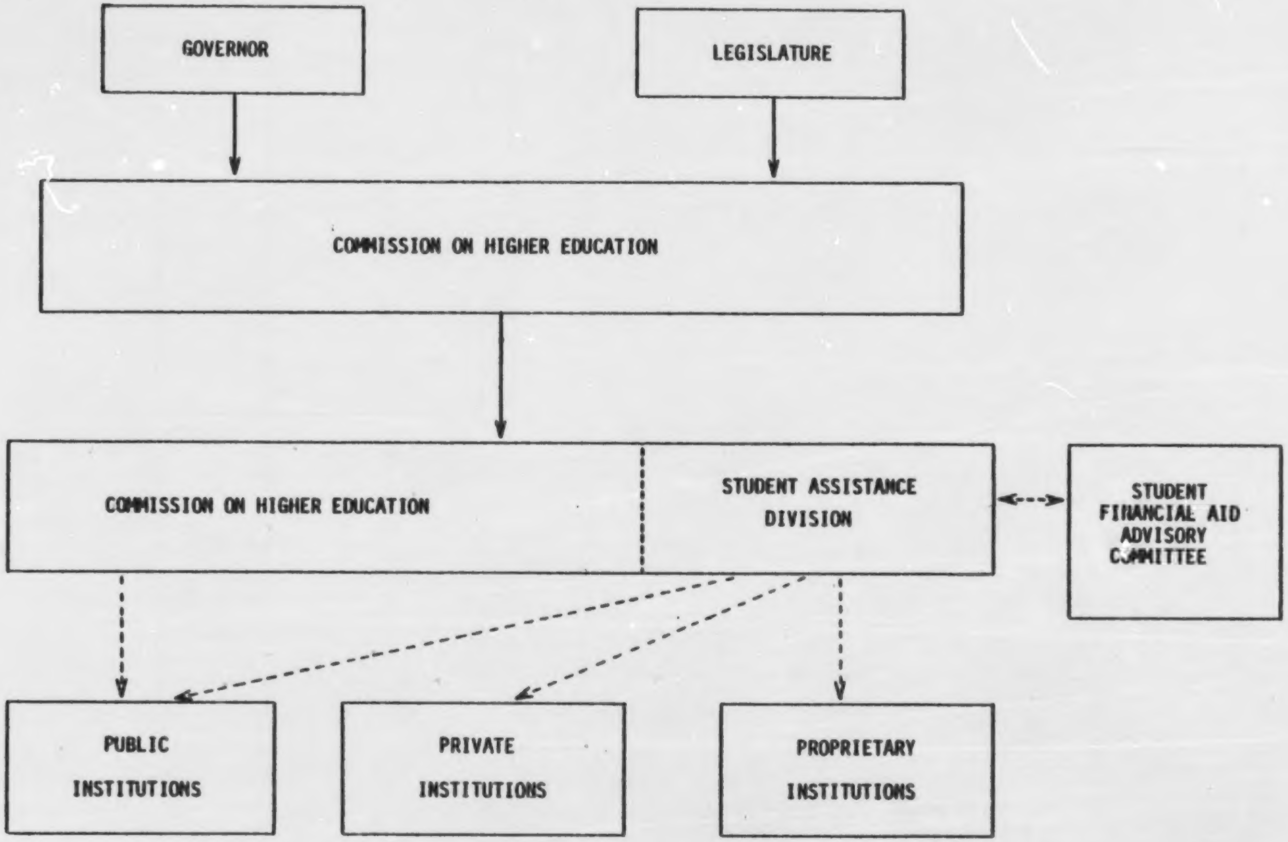
1. State Expenditure on Postsecondary Education Student Assistance
2. Gross Costs to Students Attending School by Postsecondary Education Sectors
3. Levels of Federal and State Program Funding by Institutional Sector
4. Relative Importance of Federal and State Program Funding by Sector

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Commission on Higher Education is composed of nine members, one per congressional district and two from the state at large. Its members are appointed by the Governor for nine-year terms. The term of one member expires each year. The Commission has an Executive Director, a Coordinator of the Student Assistance Program and a Secretary. The Student Financial Aid Advisory Committee reports to the Student Assistance Division of the Commission on Higher Education.

1. Alabama Student Assistance Program
2. Academic Common Market

RELATIONSHIPS OF STATE AGENCIES


GOVERNOR
LEGISLATURE
COMMISSION ON HIGHER EDUCATION
COMMISSION ON HIGHER EDUCATION
STUDENT ASSISTANCE DIVISION
STUDENT FINANCIAL AID ADVISORY COMMITTEE
PUBLIC INSTITUTIONS
PRIVATE INSTITUTIONS
PROPRIETARY INSTITUTIONS

STATE AGENCY ORGANIZATION

COMMISSION ON HIGHER EDUCATION

COMPOSED OF NINE MEMBERS, ONE PER CONGRESSIONAL DISTRICT AND TWO FROM THE STATE AT LARGE, APPOINTED BY THE GOVERNOR FOR NINE-YEAR TERMS. THE TERM OF ONE MEMBER EXPIRES EACH YEAR.

EXECUTIVE DIRECTOR

COORDINATOR
ALABAMA STUDENT ASSISTANCE PROGRAM

SECRETARY

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X	X	
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Part-Time Student - An individual who is carrying a minimum of six semester hours or six quarter hours per academic term, or a minimum of 13 clock-hours per week and the tuition and fees customarily charged for half-time study by the institution.	Interpretation	Yes	Yes	Yes
State Resident - A person who has established residence within the state of Alabama for at least a 12-month period prior to the beginning of the term for which financial assistance is requested. If the applicant is not self-supporting, the legal residence of the applicant shall be the residence of the applicant's parents or legal guardian.	Interpretation	Yes	Yes	Yes
Independent Student - Same as defined by BEOG.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - A student is considered academically qualified if he/she is currently enrolled and matriculated in an eligible program at an eligible institution. (In addition, it depends on each institution's definition of "good academic standing.")	Interpretation			
United Stated Citizenship - Each applicant must be a citizen of the United States or in the United States for other than a temporary purpose and intend to become a permanent resident. (Not further defined.)	Interpretation	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Regulations	Adequate
BEOG Office	None	Summaries	Marginal
Regional OE Student-Assistance Office (Campus-Based Programs)			No Contact
Washington OE Office (Campus-Based Programs)			No Contact
FISL/GSL Office			No Contact
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Applications Regulations Terminations	Terminations	Adequate
Private Institutions	Summaries Recipients Applications Regulations Terminations	Terminations	Adequate
Governor/Budget Office	Summaries	Appropriations	Not Applicable
State Legislature (Including Committee Staffs)	Summaries	Appropriations	Not Applicable

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	50[1]	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	20[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	15[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	5[1]	

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[1] Response is not based on fact, but is reasonably certain.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
MARCH - 1976	Agency budget presented to governors office or budget bureau
APRIL - 1976	Agency makes student-aid applications available
MAY - 1976	Agency budget presented to legislature
JUNE - 1976	Agency completes planning workshops for students or counselors
AUGUST - 1976	1) Legislature appropriates student assistance funds 2) Deadline for student-aid applications
SEPTEMBER - 1976	Agency (or institutions) announces award winners
APRIL - 1977	Last date at which award changes and adjustments are made
AUGUST - 1977	BEOG applications information received from federal office

Adjustments will be made through the Third Quarter of 1976-77.

Cumulative data tape utilized in award determination.

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

ALABAMA B7

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?	Yes, Sample	State	For all discrepancy cases. Awards are delayed or canceled.
4. Warnings of Prosecution?	Yes, All	State	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes	All - Institution Sample - State	If payment has been made when discrepancy is discovered, refunds are requested.
2. Check on Mid-Term Attendance?	Yes		
3. Check on Course Completion?	Yes		
4. Check on Academic Progress Before Award?	Yes		
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, Sample	State	
2. Match Against BEOG, Campus-Based Award Files?	Yes, Sample	State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes	All - Institution Sample - State	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, Sample	State	
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, Sample	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X			X
2. College Financial-Aid Officers	X	X	X	X		X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X			
4. High School Meetings or Workshops						
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Alabama Student Assistance Program - A program which provides grants to state residents for undergraduate, postsecondary education within the state.

Academic Common Market - A tuition-reciprocity program to share among the fourteen states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Alabama Student Assistance Program	1975-76	3,496	$150	$524,400	Resident Undergraduates
Non Need-Based					
Academic Common Market	1973-74	10		-0-	Resident Graduates enrolled in specific programs at Out-of-State Schools
	1975-76	12		-0-	
Program for Indian Youth	No information given				

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Alabama Student Assistance Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	$150
Non Need-Based						
Academic Common Market	Residents at Out-of-State Schools	Half-Time Full-Time	Graduates	Not Applicable	Not Applicable	Not Applicable
Program for Indian Youth						

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Alabama Student Assistance Program	Public Private	Public Private	Public Private	Yes	No
Non Need-Based					
Academic Common Market	Public Private	No	No	No	No
Program for Indian Youth					

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Alabama Student Assistance Program	Need = Student Budget - (Family Contribution + Scheduled BEOG Award)	Yes	BEOG	Portion of Total Student Budget

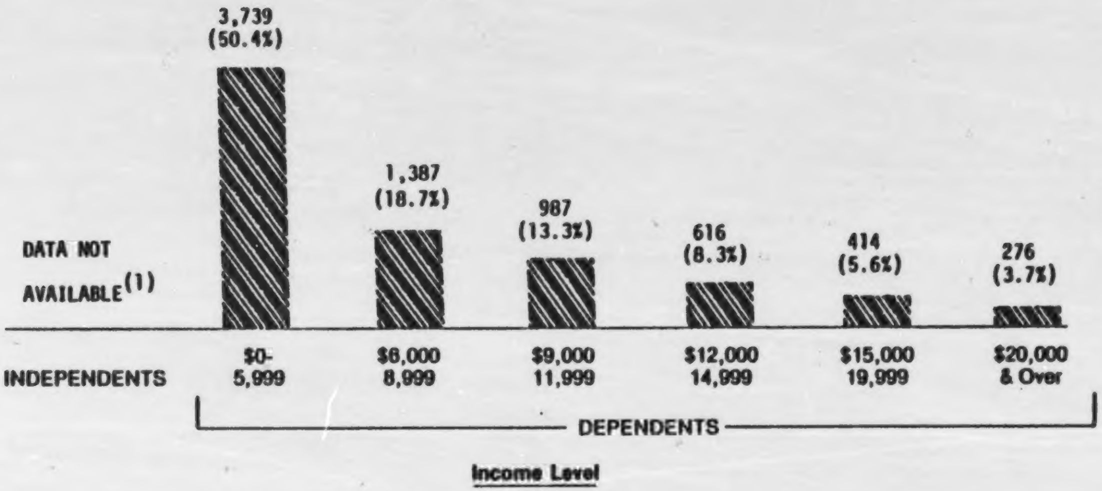
AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Alabama Student Assistance Program	$150	One specific amount for all; set by Commission	Greatest Need	State
Non Need-Based				
Academic Common Market	Not Applicable	Out-of-State Fees normally charged	Not Applicable	Institution
Program for Indian Youth				

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- ALABAMA STUDENT ASSISTANCE PROGRAM



DATA NOT AVAILABLE

WHITE BLACK HISPANIC AMERICAN INDIAN ASIAN OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN WOMEN

Sex

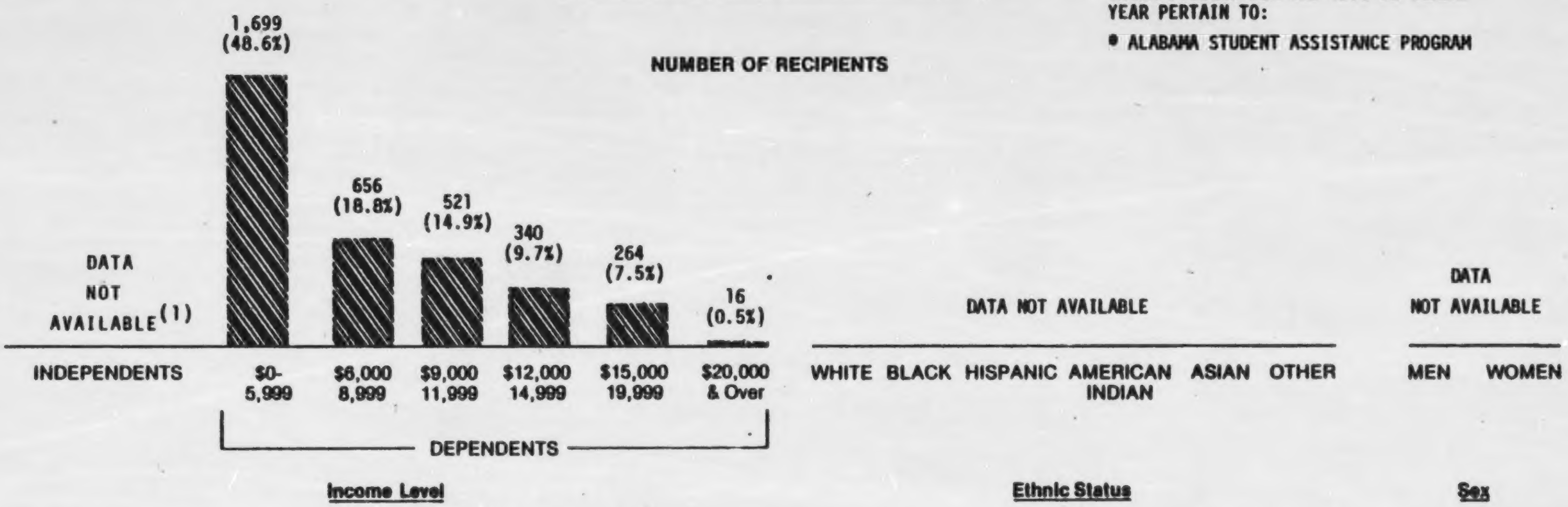
(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

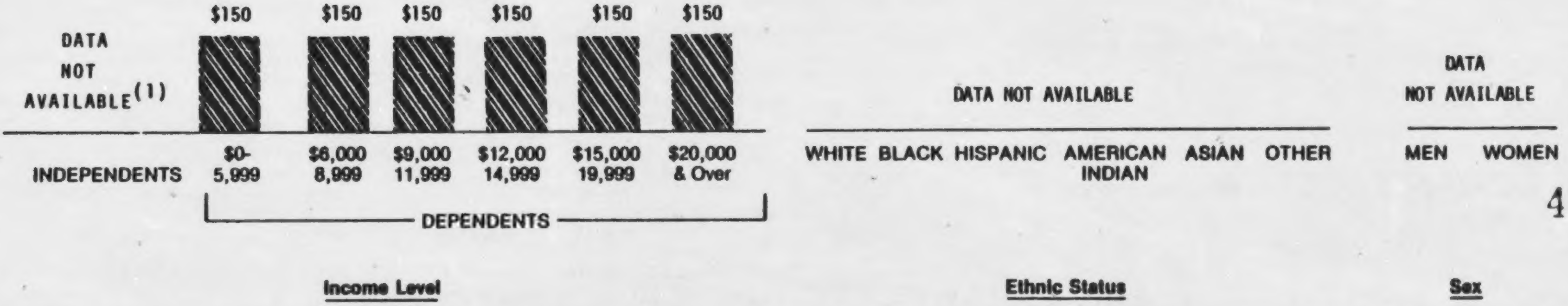
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- ALABAMA STUDENT ASSISTANCE PROGRAM

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

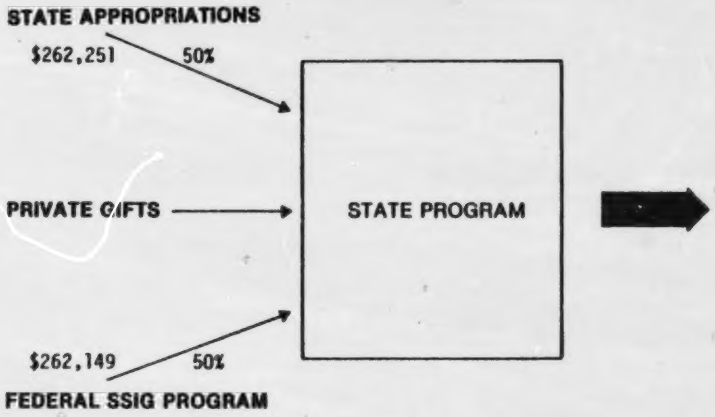


(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- THE ALABAMA STATE ASSISTANCE PROGRAM



STATE APPROPRIATIONS

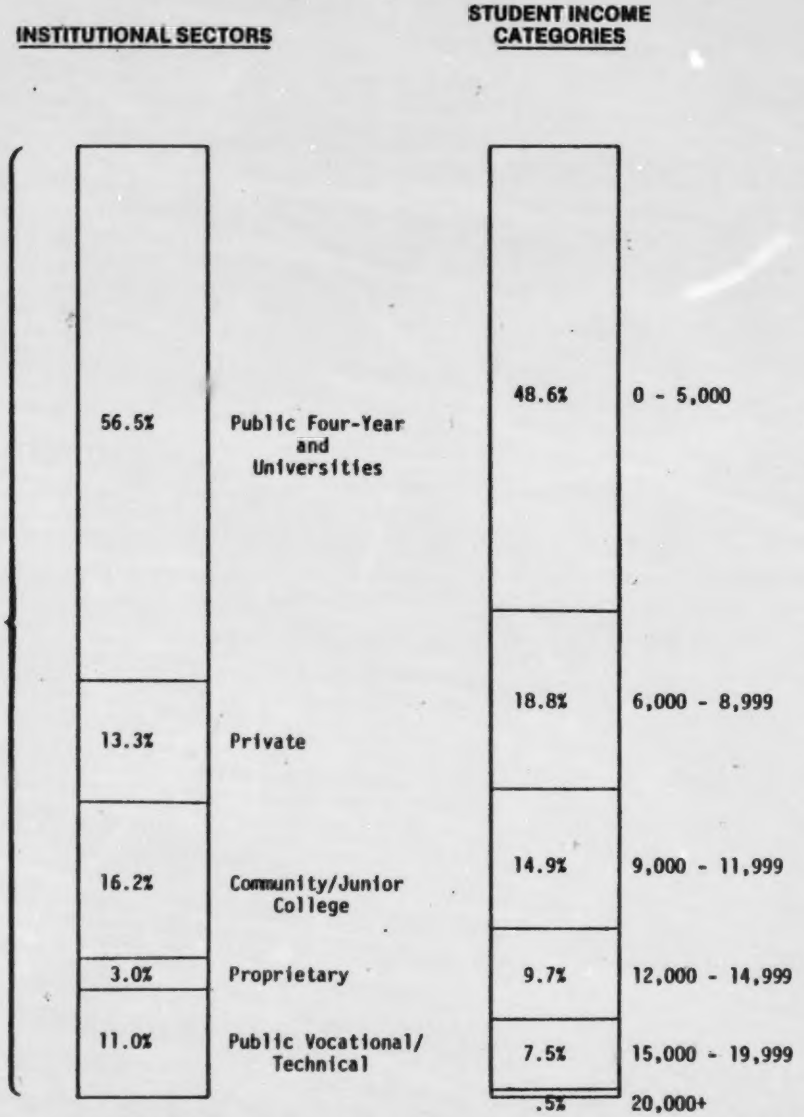
$262,251 50%

PRIVATE GIFTS

STATE PROGRAM

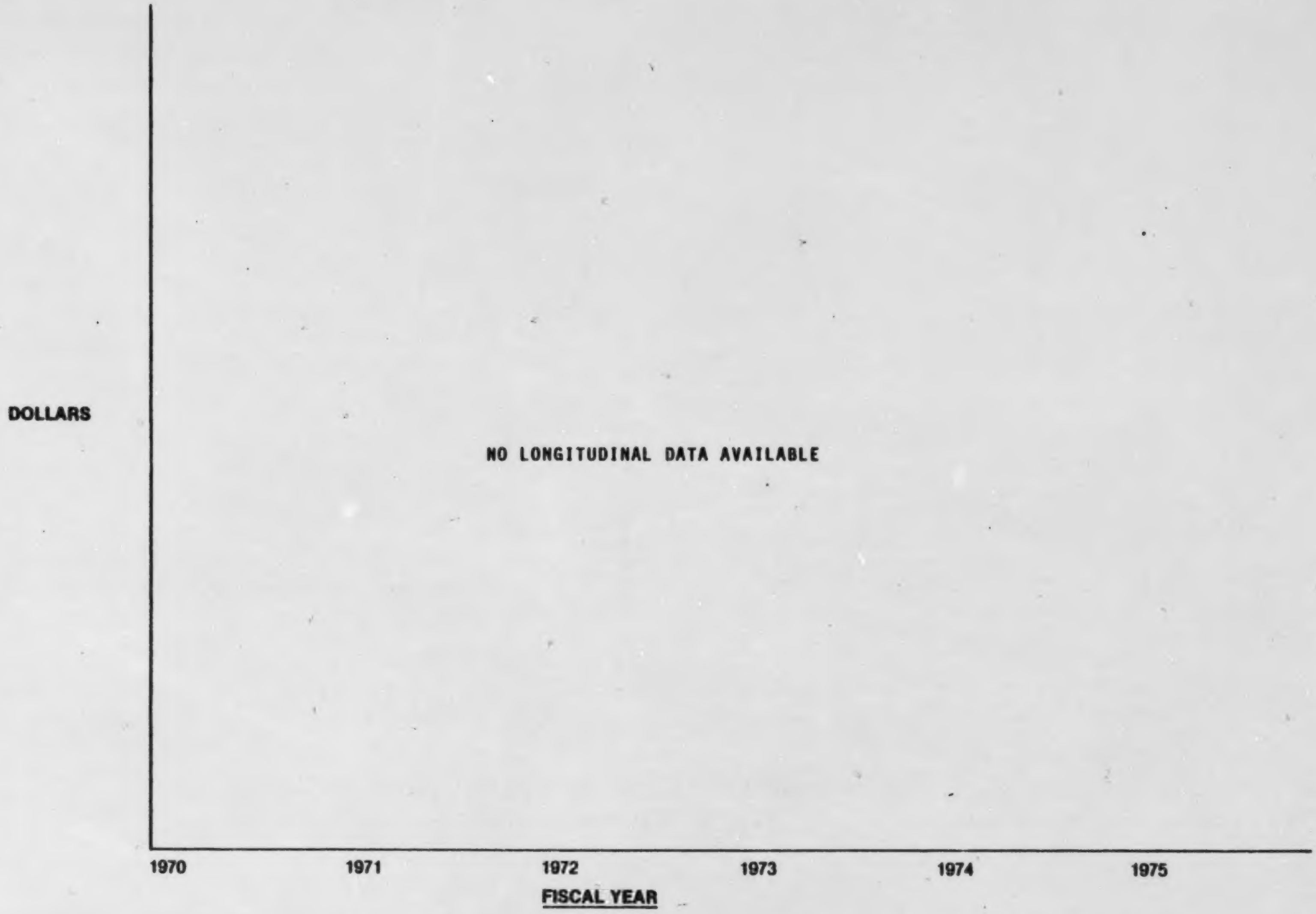
$262,149 50%

FEDERAL SSIG PROGRAM



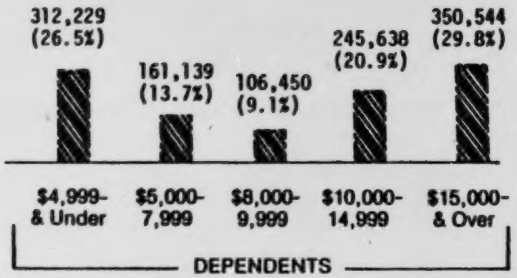
The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



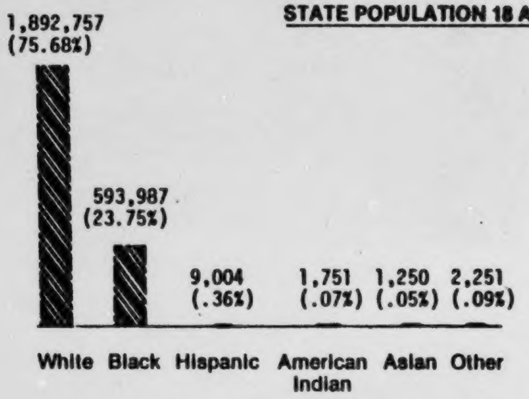
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

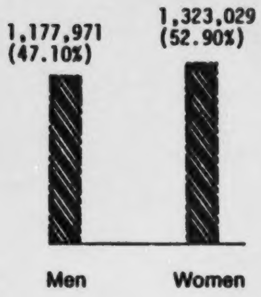


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

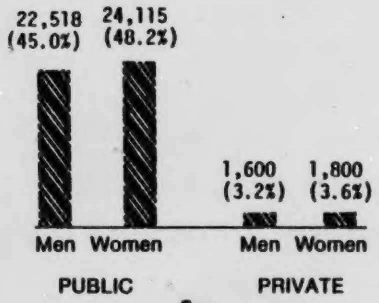


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 12,275 persons granted a high school equivalency certificate.

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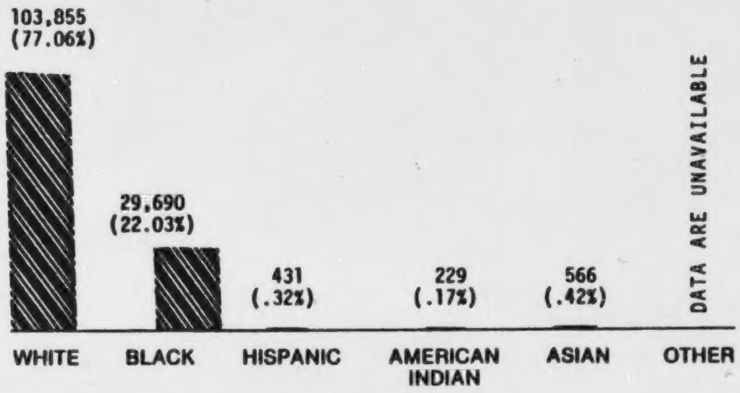
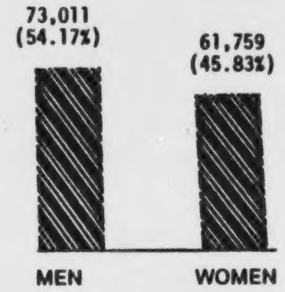
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

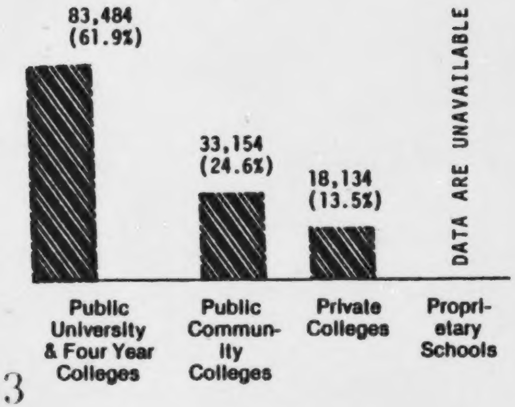
(Figures in Thousands)



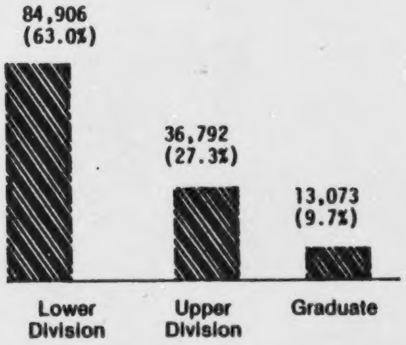
Ethnic Status



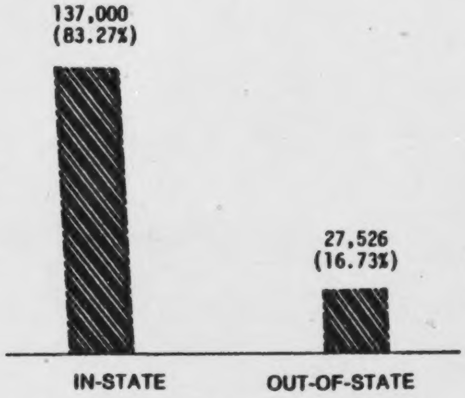
Sex



Institutional Sector

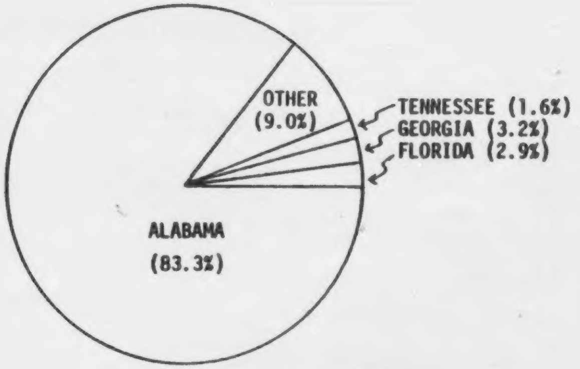


Student Level

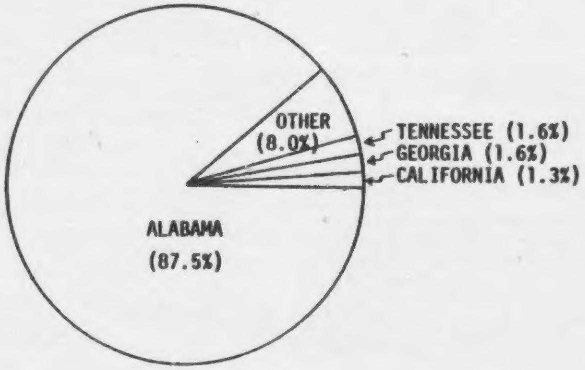


Residency

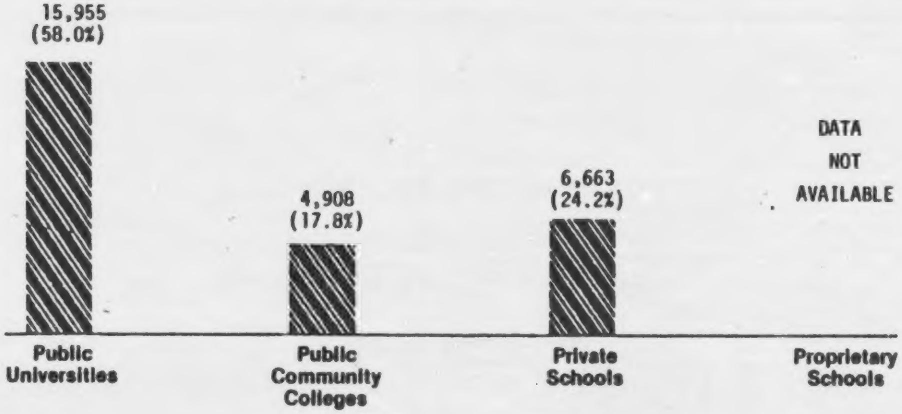
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



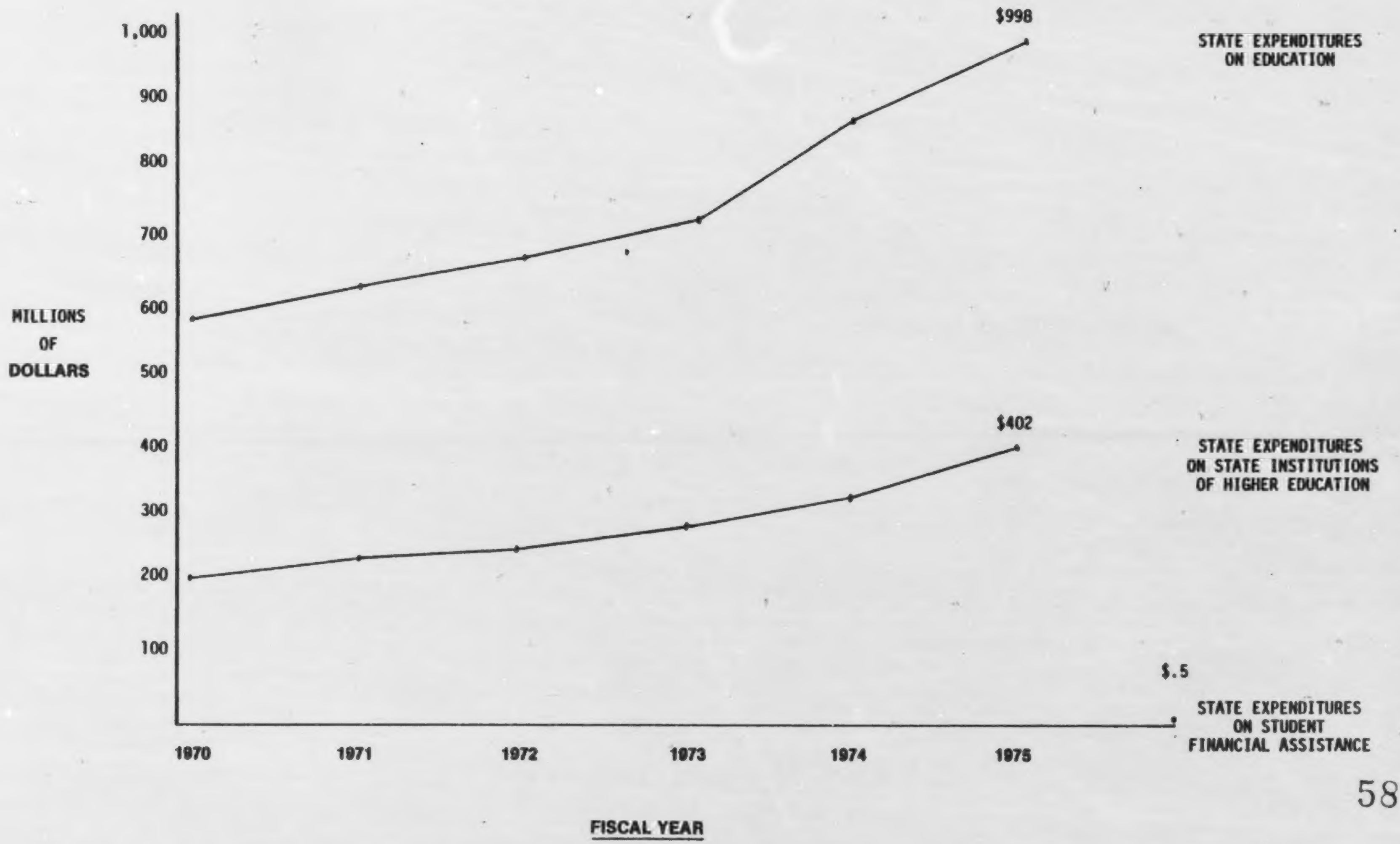
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

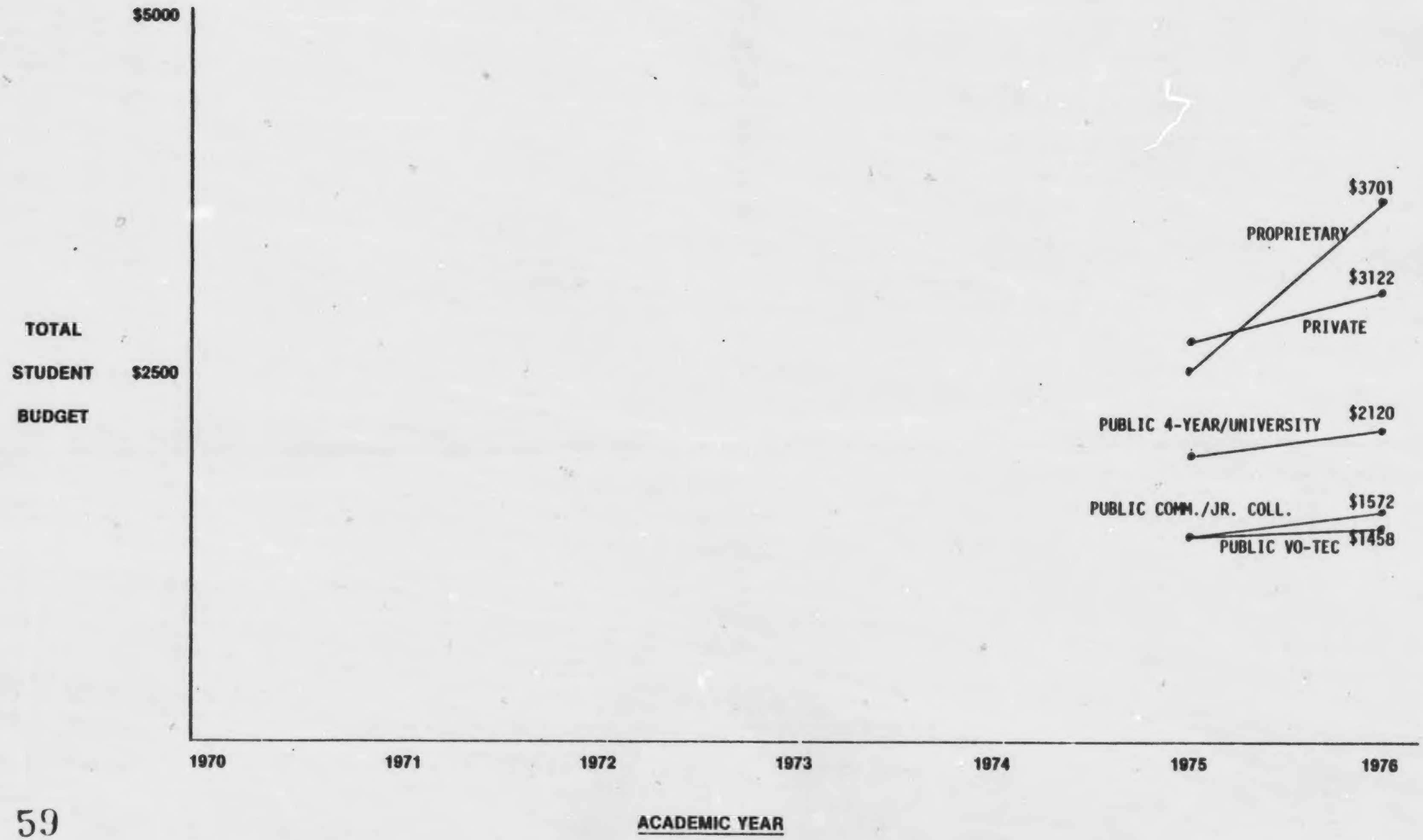
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- ALABAMA STUDENT ASSISTANCE PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



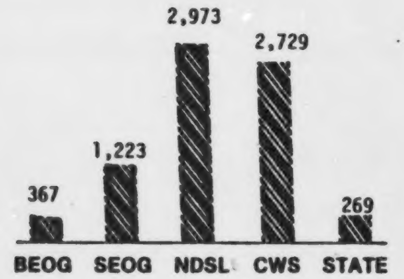
NOTE: Information unavailable prior to 1975-76.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

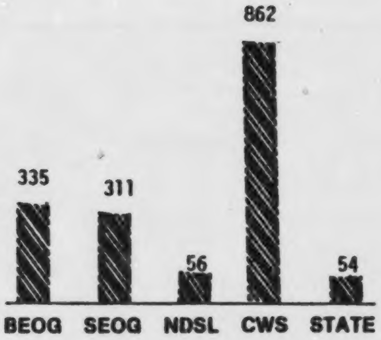
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

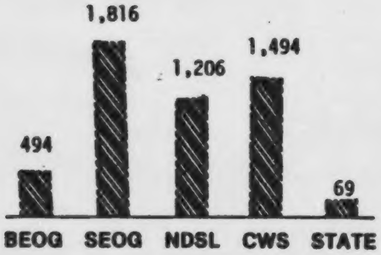
- THE ALABAMA STATE ASSISTANCE PROGRAM



Public University and Four-Year Colleges



Public Community Colleges



Private Colleges

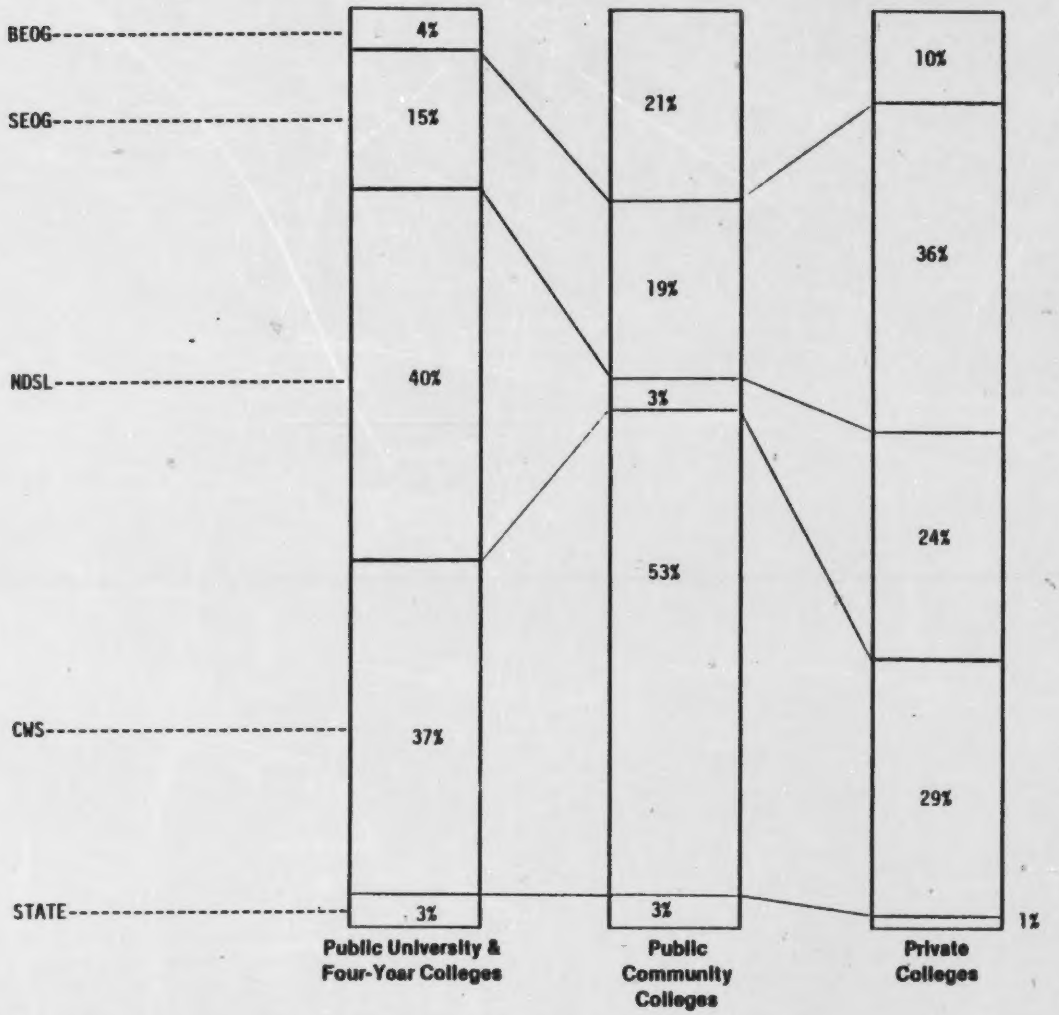
State funds were also awarded to the following types of institutions:

- $15,750 - Proprietary
- $57,600 - Public

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- THE ALABAMA STATE ASSISTANCE PROGRAM



Percent Distribution of Student Assistance By Program By Sector

State funds were also awarded to the following types of institutions:

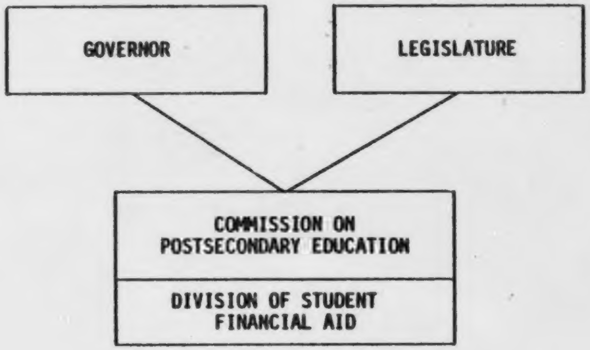
- $15,750 - Proprietary
- $57,600 - Public

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Division of Student Financial Aid committee is made up of members from the Alaska Commission on Postsecondary Education. The legislature establishes the law and appropriates funds for the loan program. The commission establishes regulations for the loan program.

1. Alaska Student Loan Program
2. Guarantee Activities for GSL
3. SSIG

RELATIONSHIPS OF STATE AGENCIES



GOVERNOR

LEGISLATURE

COMMISSION ON POSTSECONDARY EDUCATION

DIVISION OF STUDENT FINANCIAL AID

The Commission on Postsecondary Education reports jointly to the legislature and the governor. The Division of Student Financial Aid is part of the Commission.

The student financial aid committee is made up of commission members (not commission "staff") and any non-commission persons the commission should desire.

The Commission (via the Division of Student Financial Aid) is the state guarantee agency for government student loans.

The legislature establishes the law and appropriates funds for the loan program. The Governor has line item veto power on the budget, and appointment power for 5 of 13 commission appointments within the guidelines established by law.

The Commission establishes the regulations for the loan program.

STATE AGENCY ORGANIZATION

COMMISSION ON
POSTSECONDARY EDUCATION

DIVISION OF
STUDENT FINANCIAL AID
(CONSISTS OF COMMISSION MEMBERS, 5 OF WHICH ARE APPOINTED BY THE GOVERNOR)

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	?[1]	
• Identification of Eligible Recipients	X	?[1]	
• Determination of Award Size	X	?[1] (makes recommendation)	
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

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[1] This symbol is used because we are trying in 1977-78 an experiment only with the institutions within Alaska whereby the student sends his application to the institution. The institution's financial aid officer does the preliminary processing and then forwards the application (with recommended loan amount) to the state.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
State Resident - Law: "Resident" means a person domiciled in Alaska who has resided in Alaska for at least two years before an application for a grant or loan is made under secs. 751-806. Regulations: "Resident" means a person who, except for brief intervals, military service, attendance at an educational or training institution, or for absences for good cause shown, has resided in Alaska and who has maintained his domicile in Alaska for at least the period required under AS 14.40.806(4) immediately before the date of submission of his application for a scholarship loan; domicile is the true and permanent home of a person from which he has no present intention of moving and to which he intends to return whenever he is away.[1]	Law and Regulations			
Satisfactory Academic Progress - State of Alaska currently calls it "in good standing," and its interpretation is left up to the institution when certifying that the student is full-time and in good standing.	Note 2			
United States Citizenship - Alaska residence assumes U.S. citizenship.				

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[1] Definitions provided are from state's loan program.

[2] There is absolutely no consistency in interpretation. The state is working on an alternative.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Note 1	Regulations	Adequate
BEOG Office		Summaries	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)			
Washington OE Office (Campus-Based Programs)			
FISL/GSL Office	Note 2	Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Recipients Terminations	Terminations	Adequate
Private Institutions	Recipients Terminations	Terminations	Adequate
Governor/Budget Office	Summaries Litigation		Adequate
State Legislature (Including Committee Staffs)	Summaries	Regulations	Adequate

[1] Too new, but will provide that which is necessary.

[2] USAF acts as agent and they take care of GSL program.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	40	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	1	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	1	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	1	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	7	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories) Collections/Repayments	50	4.25 FTE

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

ALASKA

MONTH/YEAR	EVENTS
NOVEMBER - 1975	Agency budget presented to governors office or budget bureau
JANUARY-FEBRUARY - 1976	Agency budget presented to legislature
MARCH - 1976	Agency makes student-aid applications available
MAY-JUNE - 1976	Deadline for student-aid applications
JUNE - 1976	Legislature appropriates student assistance funds
AUGUST - 1976	Agency (or institutions) announces award winners
ONGOING	Award changes and adjustments can be made at any time

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?	Yes, All	State	Note 1
5. Prosecution?	Yes		
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	State	Loan Denied
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes, All	State	Repayment Begins
4. Check on Academic Progress Before Award?	Yes, All	Institution, State	Loan Denied
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			Checks are made payable to student but delivered to aid officer.
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	Institution, State	
2. Bonding of Staff Members?	No		
3. Double Signatures on All Fund Transactions?	No		

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[1] Too new to say--wasn't used in past, but doubtful if any action would be taken.

ALASKA C1

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	X
2. College Financial-Aid Officers	X	X	X		X	X
3. Direct Mailings to Graduating High School Students or Parents	X[1]	X[1]	X[1]		X[1]	X[1]
4. High School Meetings or Workshops	X[2]	X[2]	X[2]		X[2]	X[2]
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

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[1] Upon request

[2] Institution aid officers perform these functions for us.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Alaska Student Loan Program - A need-based conditional loan program to assist resident students in the pursuit of postsecondary education.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Loans					
Alaska Student Loan Program	1971-72	1,081	$1,483	$1,603,646	Alaska Residents in "Good Standing"
	1975-76	1,719	$2,023	$3,477,126	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Loans						
Alaska Student Loan Program	All Alaska Residents	Full-Time	All	Yes	Not Applicable	$100

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Loans					
Alaska Student Loan Program	Public Private	Public Private	Public Private	Yes	Yes

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Loans				
Alaska Student Loan Program	Need = Cost - Sources	No[1]	Honesty of the student's statement of what parents will provide.	Tuition/Fees Room/Board Books

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[1] But encouraged.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Loans				
Alaska Student Loan Program	$2,500- Undergraduate $5,000- Graduate	Whichever is the least amount of: maximum allowed; need; or total of tuition/fees, room/board, and books.	By category (renewal, first time, graduate, etc.) if submitted by deadline date. Those after deadline date are taken in order of application received.	State

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT APPLICABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA
NOT APPLICABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

ALASKA

NUMBER OF RECIPIENTS

Income Level

DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Ethnic Status

DATA NOT APPLICABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Sex

DATA NOT APPLICABLE

MEN	WOMEN

AVERAGE AWARD SIZE

DATA NOT APPLICABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

DATA NOT APPLICABLE

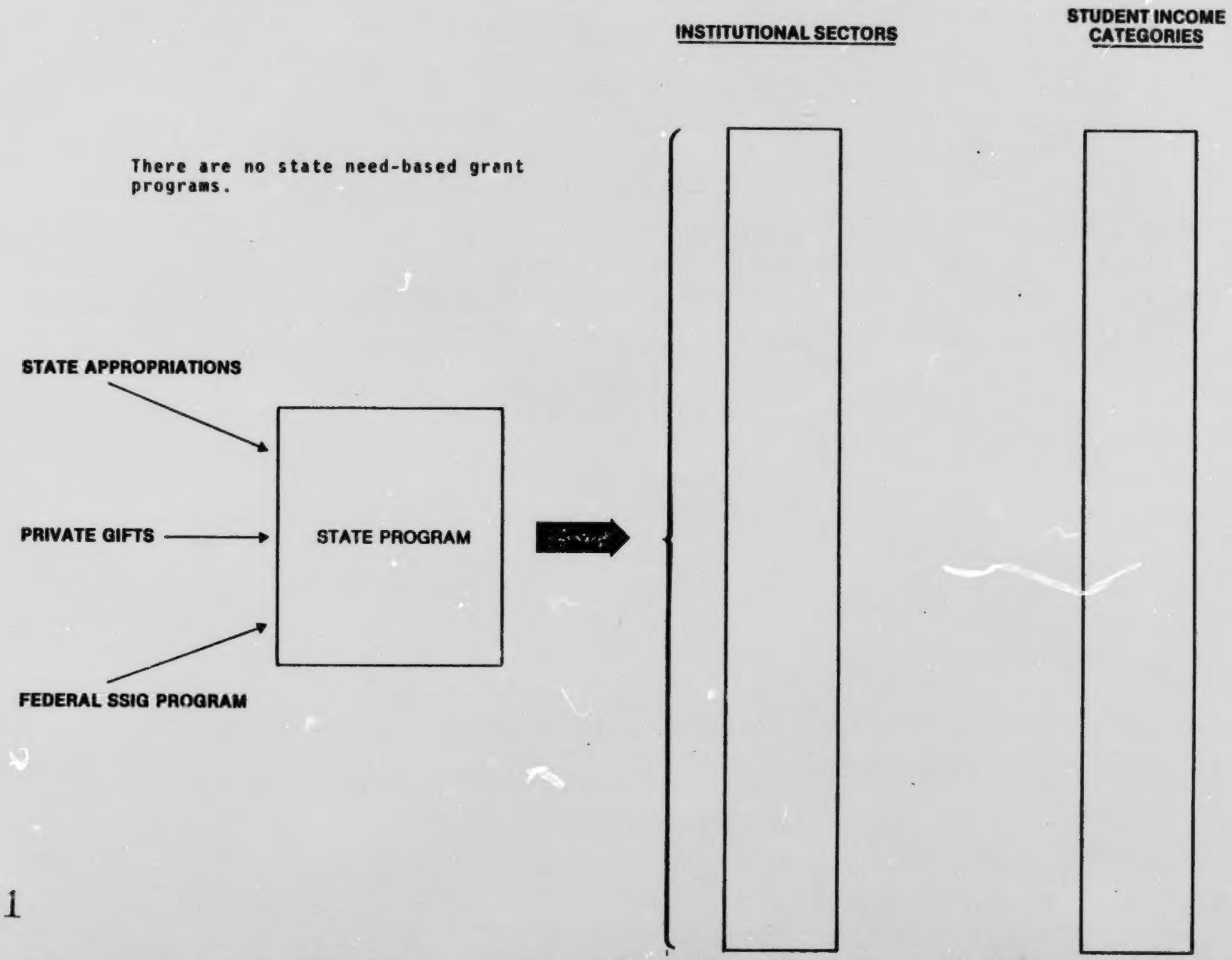
WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

DATA NOT APPLICABLE

MEN	WOMEN

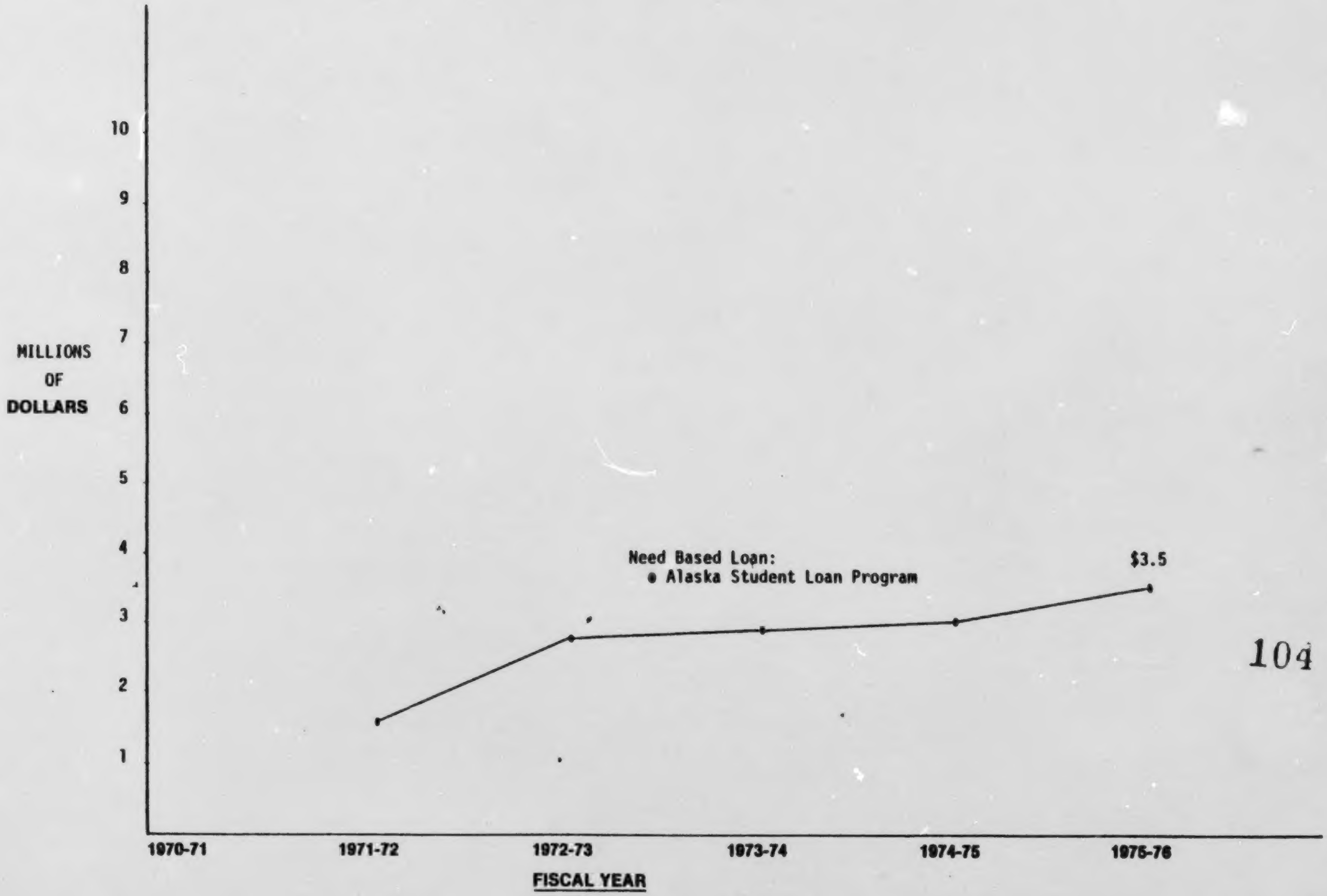
SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

There are no state need-based grant programs.



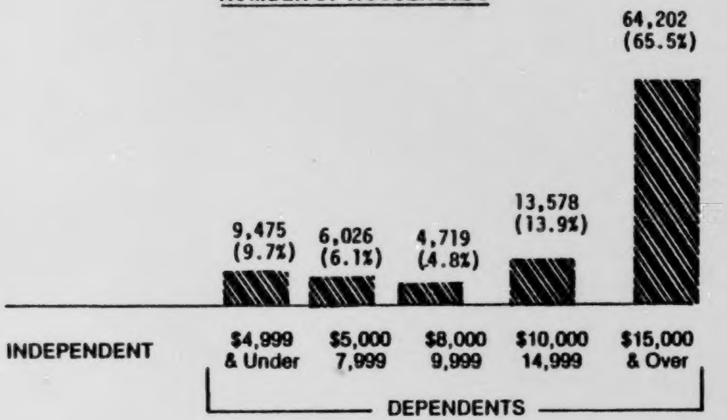
INSTITUTIONAL SECTORS
STUDENT INCOME CATEGORIES
STATE APPROPRIATIONS
PRIVATE GIFTS
STATE PROGRAM
FEDERAL SSIG PROGRAM

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



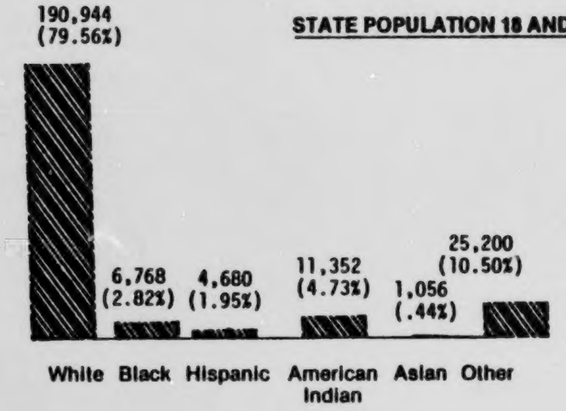
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

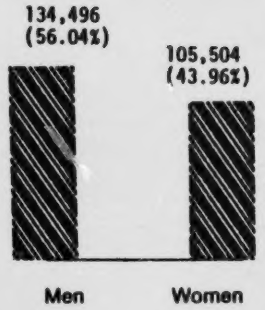


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

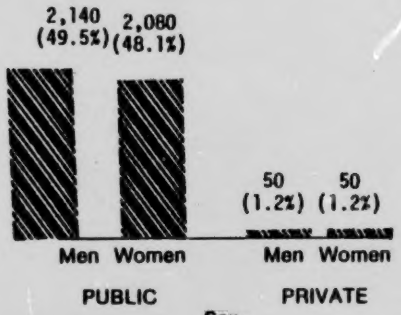


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



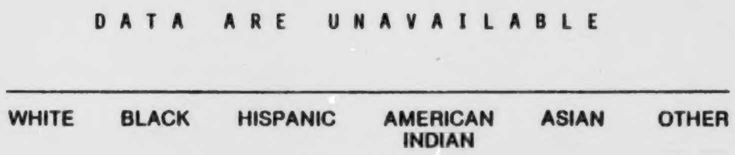
Sex

The high school graduation figures do not include 2,437 persons granted a high school equivalency certificate.

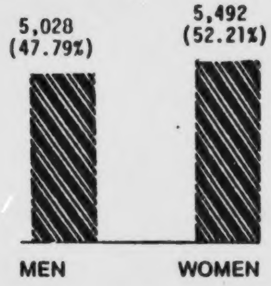
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

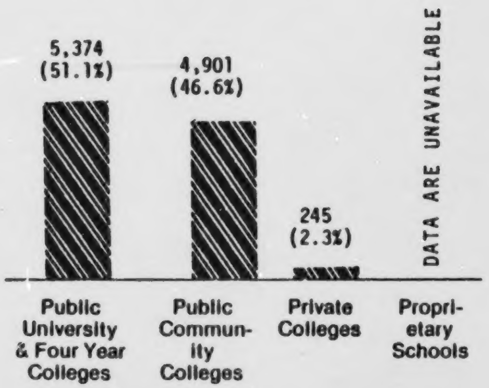
(Figures in Thousands)



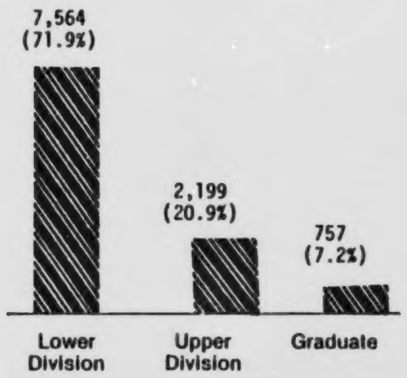
Ethnic Status



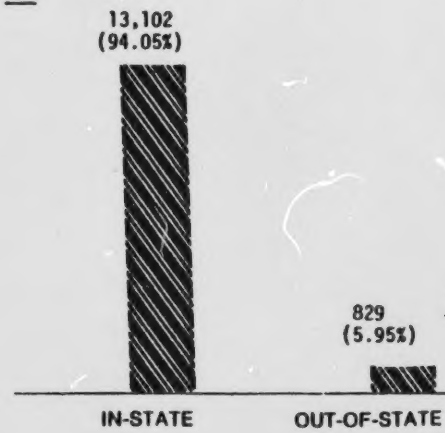
Sex



Institutional Sector

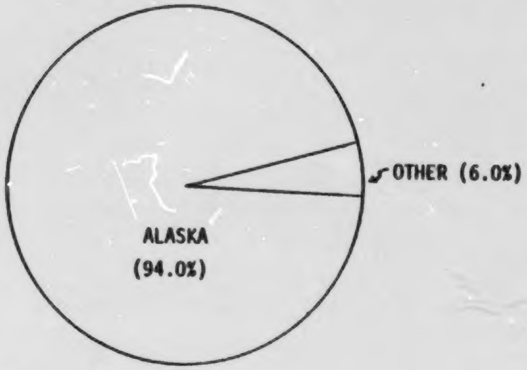


Student Level

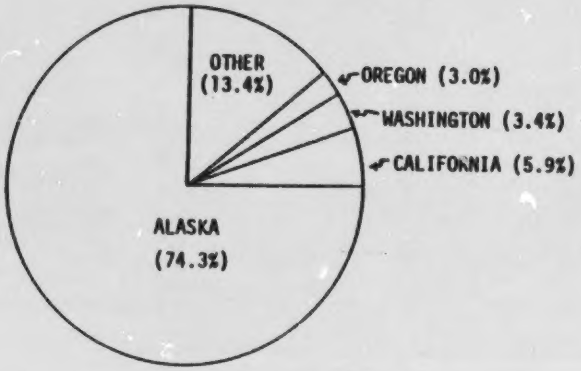


Residency

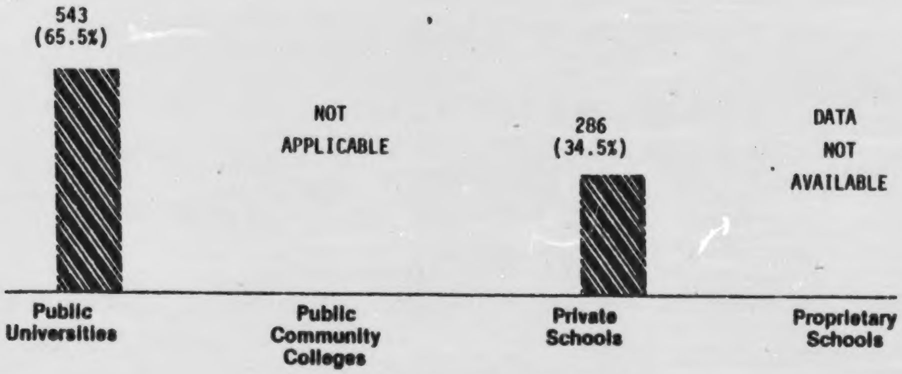
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



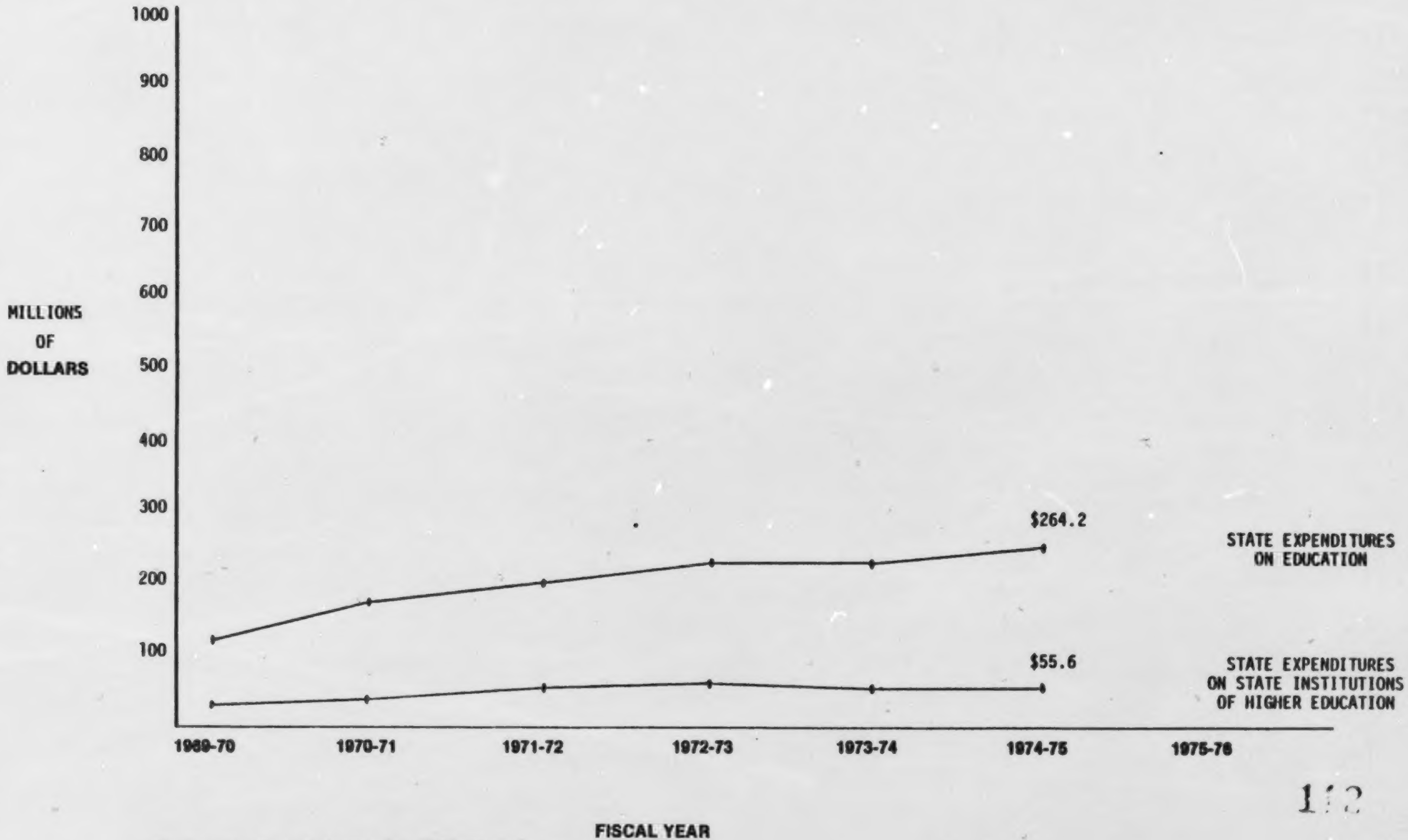
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

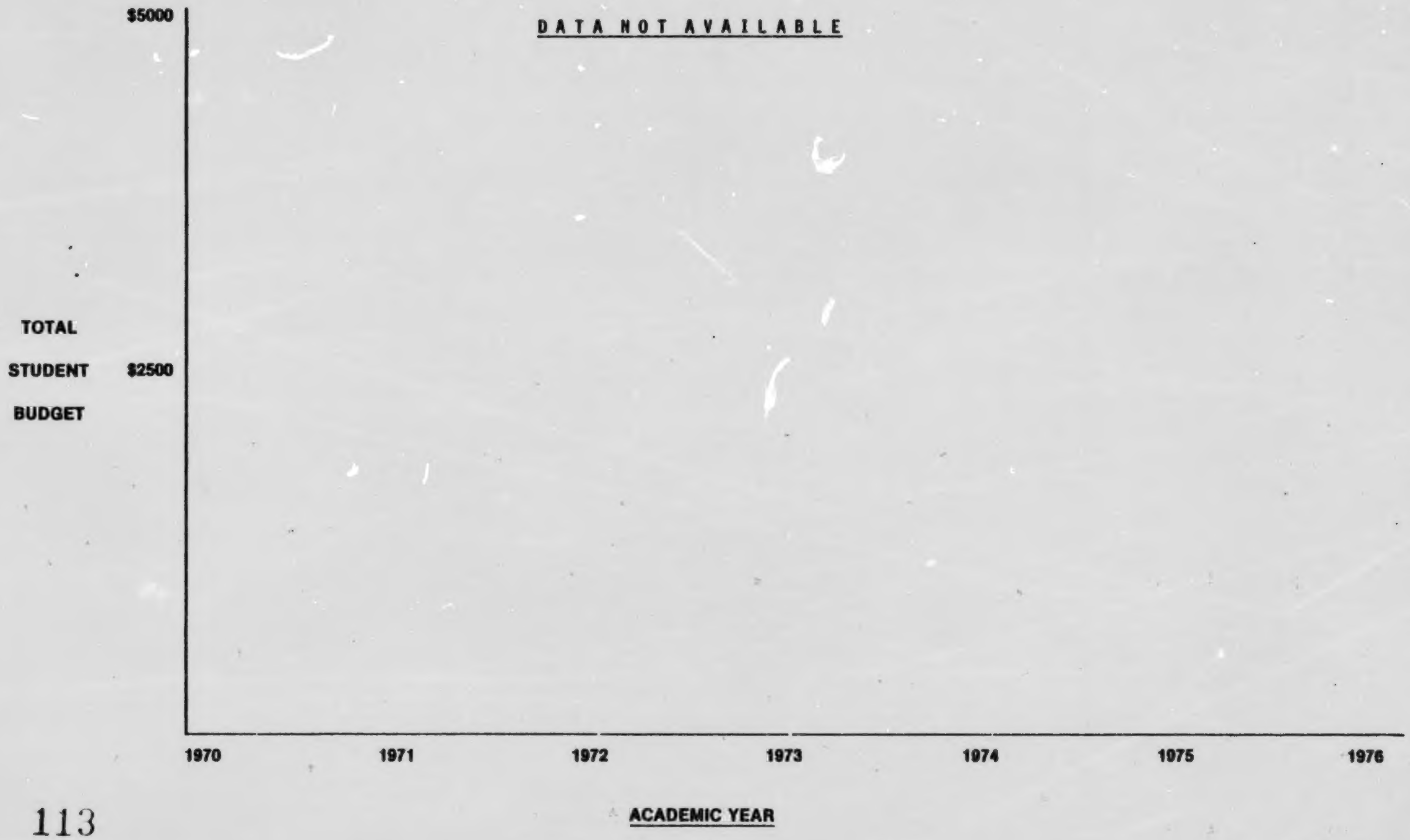
(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



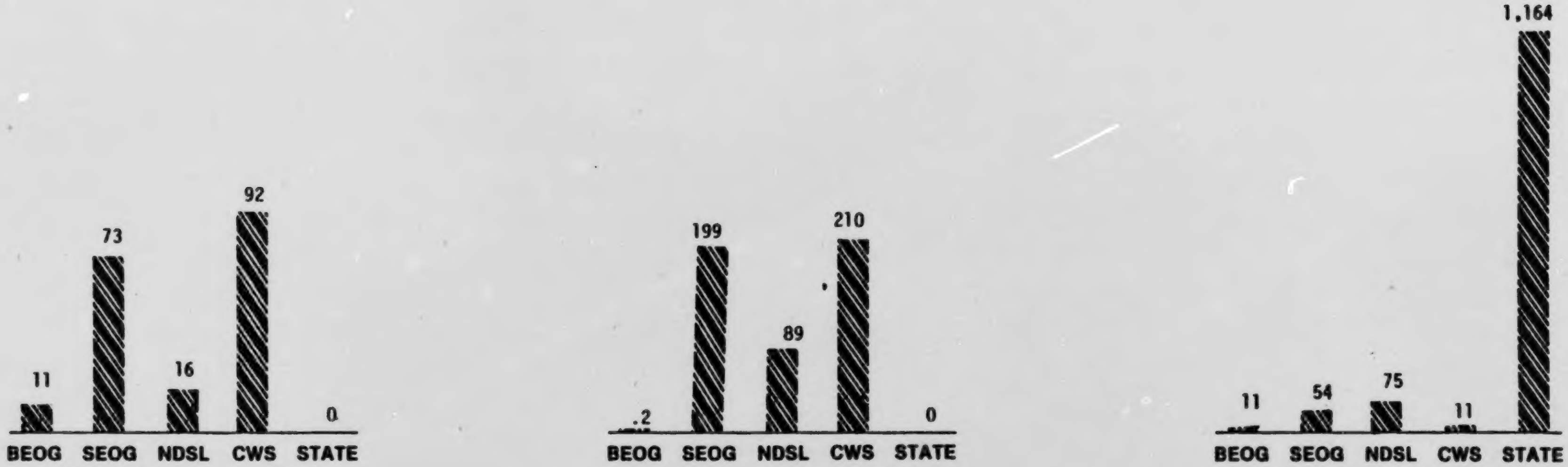
No program in existence for 1975-76 year.

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)

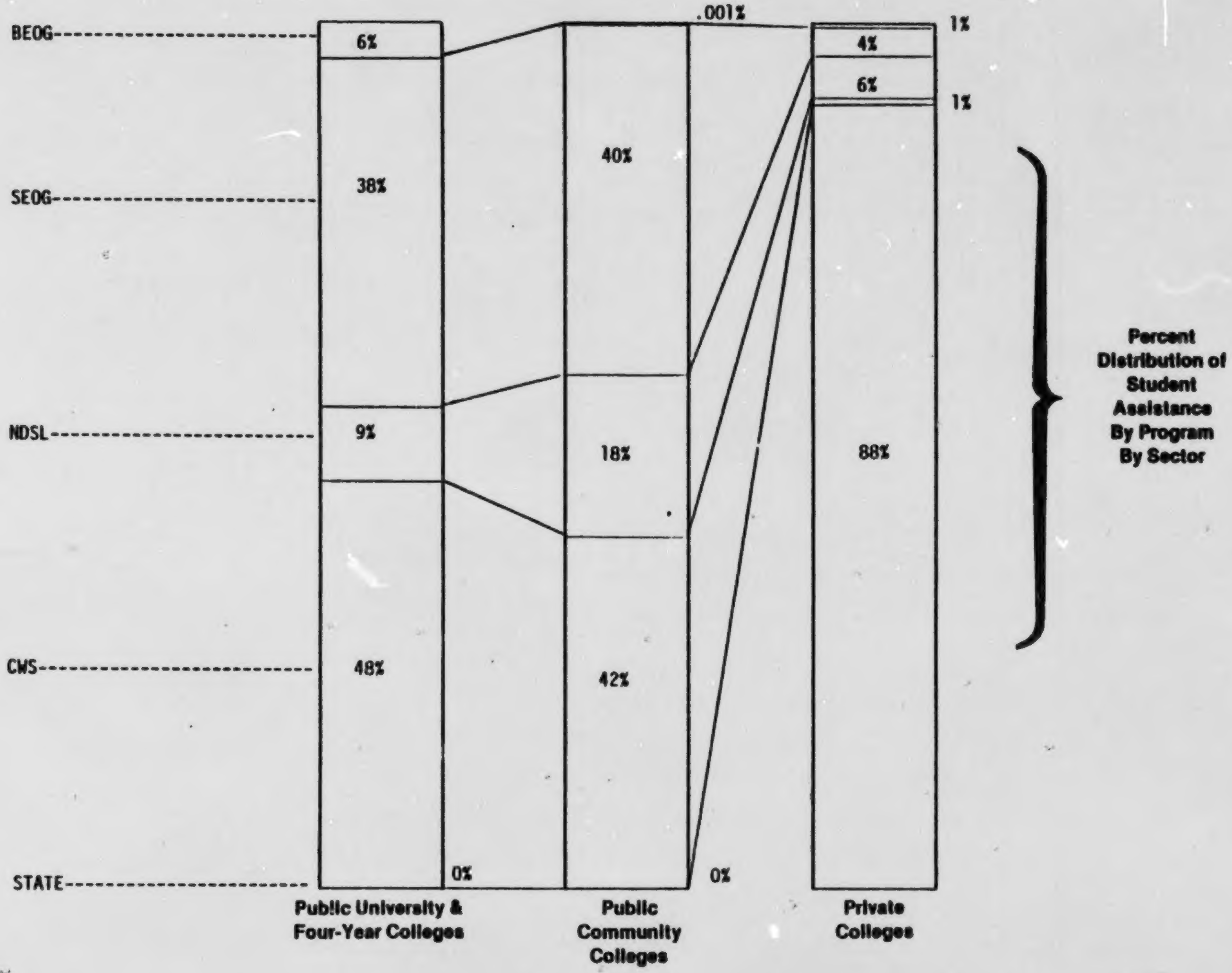


Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Arizona Commission for Postsecondary Education is the designated state agency which administers a student-assistance program in Arizona and is directly under the Governor by authority of an executive order. The Executive Director heads the professional staff responsible for administration of the:

1. Arizona SSIG Program

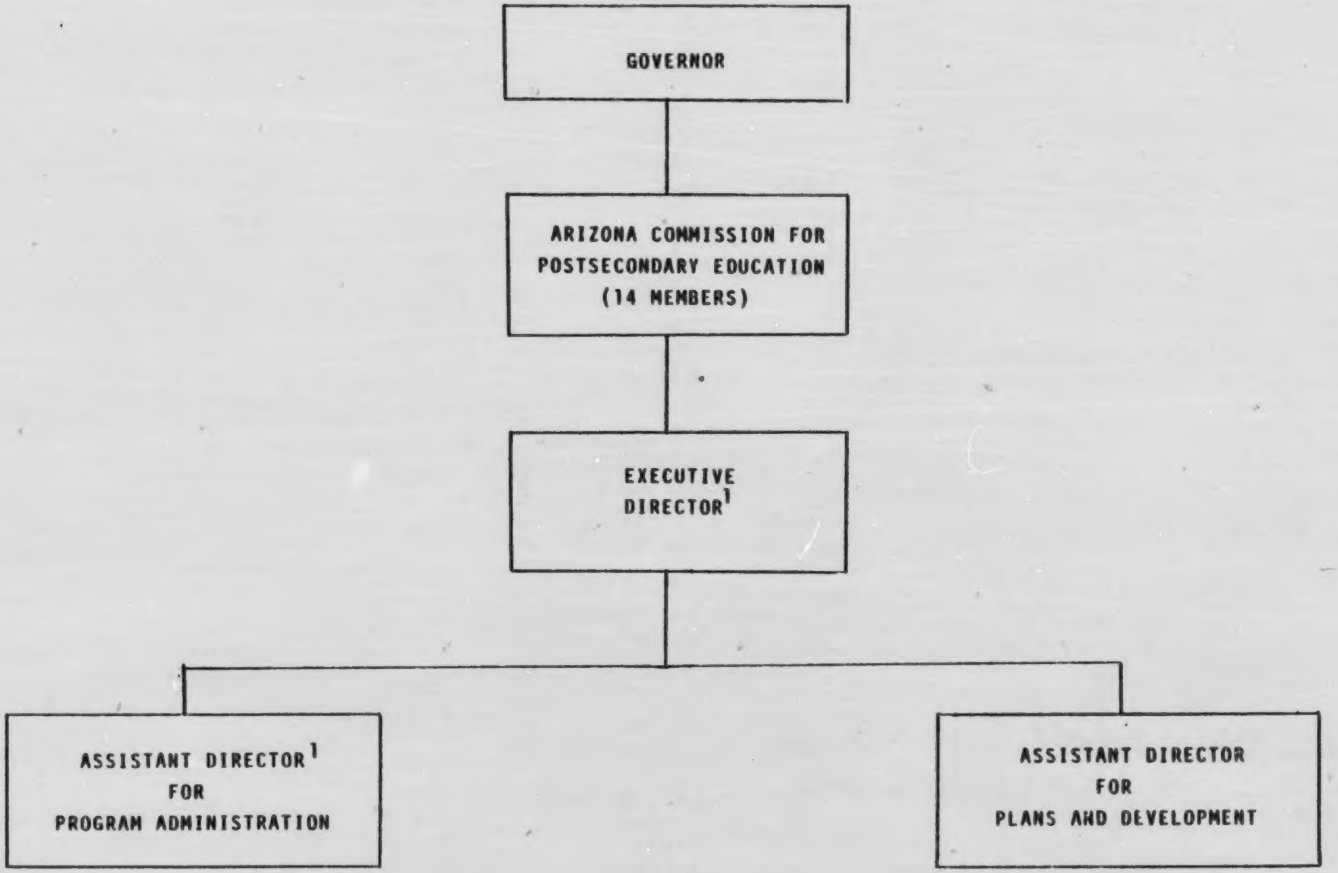
WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered locally by the Arizona Board of Regents through the state's Western Interstate Commission on Higher Education Commissioners. The state certifying officer is an employee of the Arizona Board of Regents.

1. WICHE Student Exchange Program

RELATIONSHIPS OF STATE AGENCIES

The Arizona Commission for Postsecondary Education is the designated state agency which administers a student-assistance program in Arizona and is directly under the Governor by authority of an executive order.

STATE AGENCY ORGANIZATION


GOVERNOR
ARIZONA COMMISSION FOR POSTSECONDARY EDUCATION (14 MEMBERS)
EXECUTIVE DIRECTOR[1]
ASSISTANT DIRECTOR[1] FOR PROGRAM ADMINISTRATION
ASSISTANT DIRECTOR FOR PLANS AND DEVELOPMENT

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[1] The Executive Director heads the professional staff responsible for the administration of the Arizona SSIG Program.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information		X	
• Identification of Eligible Recipients		X	
• Determination of Award Size		X	
• Assignment of Priority to Awards		X	
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications		X	
Publicizes Award Availability	X	X	

COMMENTS: This is for the new SSIG program only. The Arizona Commission for Postsecondary Education allocates funds to eligible participating institutions and approves all awards. These awards are recommended by the institution FAO's based on their need analysis. The Commission complies with all federal requirements as the state agency designated to administer the program.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Less than 12 semester hours or 25 clock hours per week (at proprietary institutions.	Interpretation	Yes	Yes	Not Applicable
State Resident - As determined by the institution.	Interpretation	Yes	Yes	Not Applicable
Independent Student - Not claimed as a dependent by parent for tax purposes as described by federal regulations.	Interpretation	Yes	Yes	Not Applicable
Non-Profit Institution - Not incorporated or licensed as a profit-making institution.	Interpretation	Yes	Yes	Not Applicable
Satisfactory Academic Progress - As determined by the institution.	Interpretation	Yes	Yes	Not Applicable
United States Citizenship - Native born or naturalized by appropriate federal authorities.	Interpretation	Yes	Yes	Not Applicable

ARIZONA B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY		TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY		PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES					
SSIG Office	Summaries Recipients Litigation	Regulations Terminations	Summaries Litigation	Regulations	Adequate
BEOG Office					
Regional OE Student-Assistance Office (Campus-Based Programs)					
Washington OE Office (Campus-Based Programs)					
FISL/GSL Office					
STATE/INSTITUTIONAL OFFICES					
Public Institutions					
Private Institutions					
Governor/Budget Office					
State Legislature (Including Committee Staffs)					

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

NOTE: Our program is so new we have not been able to assess this yet.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

Information not provided, 1977-78 academic year will be first full year of operation.

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes	Institution	
2. Match Against BEOG Applications?	Yes	Institution	
3. Match Against Institutional Student Records?	Yes, Sample	Institution, State	
4. Warnings of Prosecution?	Yes	Institution	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, Sample	Institution, State	
2. Check on Mid-Term Attendance?	Yes	Institution	
3. Check on Course Completion?	Yes	Institution	
4. Check on Academic Progress Before Award?	Yes	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution, State	
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution, State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, All	Institution, State	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes	Institution, State	
2. Aid Officer Bonding?	Unknown at this time		
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Not Applicable		
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors		X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Program for Indian Youth -

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend.

Arizona SSIG Program - Provides need-based grants to state students at accredited state postsecondary educational institutions.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Arizona SSIG Program[1]	1976-77	1200	$645	$775,000	Resident Undergraduates
Non Need-Based					
WICHE Student Exchange Program	1977-78	184		$1,553,442	Residents in Medicine, Dentistry, Veterinzry Medicine, Physical Therapy, Occupational Therapy, Optometry, Pediatry

---

[1] Program started Spring Semester-1977. Matching funds have been provided by each participating institution. Figures given above are approximations.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Arizona SSIG Program	Residents attending In-State	Full-Time	All undergraduates	Yes	None	None
Non Need-Based						
WICHE Student Exchange Program	Residents 5 years prior to admission	Full-Time	Undergraduate and Graduate		None	None

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Arizona SSIG Program	Public Private	Public Private	No	Yes	No
Non Need-Based					
WICHE Student Exchange Program	(Student must meet each institutions requirements for admission)				

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Arizona SSIG Program	Determined by FAO at each institution based on need analysis	No	Consensus	Tuition/Fees Books Transportation General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method is Used?	How is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Arizona SSIG Program	$1,500	FAO based on need analysis	Need Analysis	Institution FAO
Non Need-Based				
WICHE Student Exchange Program	$12,000 (Varies with program)	Tuition payment, agreed upon by WICHE and participating states	State Need	Participating Institutions and WICHE

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

ARIZONA F1

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

DATA NOT APPLICABLE(1)

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT APPLICABLE(1)

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT APPLICABLE(1)

MEN	WOMEN

Sex

---

(1) Program will start in 1977.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

ARIZONA

NUMBER OF RECIPIENTS

Income Level

DATA NOT APPLICABLE[1]

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Ethnic Status

DATA NOT APPLICABLE[1]

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Sex

DATA NOT APPLICABLE[1]

MEN	WOMEN

AVERAGE AWARD SIZE

DATA NOT APPLICABLE[1]

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

DATA NOT APPLICABLE[1]

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

DATA NOT APPLICABLE[1]

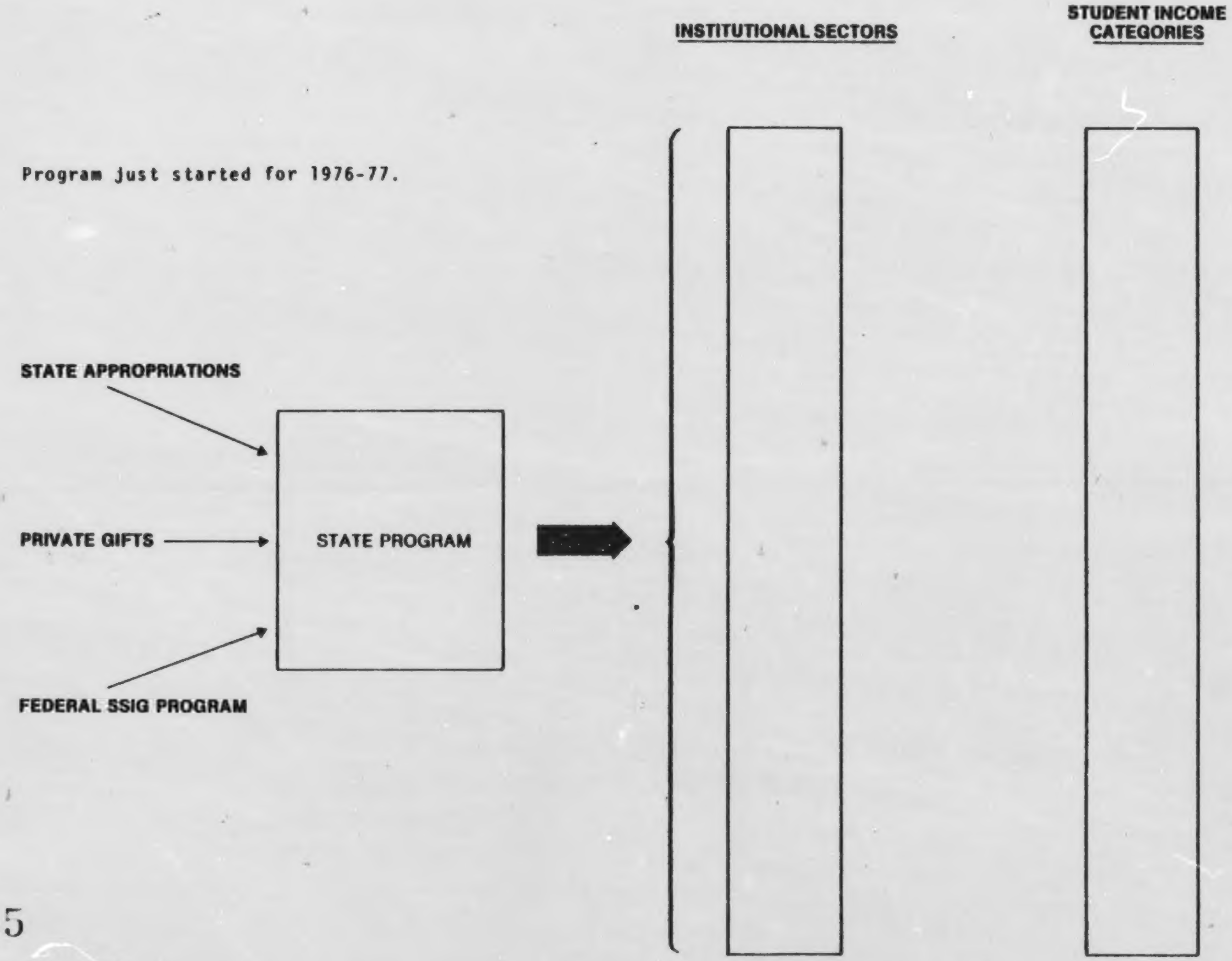
MEN	WOMEN

---

[1] Program just started.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

ARIZONA G1



INSTITUTIONAL SECTORS

STUDENT INCOME CATEGORIES

Program just started for 1976-77.

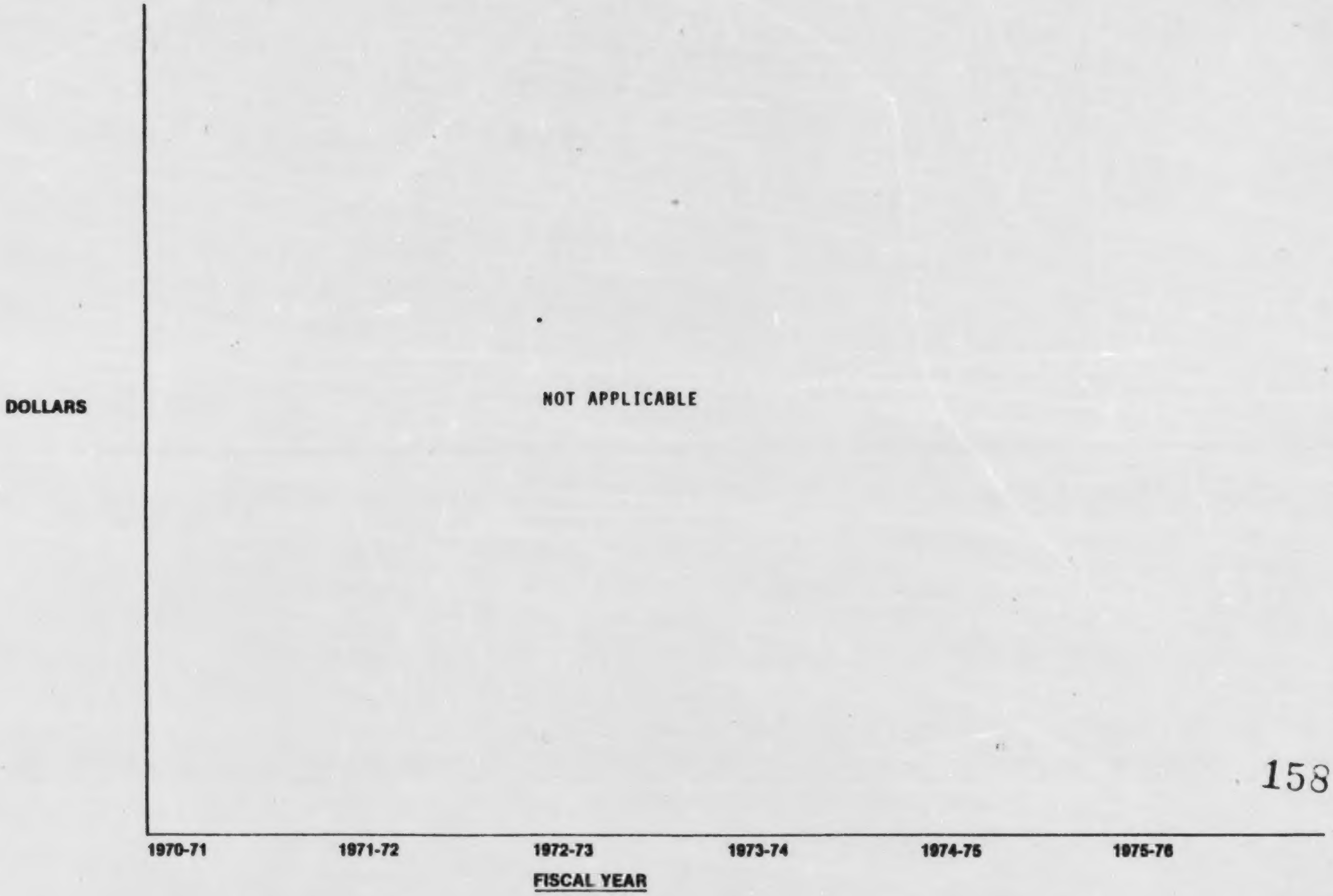
STATE APPROPRIATIONS

PRIVATE GIFTS

STATE PROGRAM

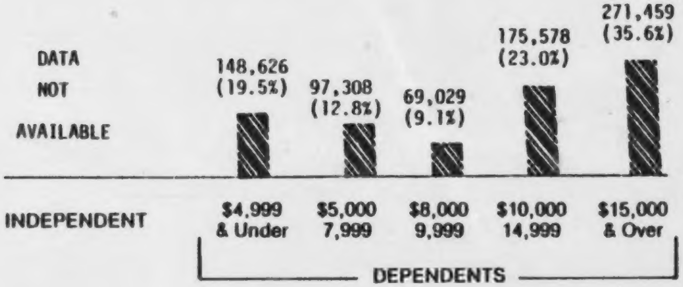
FEDERAL SSIG PROGRAM

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



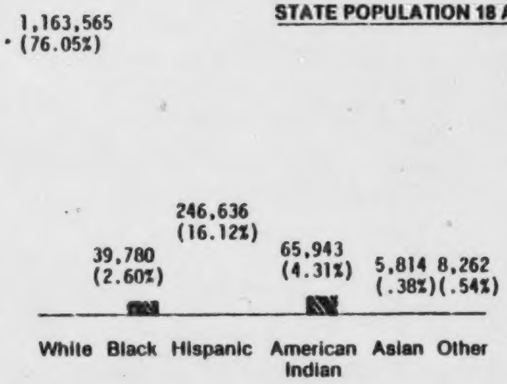
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

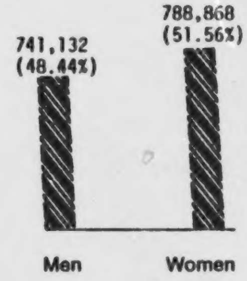


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

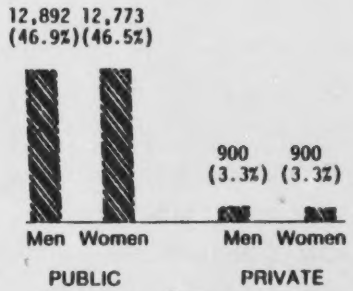


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 4,726 persons granted a high school equivalency certificate.

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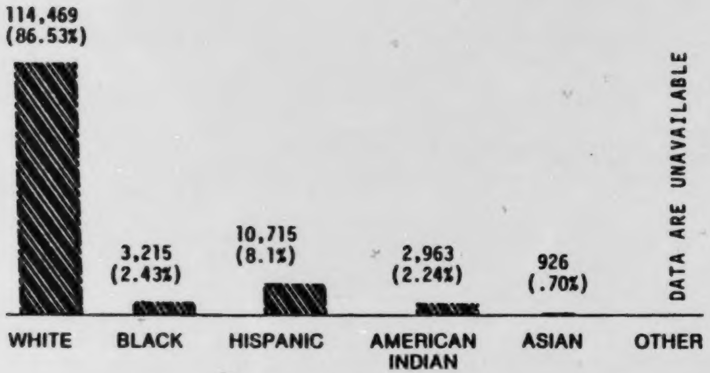
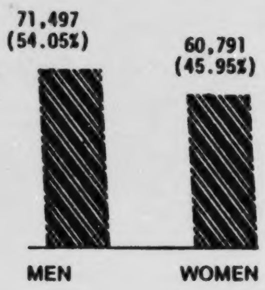
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

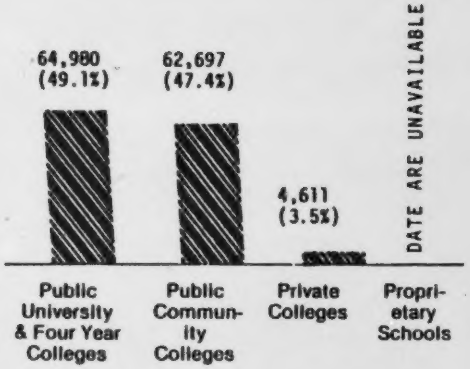
(Figures in Thousands)



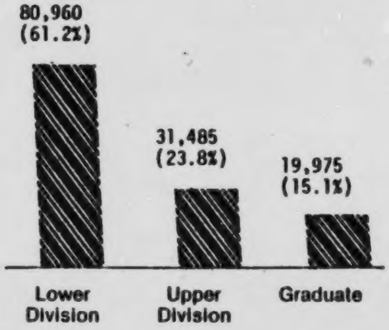
Ethnic Status



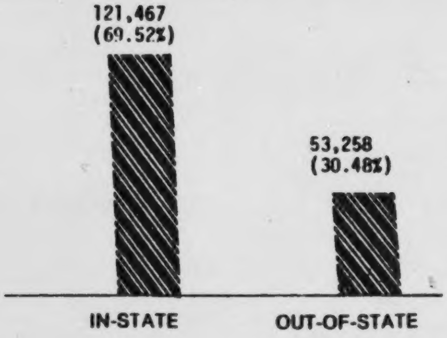
Sex



Institutional Sector

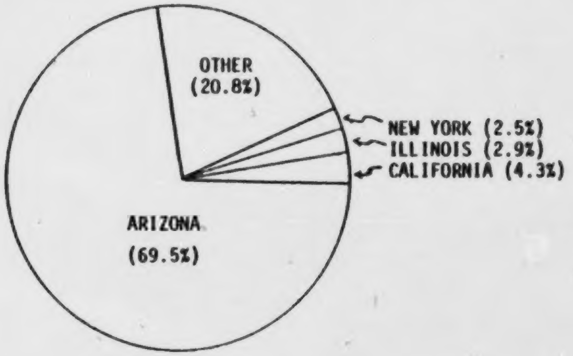


Student Level

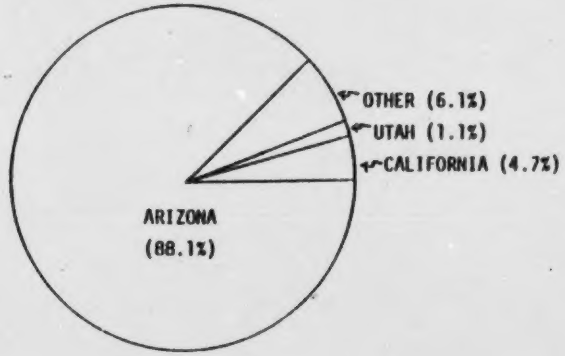


Residency

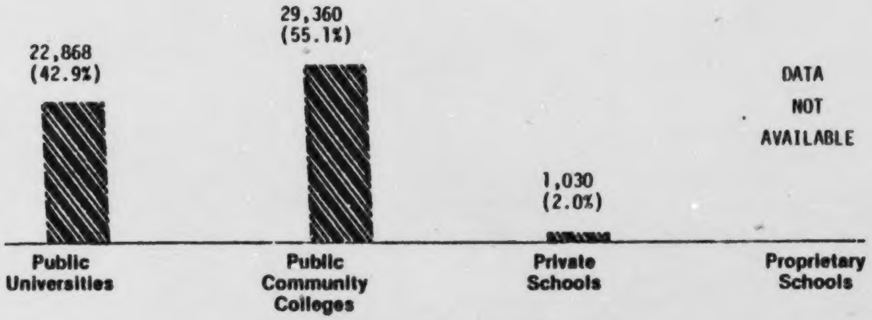
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



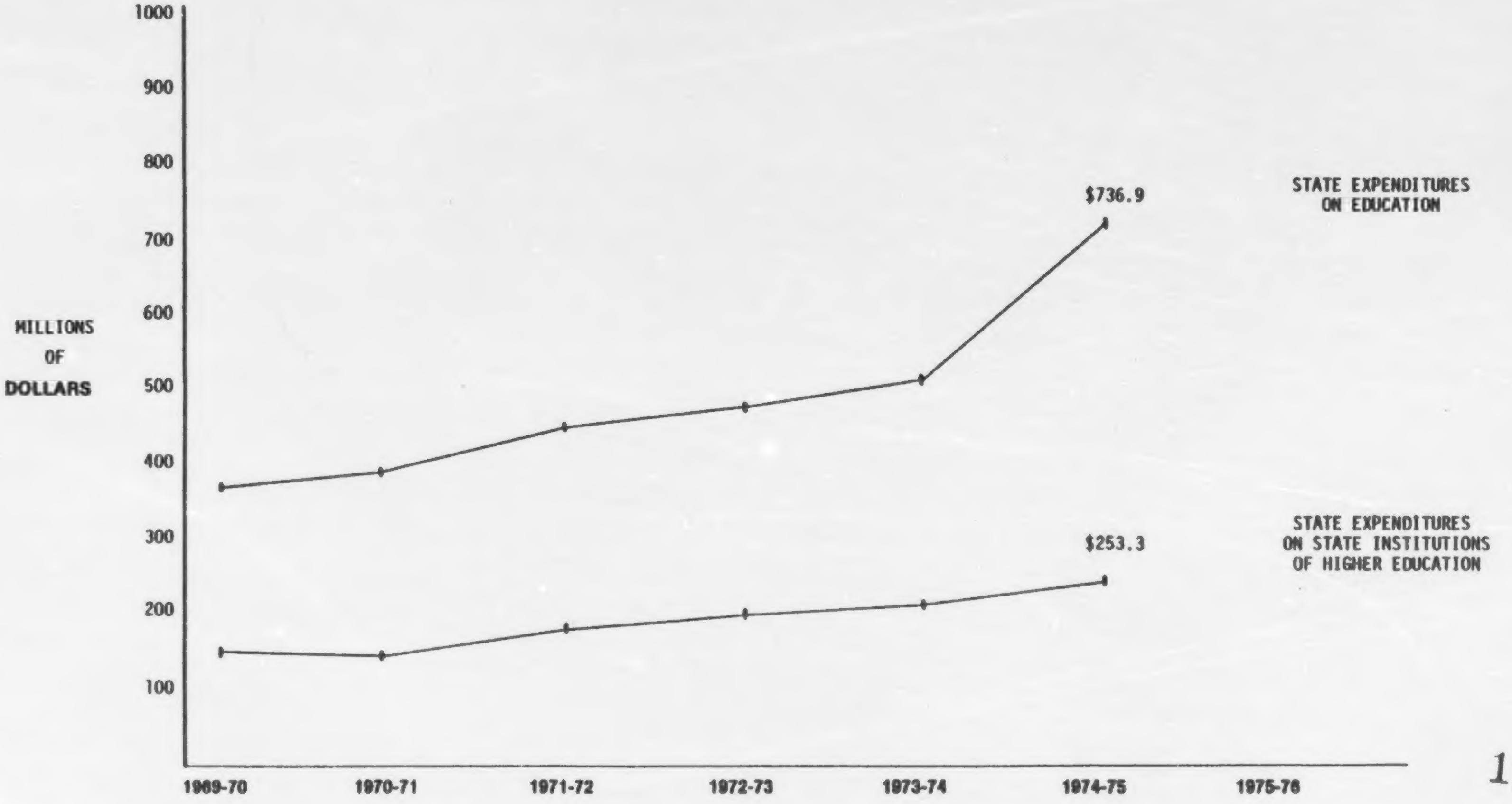
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

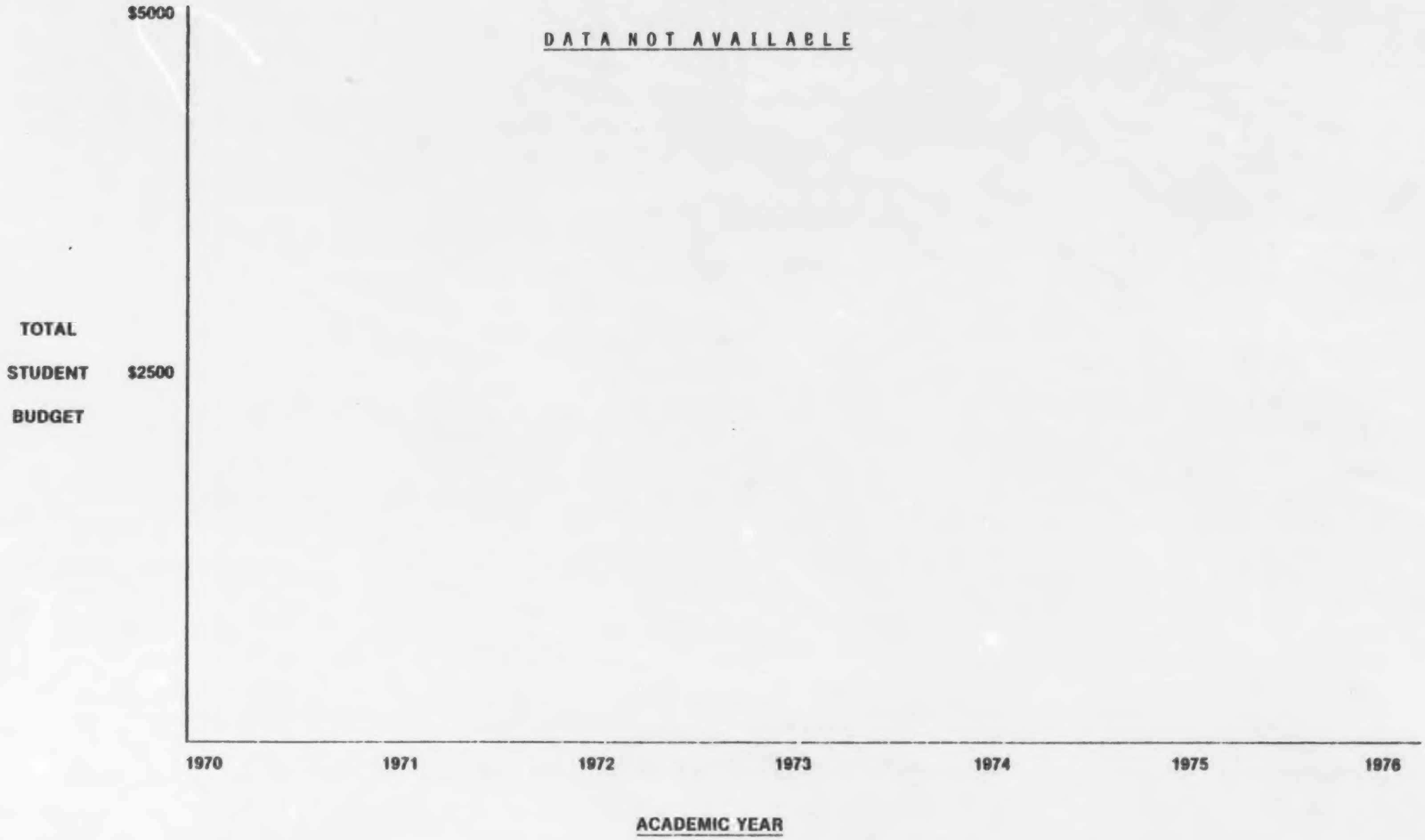
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



FISCAL YEAR

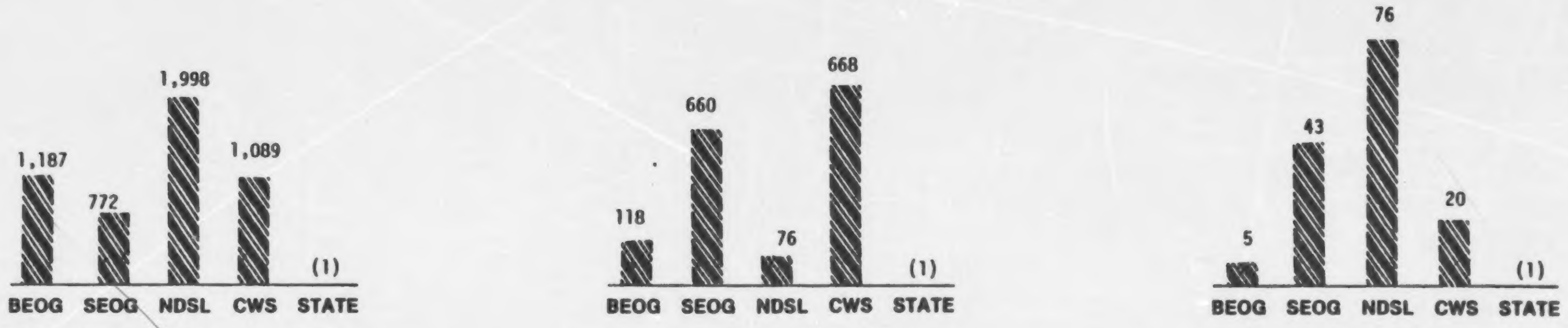
No program in existence for 1975-76 year.

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS


DATA NOT AVAILABLE

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



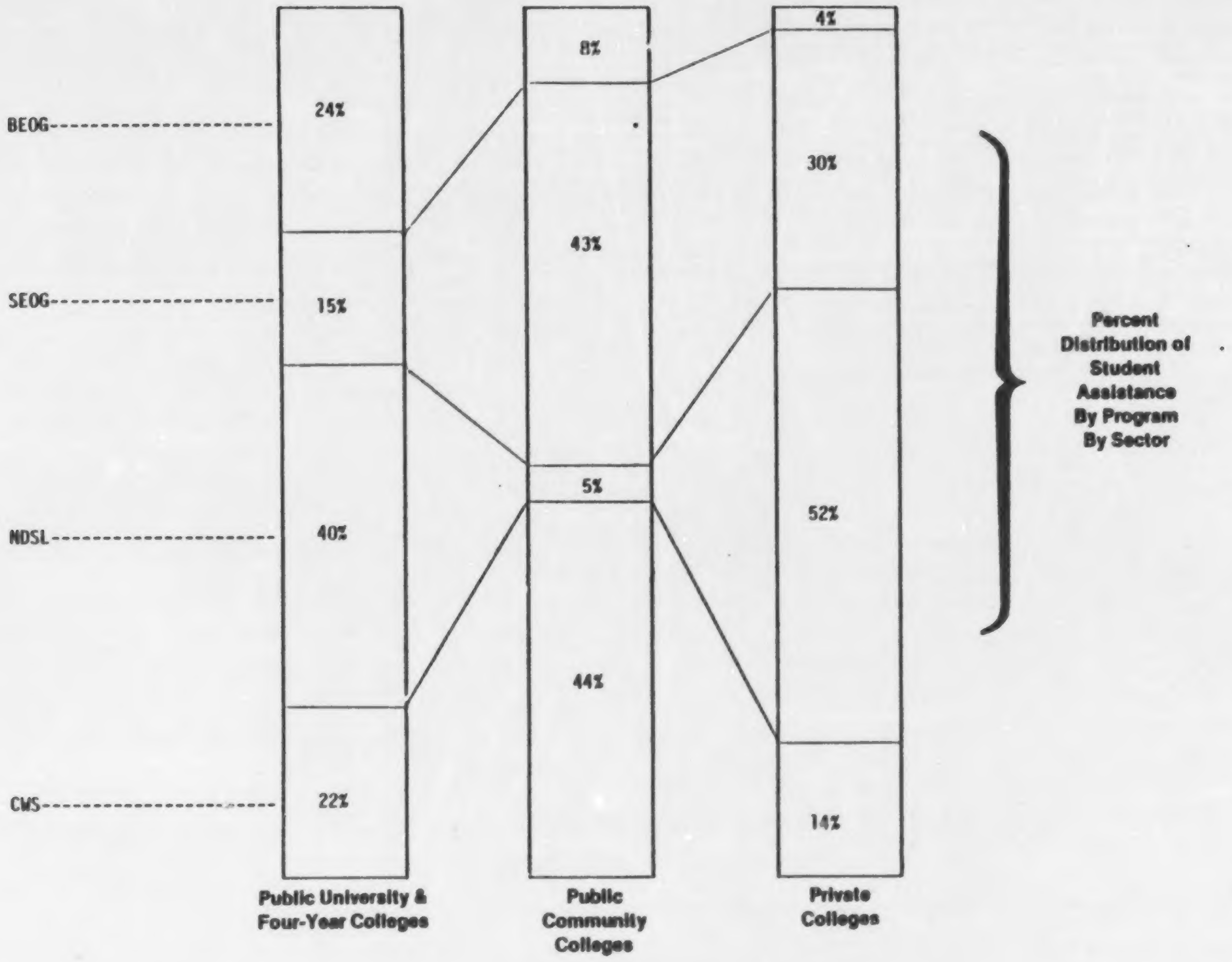
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

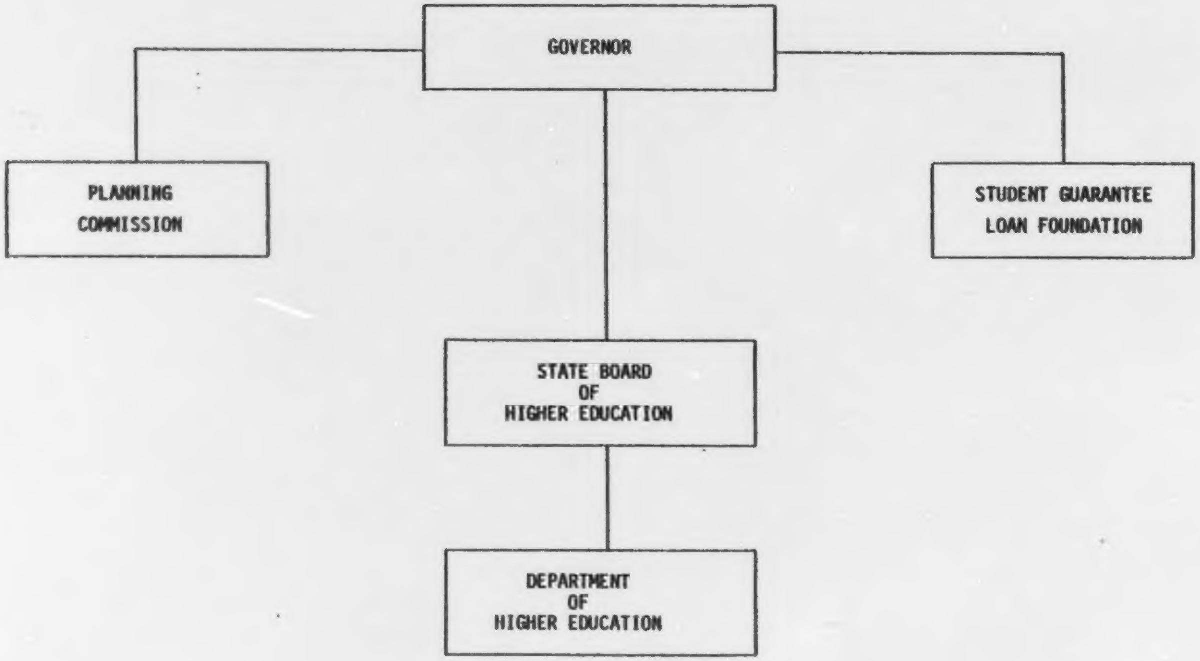


DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Department of Education

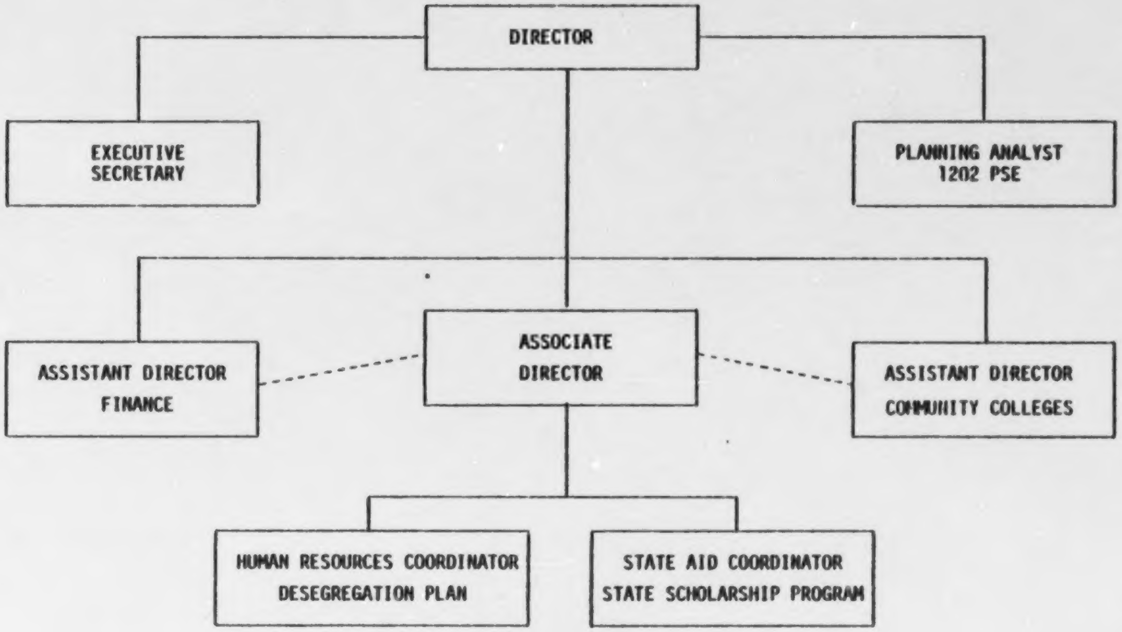
1. State Scholarship Program
2. Academic Common Market
3. State Assistance for Dependents of POWs/MIAs

RELATIONSHIPS OF STATE AGENCIES


GOVERNOR
PLANNING COMMISSION
STUDENT GUARANTEE LOAN FOUNDATION
STATE BOARD OF HIGHER EDUCATION
DEPARTMENT OF HIGHER EDUCATION

STATE AGENCY ORGANIZATION

DEPARTMENT OF HIGHER EDUCATION


DIRECTOR
EXECUTIVE SECRETARY
PLANNING ANALYST 1202 PSE
ASSISTANT DIRECTOR FINANCE
ASSOCIATE DIRECTOR
ASSISTANT DIRECTOR COMMUNITY COLLEGES
HUMAN RESOURCES COORDINATOR DESEGREGATION PLAN
STATE AID COORDINATOR STATE SCHOLARSHIP PROGRAM

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - A student who is enrolled less than full-time, with full-time status defined at 12 hours or a reasonable academic equivalent.	Interpretation	Yes	Yes	Yes
State Resident - Determined by affirmative answers to questions regarding payment of state taxes; ownerwhip of real estate, driver's license, voter registration and living in the state preceding 12 months prior to application.	Interpretation	Yes	Yes	Yes
Independent Student - A student who has been living away from home the prior year, current year and anticipates living away from home the next year. Three years are considered.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - A school, agency, organization or institution owned and operated by one or more non-profit corporations or associations no part of the net earnings of which may lawfully inure to the benefit of any private shareholder or individual.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - Standard of grading which indicates that the student is performing in an average manner; varies slightly from institution to institution, but 2.0 on 4.0 scale is generally considered satisfactory.	Interpretation	Yes	Yes	Yes
United States Citizenship - Born in United States; naturalized citizen.	Interpretation	Yes	Yes	Yes

ARKANSAS B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Summaries	Adequate
BEOG Office		Applications	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)		Summaries Regulations	Adequate
Washington OE Office (Campus-Based Programs)		Summaries Regulations	Adequate
FISL/GSL Office			
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Regulations Recipients Terminations		Adequate
Private Institutions	Summaries Regulations Recipients Terminations		Adequate
Governor/Budget Office	Summaries		Marginal
State Legislature (Including Committee Staffs)	Summaries Recipients		Marginal

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	70	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	10	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	10	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	5	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	0	

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

	MONTH/YEAR	EVENTS
Since the legislature meets every two years, the 1976-77 program was part of the 1975 legislature process	1975	Agency budget presented to governors office or budget bureau
The legislature meets every two years	APRIL - 1975	Legislature appropriates student assistance funds
Since the program initially began in 1975, aid officers were the first group to meet, then counselors during the Spring of 1976 for the 1976-77 program	APRIL-APRIL - 1975-1976	Agency completes planning workshops for students or counselors
	FEBRUARY - 1976	BEOG applications information received from federal office
	MARCH - 1976	Agency makes student-aid applications available
	AUGUST-FEBRUARY - 1976-1977	1) Deadline for student-aid applications 2) Agency (or institutions) announces award winners
	FEBRUARY - 1977	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?	Yes	Institution, State	
4. Warnings of Prosecution?	Yes	State	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes	State	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes	State	
4. Check on Academic Progress Before Award?	Yes	State	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State[1]	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

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[1] All funds are in state treasury.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	X
2. College Financial-Aid Officers	X	X	X			X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X	X	X	X
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X			X
6. Newspaper Ads	X	X	X			
7. Radio, Television Ads	X	X	X			
8. Social Service Agencies	X	X	X			
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Scholarship Program - A need-based, scholastic award program which provides grants of $100-$300 to resident students attending approved Arkansas colleges and universities.

Academic Common Market - A tuition-reciprocity program to share among the states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

State Assistance for Dependents of POWs/MIAs - A tuition-remission program which waives fees at in-state public institutions for resident dependents of prisoners of war and soldiers listed as missing in action.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Scholarship Program[1]	1975-76	1,135	$200	$202,050	Resident Freshmen
Non Need-Based					
Academic Common Market	1973-74 1975-76	3 5	Note 1 Note 1	Note 1 Note 1	
State Assistance for Dependents of POWs/MIAs	1975-76	1	$250	$ 250	Dependents of POWs/MIAs

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[1] No awards made. Receiving institution simply extends student in-state status.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Scholarship Program	Residents attending In-State	Full-Time	Freshmen[1]	Not Given	Not Given	Not Given
Non Need-Based						
Academic Common Market	Residents at Out-of-State Schools	Not Given	Graduates	No	Not Applicable	Not Applicable
State Assistance for Dependents of POWs/MIAs	Residents attending In-State	Full-Time Half-Time Part-Time	All Undergraduates	No	Not Applicable	Not Applicable

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[1] Beginning with 1977-78 program, award status will be extended to sophomore students.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Scholarship Program	Public Private	Public	No	No	No
Non Need-Based					
Academic Common Market	Public	No	No	No	No
State Assistance for Dependents of POWs/MIAs	Public	Public	Public	No	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Scholarship Program	Need = Student Budget - EFC from BEOG Analysis	Yes	BEOG	Tuition/Fees Room/Board Books Transportation

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Scholarship Program	$300	Student eligibility index and cost of education determine the amount from BEOG-based awarding chart.	Eligible students who meet application deadline; if number exceeds funds, proration occurs.	State
Non Need-Based				
Academic Common Market	Equal to Out-of-State Charges	By the amount of out-of-state fees charged by the various institutions.	Not Applicable	Note 1
State Assistance for Dependents of POWs/MIAs	None	Written State Board of Higher Education guidelines.	Not Applicable	State Board of Higher Education Guidelines

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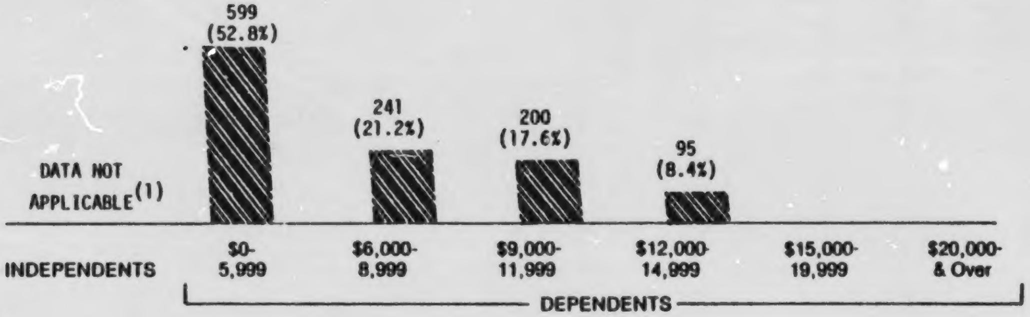
[1] Agreement by states involved to waive out-of-state fees.

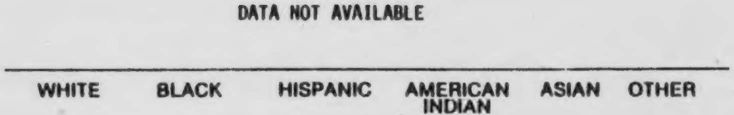
NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

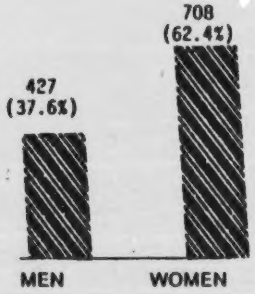
- STATE SCHOLARSHIP PROGRAM



Income Level



Ethnic Status



Sex

(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

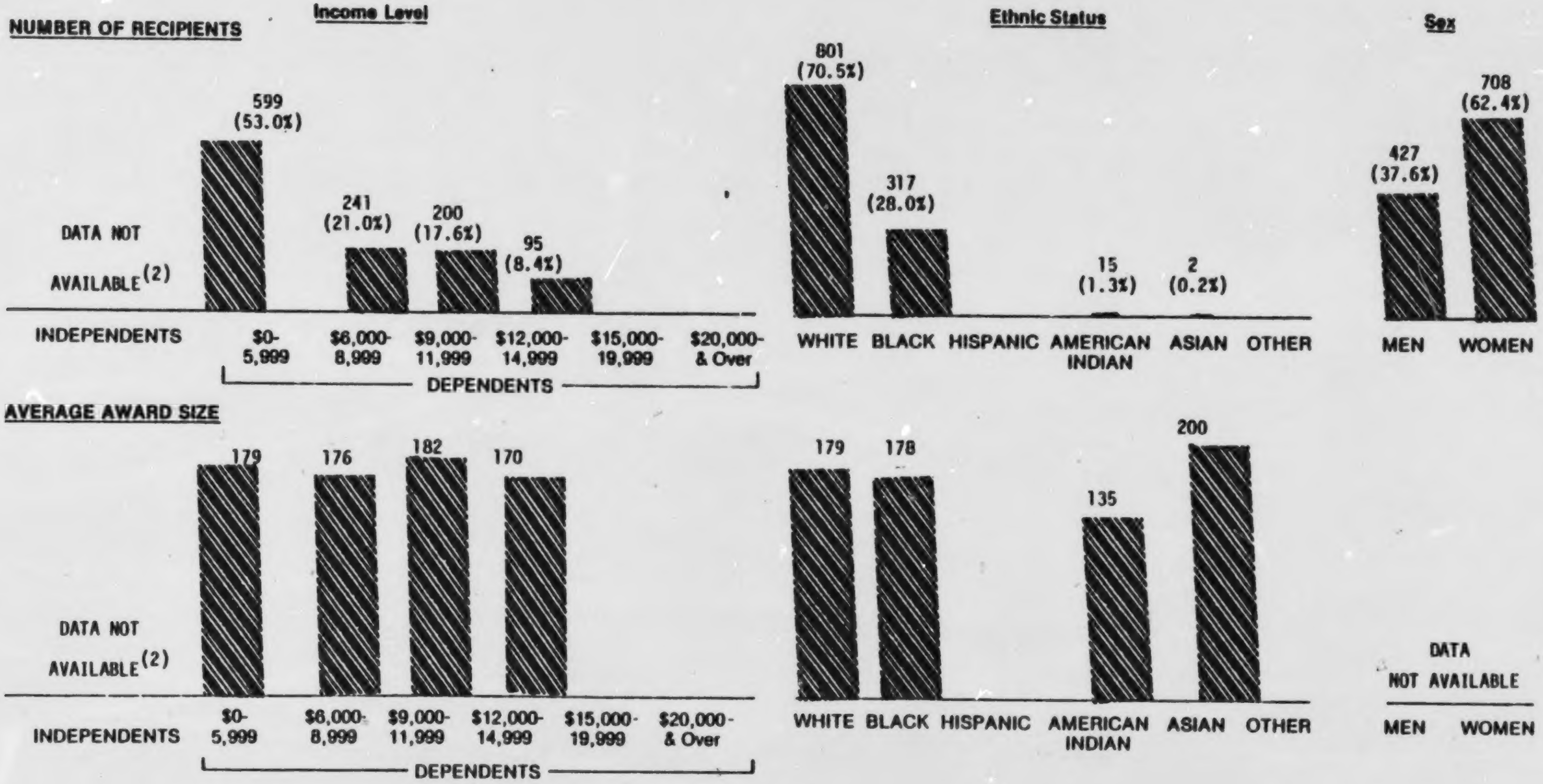
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

ARKANSAS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM(1)



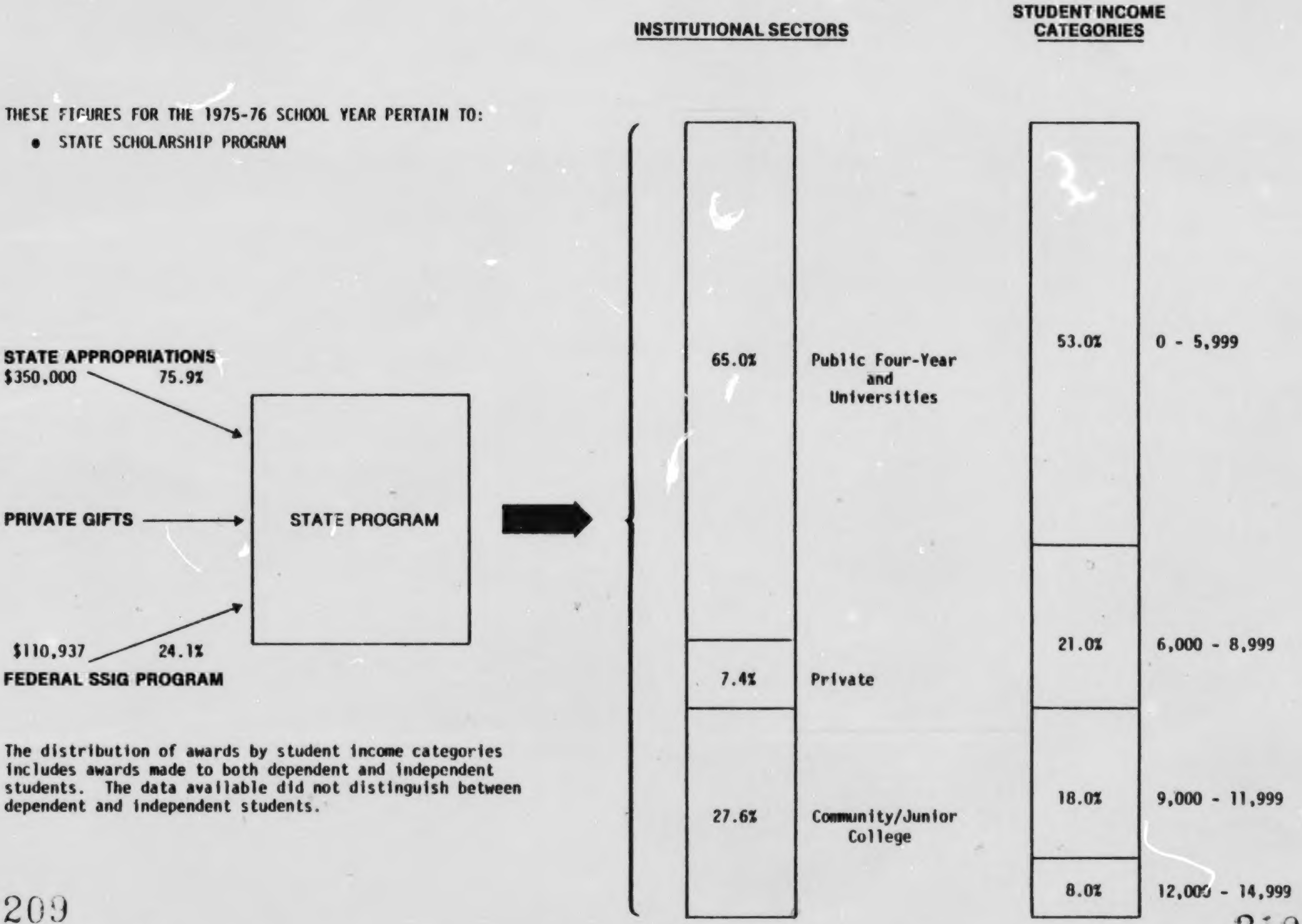
(1) Statistics are based on the number of awards paid out. The state had funds for all applicants who applied.

(2) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

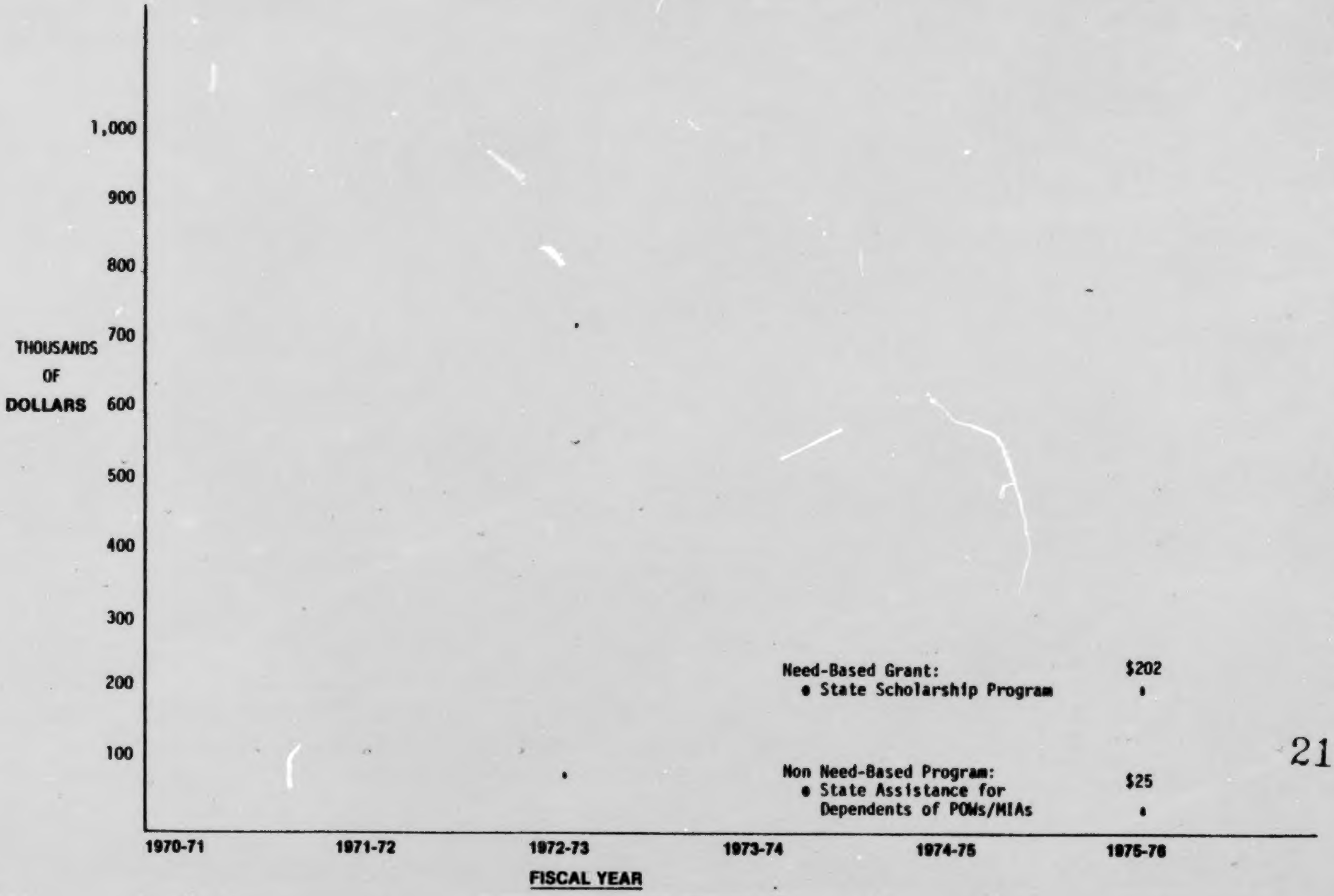
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM



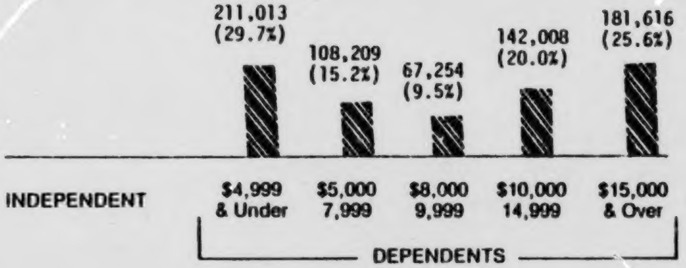
The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



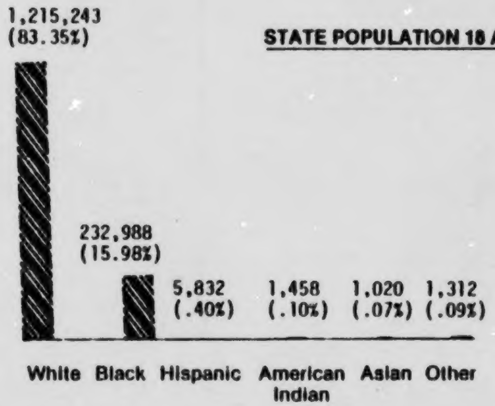
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

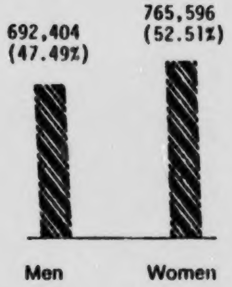


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

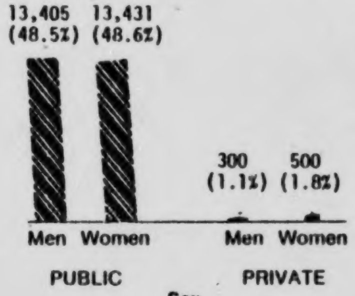


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 2,461 persons granted a high school equivalency certificate.

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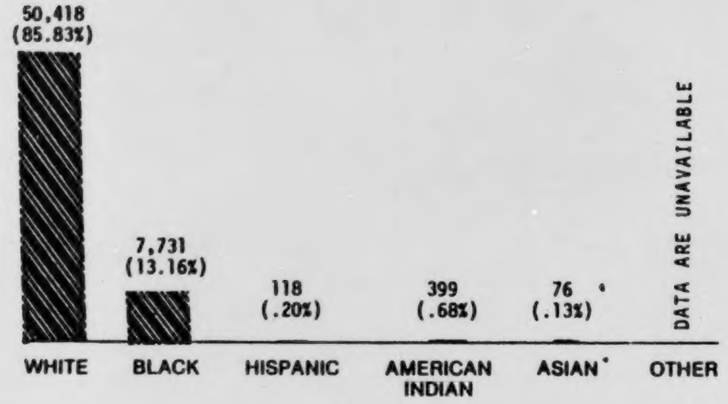
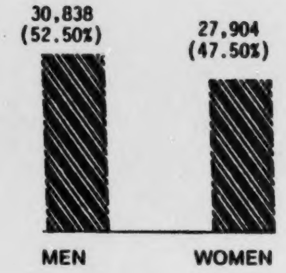
[1] (c) Sales and Marketing Management Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

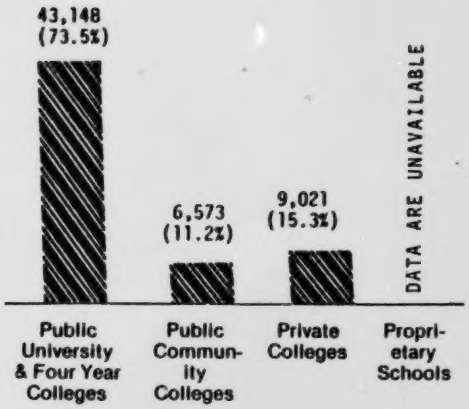
(Figures in Thousands)



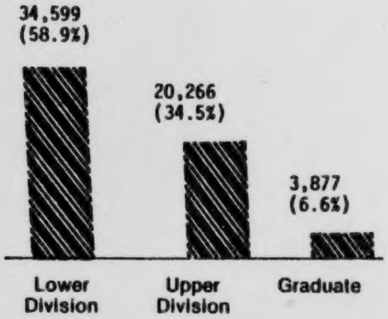
Ethnic Status



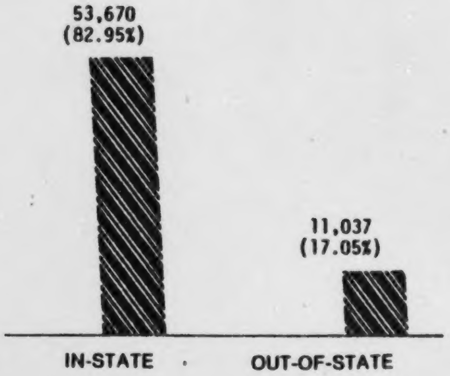
Sex



Institutional Sector

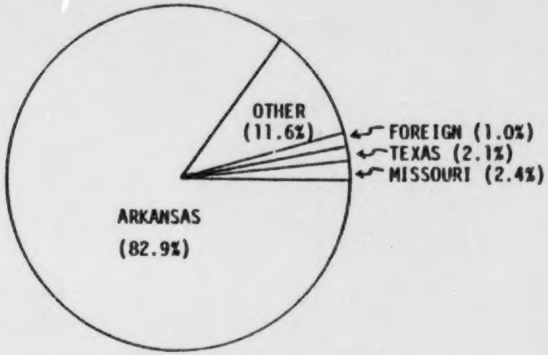


Student Level

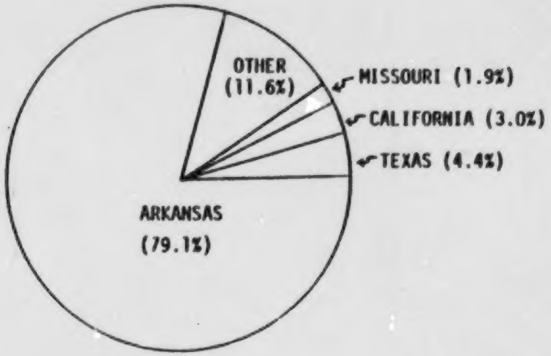


Residency

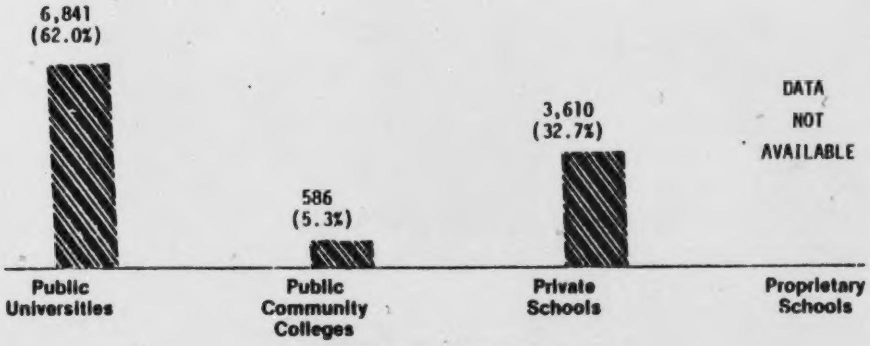
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



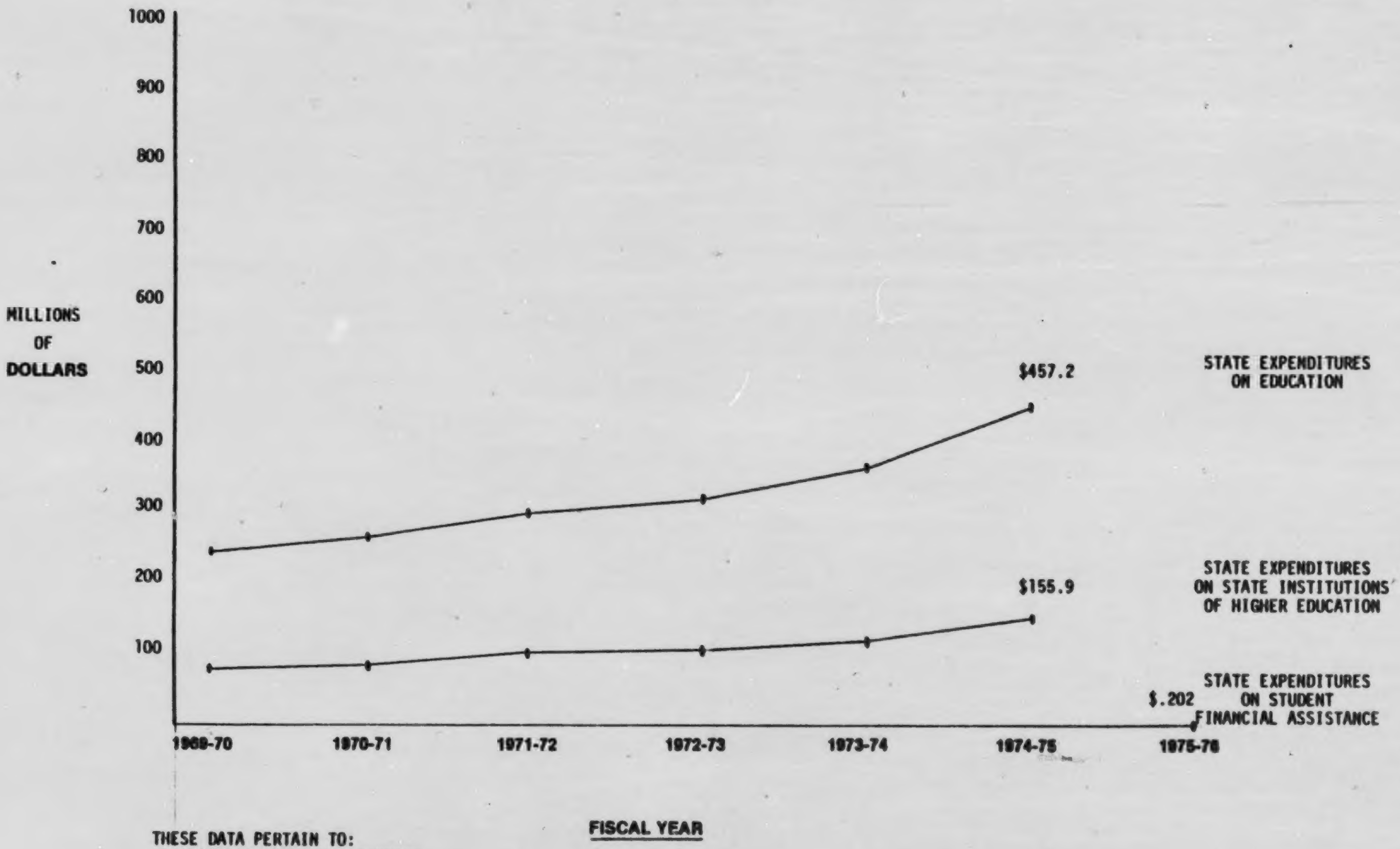
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

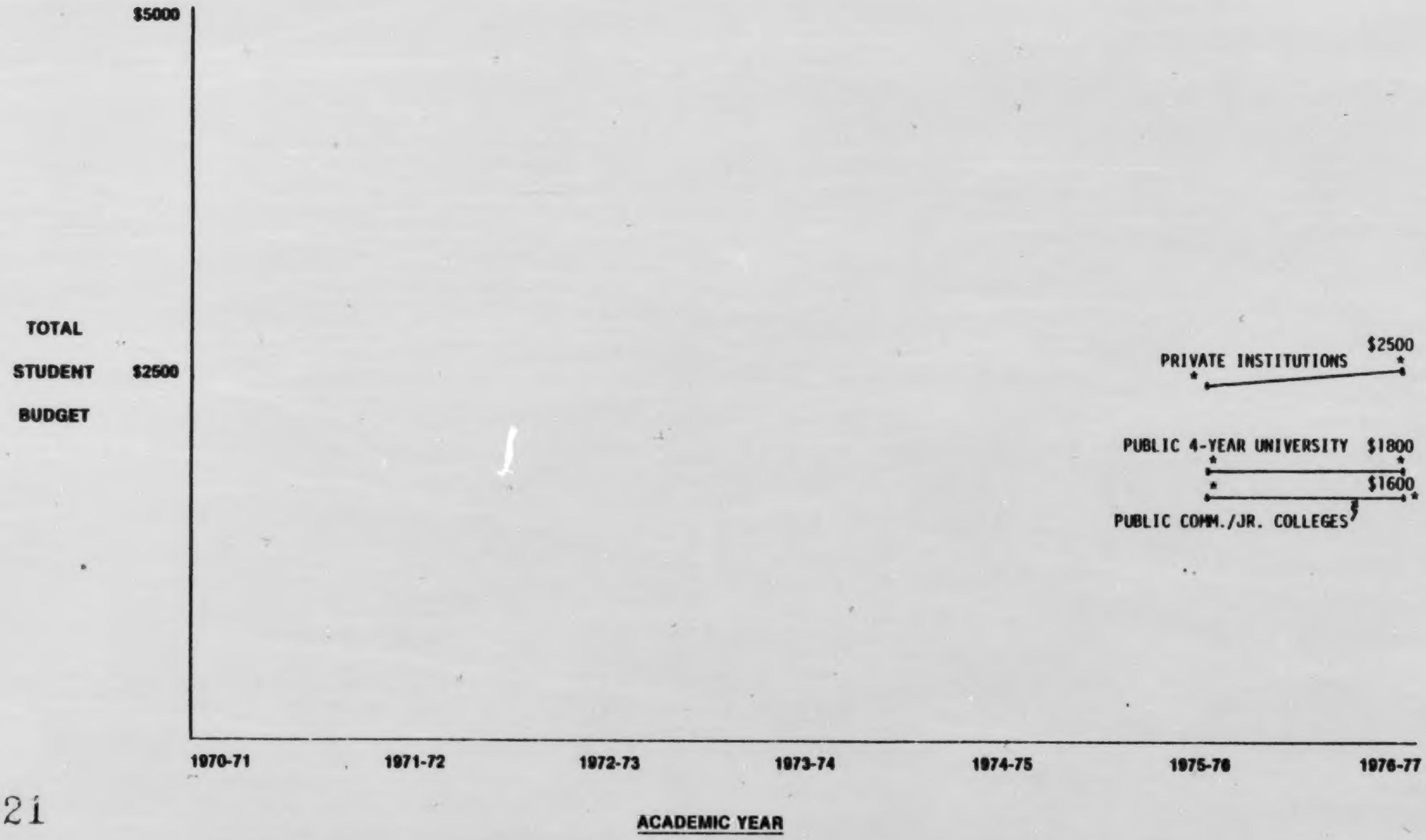
(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- STATE SCHOLARSHIP PROGRAM
- STATE ASSISTANCE FOR DEPENDENTS OF POWS/MIAS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



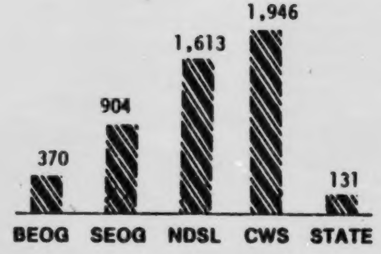
*Response is based on a reasonable estimation or approximation.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

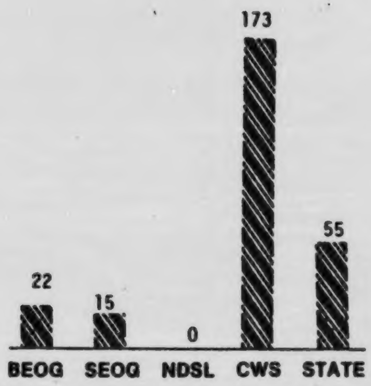
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

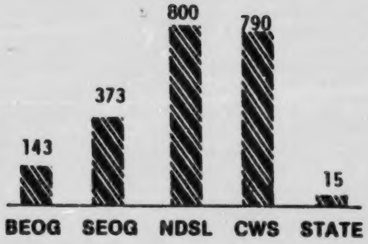
- STATE SCHOLARSHIP PROGRAM



Public University and Four-Year Colleges

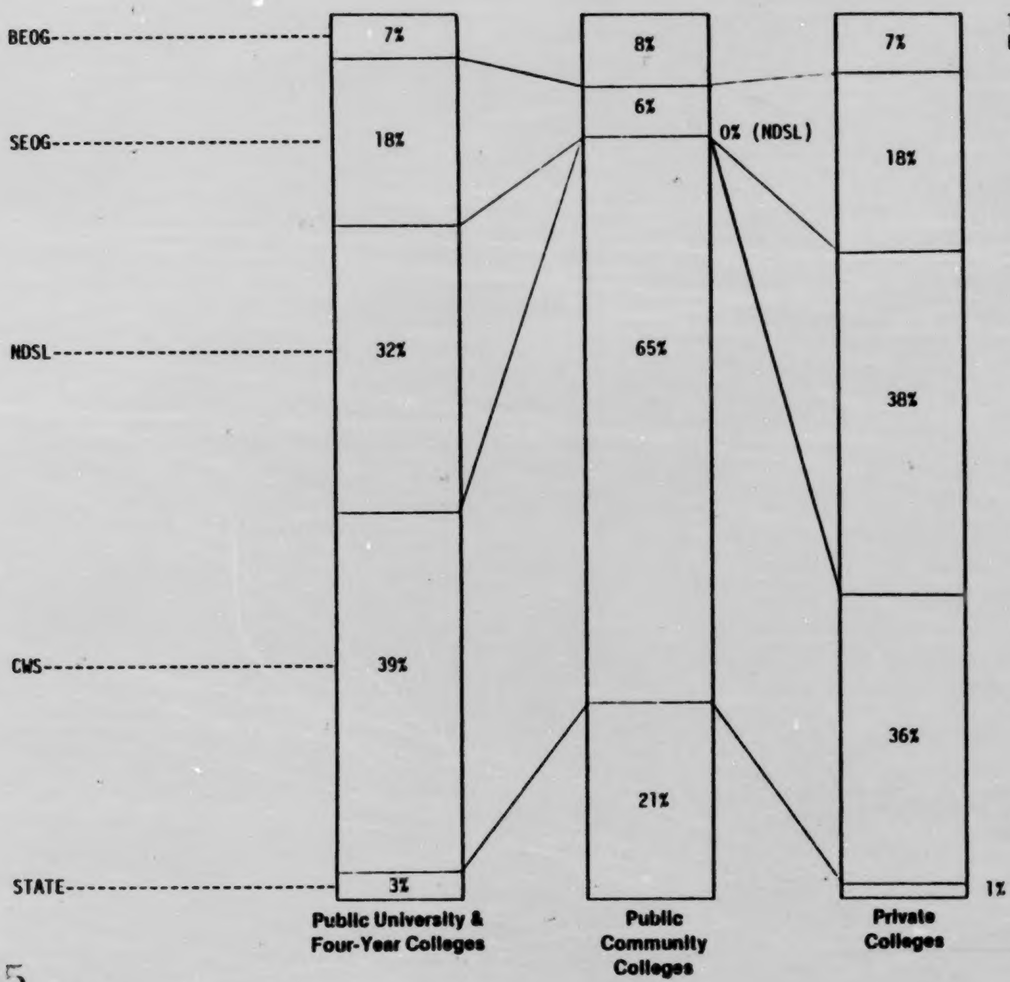


Public Community Colleges



Private Colleges

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM

Percent Distribution of Student Assistance By Program By Sector

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Student Aid Commission

1. State Scholarship Program
2. College Opportunity Grant Program
3. Occupational Education and Training Grant Program
4. Law Enforcement Personnel Dependents Scholarship
5. Real Estate Scholarship Program
6. Graduate Fellowship Program
7. Medical Student Contract Program
8. Supervised Clinical Training Program
9. Statewide Student Financial Aid Information Program

Other Agencies

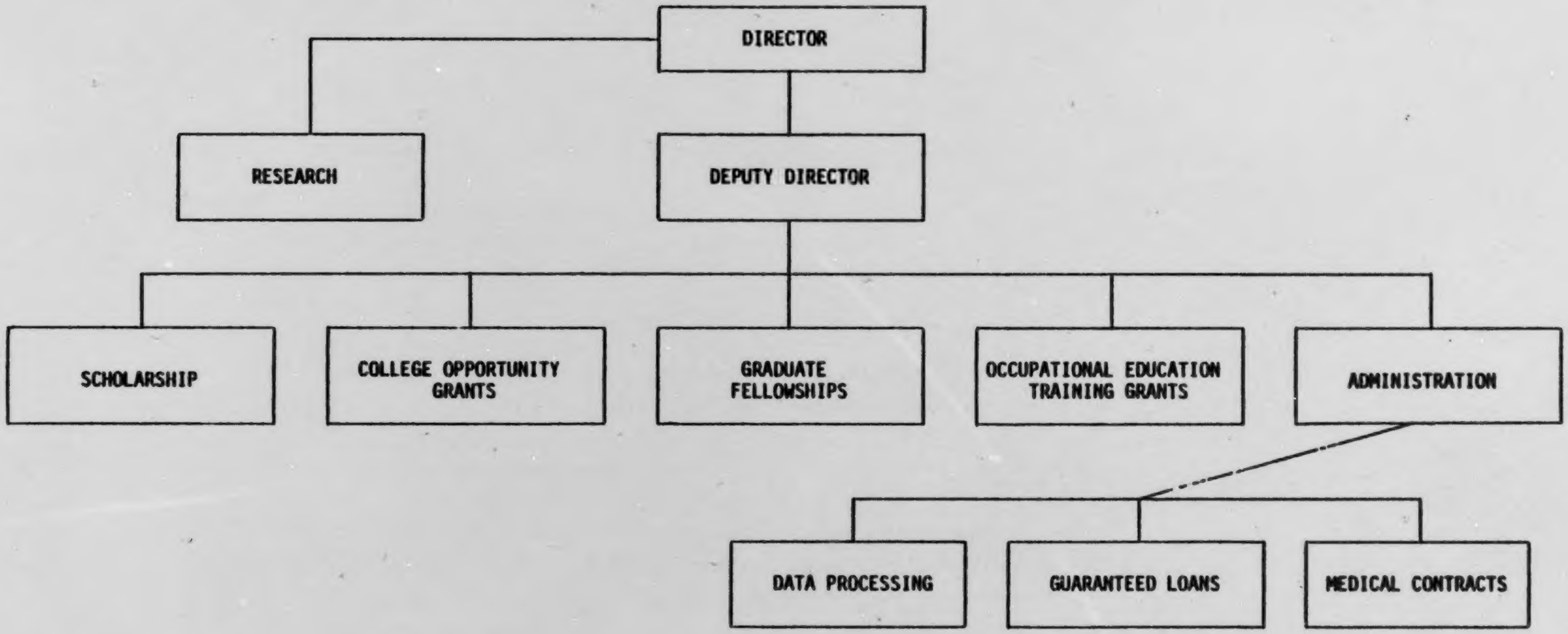
1. Education Assistance to Veterans and Dependents
2. Tuition Grant Program

California State Department of Rehabilitation

1. Vocational Rehabilitation Program

STATE AGENCY ORGANIZATION

CALIFORNIA STATE STUDENT AID COMMISSION


DIRECTOR
RESEARCH
DEPUTY DIRECTOR
SCHOLARSHIP
COLLEGE OPPORTUNITY GRANTS
GRADUATE FELLOWSHIPS
OCCUPATIONAL EDUCATION TRAINING GRANTS
ADMINISTRATION
DATA PROCESSING
GUARANTEED LOANS
MEDICAL CONTRACTS

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X		

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Part-Time Student - A part-time student is one who is taking 6-11 units or their equivalent. A student enrolled for 9-11 units or their equivalent is considered three-fourths time and a student enrolled for 6-8 units or their equivalent is considered one-half time.	Law			
State Resident - A resident is a student who has had residence in the state for more than one year immediately preceding the residence determination date.	Law			
Independent Student - A student who has not received more than $600 in support, has not been claimed as an exemption on parent's income tax return, and has not lived with parents for the three prior tax years.				
Satisfactory Academic Progress - Maintains at least a 2.0 GPA.				

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office			
BEOG Office			
Regional OE Student-Assistance Office (Campus-Based Programs)			
Washington OE Office (Campus-Based Programs)			
FISL/GSL Office			
STATE/INSTITUTIONAL OFFICES			
Public Institutions			
Private Institutions			
Governor/Budget Office			
State Legislature (Including Committee Staffs)			

DATA ARE UNAVAILABLE

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

CALIFORNIA B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	DATA ARE UNAVAILABLE	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR		EVENTS
	AUGUST - 1976	Agency makes student-aid applications available
	NOVEMBER - 1976	Agency budget presented to governors office or budget bureau
December for School & COG; February for OETG	DECEMBER-FEBRUARY - 1976-77	Deadline for student-aid applications
	JANUARY - 1977	BEOG applications information received from federal office
	FEBRUARY - 1977	Last date at which award changes and adjustments are made
April for School & COG; May for OETG	APRIL-MAY - 1977	Agency (or institutions) announces award winners

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, Sample	Student Aid Commission	
2. Match Against BEOG Applications?	No		
3. Match Against Institutional Student Records?	No		
4. Warnings of Prosecution?	Request for Repayment		
5. Prosecution?	Request for Repayment with interest where appropriate.		
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Student Aid Commission	
2. Check on Mid-Term Attendance?	No		
3. Check on Course Completion?	Yes, Sample	Student Aid Commission	
4. Check on Academic Progress Before Award?	Yes, All	" " "	
5. Partial Awards?	Yes, All	" " "	
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	No		
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Student Aid Commission	
3. Re-Check to Ensure Award Adjustments Actually Made?	No		
4. Second Review of Aid Packages?	Yes, All	Student Aid Commission	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, Sample	Audit Division,Dept. of Finance	
2. Aid Officer Bonding?	No		
3. Aid Officer Certification?	No		
4. Double Signatures on All Fund Transfers?	No		
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, Sample	Audit Division, Dept. of Finance	
2. Bonding of Staff Members?	No		
3. Double Signatures on All Fund Transactions?	Yes, Sample	Audit Division, Dept. of Finance	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X		X
2. College Financial-Aid Officers	X	X	X	X		X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X		X
5. College Admissions Office	X	X	X	X		X
6. Newspaper Releases			X			
7. Radio, Television Releases			X			
8. Social Service Agencies						
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Scholarship Program - A grant program which assists academically able, financially needy students to complete four years of college. It also assists independent colleges by diverting students from state-supported institutions which expands educational opportunities by providing alternative choices to students. The funds are distributed directly to in-state schools in the names of the students and only cover tuition and fees.

College Opportunity Grant Program - A grant program which assists disadvantaged resident students, primarily minority, who have potential for college success and community leadership. Attendance may be at either in-state public or private institutions (all schools eligible to participate in the BEOG program).

Occupational Education and Training Grant Program - A grant program designed to provide skilled workers for critical occupations by supplying in-state postsecondary occupational education aid to needy and talented resident students.

Law Enforcement Personnel Dependents Scholarship - A program which provides college grants to needy dependent children of law enforcement officers killed or permanently disabled in the line of duty. Students must be state residents at time of parent's death and attend in-state public or private four-year schools or public two-year schools.

Real Estate Scholarship Program - Through the California State University and Colleges, the Commission grants assistance to needy disadvantaged students pursuing real estate careers.

Graduate Fellowship Program - A scholastic grant program which assists needy graduate and professional resident students, emphasizing fields where there are manpower shortages.

Supervised Clinical Training Program - This program is designed to provide supervised clinical training to supervised clinical training to U.S. citizens who are residents of California, and who are graduates of foreign medical schools. This is not a student assistance program, but provides capitation grants to in-state four-year institutions.

CSUC EOP Grants -

Community College EOA Grants -

University of California Affirmative Action Grants -

California Education Assistance to Veterans and Dependents -

California Vocational Rehabilitation for Disabled Persons -

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Scholarship Program (California Grant A)	1970-71 1975-76	15,914 36,096	$ 816 $ 1,138	$13,586,764 $41,075,206	Resident Undergraduates
College Opportunity Grant Program	1970-71 1975-76	1,720 8,162	$ 869 $ 1,085	$ 1,493,457 $ 8,851,686	Minority/Low Income Students
Occupational Education and Training Grant Program	1973-74 1975-76	500 885	$ 870 $ 1,285	$ 526,983 $ 1,137,360	Students Seeking Occupational Training
Law Enforcement Personnel Dependents Scholarship	1971-72 1975-76	1 12	$ 211 $ 1,058	$ 211 $ 12,700	Dependents of Law Officers
Real Estate Scholarship Program	1974-75 1975-76	9 8	$ 111 $ 712	$ 1,000 $ 5,700	Disadvantaged Students Seeking Real Estate Careers
Graduate Fellowship Program	1970-71 1975-76	938 1,080	$ 933 $ 1,712	$ 875,295 $ 1,848,958	Graduate and Professional Students
Non Need-Based					
Medical Student Contract Program	1972-73 1975-76	24 Note 1	$10,206 Note 1	$ 244,952 Note 1	Medical Students
Supervised Clinical Training Program	1972-73 1975-76	26.6 23.3	$10,000 $10,000	$ 265,833 $ 232,500	Foreign Medical School Graduates
CSUC EOP Grants	INFORMATION NOT GIVEN				
Community College EOP Grants					
Univ. of Cal. Affirmative Action Grants					
Cal. Education Assistance to Veterans and Dependents					
Cal. Vocational Rehabilitation for Disabled Persons					

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[1] As a result of a legal opinion that state payments to medical schools under this program were unconstitutional, funds were reverted by the legislature.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Scholarship Program (California Grant A)	Residents attending In-State	Half-Time Full-Time	All Undergraduates	No[1]	$29,900	$100-CSUC $200-U.C. $550-Independent College
College Opportunity Grant Program	Residents attending In-State	Half-Time Full-Time	All Undergraduates	No[1]	$12,000	$250
Occupational Education and Training Grant Program	Residents attending In-State	Half-Time Full-Time	Freshmen Sophomores	No[1]	$29,900	$200
Law Enforcement Personnel Dependents Scholarship	Residents attending In-State[2]	Half-Time Full-Time	All	No	No	$200
Real Estate Scholarship Program	Residents attending In-State	Full-Time	All Undergraduates	Not Given	Note 3	Note 3
Graduate Fellowship Program	Residents attending In-State	Full-Time	Graduates	Not Given	$29,900	$150
Non Need-Based						
Medical Student Contract Program	Residents attending In-State	Full-Time	Graduates	Not Given	Not Applicable	Not Applicable
Supervised Clinical Training Program	Residents attending In-State	Full-Time	Graduates	Not Applicable	Not Applicable	Not Applicable
CSUC EOP Grants	INFORMATION NOT GIVEN					
Community College EOP Grants						
Univ. of Cal. Affirmative Action Grants						
Cal. Education Assistance to Veterans and Dependents						
Cal. Vocational Rehabilitation for Disabled Persons						

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[1] Except those designated for SSIG funds.

[2] At time of parent's death.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Scholarship Program (California Grant A)	Public Private	Private	No	Yes	Yes[1]
College Opportunity Grant Program	Public Private	Public Private	No	Yes	Yes[1]
Occupational Education and Training Grant Program	No	Public Private	Yes	Yes	Hospital-Based Nursing Schools
Law Enforcement Personnel Dependents Scholarship	Public Private	Public Private	No	No	No
Real Estate Scholarship Program	Public[2]	No	No	No	No
Graduate Fellowship Program	Public Private	No	No	No	Graduate Schools
Non Need-Based					
Medical Student Contract Program	Public Private	No	No	No	No
Supervised Clinical Training Program	Public Private	No	No	No	No
CSUC EOP Grants Community College EOP Grants Univ. of Cal. Affirmative Action Grants Cal. Educational Assistance to Veterans and Dependents Cal. Vocational Rehabilitation for Disabled Persons	INFORMATION NOT GIVEN				

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[1] All schools eligible to participate in the BEOG Program.

[2] CSUC.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Scholarship Program	Need = Student Budget - EFC	Yes	Consensus	Tuition/Fees
College Opportunity Grant Program	Need = Student Budget - EFC	Yes	Consensus	Tuition/Fees Room/Board Books Transportation General Student Costs
Occupational Education and Training Grant Program	Need = Student Budget - Total EPC	Yes	Consensus	Tuition/Fees Books Transportation General Student Costs
Law Enforcement Personnel Dependents Scholarship	Need = Student Budget - EFC	Yes	Consensus	Tuition/Fees Room/Board Books Transportation General Student Costs
Real Estate Scholarship Program	Note 1	Note 1	Note 1	Nothing Specific[1]
Graduate Fellowship Program	Need = Student Budget - EFC	No	Consensus	Tuition/Fees

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[1] Depends on individual institution.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Scholarship Program (California Grant A)	$2,700	Based on tuition + fees limited by financial need.	By G.P.A. and S.A.T.	State
College Opportunity Grant Program	$3,600	Based on tuition + fees limited by financial need.	Applications are scored by selection team and then ranked by total score.	State
Occupational Education and Training Grant Program	$2,500[1]	Based on tuition + fees limited by financial need.	Needy students are scored using occupationally-oriented criteria and then ranked by score.	State
Law Enforcement Personnel Dependents Scholarship	$1,500	Based on tuition + fees limited by financial need.	None used.	State
Real Estate Scholarship Program	$ 300	Based on tuition + fees limited by financial need.	Note 2	Institution
Graduate Fellowship Program	Up to Fall Tuition and Fees	Based on tuition + fees limited by financial need.	Based on appropriate Graduate Admissions test scores, grades, parent's income and education, and state manpower needs.	State
Non Need-Based				
Supervised Clinical Training Program	$10,000	Not Applicable	Not Applicable	Not Applicable
CSUC EOP Grants Community College EOP Grants U. of C. Affirmative Action Grants Cal. Ed. Assistance to Veterans and Dependents Cal. Voc. Rehabilitation for Disabled Persons	INFORMATION NOT GIVEN			

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[1] $2,000 for tuition; $500 for transportation, supplies, equipment.

[2] Depends on individual institution.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

CALIFORNIA F1

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

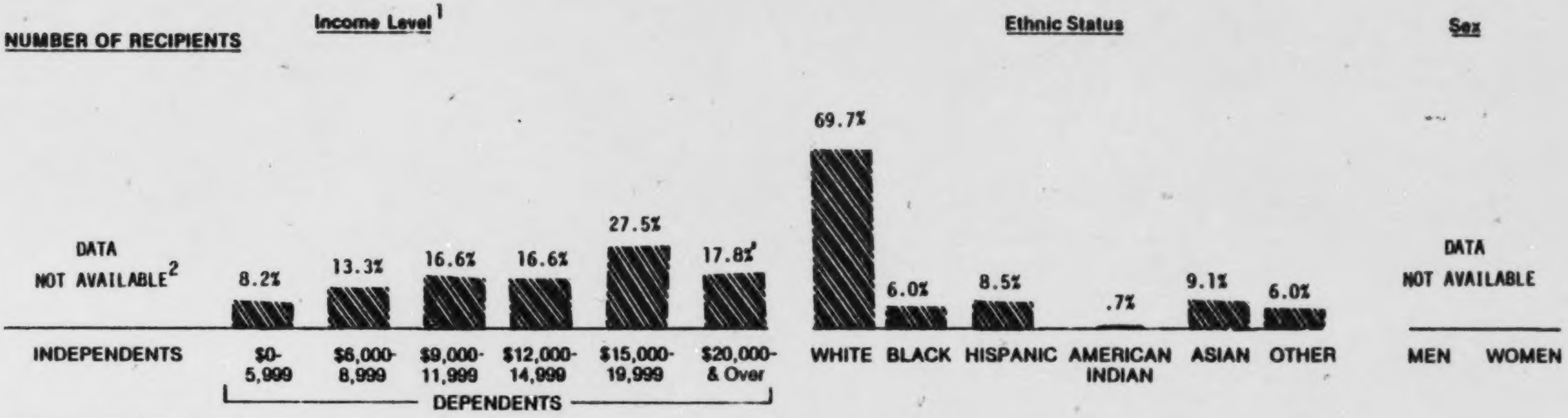
Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

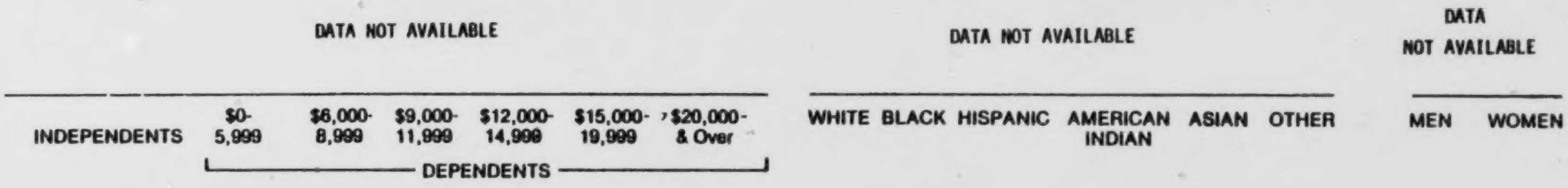
F2

CALIFORNIA

NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE



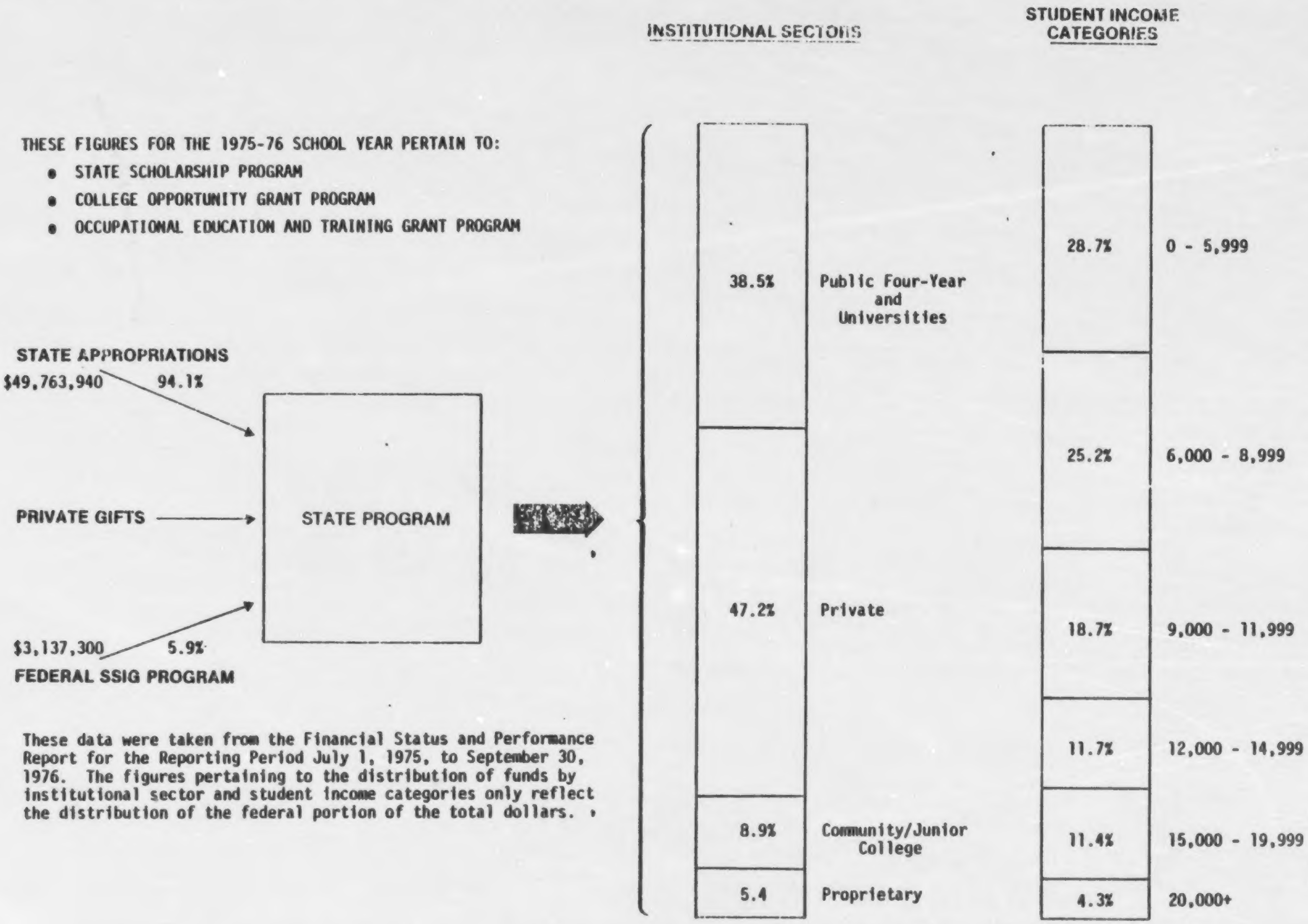
[1] The data on income level reflect the distribution of first-time recipients only.

[2] The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

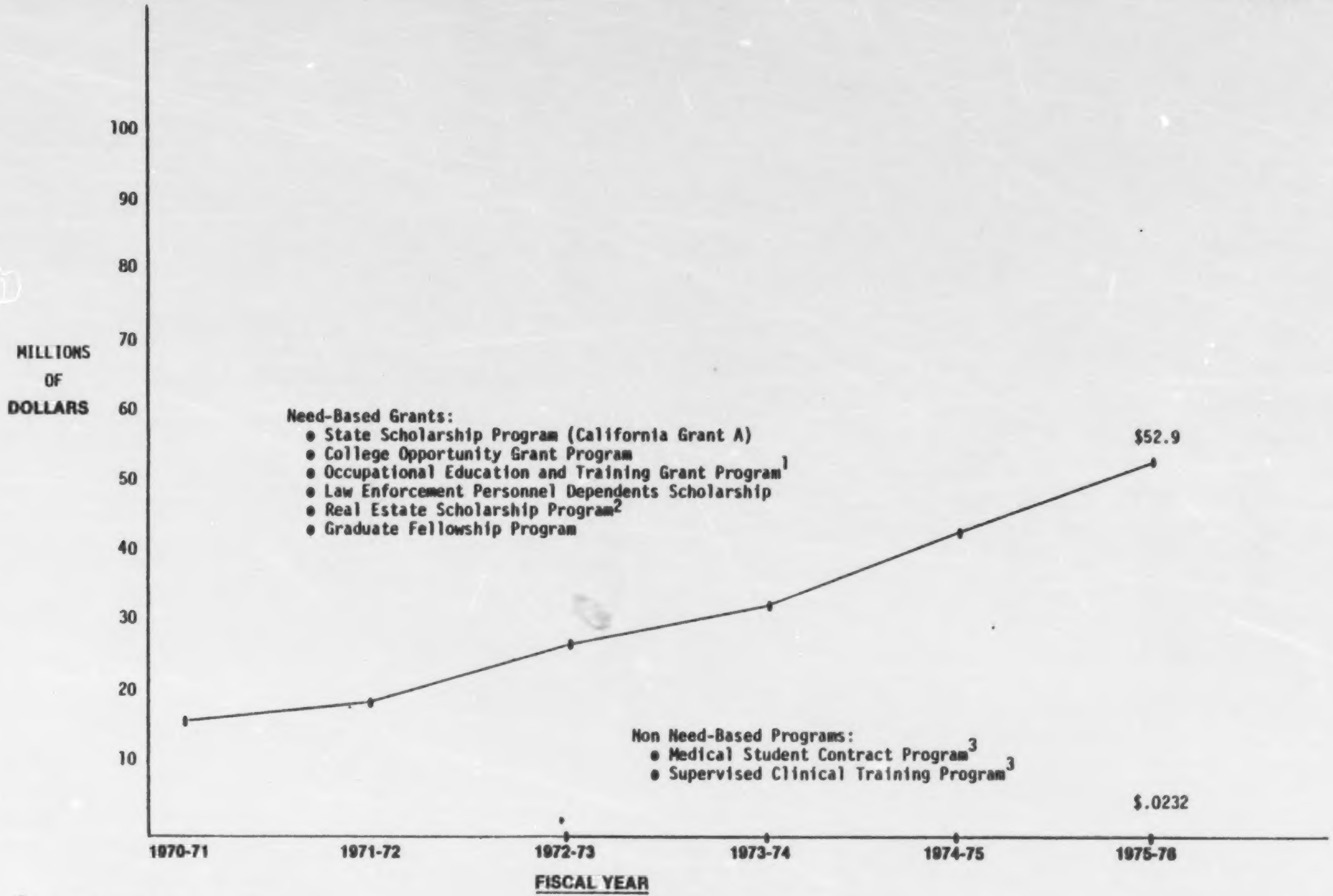
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM
- COLLEGE OPPORTUNITY GRANT PROGRAM
- OCCUPATIONAL EDUCATION AND TRAINING GRANT PROGRAM



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

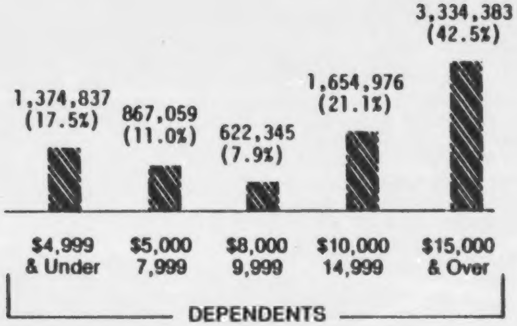
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1973-74 first year of program.
[2] 1974-75 first year of program.
[3] 1972-73 first year of program.

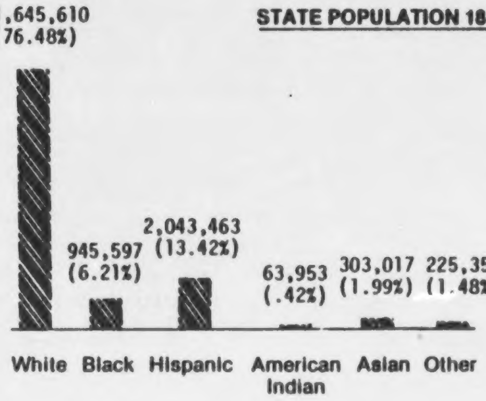
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

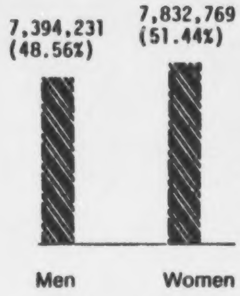


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

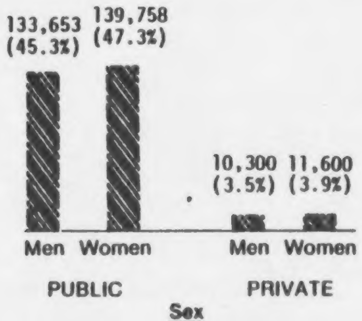


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 5,392 persons granted a high school equivalency certificate, nor 15,848 persons graduated from other than regular day school programs.

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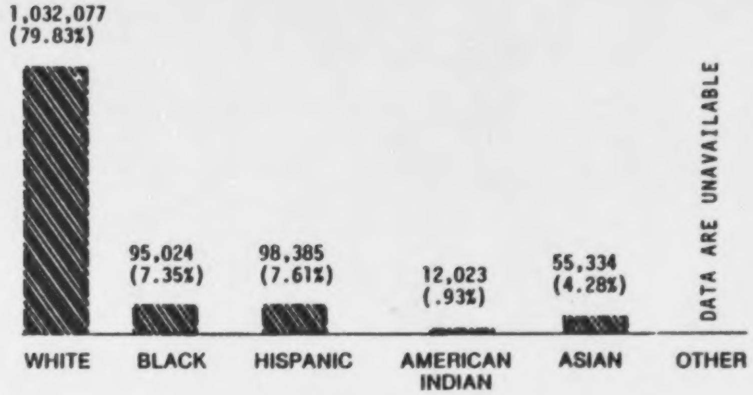
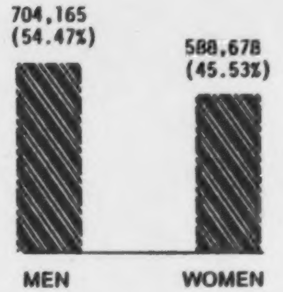
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

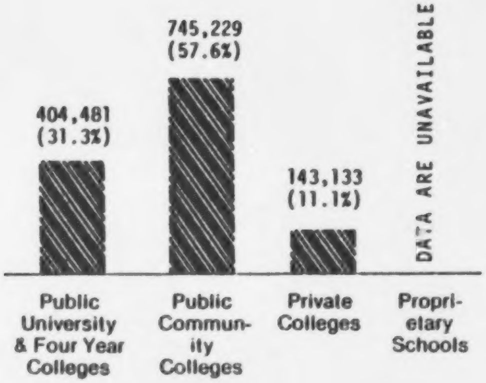
(Figures in Thousands)



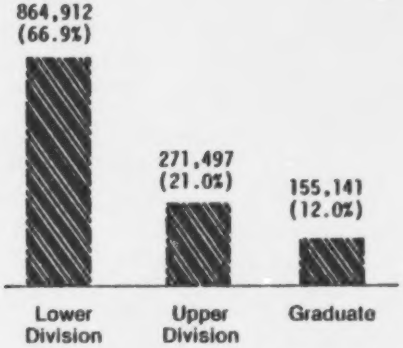
Ethnic Status



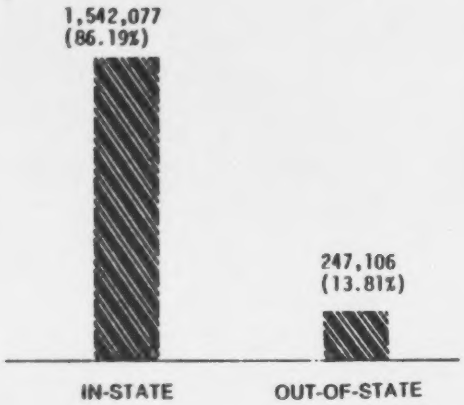
Sex



Institutional Sector

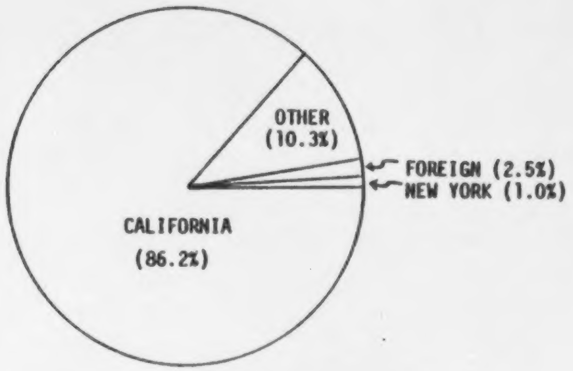


Student Level

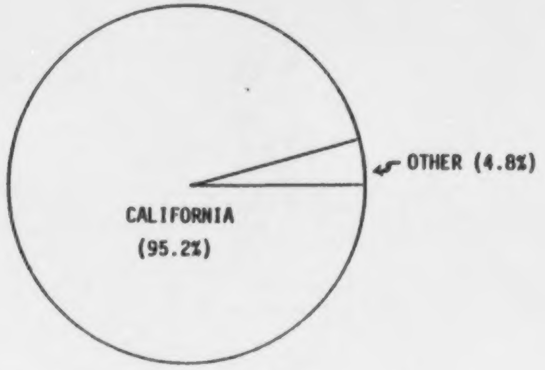


Residency

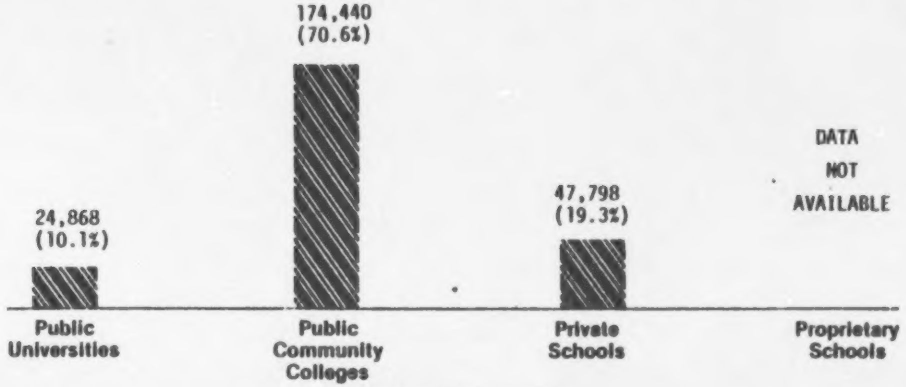
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



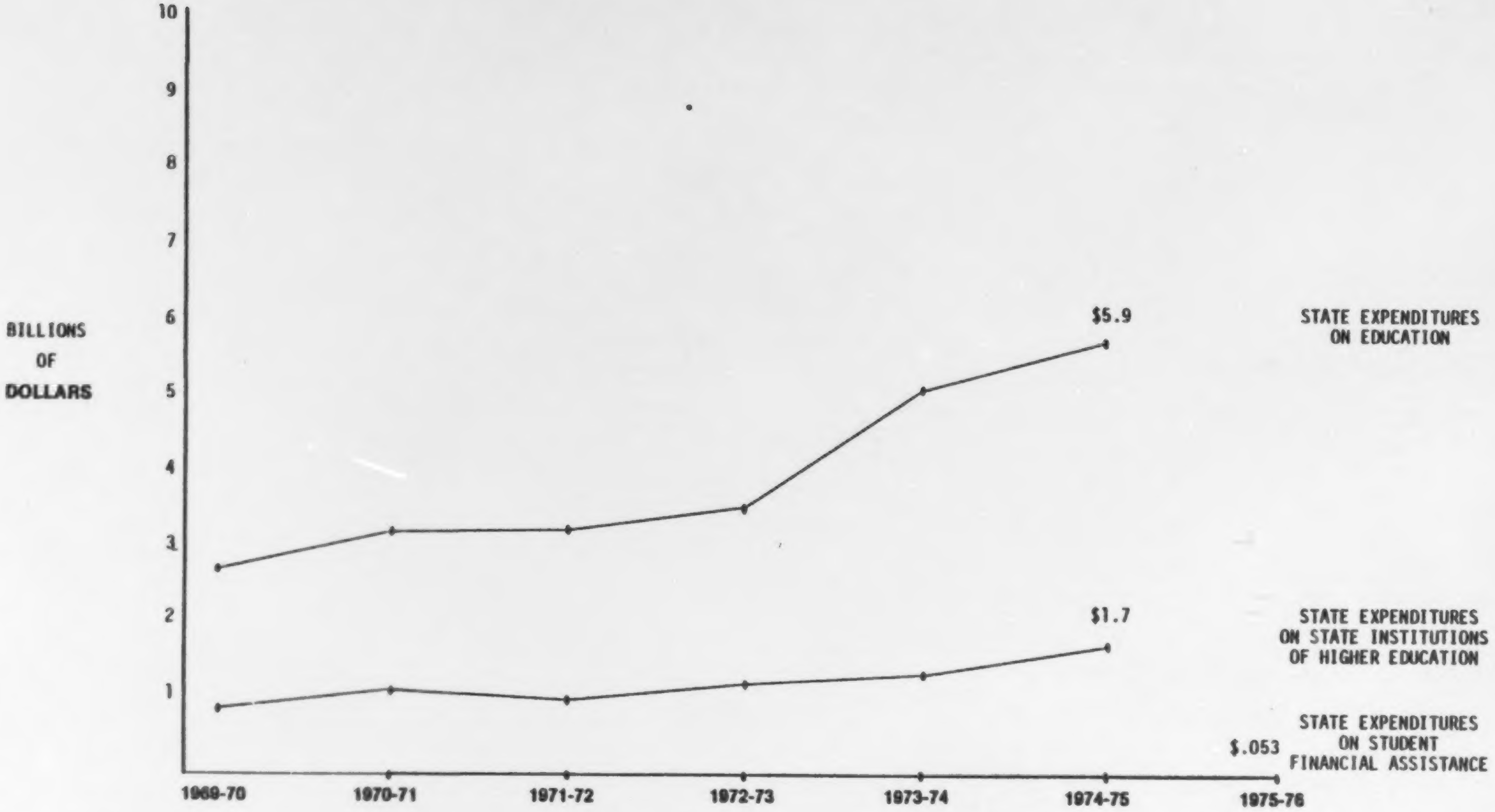
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

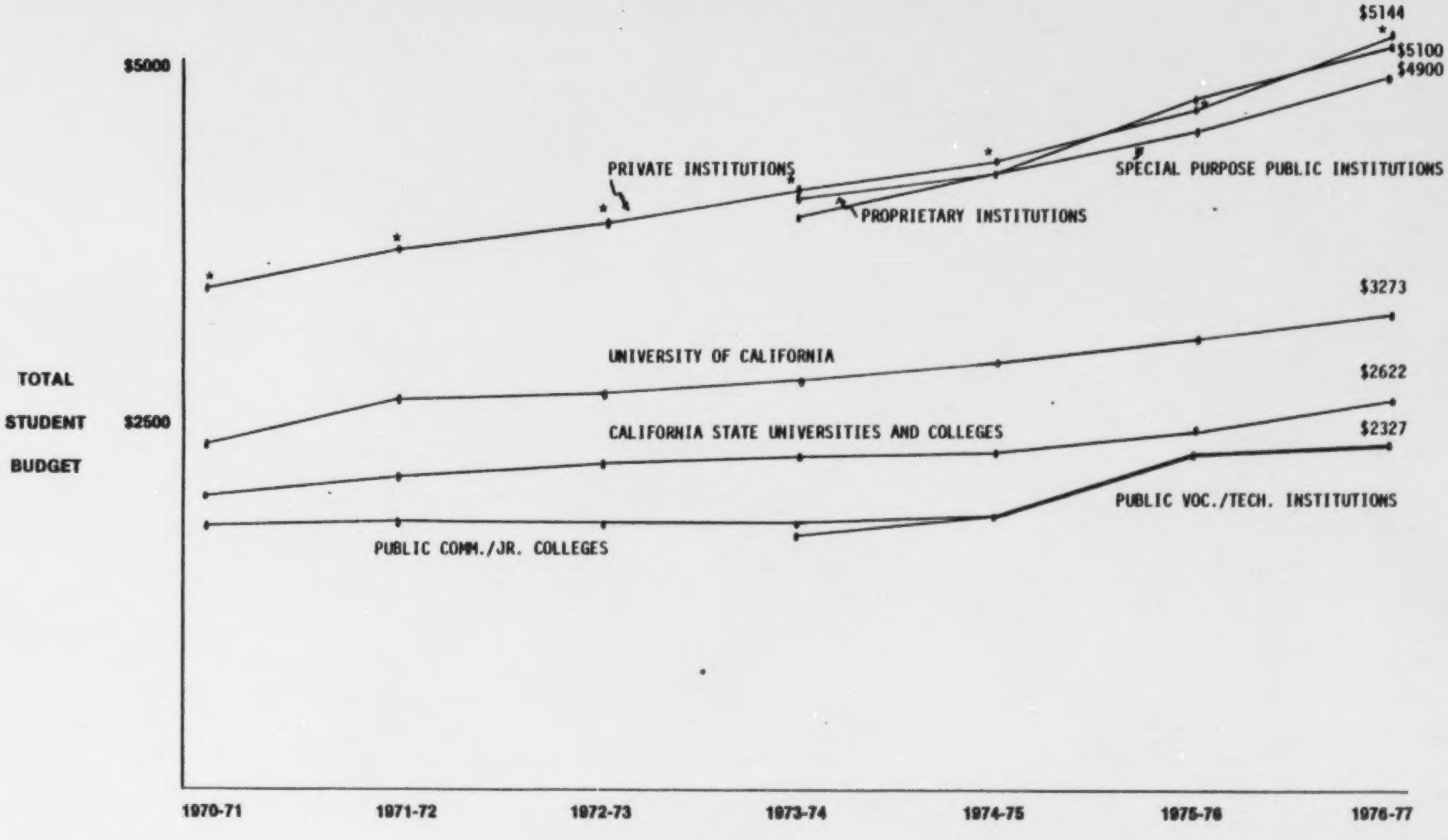
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM (CAL. GRANT A)
- COLLEGE OPPORTUNITY GRANT PROGRAM
- OCCUPATIONAL EDUCATION AND TRAINING GRANT PROGRAM
- LAW ENFORCEMENT PERSONNEL DEPENDENTS SCHOLARSHIP
- REAL ESTATE SCHOLARSHIP PROGRAM
- GRADUATE FELLOWSHIP PROGRAM
- MEDICAL STUDENT CONTRACT PROGRAM
- SUPERVISED CLINICAL TRAINING PROGRAM

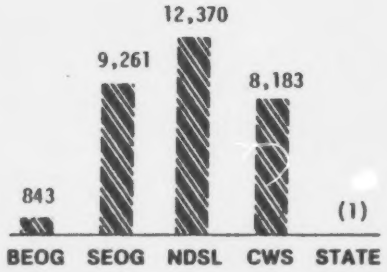
GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



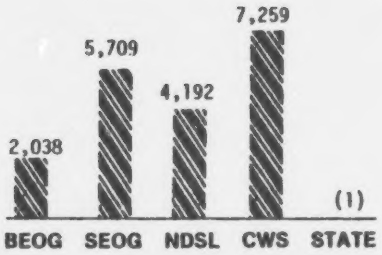
*Response is based on a reasonable estimation or approximation.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

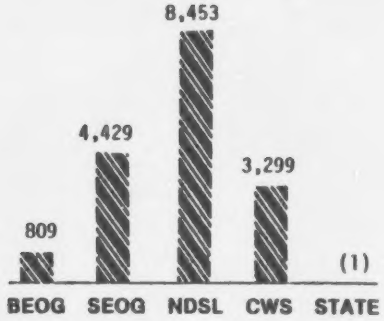
(Figures in thousands)



Public University and Four-Year Colleges



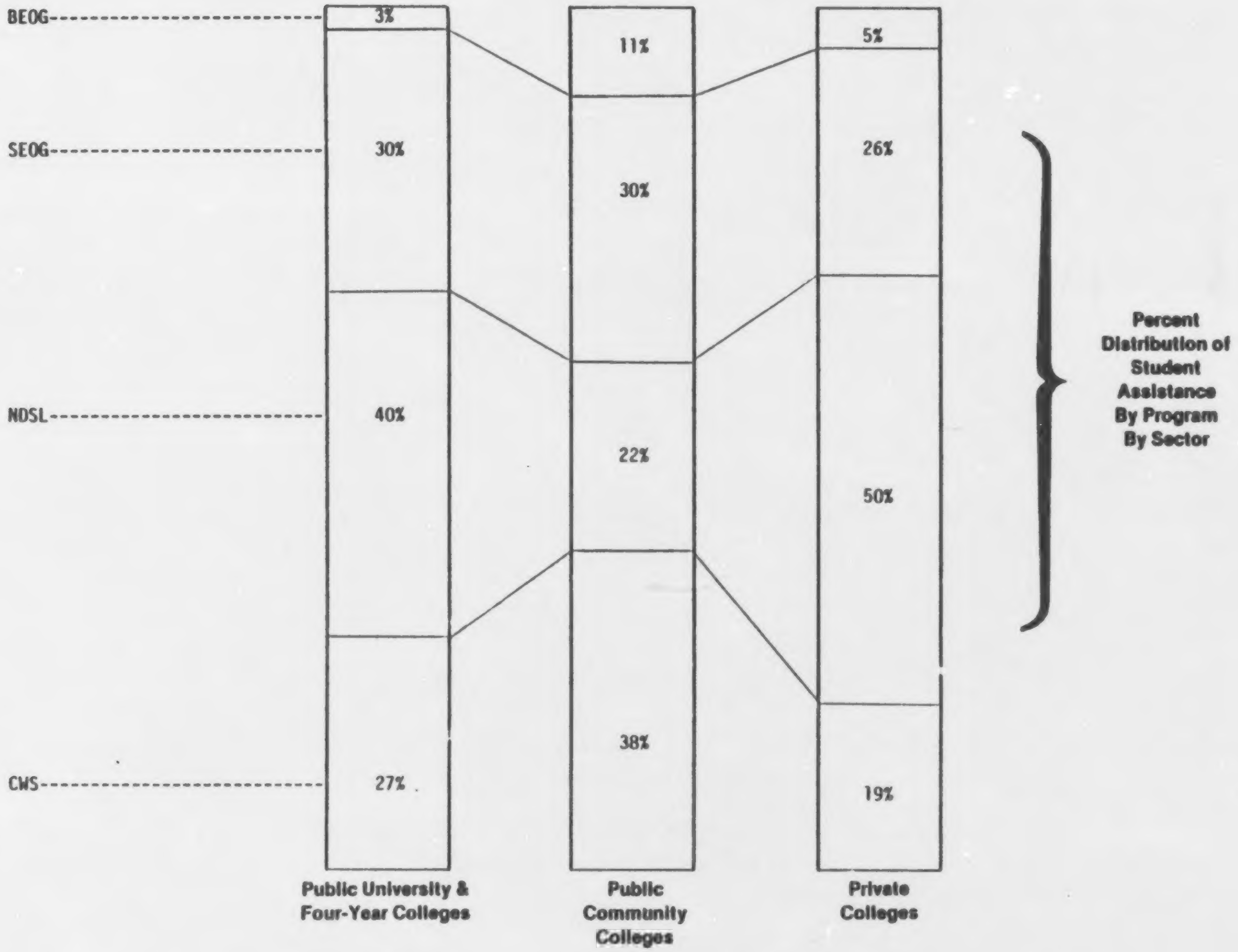
Public Community Colleges



Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



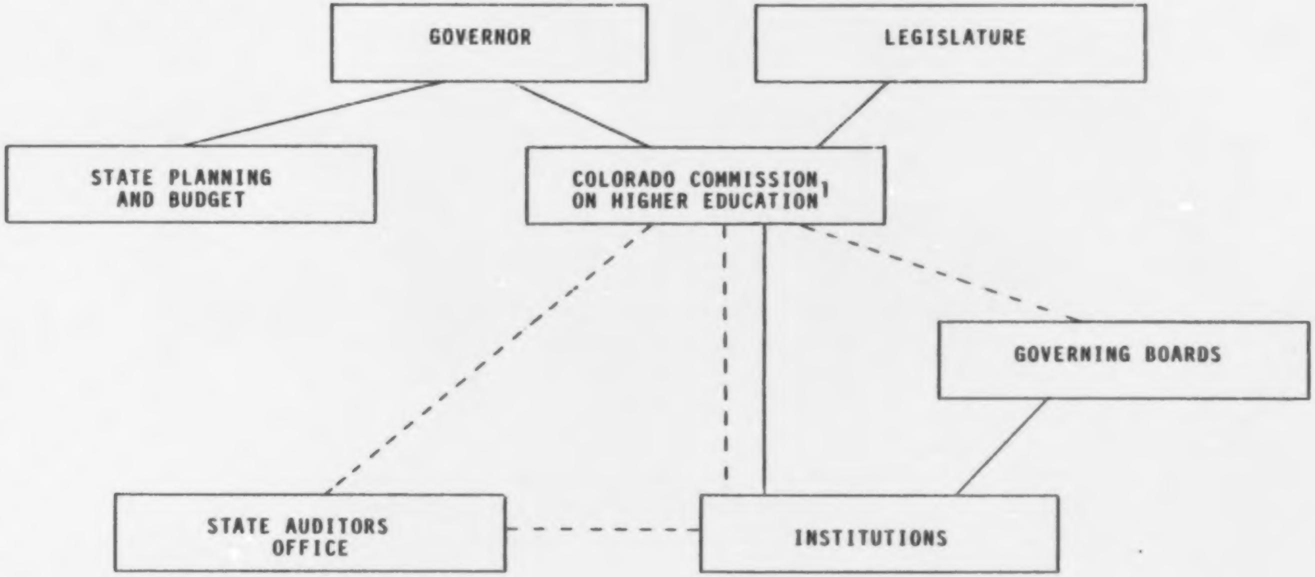
The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Colorado Commission on Higher Education has control relationship with institutions with regard to some aspects of student aid only, coordinative relationships in all other aspects.

1. Colorado Student Grants
2. Colorado Student Scholarships
3. Athletic Grants
4. Colorado Graduate Grants and Fellowships
5. Colorado Work Study Program
6. Matching Funds for Health Professions and Nursing Loans
7. Tuition Assistance for Dependents of Firemen and Law Enforcement Personnel Killed or Disabled on Duty
8. Matching Funds for National Defense Student Loans
9. Colorado Veterans Tuition Assistance
10. Dependents of POWs/MIA
11. Local District Junior College Grants (same as No. 1)
12. Local District Junior College Scholarships (same as No. 2)

RELATIONSHIPS OF STATE AGENCIES


GOVERNOR
LEGISLATURE
STATE PLANNING AND BUDGET
COLORADO COMMISSION ON HIGHER EDUCATION[1]
GOVERNING BOARDS
STATE AUDITORS OFFICE
INSTITUTIONS

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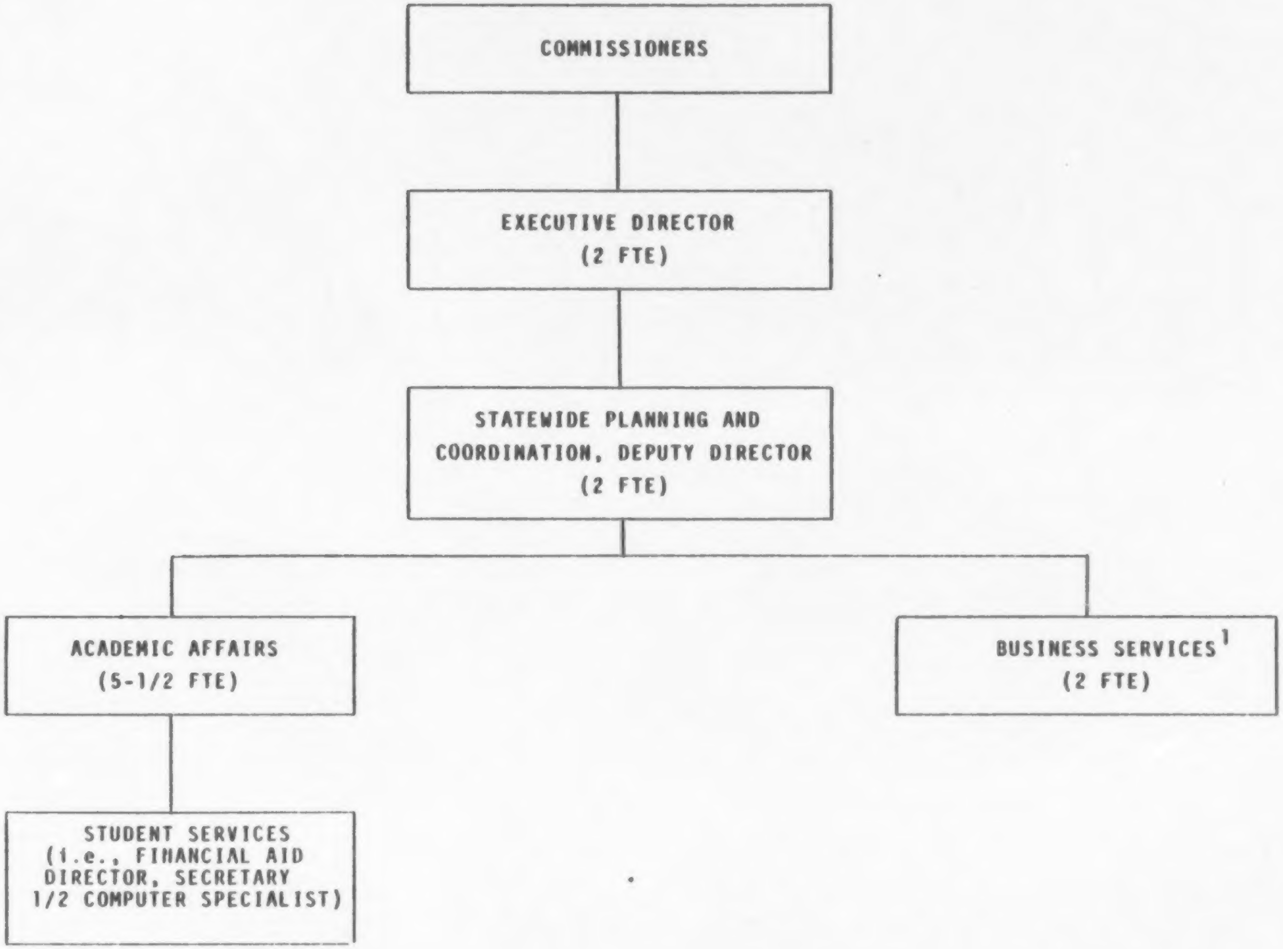
[1] Commission has control relationship with institutions with regard to some aspects of student aid only, coordinative relationships in all other aspects.

STATE AGENCY ORGANIZATION


COMMISSIONERS
EXECUTIVE DIRECTOR (2 FTE)
STATEWIDE PLANNING AND COORDINATION, DEPUTY DIRECTOR (2 FTE)
ACADEMIC AFFAIRS (5-1/2 FTE)
BUSINESS SERVICES[1] (2 FTE)
STUDENT SERVICES (i.e., FINANCIAL AID DIRECTOR, SECRETARY 1/2 COMPUTER SPECIALIST)

---

[1] Provides 1/2 FTE service on financial aid.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information		X[1]	
• Identification of Eligible Recipients		X	
• Determination of Award Size		X	
• Assignment of Priority to Awards		X	
• Formally Approves Award		X	
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications		X[2]	
Publicizes Award Availability		X	
Prepares Program Statistics	X		
Obtains Funds for Program	X		
Develops Program Guidelines	X		

---

[1] Adequate verification probably is not being done.

[2] Rarely done.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - As defined by institution.				
State Resident - Colorado defines in-state student for tuition purposes. In-state student means a student who has been domiciled in Colorado for one year or more immediately preceding registration at any institution of higher education in Colorado for any term or session for which domiciliary classification is claimed, but attendance at an institution of higher education, public or private, within the state of Colorado shall not alone be sufficient to qualify for domicile in Colorado.	Law	Yes		Yes
Independent Student - Not claimed in prior year; not aided more than $600; not living at home (federal definition).	Interpretation	Yes		Yes
Non-Profit Institution - Not yet defined.				
Satisfactory Academic Progress - Varies by institution. Usually refers to completion of some portion of hours attempted with some grade level.	Interpretation	Yes		Yes
United States Citizenship - Not defined. Our programs apply to all in-state students, regardless of citizenship. Certain visa classes are a bar to "intent to remain" and thus bar the student from in-state status.	Interpretation	Yes		Yes

COLORADO B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries (Information required for program review, report on state legislation)	Regulations Program Review Funding Levels	Adequate[1]
BEOG Office	None	None	Adequate[2]
Regional OE Student-Assistance Office (Campus-Based Programs)	Institutional Allocations	Same as is sent to institutions[3]	Marginal
Washington OE Office (Campus-Based Programs)	None		
FISL/GSL Office	None	Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Allocations Summary Information Program Guidelines Legislative Information	Reports on Expenditures	Adequate
Private Institutions	None	None	Inadequate[4]
Governor/Budget Office	Budget Request Statistics	Budget Recommendations	Adequate
State Legislature (Including Committee Staffs)	Budget Request Statistics	Appropriations	Adequate

[1] Suspect any inadequacy of information from federal state results from state not paying attention.
[2] BEOG information coordinated at institutions.
[3] Receive much of our information about federal programs from institutions.
[4] Will change if private institutions brought into state programs.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	1/2 FTE (Veterans Tuition Assistance Program only)	25 Institutional FTE[1]
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	1/2 FTE	10 Institutional FTE[1]
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	1/8 FTE	---
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	1/8 FTE	5 Institutional FTE[1]
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, allocation of funds, budget preparation, transfer of funds, accounting.)	1 FTE	State Auditor's Office (? FTE)
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	1/2 FTE	
(Serving on related committees.)	1/4 FTE	

---

[1] A portion of the financial aid staff of 33 institutions work on state programs and expend effort in all of these areas. A rough estimate would be 40 to 50 FTE participate in all of these functions. The figures shown in the preceding column as estimated breakdowns of that estimate.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

COLORADO B6

MONTH/YEAR		EVENTS
	AUGUST – 1975	Agency budget presented to governor's office or budget bureau
	NOVEMBER – 1975	Agency budget presented to legislature
1) Varies by institution, some accept continuously	ONGOING –	1) BEOG applications information received from federal office 2) Deadline for student-aid applications
	MAY – 1976	Legislature appropriates student assistance funds
	JUNE – 1976	Allocation of funds to institutions, institutions begin making awards to students.
Continuously July 1976-June 1977	JULY – 1976	Reallocation and transfer
1) Institutions do this October through January 2) Institutions do this October through March	OCTOBER – 1976	1) Institutions complete planning workshops for students or counselors 2) Institutions make student-aid applications available
	JUNE – 1977	Last date at which award changes and adjustments are made
	SEPTEMBER – 1977	Collection of data on awards

COLORADO B7

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, All institution match a sample	Institution	Student must resolve discrepancy to obtain aid. Aid terminates if student fails to enroll for sufficient hours.
2. Match Against BEOG Applications?	Yes, All	Institution	
3. Match Against Institutional Student Records?	Yes, All	Institution	
4. Warnings of Prosecution?	Yes, All	Institution	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes	Institution	
2. Check on Mid-Term Attendance?	Some	Institution	
3. Check on Course Completion?	Yes	Institution	
4. Check on Academic Progress Before Award?	Yes	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes	Institution	
2. Match Against BEOG, Campus-Based Award Files?	Yes	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes	Institution	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes	State	Has not been done since 1972. Reinstituted in January.
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?	Yes	State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

COLORADO C1

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors						
2. College Financial-Aid Officers						
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops						
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

Decentralized, but state has not specified content or mechanism.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Colorado Student Grants - Provides need-based grants to resident undergraduate students at in-state public institutions.

Colorado Student Scholarships - Provides grants to outstanding talented and scholastic resident students for attendance at in-state public institutions.

Athletic Grants - Provides grants to outstanding athletic students for attendance at in-state public institutions.

Colorado Graduate Grants and Fellowships - Provides grants on a semi-need-based basis, to non-resident and resident students in attendance at in-state public universities and four-year institutions.

Colorado Work Study Program - Provides work-study opportunities for state resident students at in-state public schools. Program is 70-75% need-based and 25-30% non-need-based.

Matching Funds for Health Professions and Nursing Loans - Provides one-ninth match loans required for federal health professions and nursing funds on a need basis. Loans awarded to resident and non-resident students attending in-state public institutions.

Tuition Assistance for Dependents of Fireman and Law Enforcement Personnel Killed or Disabled in Duty - Provides tuition-remission awards on a need-basis to law/fire officer dependents. Recipients must be resident students attending in-state public institutions.

NDSL Loan Funds - Provides the required one-ninth match loan for federal NDSL funds prior to 1976-77. In 1976-77, provides over-match loans to increase student loan funds. Loans are awarded to needy non-resident and resident students attending in-state public institutions.

Colorado Veterans Tuition Assistance - Provides financial-assistance grants to Vietnam-Era veterans. Recipients must be resident students at in-state public institutions.

Dependents of POWs/MIAs - Provides tuition assistance grants to dependents of Veterans/POWs/MIAs not eligible for federal benefits. Recipients must be resident students at in-state public institutions.

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend. This program was terminated in Colorado in 1975.

Local District Junior College Grants - Provides need-based grants to resident students attending in-state public community and junior colleges.

Local District Junior College Scholarships - Provides grants to outstanding scholastic or talented resident students attending in-state public community and junior colleges.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Colorado Student Grants	1971-72	Unavailable		$5,135,453	Resident Undergraduates
	1975-76	12,790[1]	$606	$7,762,819	
Colorado Graduate Grants[2]	1971-72	Unavailable		$ 912,000	Graduate students enrolled at in-state schools
	1975-76	1,500[3]	$676	$1,014,300	
Local District Junior College Grants	1972-73	Unavail.		$ 152,486[4]	Resident Freshmen and Sophomores
	1975-76	262[5]	$471	$ 123,345	
Loans					
Matching Funds[6] for Health Professions and Nursing Loans	1972-73			$ 38,297	Students enrolled at in-state schools
	1975-76			$ 31,721	
NDSL Loan Funds	1972-73			$ 402,223	Students enrolled at in-state schools
	1975-76			$ 376,542	
Work Study					
Colorado Work Study Program[7]	1970-71			$ 600,000	Resident Undergraduates
	1975-76			$1,439,515	
Other					
Tuition Assistance for Dependents of Fireman and Law Enforcement	1972-73	1		$ 600[8]	Dependents of Law/Fire Officers
	1975-76	5		$ 1,500[8]	
Non Need-Based					
Colorado Student Scholarships	1973-74	Unavail.	$238	$ 960,000	Resident Undergraduates
	1975-76	4,055[1]			
Athletic Grants[9]	1971-72	Unavail.		$ 822,800	Outstanding Athletes
	1975-76	1,900[3]	$508	$ 965,237	
Colorado Veterans Tuition Assistance	1974-75	7,836[5]	$186	$1,206,407	Veterans
	1975-76	9,000[5]	$192	$1,906,182	

CONTINUED

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Dependents of POWs/MIAs	No expenditures----------------------------				Dependents of Veterans, POWs, MIAs
Local District Junior College Scholarship	1973-74 1975-76	65 58	$300 $300	$ 19,500 $ 17,400	Resident Freshmen and Sophomores

[1] 95% accurate.

[2] This program will be divided into Colorado Graduate Grants and Colorado Graduate Fellowships for 1977-78. At present it is operating without guidelines. It is a semi need-based program.

[3] Response based on a reasonable estimation or approximation.

[4] Includes scholarships.

[5] Response not based on fact, but is reasonably certain.

[6] Program operates according to federal regulations.

[7] 75% need-based; 25% non need-based. If student works "on-campus," 100% salary comes from appropriation; off-campus agencies must provide 20% match.

[8] Response considered a "best guess."

[9] The Commission has not yet developed guidelines for use of funds in this program.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Colorado Student Grants	Residents - In-State	Part-Time[1] Half-Time[1] Full-Time	All Undergraduates	No	None	None
Colorado Graduate Grants	Residents & Non-Residents at In-State Schools	Not specified	Graduates	No	None	None
Local District Junior College Grants	Residents - In-State	Part-Time[1] Half-Time[1] Full-Time	Freshmen Sophomores	No	None	None
Loans						
Matching Funds for Health Professions and Nursing Loans	Residents & Non-Residents at In-State Schools	Half-Time Full-Time	All	No	None	None
NDSL Loan Funds	Residents & Non-Residents at In-State Schools	Half-Time Full-Time	All	No	None	None
Work-Study						
Colorado Work-Study Program	Residents - In-State	Half-Time Full-Time	All Undergraduates	No	None	None
Other						
Tuition Assistance for Dependents of Fireman and Law Enforcement	Residents - In-State	Part-Time Half-Time Full-Time	All	No	None	None

CONTINUED

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Non Need-Based						
Colorado Student Scholarships	Residents attending In-State	Part-Time Half-Time Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
Athletic Grants	Residents attending In-State	Not Specified	All Undergraduates	No	Not Applicable	Not Applicable
Colorado Veterans Tuition Assistance	Residents attending In-State	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Dependents of POWs/MIAs	Residents attending In-State		All	No	Not Applicable	Not Applicable
Local District Junior College Scholarships	Residents attending In-State	Part-Time Half-Time Full-Time	Freshmen Sophomores	No	Not Applicable	Not Applicable

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[1] Awards limited to tuition, fees, books.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Colorado Student Grants	Public	Public	No	No	No
Colorado Graduate Grants	Public	No	No	No	No
Local District Junior College Grants	No	Public	No	No	No
Loans					
Matching Funds for Health Professions and Nursing Loans	Public	Public	No	No	No
NDSL Loan Funds	Public	Public	No	No	No
Work-Study					
Colorado Work-Study Program	Public	Public	Public	No	No
Other					
Tuition Assistance for Dependents of Fireman and Law Enforcement	Public	Public	No	No	No
Non Need-Based					
Colorado Student Scholarships	Public	Public	No	No	No
Athletic Grants	Public	Public	No	No	No
Colorado Veterans Tuition Assistance	Public	Public	Public	No	No
Dependents of POWs/MIAs	Public	Public	No	No	No
Local District Junior College Scholarships	No	Public	No	No	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Colorado Student Grants	Need = Budget - Resources greater than "0"	Strongly Encouraged	Consensus	General Student Costs
Colorado Graduate Grants	Need = Budget - Resources greater than "0"	No	Consensus	General Student Costs
Local District Junior College Grants	Need = Budget - Resources greater than "0"	Strongly Encouraged	Consensus	General Student Costs
Loans				
Matching Funds for Health Professions and Nursing Loans	Need = Budget - Resources greater than "0"	No (Encouraged)	Consensus	General Student Costs
NDSL Loan Funds	Need = Budget - Resources greater than "0"	No (Encouraged)	Consensus	General Student Costs
Work-Study				
Colorado Work-Study Program	Need = Budget - Resources greater than "0"	No (Encouraged)	Consensus	General Student Costs
Other				
Tuition Assistance for Dependents of Fireman and Law Enforcement	Need = Budget - Resources greater than "0"	No (Encouraged)	Consensus	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

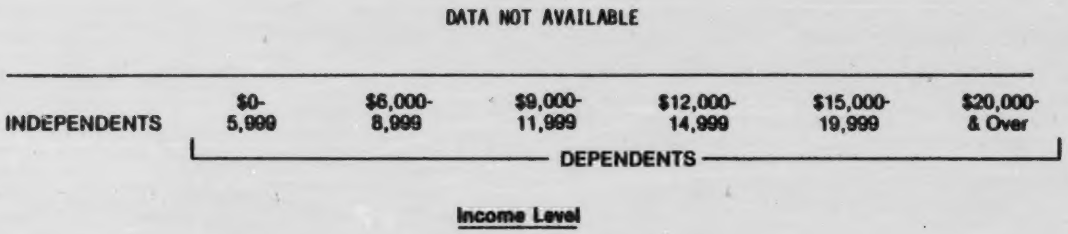
Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Colorado Student Grants	$1,000/year	According to institutional packaging philosophy and funds available	According to institutional philosophy (usually an amount to be "unmet" for each student is established, any student with need = or less than that does not receive aid).	Institution
Colorado Graduate Grants	None now; $3,000/year as of 1977-78	By institutional packaging philosophy	By need and merit	Institution
Local District Junior College Grants	$1,000	Need and institutional packaging philosophy	Institutional philosophy	Institution
Loans				
Matching Funds for Health Professions and Nursing Loans	$3,500	According to need and packaging philosophy of institution		Institution
NDSL Loan Funds				
Work-Study				
Colorado Work-Study Program	None	According to student need and amount student can reasonably be expected to earn	Institutional packaging philosophy	Institution
Other				
Tuition Assistance for Dependents of Fireman and Law Enforcement	Tuition and Fees	According to student need and amount of tuition owed		Institution
Non Need-Based				
Colorado Student Scholarships	$ 300	Institutional	Varies among institutions; some measure of merit	Institution

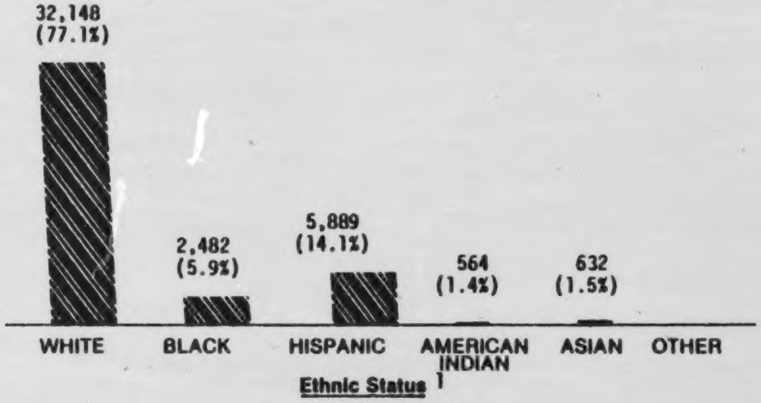
CONTINUED

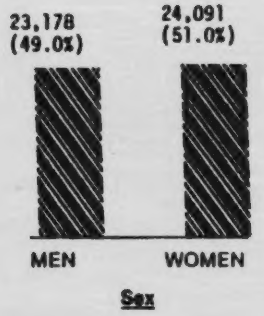
AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Athletic Grants				
Dependents of POWs/MIAs	Tuition and Fees	Tuition and fees due		Institution
Local District Junior College Scholarship	$ 300	Institutional policy	Merit	Institution

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS





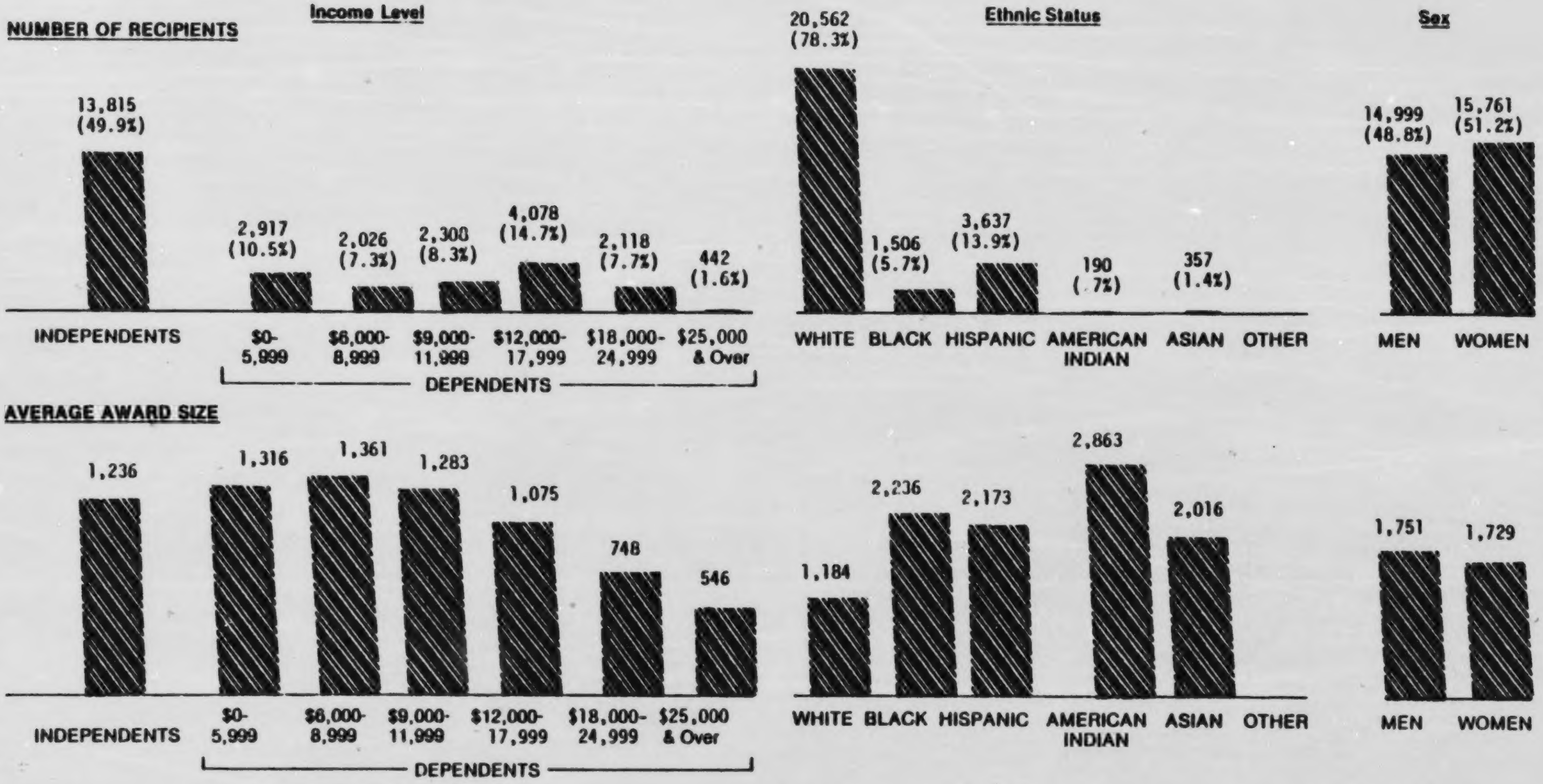


[1] There were 5554 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above. These figures include applications to all sources of student aid (BEOG, SEOG, SSIG, Colorado Student Grants); all recipients, state residents and non-residents, attend in-state schools.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

COLORADO

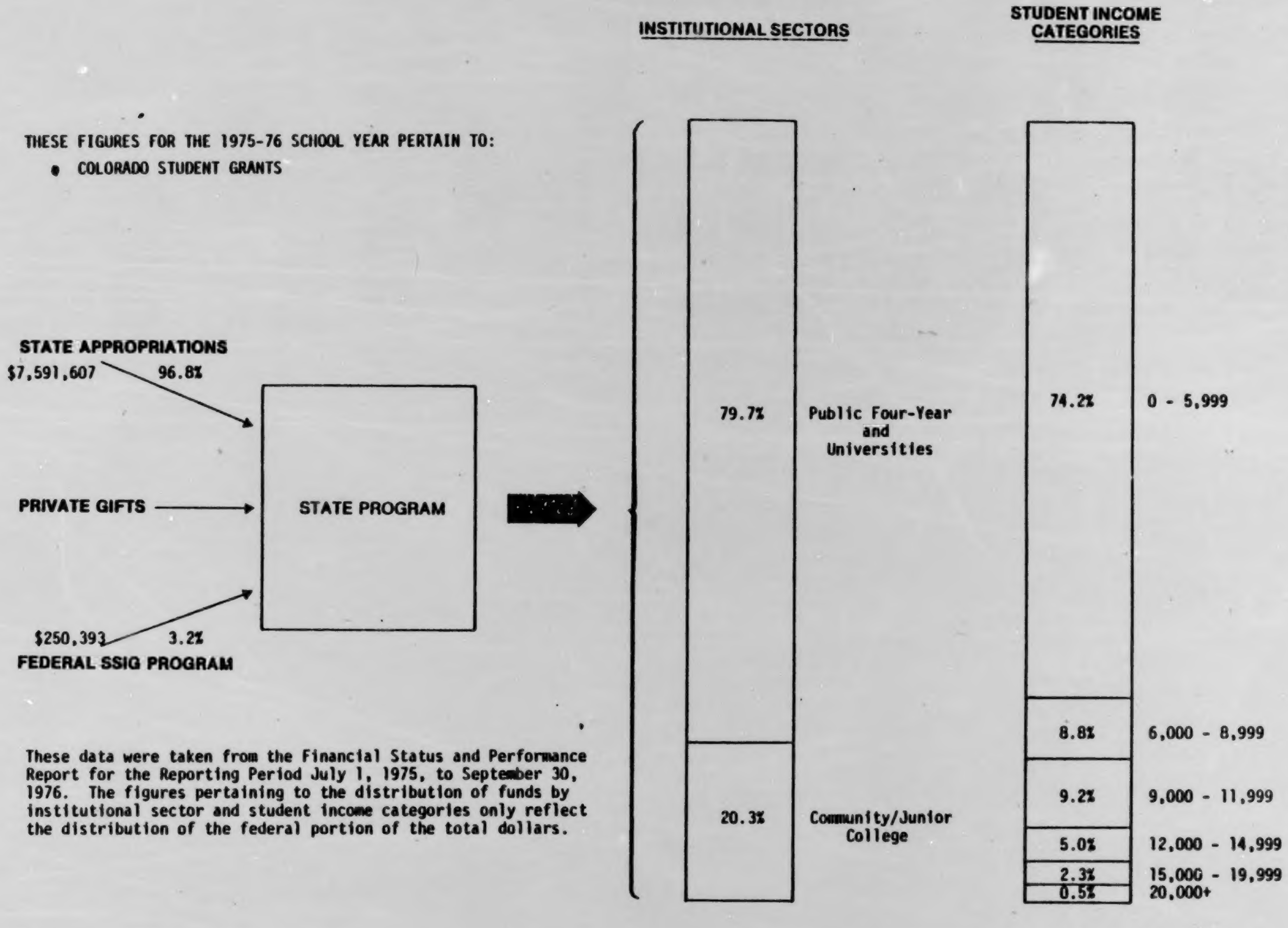


NOTES:
1) These figures include awards made from all sources (BEOG, SEOG, SSIG, Colorado Student Grants); all recipients, state residents and non-residents, attend in-state schools.
2) There were 846 recipients whose income level was not reported. These are not included in the income level distributions shown above.
3) There were 4,508 applicants whose ethnic status was not reported (1,780,299 awarded).
4) There is a discrepancy in the totals by category (Income Level, Ethnic Status and Sex). However, these were the numbers given in the NASSGP Survey.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

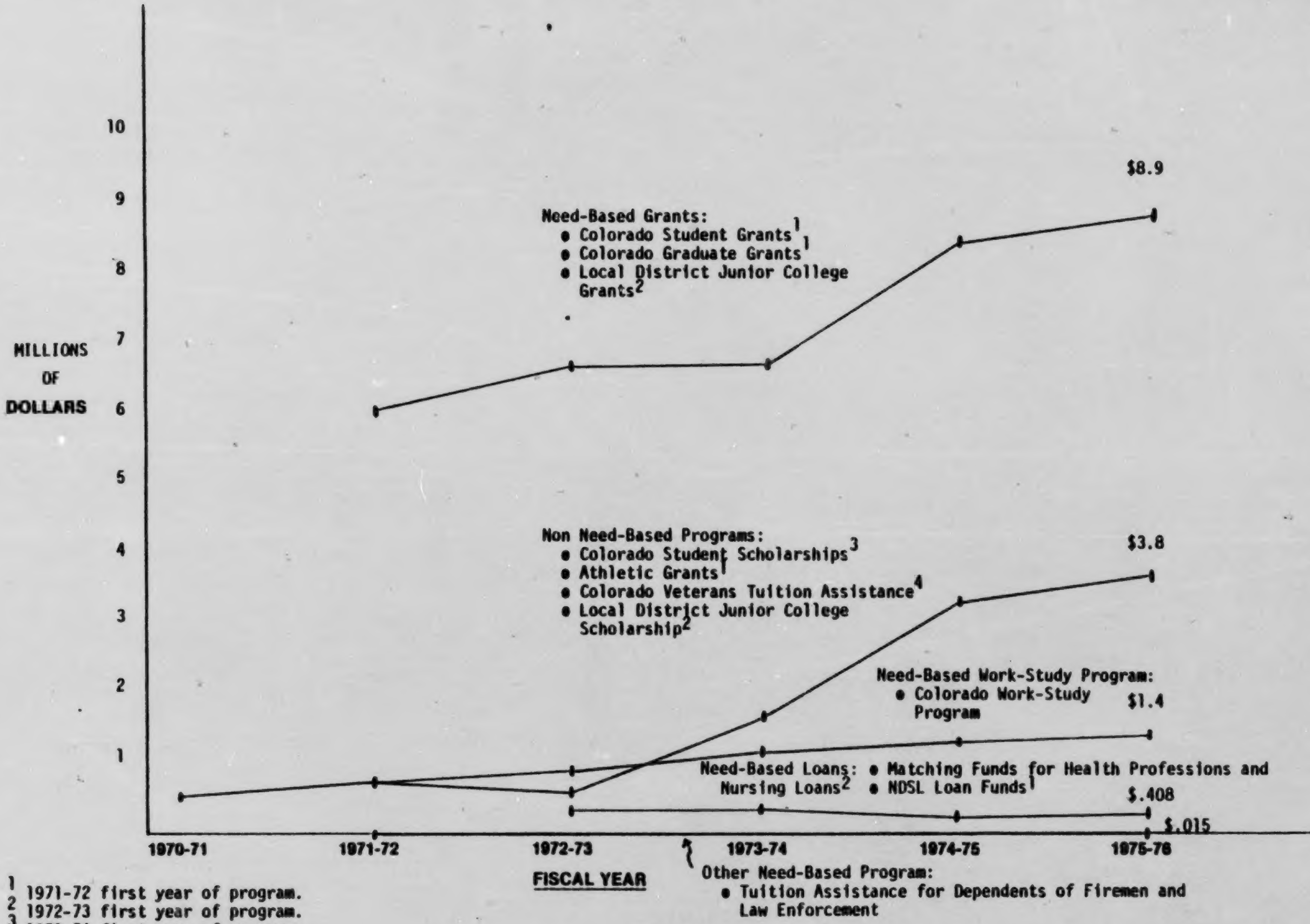
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- COLORADO STUDENT GRANTS



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

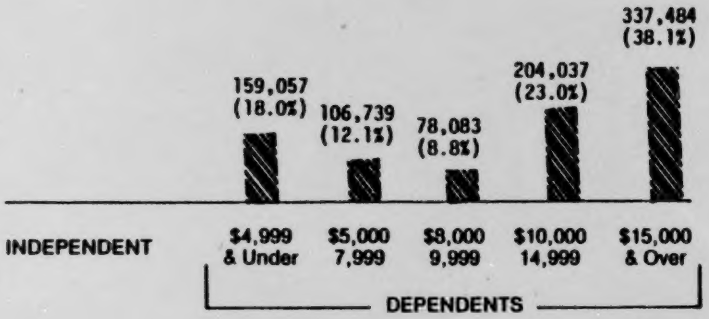
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1971-72 first year of program.
[2] 1972-73 first year of program.
[3] 1973-74 first year of program.
[4] 1974-75 first year of program.

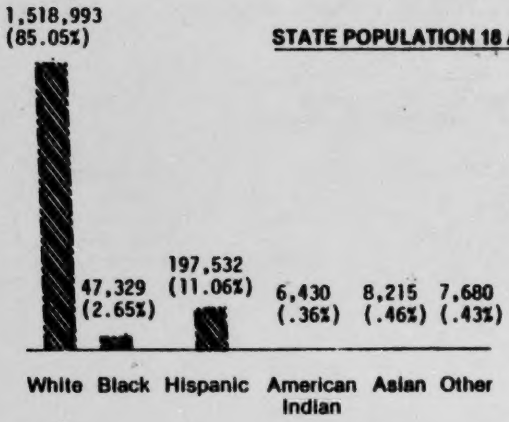
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

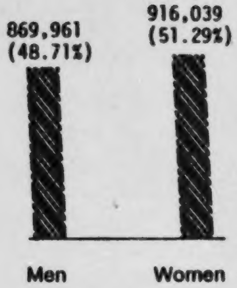


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

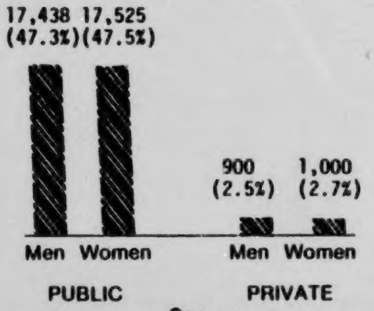


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 5,081 persons granted a high school equivalency certificate.

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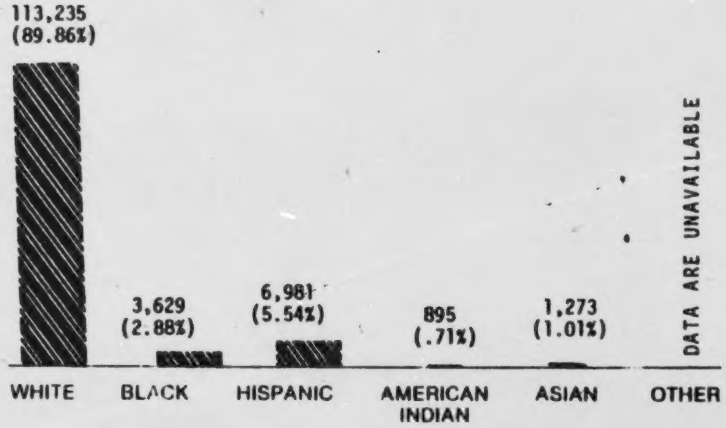
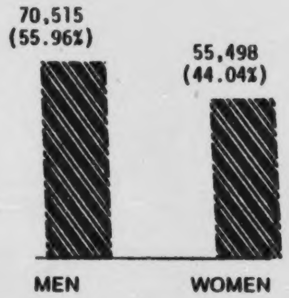
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

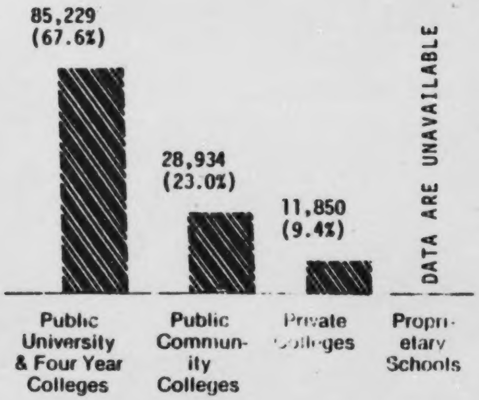
COLORADO H2



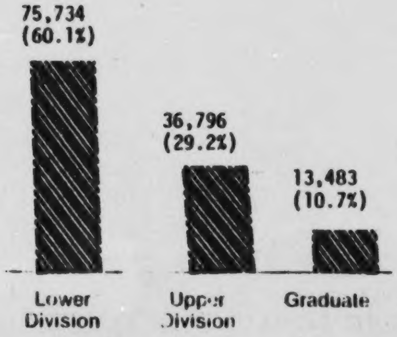
Ethnic Status



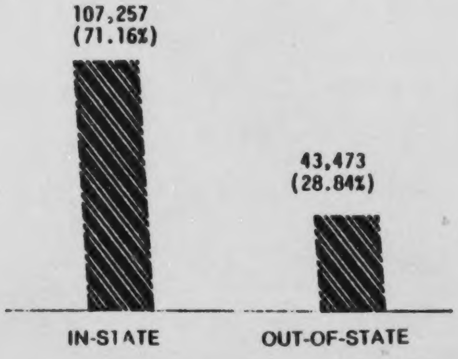
Sex



Institutional Sector

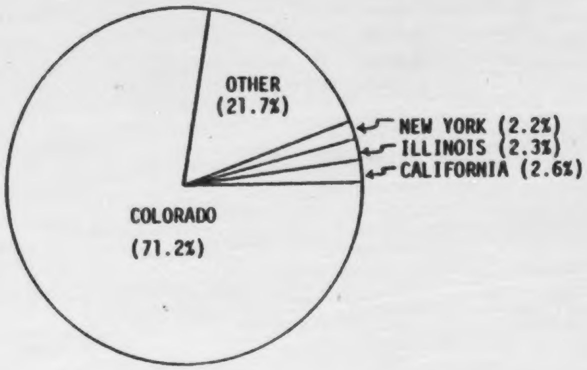


Student Level

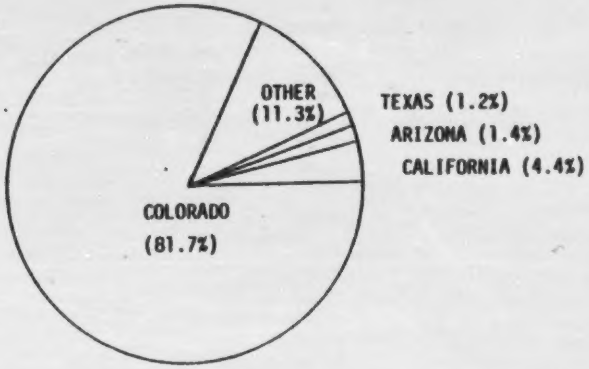


Residency

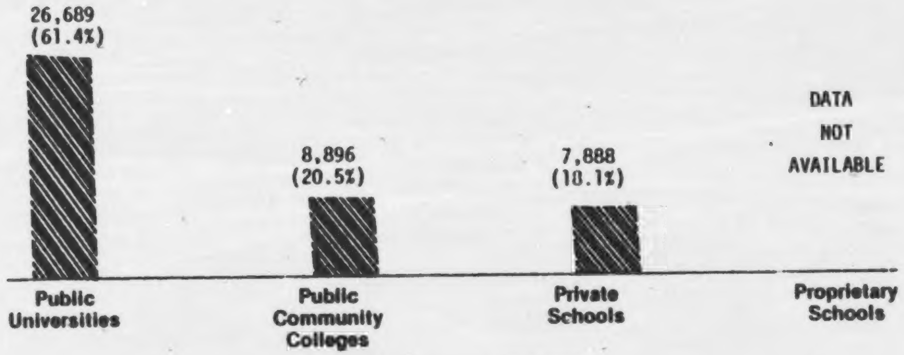
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



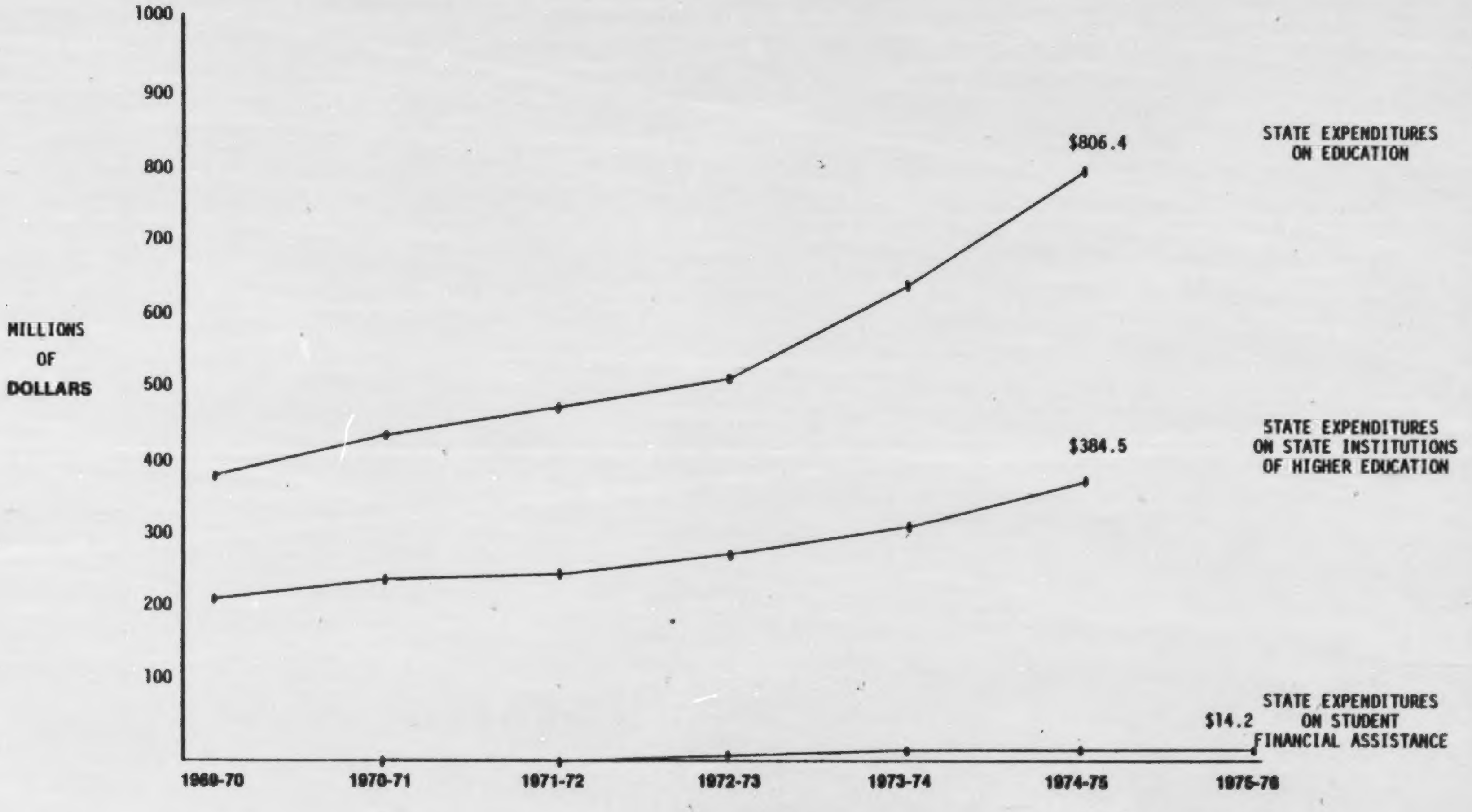
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

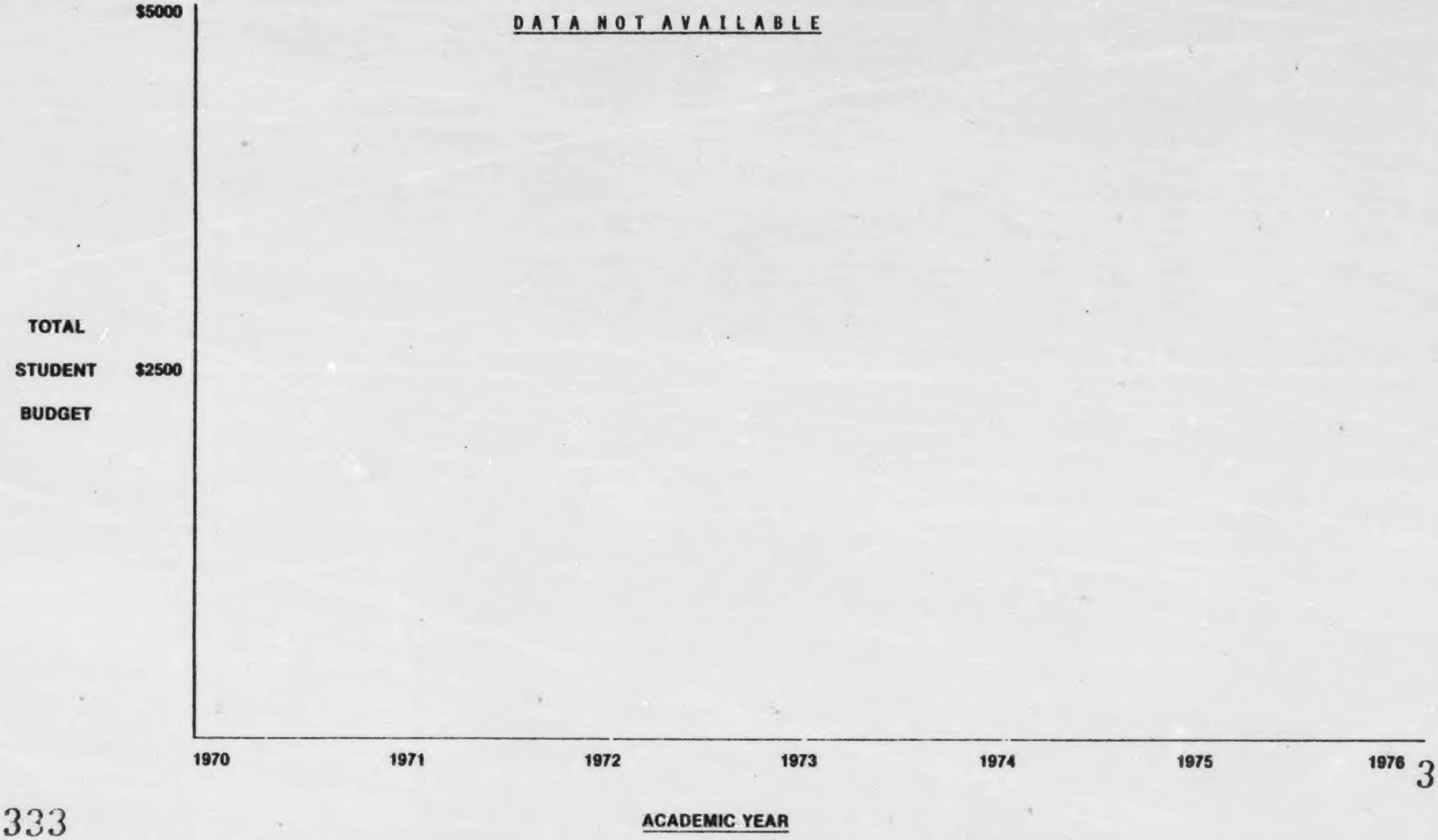
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

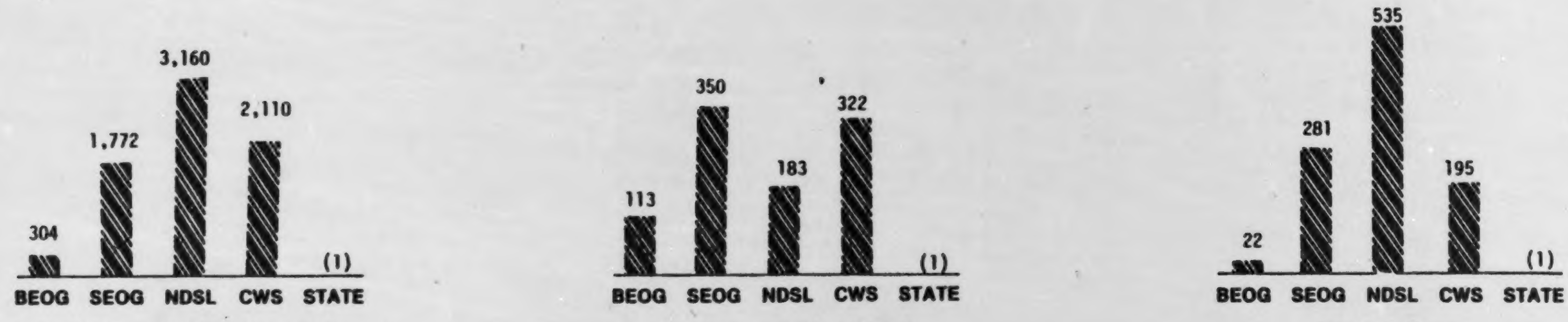
- COLORADO STUDENT GRANTS
- COLORADO GRADUATE GRANTS
- LOCAL DISTRICT JUNIOR COLLEGE GRANTS
- COLORADO WORK-STUDY PROGRAM
- TUITION ASSISTANCE FOR DEPENDENTS OF FIREMAN AND LAW ENFORCEMENT
- COLORADO STUDENT SCHOLARSHIPS
- ATHLETIC GRANTS
- COLORADO VETERANS TUITION ASSISTANCE
- LOCAL DISTRICT JUNIOR COLLEGE SCHOLARSHIP

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



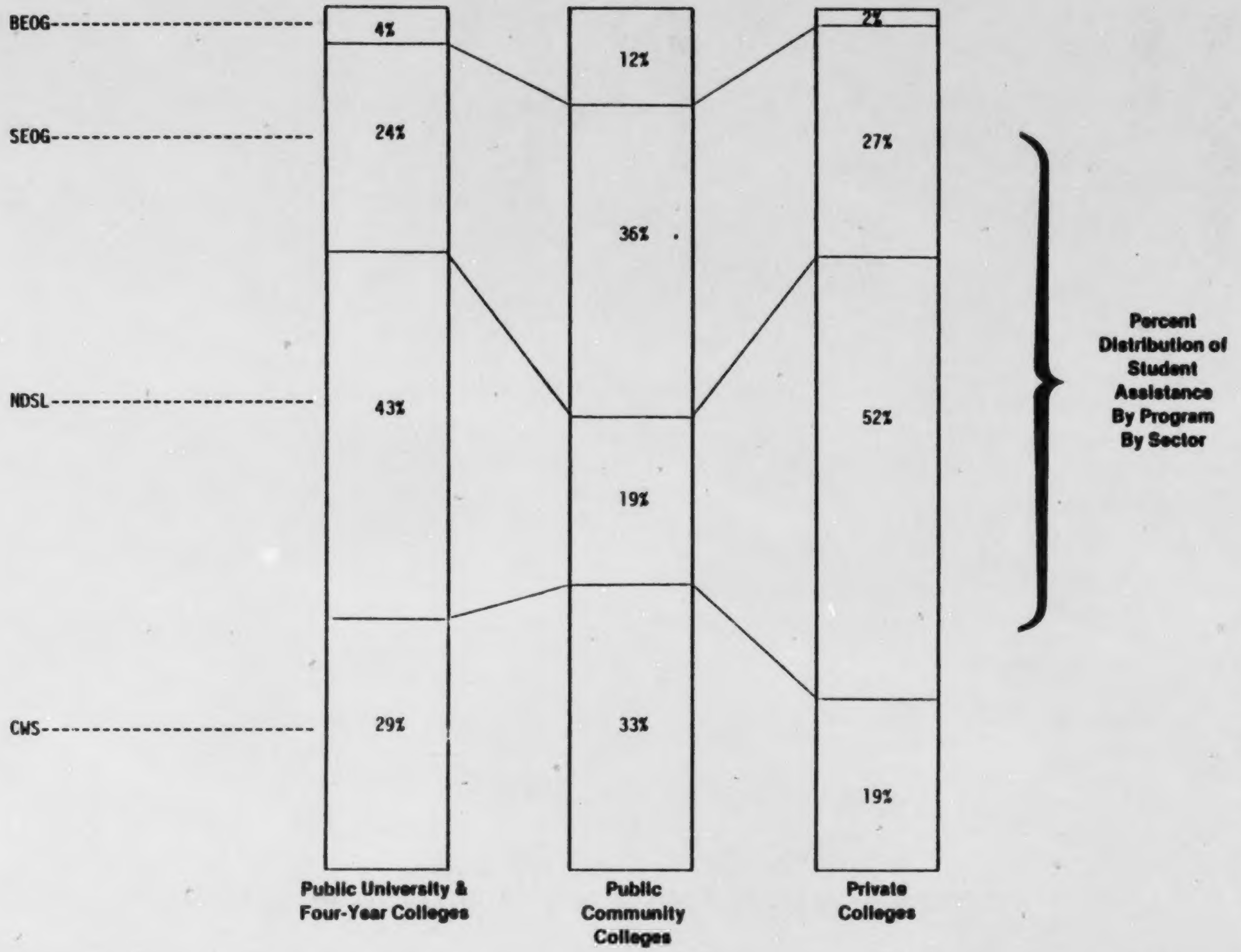
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Connecticut State Scholarship Commission

1. State Scholarship Program
2. Higher Education Grant Program
3. Higher Education Loan Program
4. State Supplemental Grant Program
5. Contracted Students with Independent Colleges
6. State Work Study Program
7. Awards to Children of Deceased/Disabled Veterans

RELATIONSHIPS OF STATE AGENCIES

DATA ARE UNAVAILABLE

STATE AGENCY ORGANIZATION

DATA ARE UNAVAILABLE

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X	X	
• Assignment of Priority to Awards	X	X	
• Formally Approves Award	X	X	
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications			
Publicizes Award Availability	X	X	

COMMENTS: Although Connecticut programs of student financial assistance have been decentralized in the past, administrative and operational services for major programs previously under contract with out-of-state agencies are now in the process of being transferred to in-state capability.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	

DATA ARE UNAVAILABLE

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office			
BEOG Office			
Regional OE Student-Assistance Office (Campus-Based Programs)	DATA ARE UNAVAILABLE		
Washington OE Office (Campus-Based Programs)			
FISL/GSL Office			
STATE/INSTITUTIONAL OFFICES			
Public Institutions			
Private Institutions			
Governor/Budget Office			
State Legislature (Including Committee Staffs)			

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

CONNECTICUT B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	DATA ARE UNAVAILABLE	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR **EVENTS**

SEPTEMBER - 1975
1) Agency budget presented to governors office or budget bureau
2) Agency budget presented to legislature
3) Agency makes student-aid applications available

DECEMBER - 1975 Deadline for student-aid applications

ONGOING - Agency completes planning workshops for students or counselors

Agency responds to individual inquiries/requests to participate in parent/student nights and other activities

FEBRUARY-AUGUST - 1976 Agency (or institutions) announces award winners

February for new awards; August for renewal awards

APRIL-AUGUST - 1976 Last date at which award changes and adjustments are made

April for new awards; August for renewal awards

JUNE - 1976 Legislature appropriates student assistance funds

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	DATA ARE UNAVAILABLE		
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

CONNECTICUT C1

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors						
2. College Financial-Aid Officers						
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops						
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DATA ARE UNAVAILABLE

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Scholarship Program - A need-based grant program for scholastically eligible resident students attending in-state and out-of-state institutions.

Higher Education Grant Program - A need-based grant program for scholastically eligible resident students attending in-state and out-of-state institutions. This program is in the process of being phased out.

Higher Education Loan Program -

State Supplemental Grant Program - A need-based grant program for resident students attending in-state public and private institutions.

Contracted Students with Independent Colleges -

State Work-Study Program - A work-study program for needy and scholastically eligible resident students attending public and private institutions.

Awards to Children of Deceased/Disabled Veterans - Awards $400 flat grants to children of deceased/disabled/MIA veterans. Students may be residents or non-residents, attending public or private schools.

State Scholarship Program - Up to 10% of State Scholarship Program appropriation annually may be used for graduate awards. This is a need-based scholastic grant program for resident students attending four-year schools.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Scholarship Program	1970-71 1975-76	2,035 2,396	$652 $730	$1,327,650 $1,748,716	All Resident Students
Higher Education Grant Program	1974-75 1975-76	793 939	$335 $330	$ 266,750 $ 310,200	Resident Undergraduates
State Scholarship Program (Graduate Awards)	1970-71 1975-76	118 235	$762 $776	$ 89,925 $ 182,400	All Resident Graduate Students
Work-Study					
State Work-Study Program	1972-73 1975-76	457 1,250	$225 $223	$ 103,075 $ 278,600	Resident Students
Non Need-Based					
Awards to Children of Deceased/Disabled Vets	1970-71 1975-76	85 146	$200 $400	$ 16,063 $ 56,600	Dependents of Veterans/POW/MIA
Contracted Students with Independent College	INFORMATION NOT GIVEN				
Connecticut Higher Education Loan Program	INFORMATION NOT GIVEN				
Prospective Nurses Program	INFORMATION NOT GIVEN				

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Scholarship Program	Residents attending In/Out State	Full-Time	All	Yes	Not Applicable	Not Applicable
Higher Education Grant Program	Residents attending In/Out State	Full-Time	All Undergraduates	Yes	Not Given	Not Given
Higher Education Loan Program	Information Not Given					
State Supplemental Grant Program	Residents attending In-State	Part-Time Half-Time Full-Time	All Undergraduates	Yes	Not Given	Not Given
State Scholarship Program (Graduate Awards)	Residents attending In/Out State	Full-Time	Graduates	Yes	Not Given	Not Given
Work-Study						
State Work-Study Program	Residents attending In-State	Half-Time Full-Time	All	No	Not Given	Not Given
Non Need-Based						
Awards to Children of Deceased/Disabled Veterans	Residents attending In/Out of State and Non-Residents	Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Contracted Students with Independent College	INFORMATION NOT GIVEN					
Connecticut Higher Education Loan Program	INFORMATION NOT GIVEN					
Prospective Nurses Program	INFORMATION NOT GIVEN					

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Scholarship Program	Public Private	Public	No	Yes	No
Higher Education Grant Program	Public Private	Public	No	Yes	No
Higher Education Loan Program	Information Not Given				
State Supplemental Grant Program	Public Private	Public	No	Yes	No
State Scholarship Program (Graduate Awards)	Public Private	No	No	No	No
Work-Study					
State Work-Study Program	Public Private	Public	No	No	No
Non Need-Based					
Awards to Children of Deceased/Disabled Veterans	Public Private	Public	Public	Yes	No
Contracted Students with Independent Colleges	INFORMATION NOT GIVEN				
Connecticut Higher Education Loan Program					
Prospective Nurses Program					

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Scholarship Program	As determined by CSS using the Parents' Confidential Statement	No	Parents' Confidential Statement	Tuition/Fees Room/Board Books Transportation General Student Costs
Higher Education Grant Program	As determined by CSS using the Parents' Confidential Statement	Yes	BEOG, Parents' Confidential Statement	Tuition/Fees Room/Board Books Transportation General Student Costs
Higher Education Loan Program	Information Not Given			
State Supplemental Grant Program	As defined by the in-state institutions	No	Not Given	Tuition/Fees Room/Board Books Transportation General Student Costs
State Scholarship Program (Graduate Awards)	As determined by CSS using appropriate financial aid forms	No	Not Given	Tuition/Fees Room/Board Books Transportation General Student Costs
Work-Study				
State Work-Study Program	As defined by the in-state institutions	No	Not Applicable	Tuition/Fees Room/Board Books Transportation General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Scholarship Program	$1,000	Size of awards is related to need.	Renewals have first priority.	State
Higher Education Grant Program	$1,000	Flat-grant awards of $300 each were made during 1975-76.	Renewals have first priority.	State
Higher Education Loan Program	Information Not Given			
State Supplemental Grant Program	$1,000	By the institution as related to student needs.	Priority given to renewals.	Institution
State Scholarship Program (Graduate Awards)	$1,000	Need Related	Renewals have priority. Maximum award period is three years.	State
Work-Study				
State Work-Study Program	$1,000	By Statutes	Not Applicable	Institution
Non Need-Based				
Awards to Children of Deceased/ Disabled Veterans	$ 400	By Statutes	Not Applicable	State
Contracted Students with Independent Colleges		INFORMATION NOT GIVEN		
Connecticut Higher Education Loan Program				
Prospective Nurses Program				

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA
NOT APPLICABLE

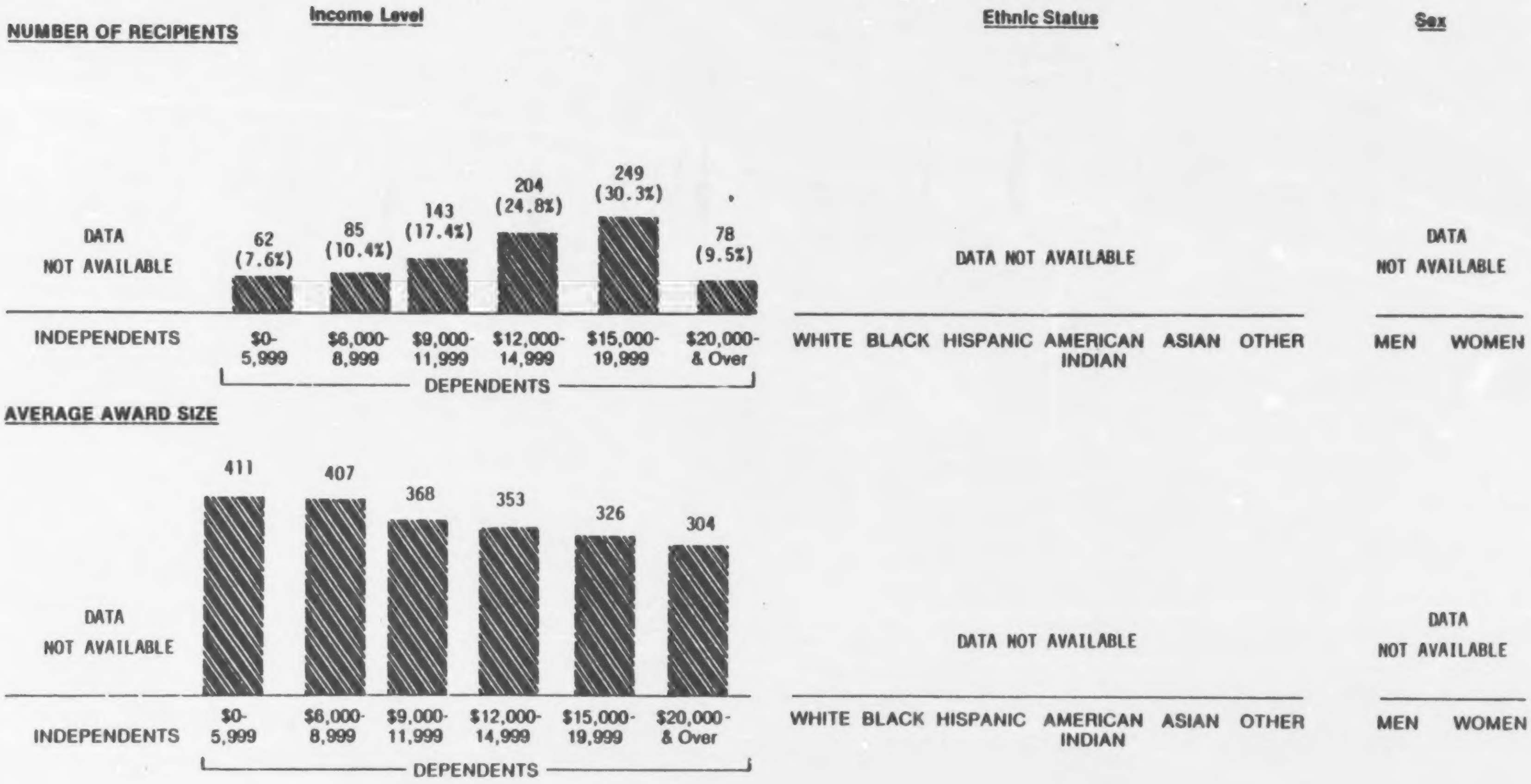
MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

CONNECTICUT

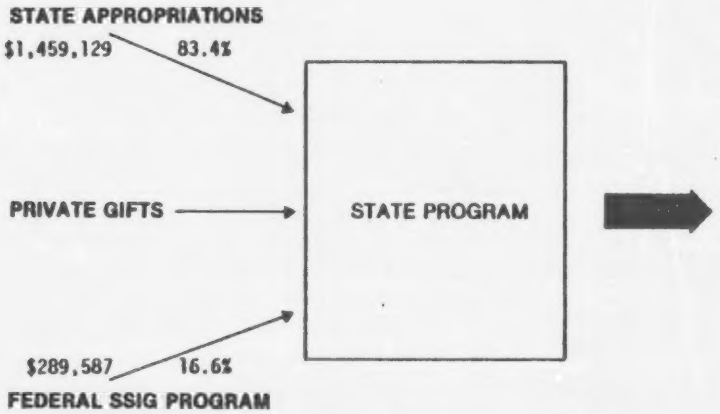


These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependent and independent students.

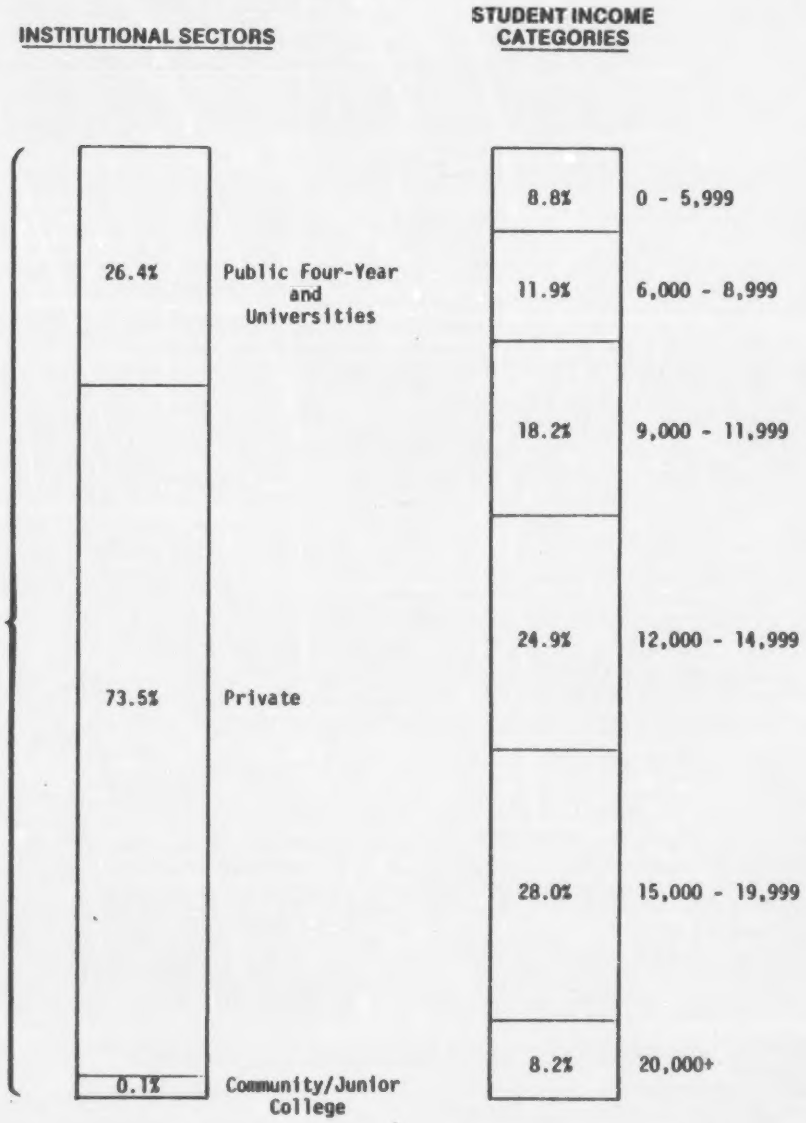
SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

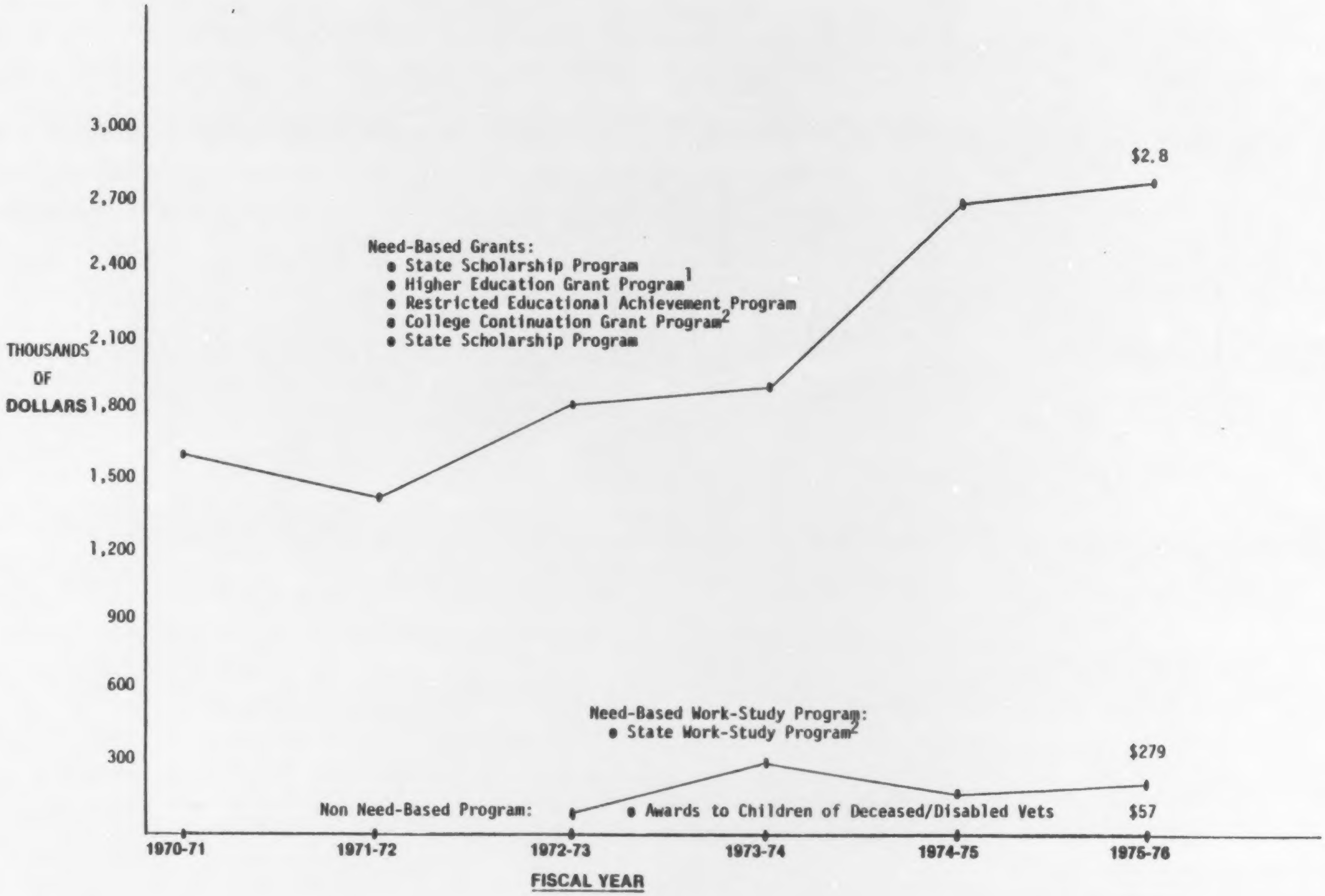
- STATE SCHOLARSHIP PROGRAM


STATE APPROPRIATIONS
$1,459,129
83.4%
PRIVATE GIFTS
STATE PROGRAM
$289,587
16.6%
FEDERAL SSIG PROGRAM

These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.



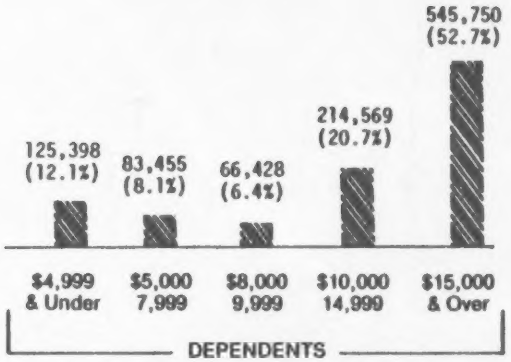
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1974-75 first year of program.
[2] 1972-73 first year of program.

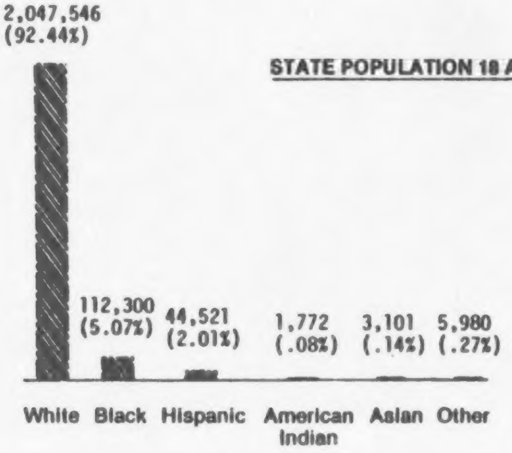
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

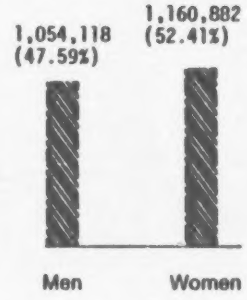


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

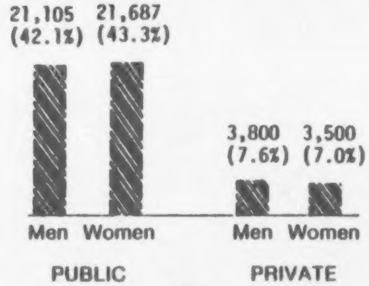


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 6,282 persons granted a high school equivalency certificate, nor 4,457 persons graduated from other than regular day school programs.

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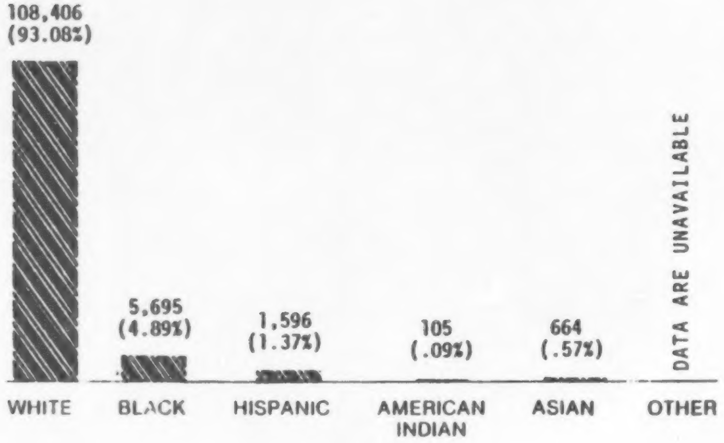
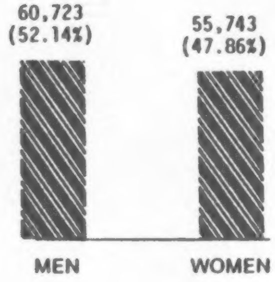
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

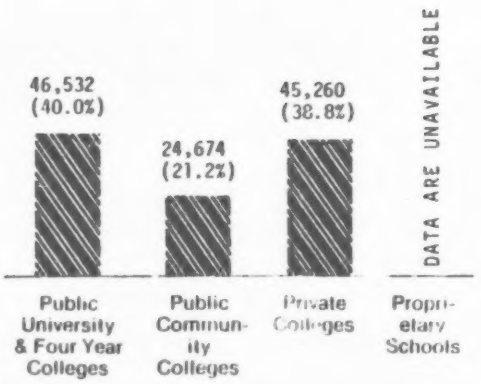
CONNECTICUT H2



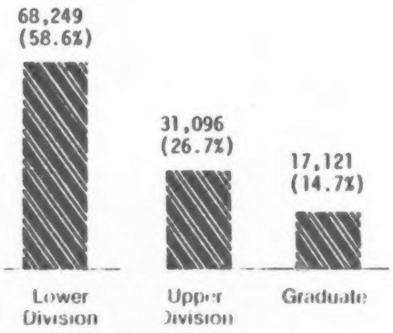
Ethnic Status



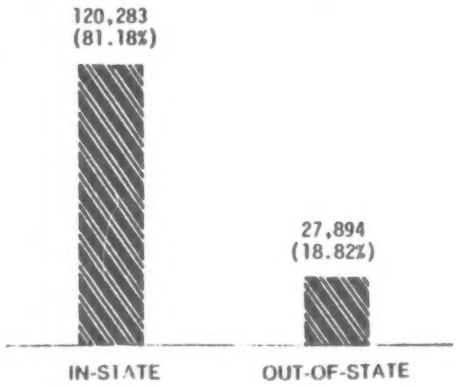
Sex



Institutional Sector

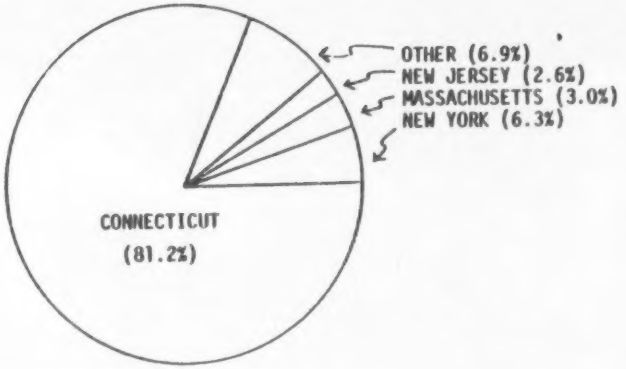


Student Level

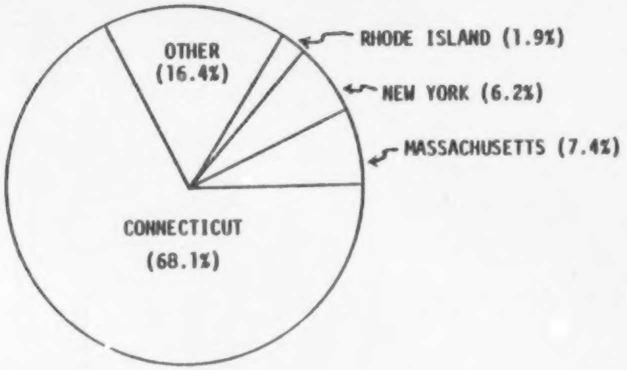


Residency

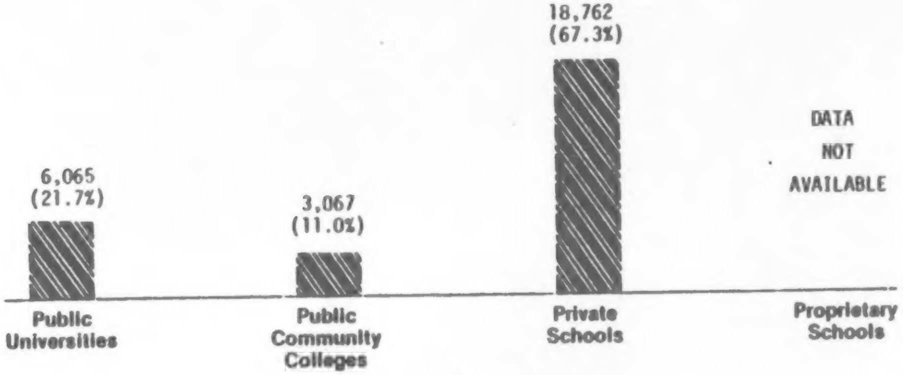
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



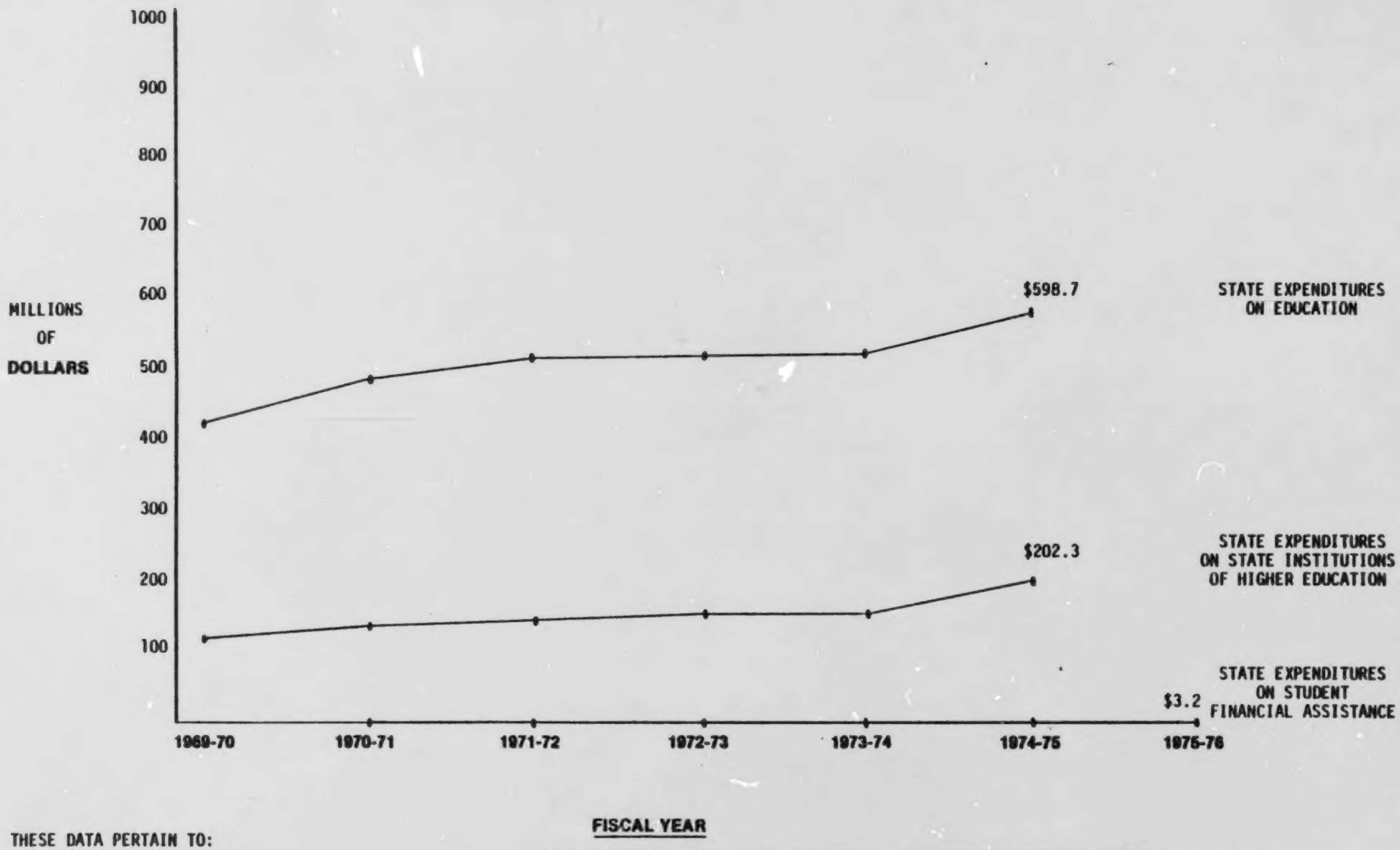
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

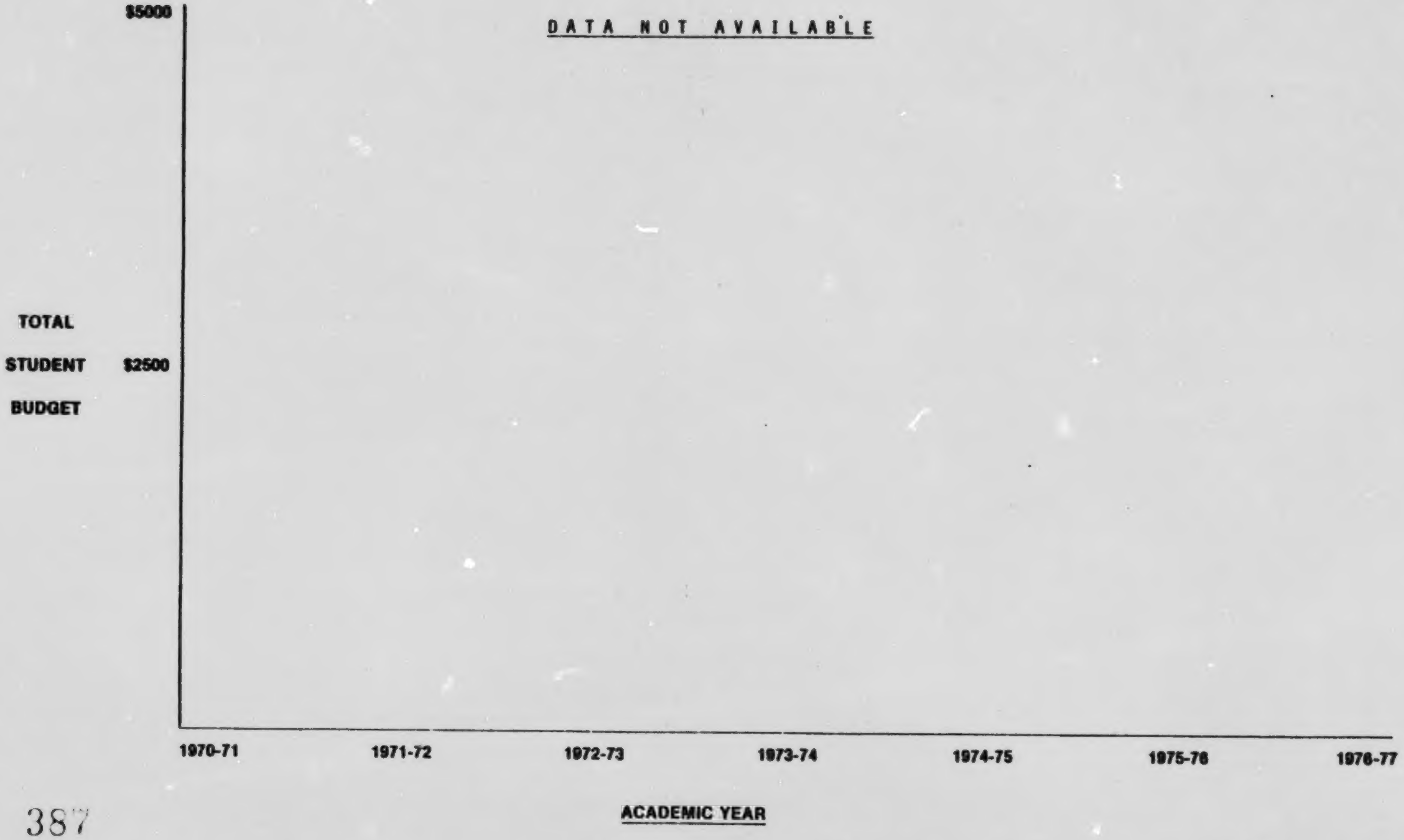
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM
- HIGHER EDUCATION GRANT PROGRAM
- RESTRICTED EDUCATIONAL ACHIEVEMENT PROGRAM
- COLLEGE CONTINUATION GRANT PROGRAM
- STATE SCHOLARSHIP PROGRAM (GRADUATE AWARDS)
- STATE WORK-STUDY PROGRAM
- AWARDS TO CHILDREN OF DECEASED/DISABLED VETERANS

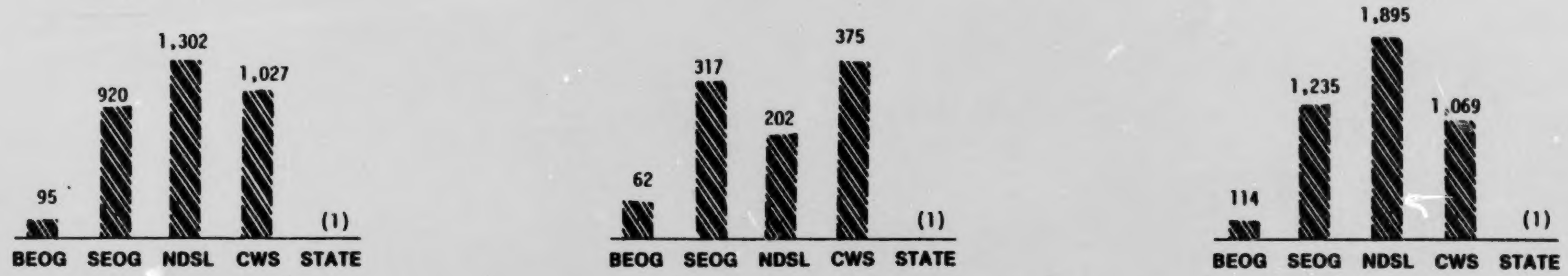
GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



DATA NOT AVAILABLE

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



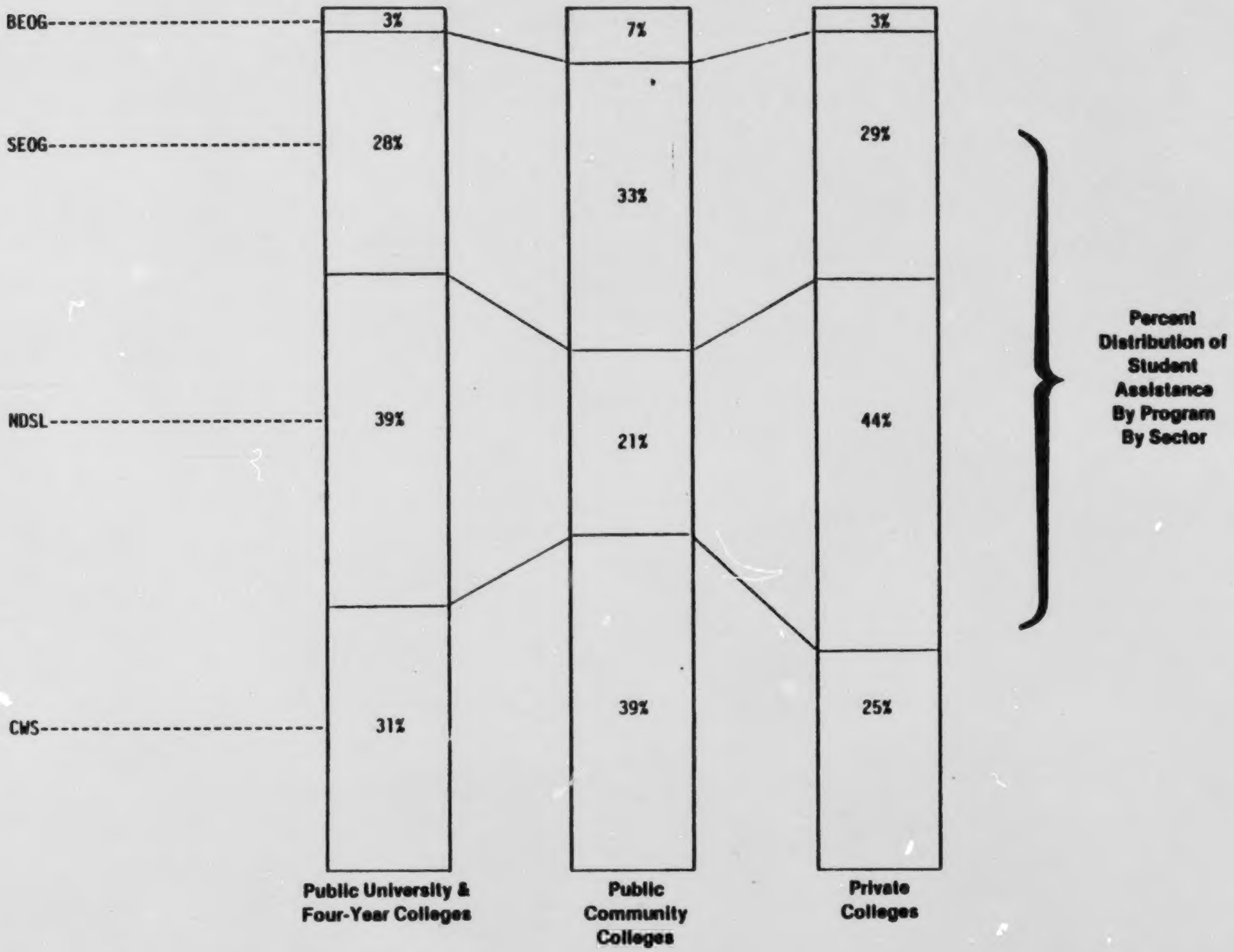
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Department of Public Instruction

1. Higher Education Scholarship Program
2. Tallman Teacher Education Scholarship Program
3. State Student Incentive Grant Program
4. Children of Deceased Veterans and Others Scholarships

Higher Education Loan Program

1. Higher Education Loan Program

RELATIONSHIPS OF STATE AGENCIES

DATA ARE UNAVAILABLE

STATE AGENCY ORGANIZATION

DATA ARE UNAVAILABLE

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

DELAWARE B2

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information			
• Identification of Eligible Recipients			
• Determination of Award Size			
• Assignment of Priority to Awards			
• Formally Approves Award			
Makes Payment to Student (or Credits Student Account)			
Makes Post-Award Adjustments			
Initiates the Prosecution of Possible Fraudulent Student applications			
Publicizes Award Availability			

DATA ARE UNAVAILABLE

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	

DATA ARE UNAVAILABLE

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office			
BEOG Office			
Regional OE Student-Assistance Office (Campus-Based Programs)			
Washington OE Office (Campus-Based Programs)			
FISL/GSL Office			
STATE/INSTITUTIONAL OFFICES			
Public Institutions			
Private Institutions			
Governor/Budget Office			
State Legislature (Including Committee Staffs)			

DATA ARE UNAVAILABLE

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	DATA ARE UNAVAILABLE	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

DELAWARE B6

MONTH/YEAR	EVENTS

DATA ARE UNAVAILABLE

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?			
5. Prosecution?			
		DATA ARE UNAVAILABLE	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?			
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors						
2. College Financial-Aid Officers						
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops						
5. College Admissions Office						
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DATA ARE UNAVAILABLE

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Higher Education Scholarship Program - Provides financial assistance grants to state residents who must attend out-of-state public or private universities and four-year institutions to pursue programs not available at state-supported institutions. Grants are awarded on a scholastic and need basis.

Tallman Teacher Education Scholarship Program - Provides scholastic grants on a need-basis to worthy high school graduates going into teaching. Recipients must be non-resident or resident students attending in-state public universities and four-year institutions.

State Student Incentive Grant Program - Provides financial assistance grants through federal and state matching funds to needy undergraduate resident students attending in-state public and private colleges.

Delaware Higher Education Loan Program - Provides loans on a need-basis per the State Endowment of Bank Loans for resident students for their higher education under the Higher Education Act of 1965.

Children of Deceased Veterans and Others Scholarships - Provides educational benefit grants to children of deceased Veterans, POWs, former POWs, MIAs and law/fire officers killed in line of duty.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Higher Education Scholarship Program	1970-71	234	$ 510	$ 119,550	Residents attending Out-of-State Schools
	1975-76	273	$ 550	$ 147,075	
Tallman Teacher Education Scholarship Program	1970-71	3	$ 870	$ 2,610	Students enrolled in In-State Education Programs
	1975-76	6	$ 466	$ 2,800	
State Student Incentive Grant Program	1974-75	180	$ 700[1]	$ 90,864	Students enrolled in In-State Schools
	1975-76	236	$ 750[1]	$ 118,635	
Loans					
Delaware Higher Education Loan Program	1970-71	998	$1,084	$1,082,275	Resident Students
	1975-76	942	$2,116	$1,993,461	
Non Need-Based					
Children of Deceased Veterans and Others Scholarships	1970-71	15[2]	$ 500[2]	$ 7,500[2]	Dependents of Veterans, POWs, MIAs, Law/Fire Officers
	1975-76				

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[1] Response based on reasonable estimation or approximation.

[2] Response not based on fact, but reasonably certain.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Higher Education Scholarship Program	Residents attending Out-of-State Schools	Full-Time	All	Yes	Not Applicable	Note 1
Tallman Teacher Education Scholarship Program	Residents attending In-State and Non-Residents	Full-Time	All Undergraduates	Yes	Not Applicable	Resources
State Student Incentive Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	$15,000	Not Given
Loans						
Delaware Higher Education Loan Program	Residents attending In/Out State	Half-Time	All	Yes	$25,000	$400
Non Need-Based						
Children of Deceased Veterans and Others Scholarships	Residents attending In/Out of State and Non-Residents	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable

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[1] The difference between University of Delaware tuition and out-of-state tuition.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Higher Education Scholarship Program	Public Private	No	No	No	No
Tallman Teacher Education Scholarship Program	Public	No	No	No	No
State Student Incentive Grant Program	Public Private	Yes	No	Yes	No
Loans					
Delaware Higher Education Loan Program	Public Private	Public	Public	Yes	No
Non Need-Based					
Children of Deceased Veterans and Others Scholarships	Public Private	Public	No	Yes	No

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Higher Education Scholarship Program	Need = Out-of-State Tuition - Univ. of Delaware Tuition	No	CSS with/local variation	Tuition
Tallman Teacher Education Scholarship Program	Need = College Costs - Student Resources	No	CSS with/local variation	General Student Costs
State Student Incentive Grant Program	Need = Student's College Costs - Packaged Resources	Yes	BEOG	General Student Costs
Loans				
Delaware Higher Education Loan Program	Based on varying methods employed by various colleges	No	Based on college of choice method	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Higher Education Scholarship Program	$ 800	Based on need up to $800.	Date of receipt of application	State
Tallman Teacher Education Scholarship Program	$ 750	Based upon need and available funds.	Date of receipt of application	State
State Student Incentive Grant Program	$1,000	(Packaged Need) Resources minus Cost	Need	Institution
Loans				
Delaware Higher Education Loan Program	$2,500	Financial Analysis	None	Institution
Non Need-Based				
Children of Deceased Veterans and Others Scholarships	$1,900	Tuition Cost	Not Applicable	State

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DELAWARE F1

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over

DEPENDENTS

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

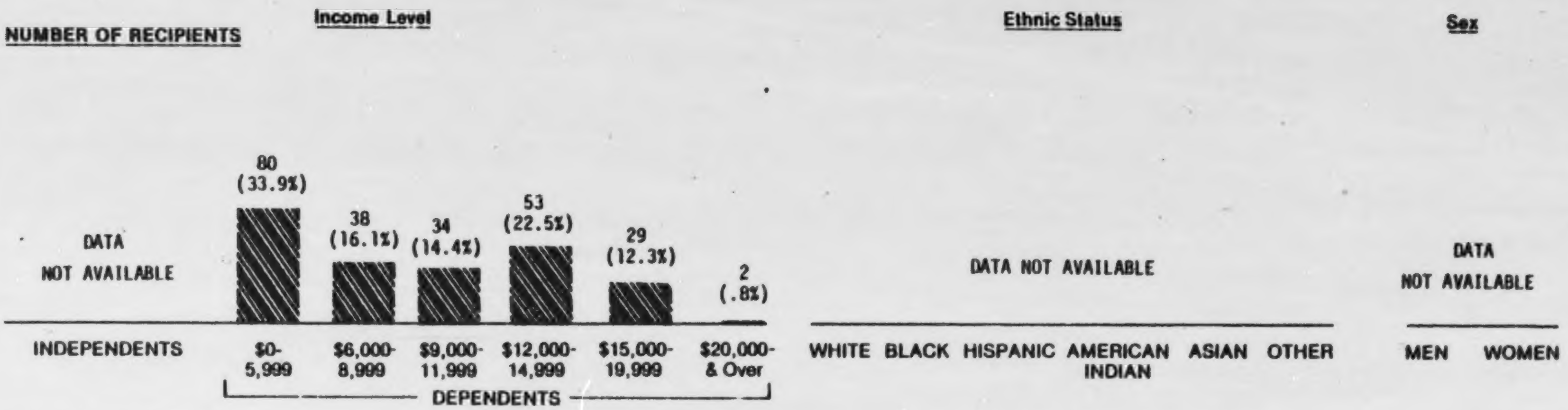
MEN	WOMEN

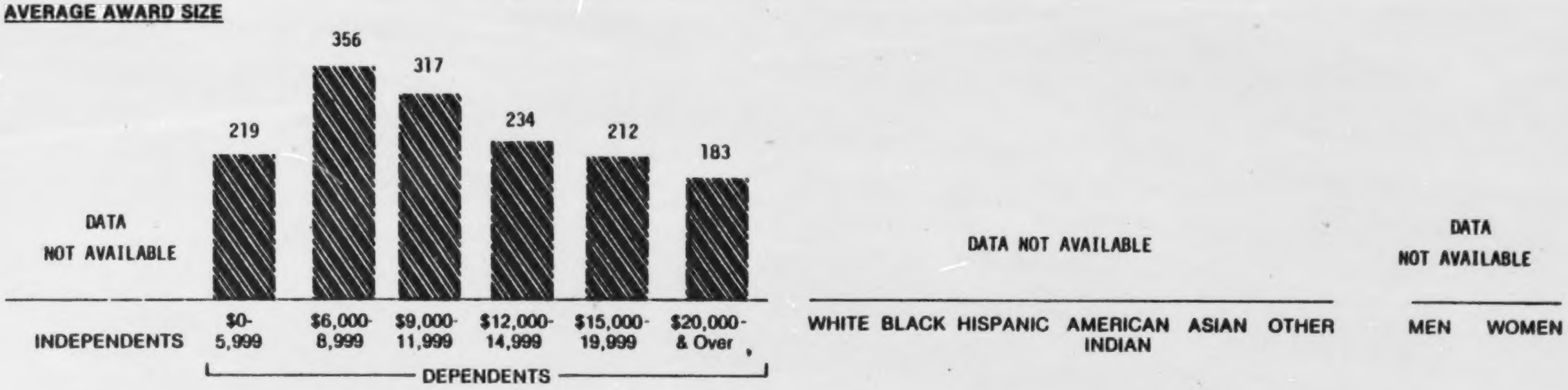
Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

DELAWARE





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SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

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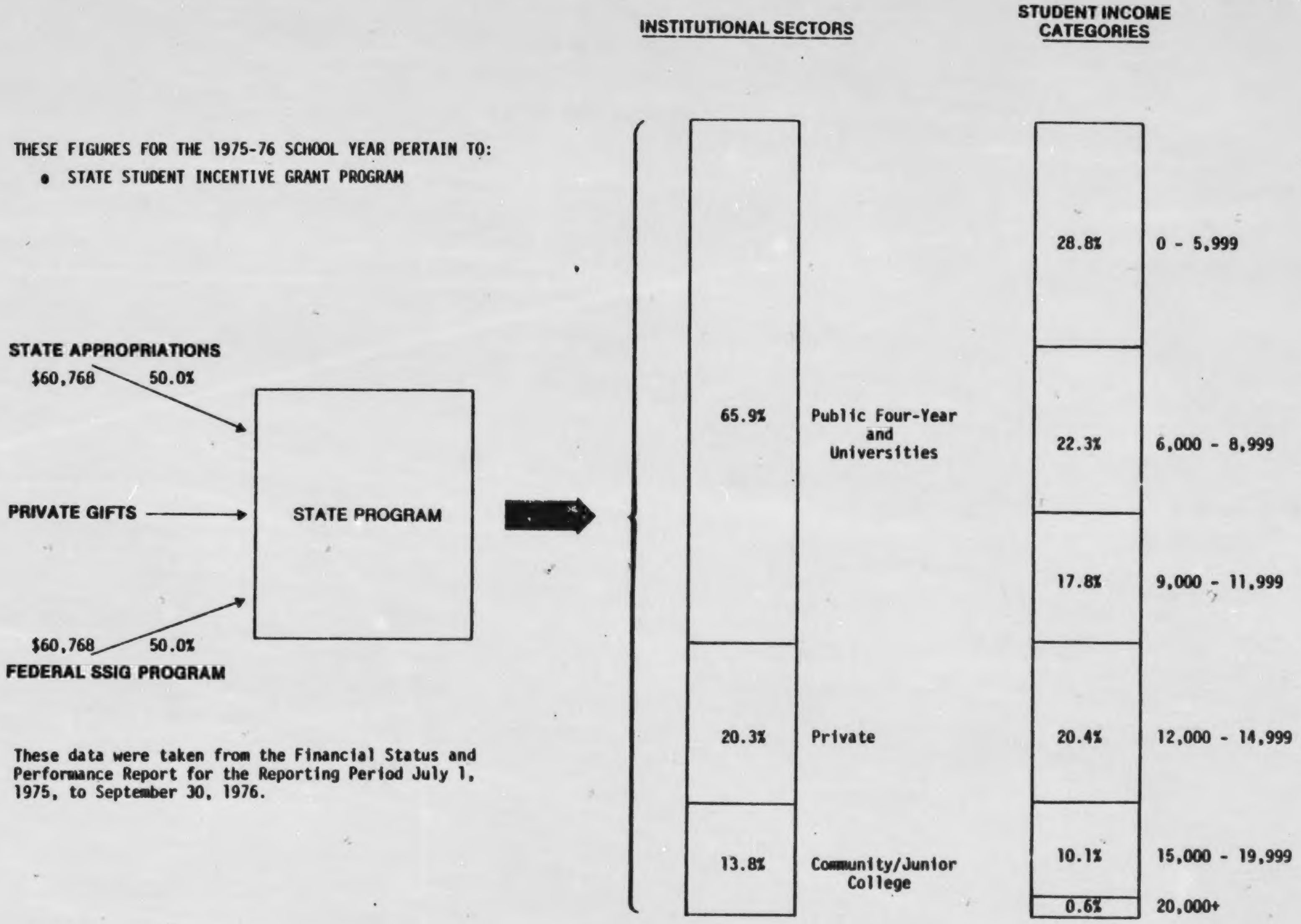

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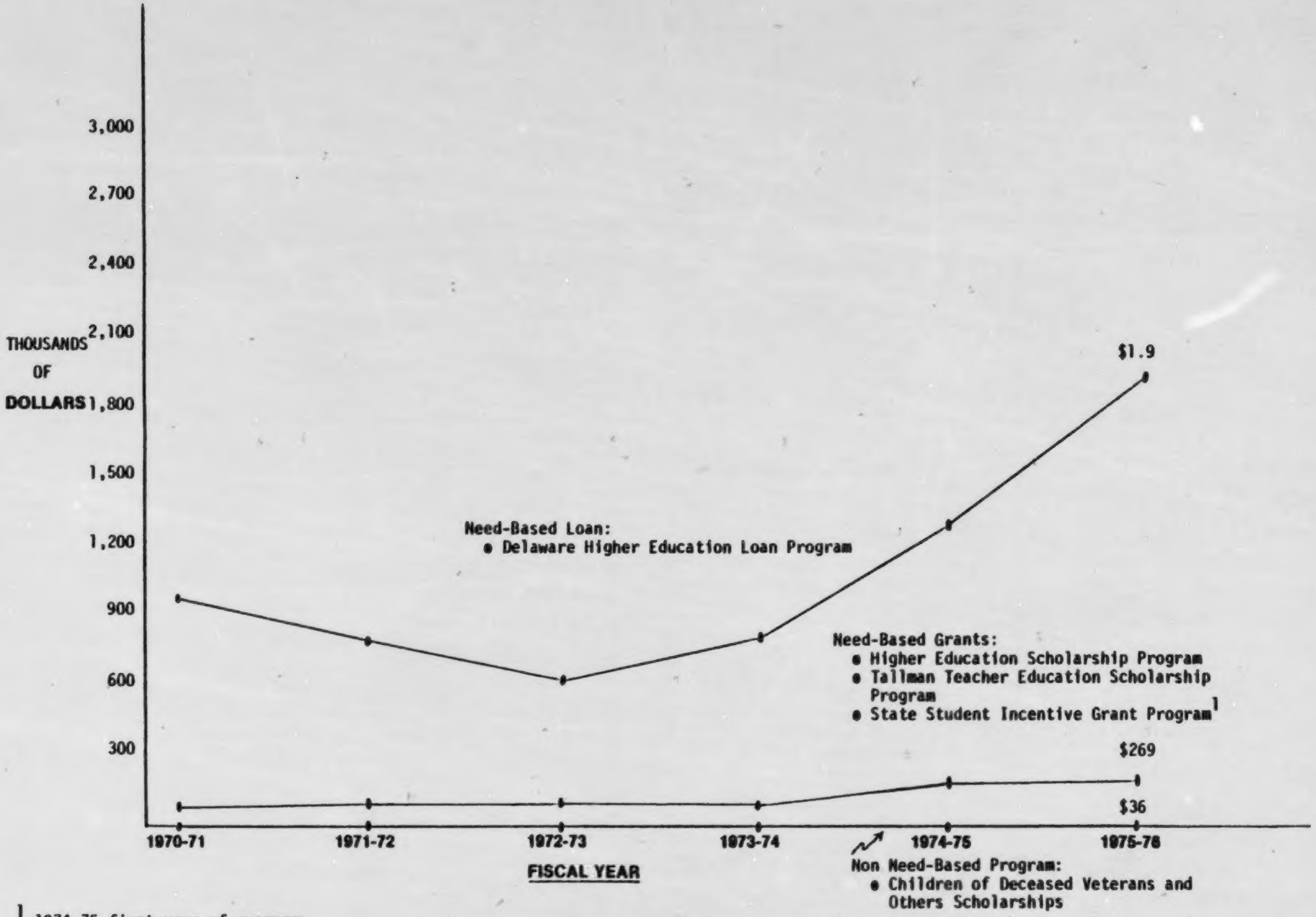
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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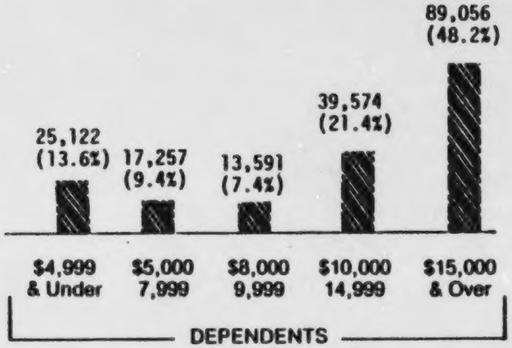
[1] 1974-75 first year of program.

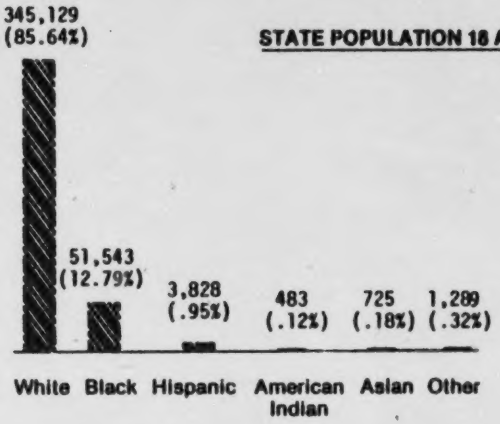
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

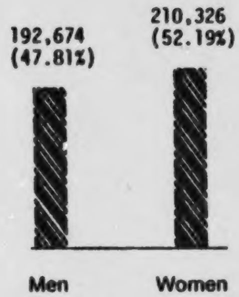


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

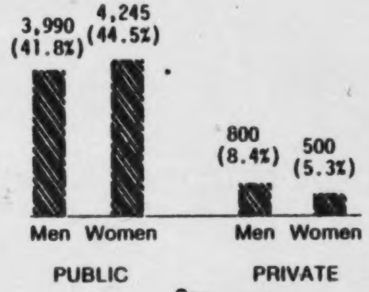


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 632 persons granted a high school equivalency certificate, nor 287 persons graduated from other than regular day school programs.

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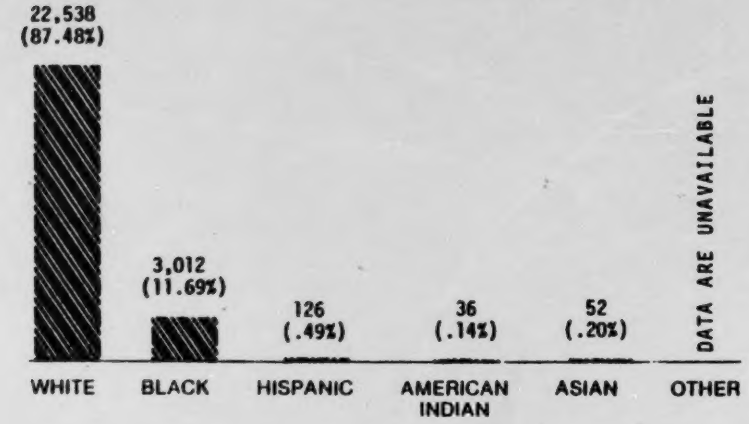
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



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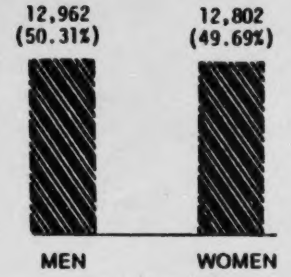
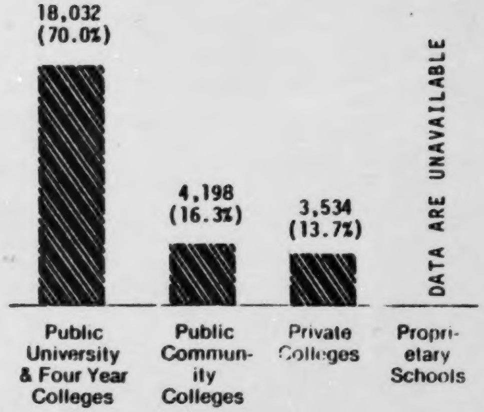
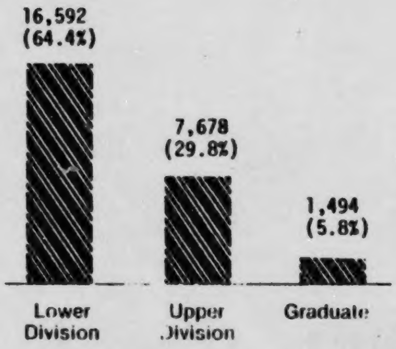


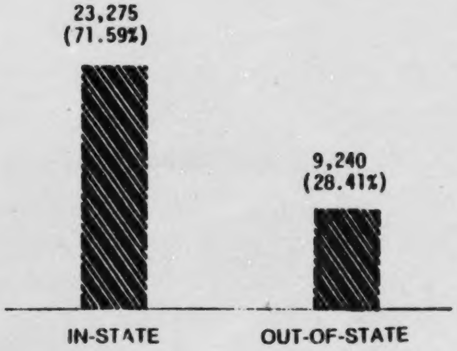
Sex



Institutional Sector

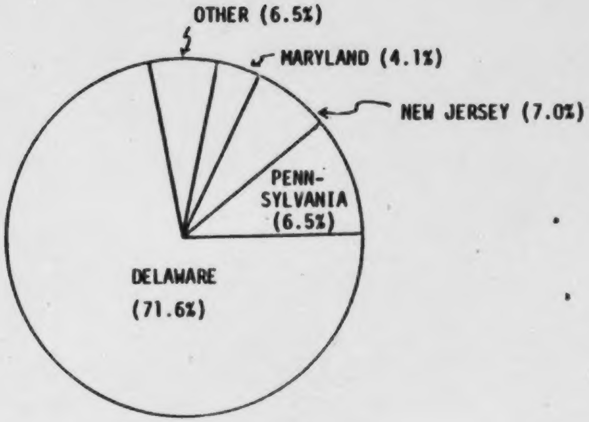


Student Level

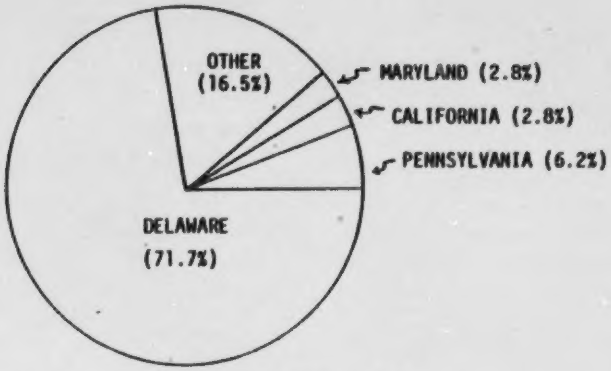


Residency

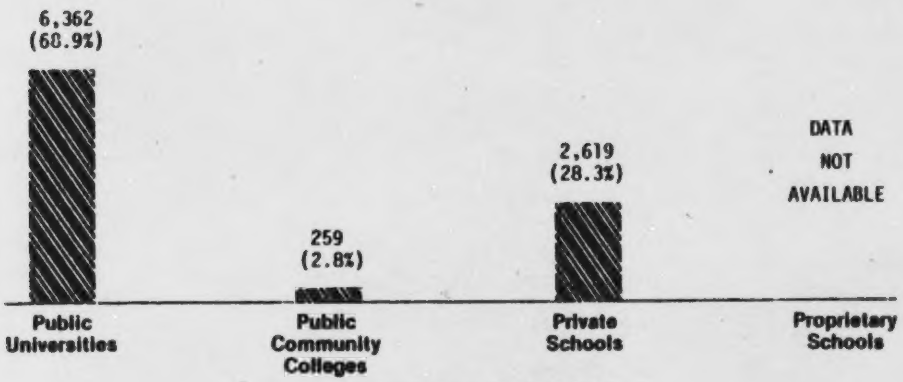
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



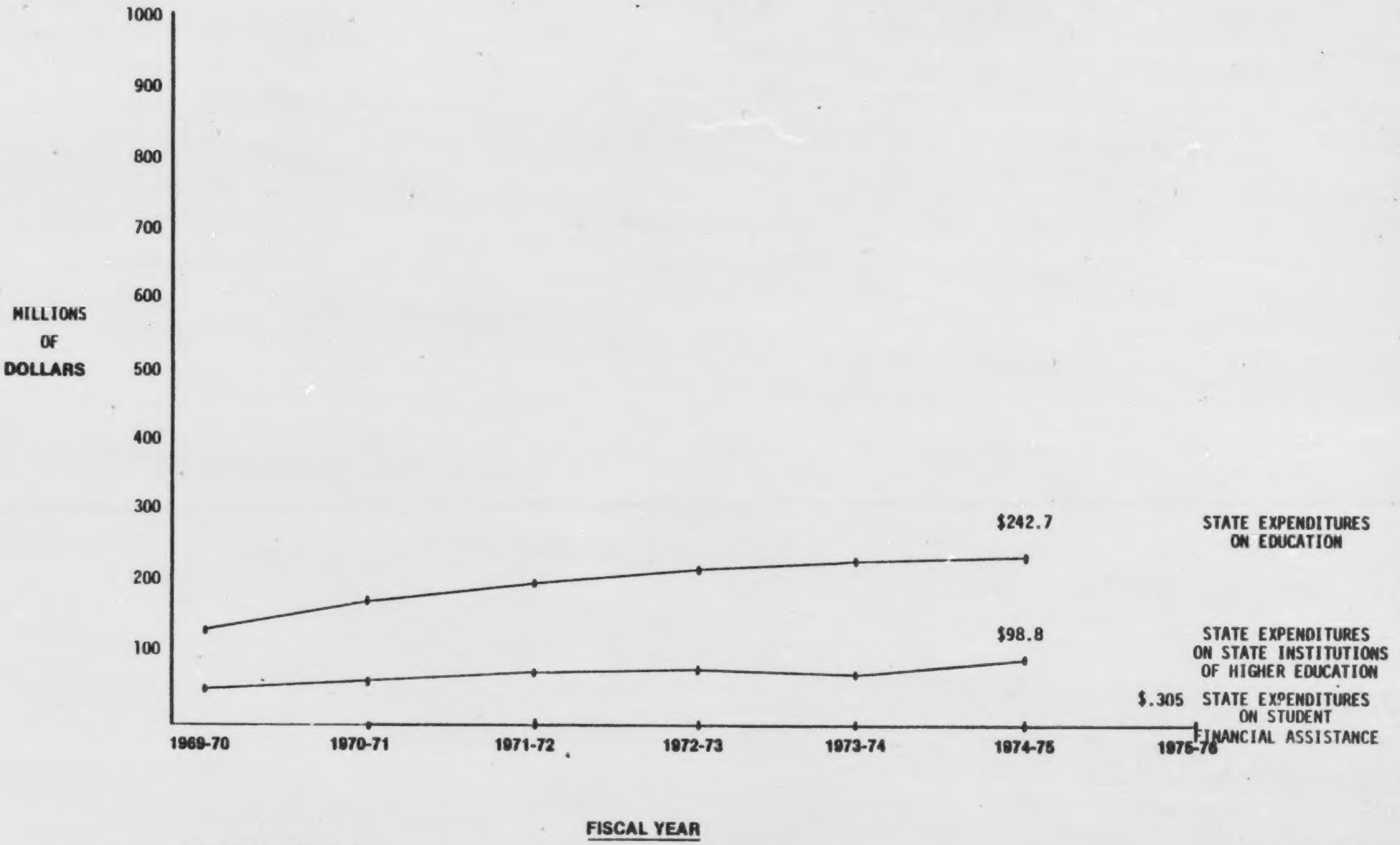
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

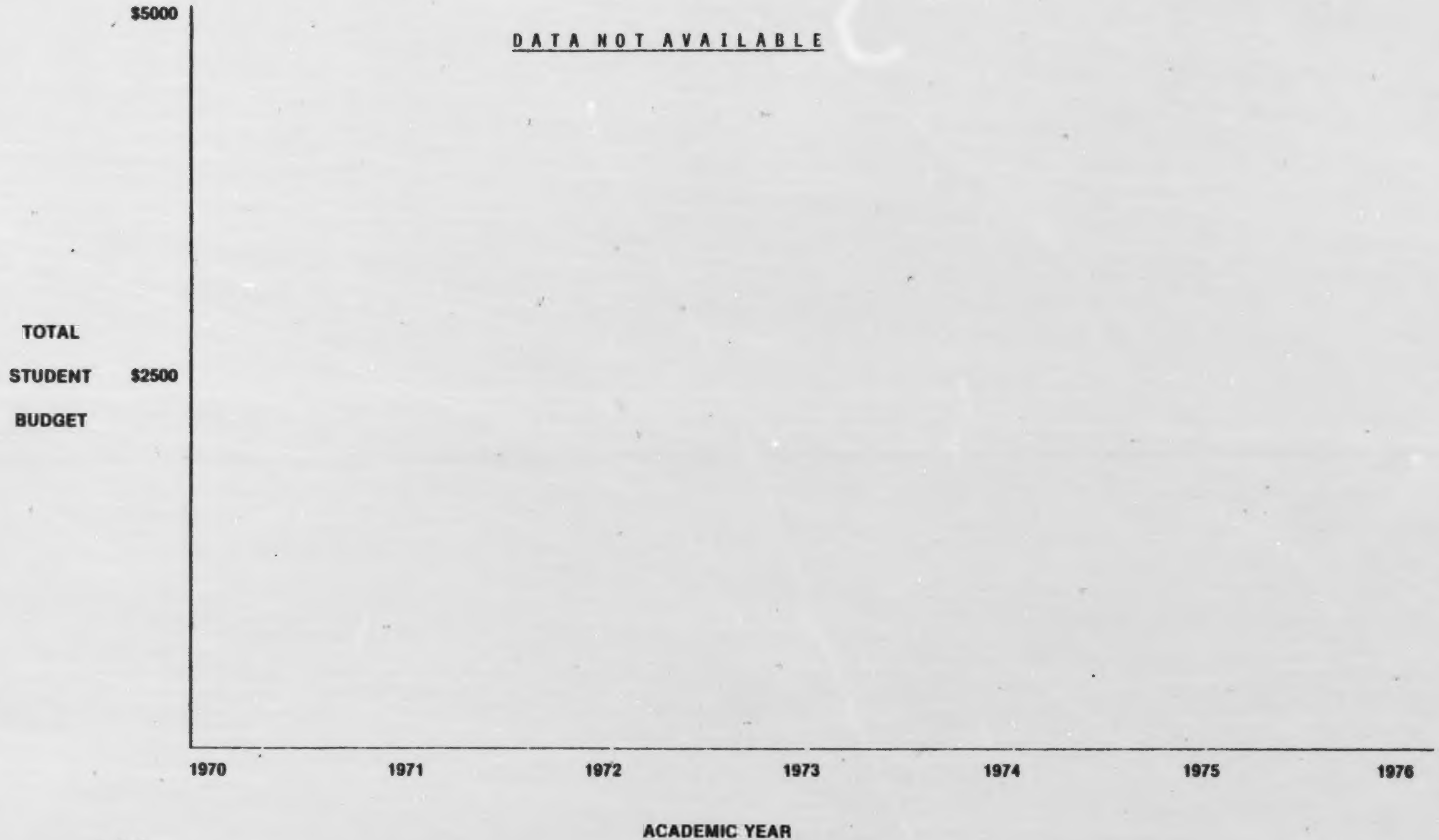
(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



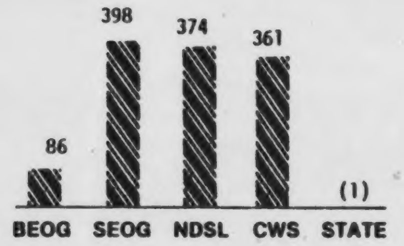
THESE DATA PERTAIN TO:
- HIGHER EDUCATION SCHOLARSHIP PROGRAM
- TALLMAN TEACHER EDUCATION SCHOLARSHIP PROGRAM
- STATE STUDENT INCENTIVE GRANT PROGRAM
- CHILDREN OF DECEASED VETERANS AND OTHERS SCHOLARSHIPS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

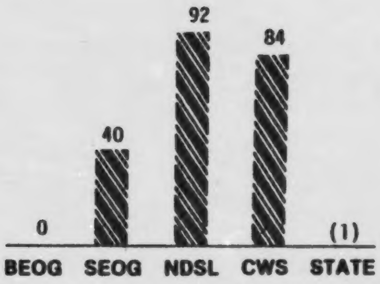

DATA NOT AVAILABLE

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

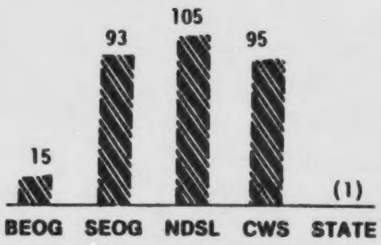
(Figures in thousands)



Public University and Four-Year Colleges



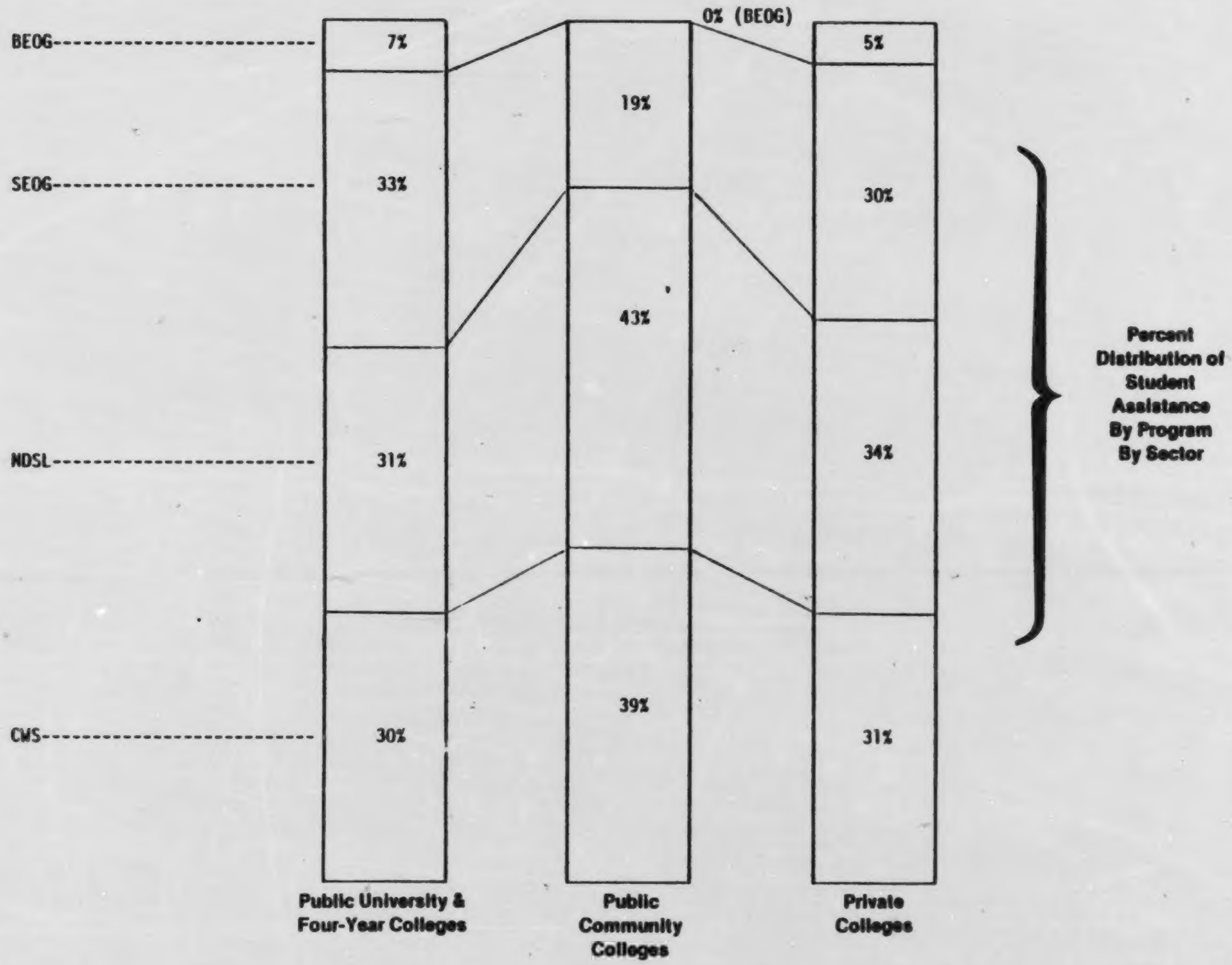
Public Community Colleges



Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Education Services Division, Education Assistance Branch administers two programs with one staff for the State Student Incentive Grant Program and nine staff members for the Guaranteed Student Loan Program. It coordinates financial activities with the Controller's office which is responsible for financial management of City appropriations for the programs.

1. State Student Incentive Grant Program
2. Guaranteed Student Loan Program

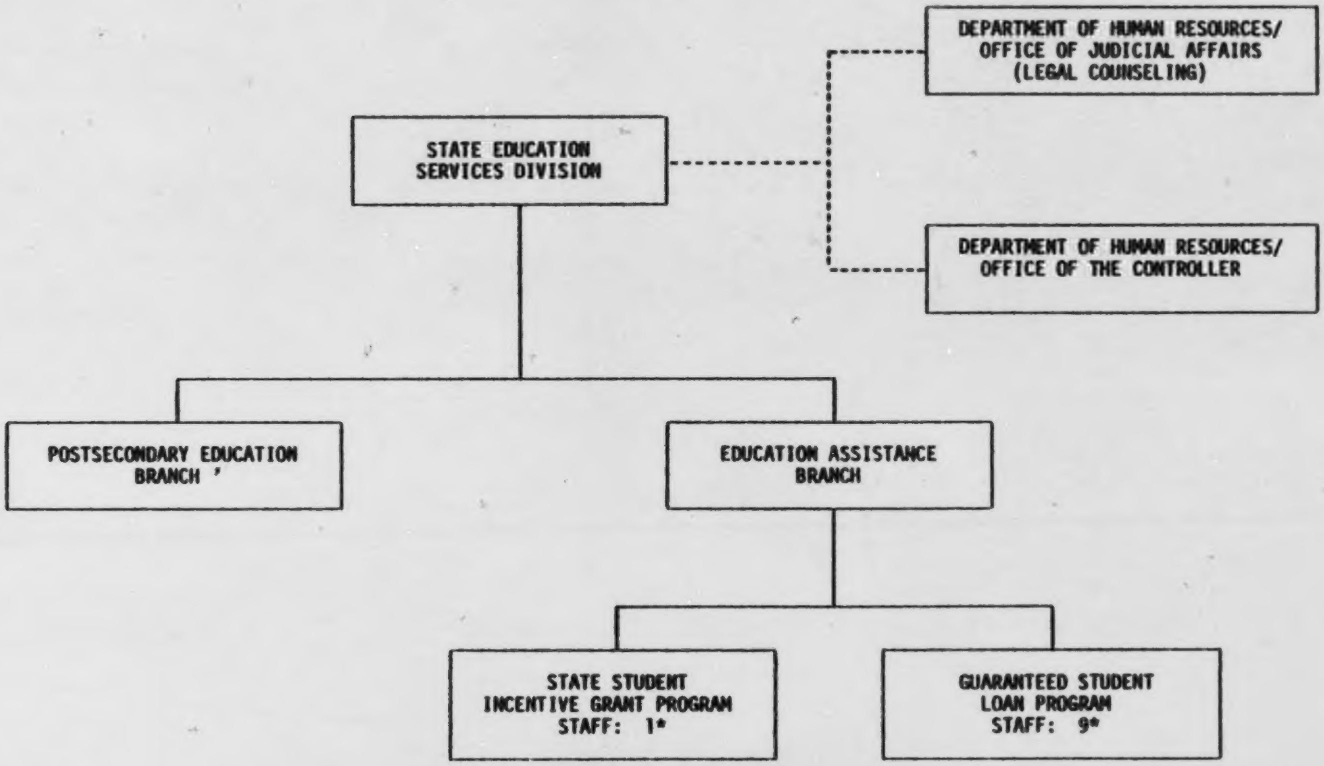
RELATIONSHIPS OF STATE AGENCIES

Department: Human Resources

Office: State Agency Affairs	Office: Controller
Division: State Education Services	Division: Grants Management
Branch: Education Assistance	Program: Education Assistance

The State Education Services Division, Education Assistance Branch is responsible for the operation of the student education assistance programs. It coordinates financial activities with the Controller's office which is responsible for financial management of City appropriations for the programs.

STATE AGENCY ORGANIZATION


STATE EDUCATION SERVICES DIVISION
DEPARTMENT OF HUMAN RESOURCES/ OFFICE OF JUDICIAL AFFAIRS (LEGAL COUNSELING)
DEPARTMENT OF HUMAN RESOURCES/ OFFICE OF THE CONTROLLER
POSTSECONDARY EDUCATION BRANCH
EDUCATION ASSISTANCE BRANCH
STATE STUDENT INCENTIVE GRANT PROGRAM STAFF: 1*
GUARANTEED STUDENT LOAN PROGRAM STAFF: 9*

* The Division is in the process of adding staff to both programs. Of the nine staff in Guaranteed Student Loan Program, six are on detail until permanent staff are recruited.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

DISTRICT OF COLUMBIA B2

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications			
Publicizes Award Availability	X		

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - A student who enrolls/attends the equivalent of six credit hours per semester or of what is established as half-time by the institution.	Interpretation			Yes
State Resident - A student who has been a resident for a minimum of 15 consecutive months immediately prior to application.	Interpretation			Yes
Independent Student - A student who files a federal income tax return claiming himself as an exemption and who is not declared as a dependent on the income tax return of any other person.	Interpretation			Yes
Non-Profit Institution - A public institution or a corporation which qualifies as non-profit under paragraph 501(c)(3) of the IRS code.				Yes
In Good Academic Standing - Average performance at the "C" grade level for graded courses and deemed "passing" in non-graded courses.	Interpretation			Yes
United States Citizenship - A student who is native born or naturalized.	Interpretation			

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate
BEOG Office			
Regional OE Student-Assistance Office (Campus-Based Programs)			
Washington OE Office (Campus-Based Programs)			
FISL/GSL Office	Summaries Regulations	Summaries Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Applications Recipients Regulations	Applications	Inadequate[1]
Private Institutions	Applications Recipients Regulations	Applications	Inadequate[1]
Governor/Budget Office			
State Legislature (Including Committee Staffs)			

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)		
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)		
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	In the grant program, which is still in its developmental stage, staff needs are being identified for recruitment. The loan program is being reorganized and new staff will be recruited. It is difficult at this time to make an assessment because both programs have been operating in a most unusual manner and modifications are being implemented daily. Target dates for full implementation of a new operational structure are September 30, 1977, for SSIG and October 1, 1978, for the GSLP.	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)		
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)		
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR **EVENTS**

New schedule for subsequent school years now 3/1

JUNE - 1976 Agency makes student-aid applications available

June for continuations; September for initial awards

JUNE & SEPTEMBER - 1976 Deadline for student-aid applications

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?	Yes, All	State	Student must provide additional evidence to verify eligibility.
3. Match Against Institutional Student Records?	Yes, All	State	
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	State	
2. Check on Mid-Term Attendance?	Yes, All	State	
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	State	In cases of over-awards, students are required to refund the excess amount.
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, All	State	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			
4. Panel Review and Approval of All Awards?	Yes, All	State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	
2. College Financial-Aid Officers	X	X	X			
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X			
5. College Admissions Office	X	X	X			
6. Newspaper Ads		X	X			
7. Radio, Television Ads		X	X			
8. Social Service Agencies	X	X	X		X	
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

District of Columbia State Student Incentive Grant Program - A grant program to provide funds to assist D.C. residents with substantial financial need in attaining their education goals through attendance at public, private and proprietary schools.

District of Columbia Guaranteed Student Loan Program - A need-based loan program to provide funds to assist D.C. residents in attaining their education goals through attendance at public, private and proprietary schools.

District of Columbia War Orphans Scholarship -

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
D.C. State Student Incentive Grant Program	1975-76	356	$750	$219,050	Resident Undergraduates
Loans					
D.C. Guaranteed Student Loan Program	No information given-----------------------				Resident Students
Other (Not Designated)					
District of Columbia War Orphan Scholarship	No information given---				

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
D.C. State Student Incentive Grant Program	Residents attending In/Out State	Half-Time Full-Time	All Undergraduates	Yes	Not Applicable	$400
Loans						
D.C. Guaranteed Student Loan Program	Residents attending In/Out State	Half-Time Full-Time	All	Yes	Not Applicable	Not Applicable
Other (Not Designated)						
District of Columbia War Orphans Scholarship	Information not given--					

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
D.C. State Student Incentive Grant Program	Public Private	Public	Public	Yes	No
Loans					
D.C. Guaranteed Student Loan Program	Public Private	Public	Public	Yes	No
Other (Not Designated)					
District of Columbia War Orphans Scholarship	Information not given--				

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
D.C. State Student Incentive Grant Program	Need = Cost of Education - Family Contribution + Other Financial Aid	Yes	BEOG and "Other"	Tuition/Fees Room/Board Books Tranpsportation Personal
Loans				
D.C. Guaranteed Student Loan Program	Need = Cost of Education - Family Contribution + Other Financial Aid	No	Not Applicable	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
D.C. State Student Incentive Grant Program	$1,500	Percentage of need determined by total funds available and number of eligible applicants.	Continuations first; and first-come, first-served.	State
Loans				
D.C. Guaranteed Student Loan Program	$1,000-Undergraduates; $1,500-Graduates	By Lenders	Repeat borrowers have first priority.	Lenders
Other (Not Designated)				
District of Columbia War Orphans Scholarship	Information not given--			

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DISTRICT OF COLUMBIA F1

DATA NOT AVAILABLE

INDEPENDENTS	\$0- 5,999	\$6,000- 8,999	\$9,000- 11,999	\$12,000- 14,999	\$15,000- 19,999	\$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT APPLICABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT APPLICABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

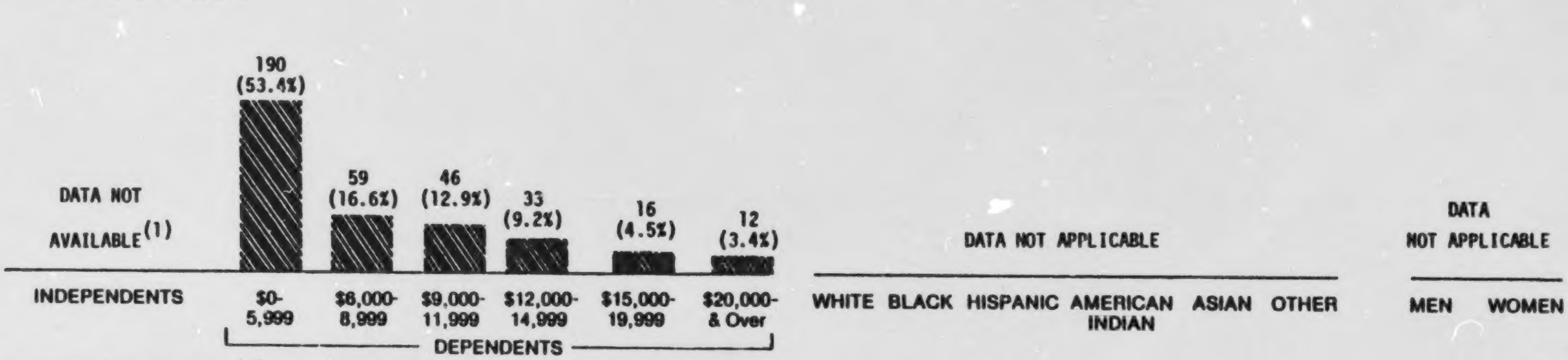
F2

DISTRICT OF COLUMBIA

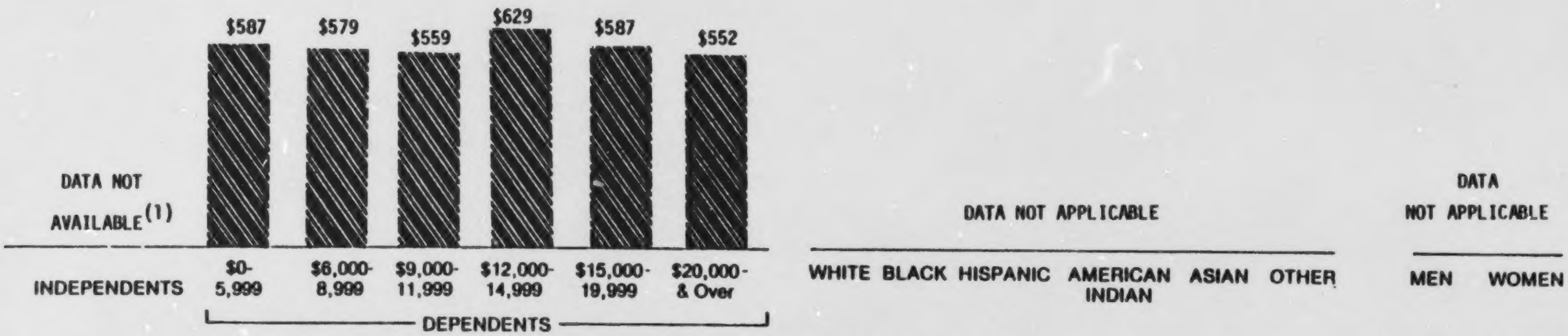
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- D.C. STATE STUDENT INCENTIVE GRANT PROGRAM

NUMBER OF RECIPIENTS Income Level Ethnic Status Sex



AVERAGE AWARD SIZE (2)



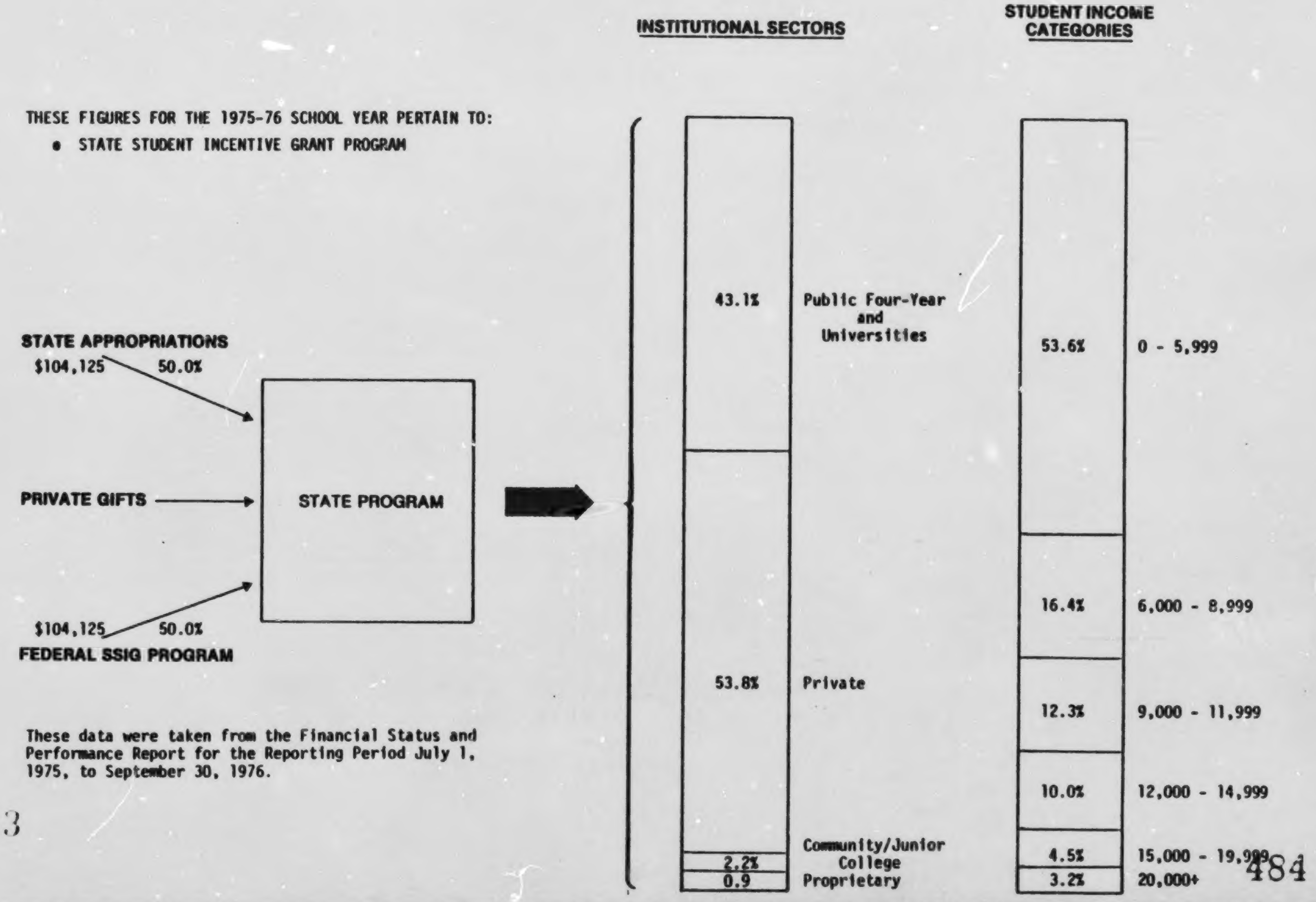
(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

(2) There is a slight discrepancy in the total dollars awarded as given in the NASSGP Survey. The award sizes should be considered as estimates.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

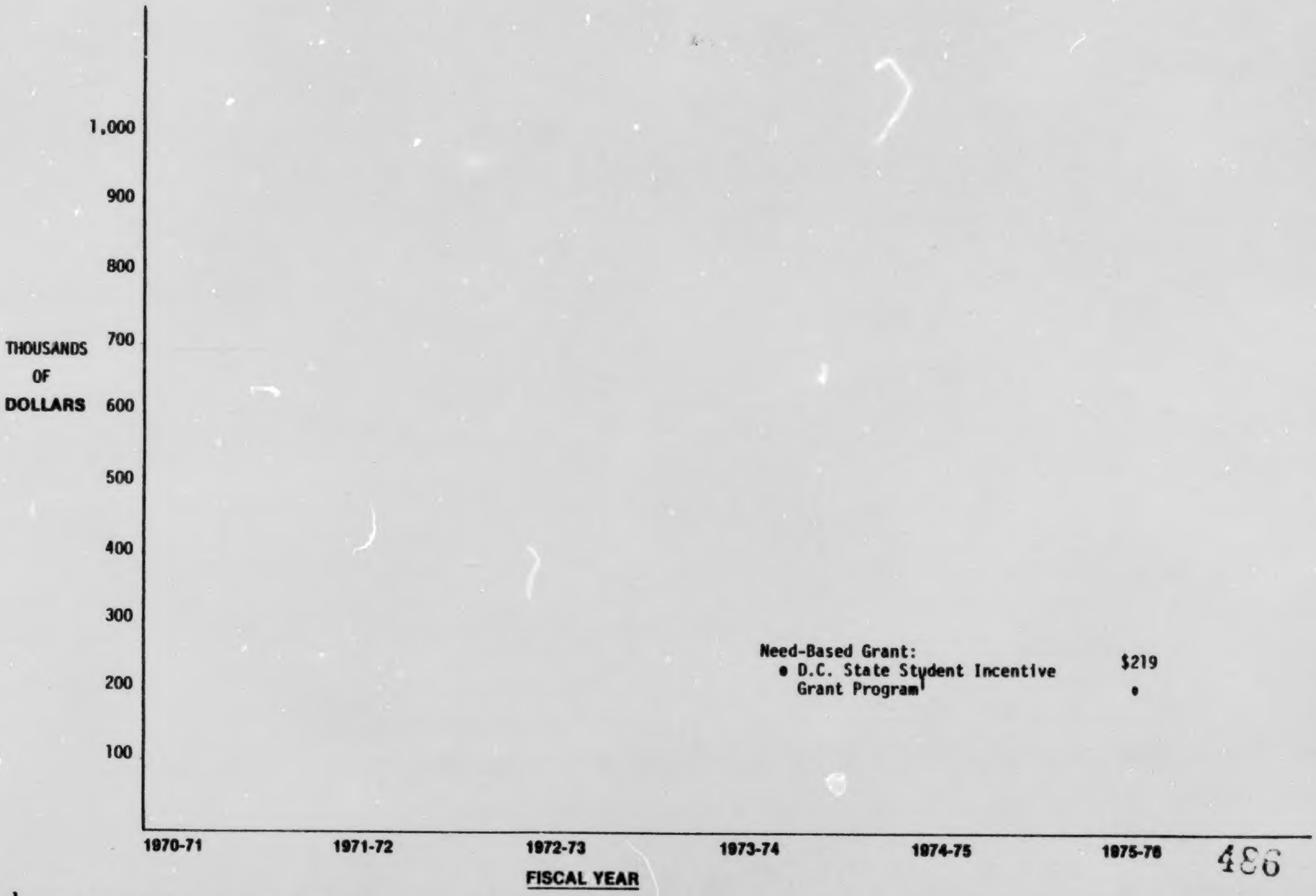
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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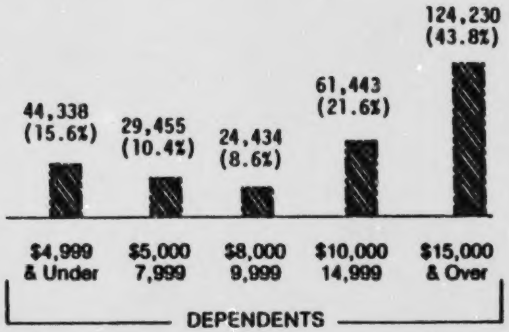
[1] 1975-76 first year of program.

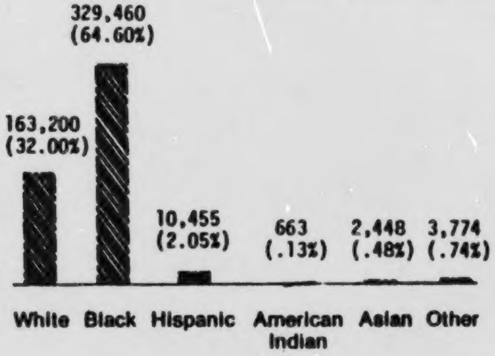
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

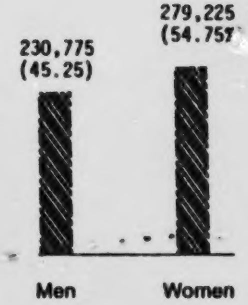


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

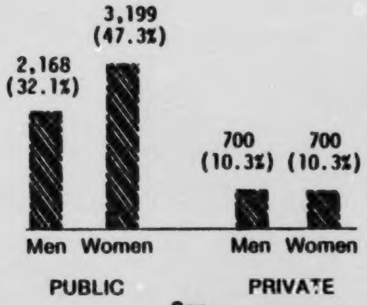


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 248 persons graduated from other than regular day school programs.

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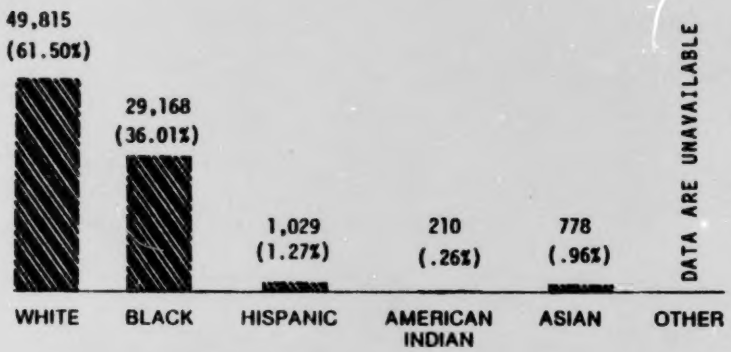
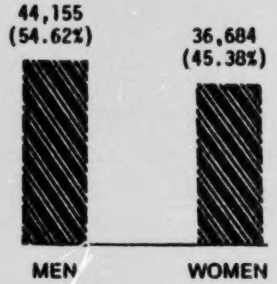
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

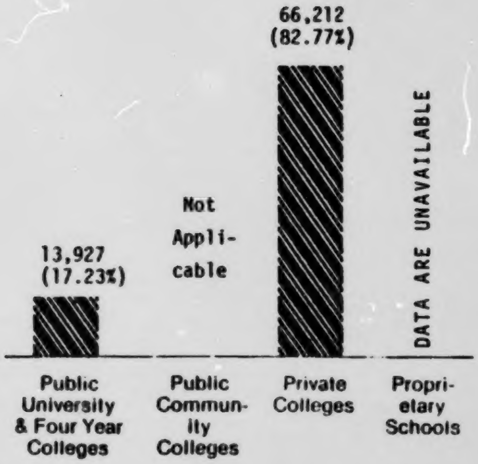
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS DISTRICT OF COLUMBIA H2



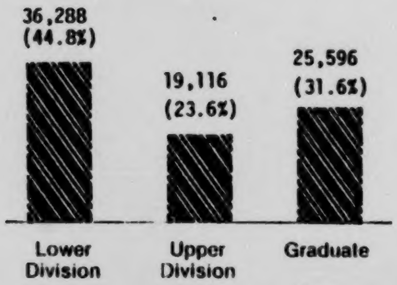
Ethnic Status



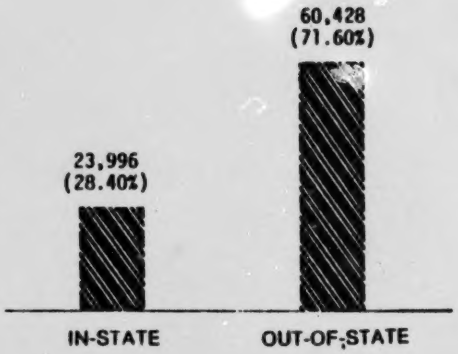
Sex



Institutional Sector

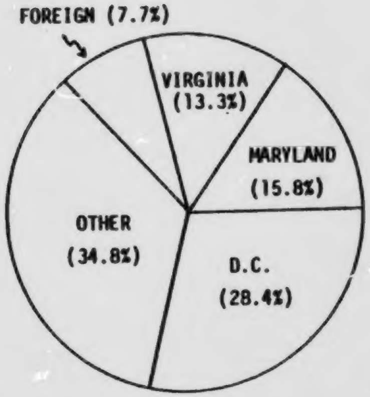


Student Level

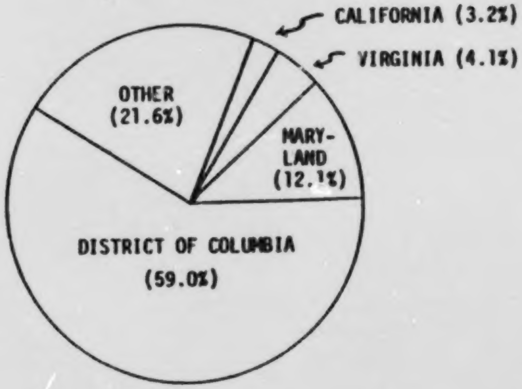


Residency

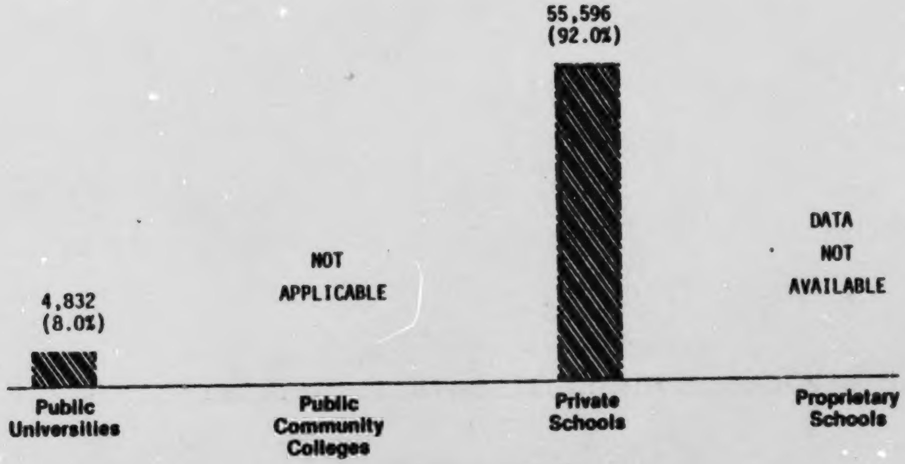
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



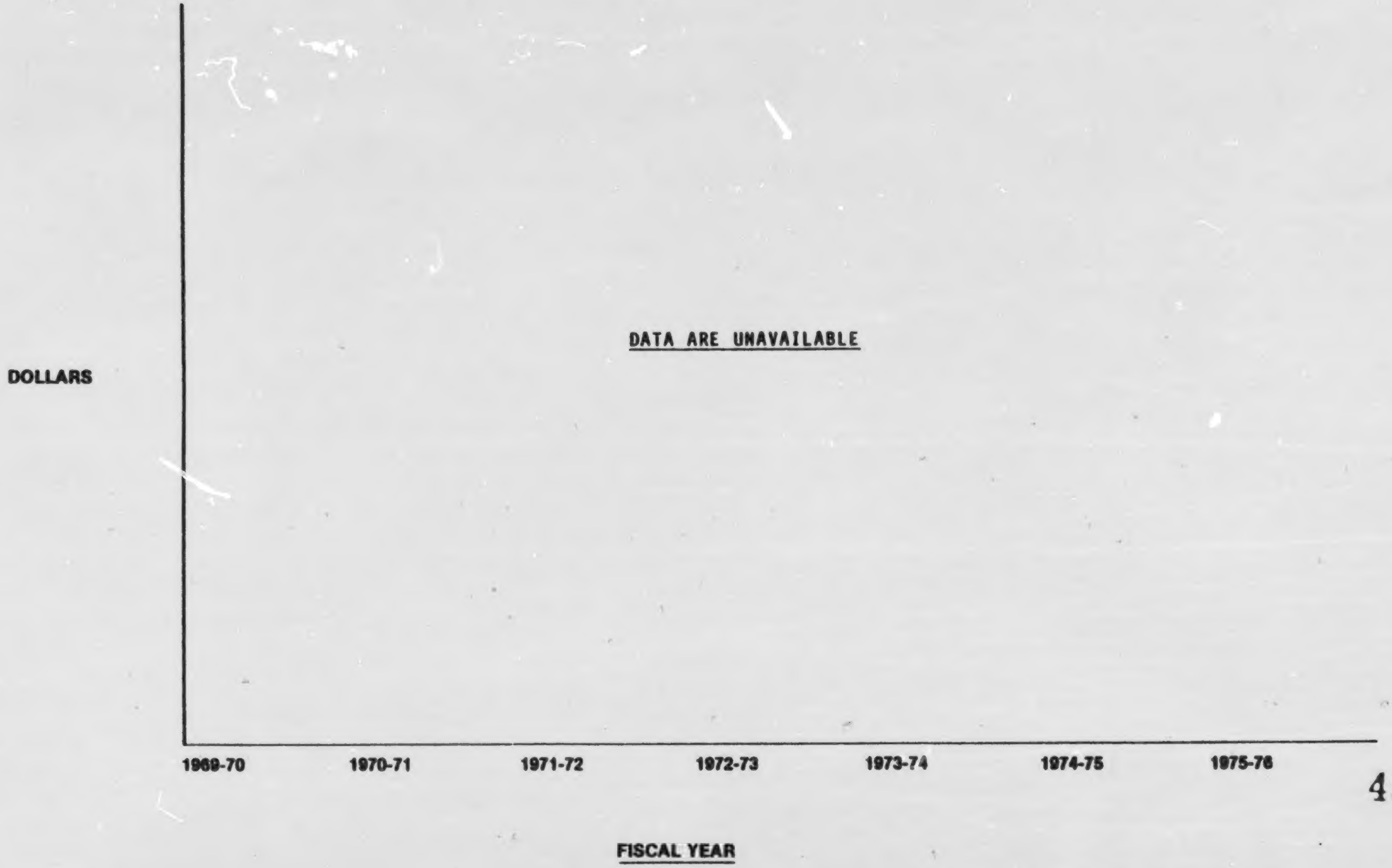
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



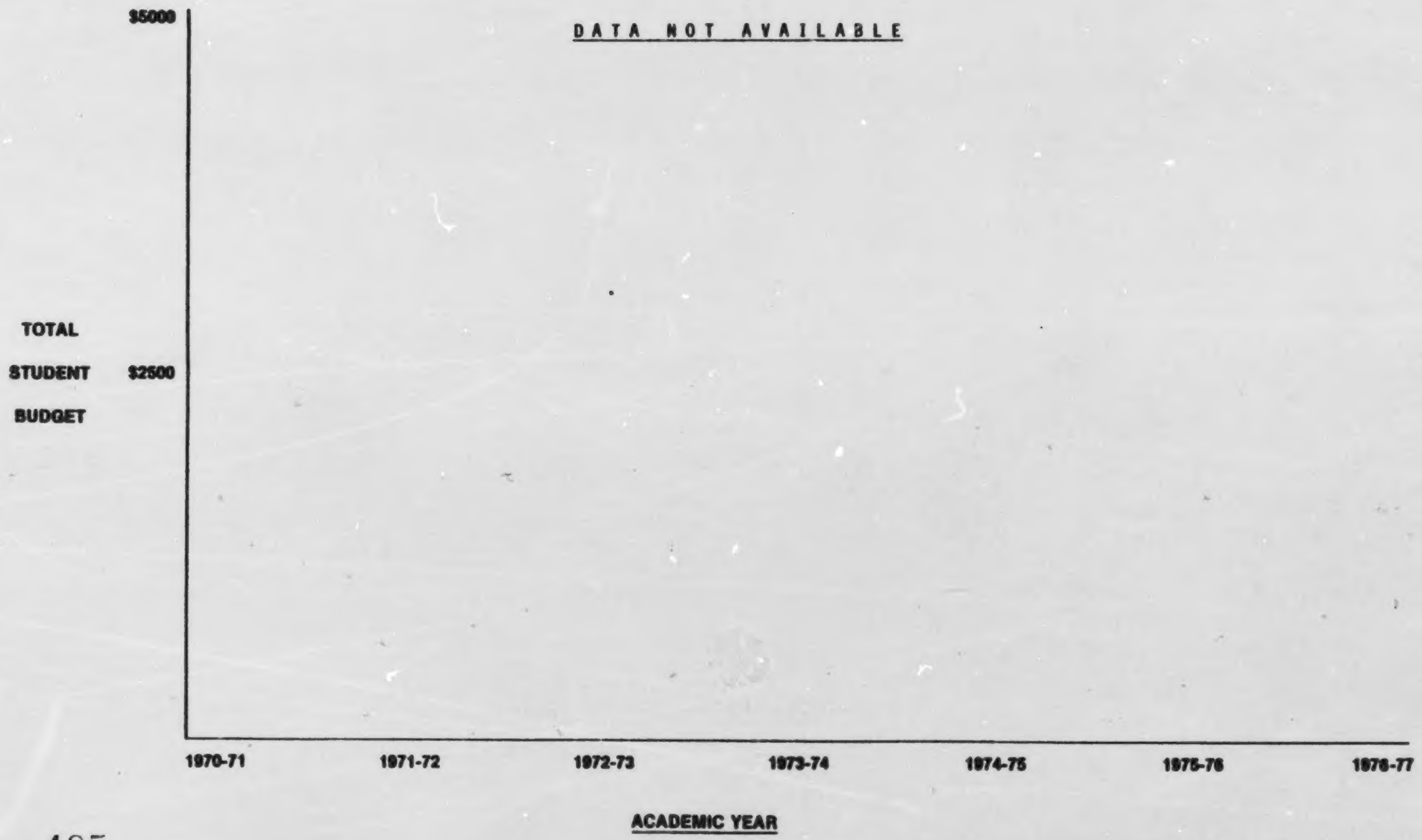
INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

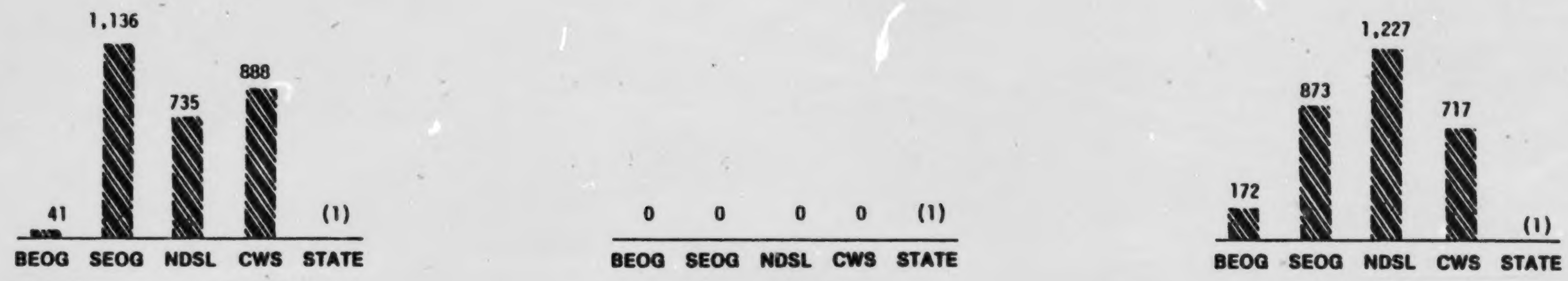


GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



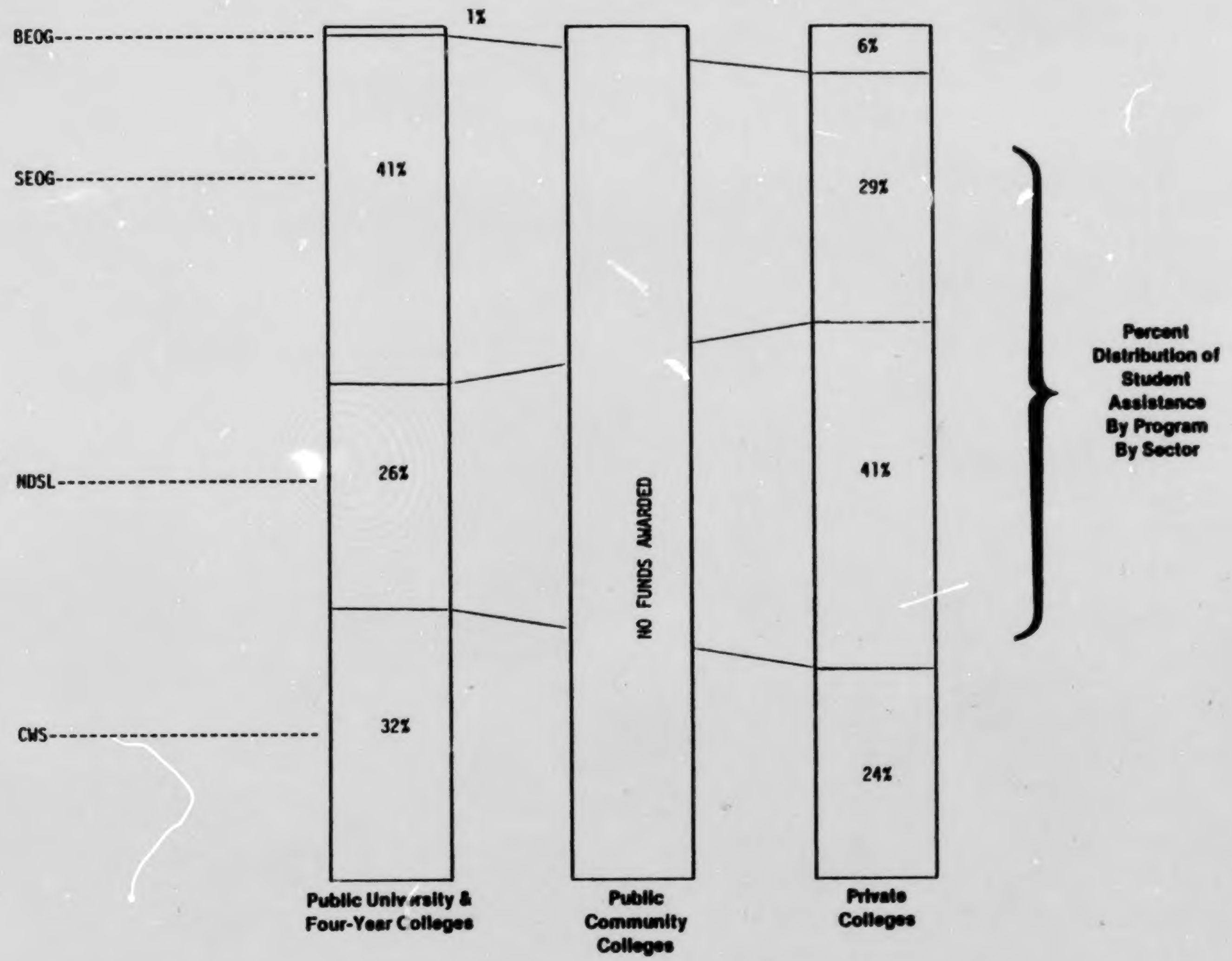
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

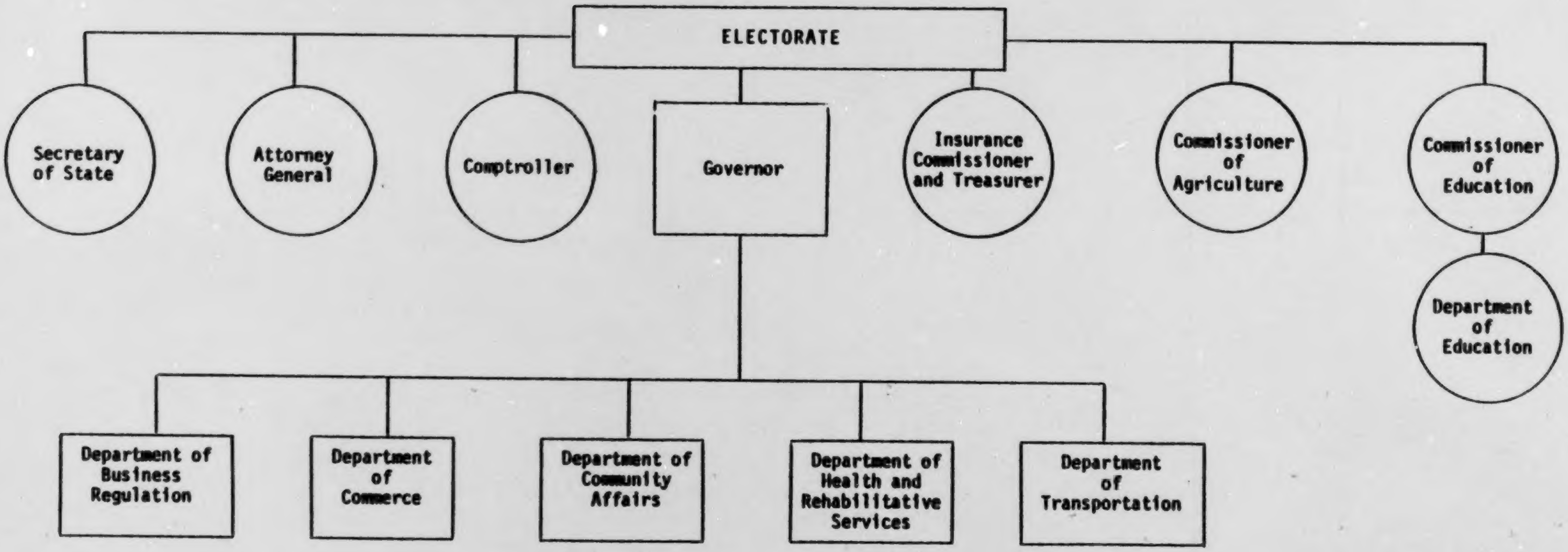
Student Financial Aid, Department of Education

1. Student Assistance Grants
2. Seminole-Miccosukee Indian Scholarships
3. Insured Student Loans
4. Student Loans
5. Scholarships for Children of Deceased and Disabled Veterans
6. Confederate Memorial Scholarships

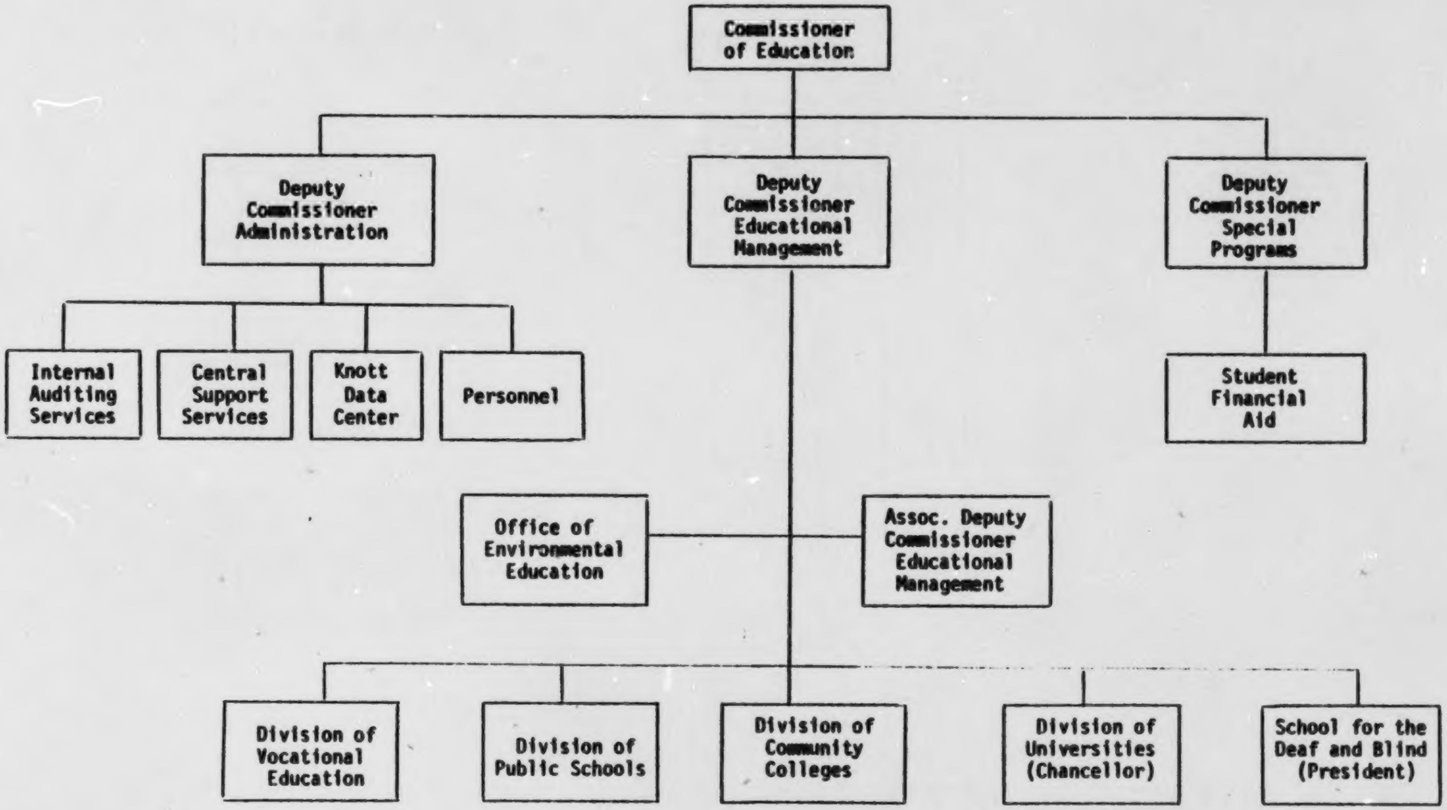
Co-administered between the Bureau of Education for Exceptional Students and Student Financial Aid

1. Exceptional Child Teaching Scholarships

RELATIONSHIPS OF STATE AGENCIES


ELECTORATE
Secretary of State
Attorney General
Comptroller
Governor
Insurance Commissioner and Treasurer
Commissioner of Agriculture
Commissioner of Education
Department of Education
Department of Business Regulation
Department of Commerce
Department of Community Affairs
Department of Health and Rehabilitative Services
Department of Transportation

STATE AGENCY ORGANIZATION


Commissioner of Education
Deputy Commissioner Administration
Deputy Commissioner Educational Management
Deputy Commissioner Special Programs
Internal Auditing Services
Central Support Services
Knott Data Center
Personnel
Student Financial Aid
Office of Environmental Education
Assoc. Deputy Commissioner Educational Management
Division of Vocational Education
Division of Public Schools
Division of Community Colleges
Division of Universities (Chancellor)
School for the Deaf and Blind (President)

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X	X	
• Determination of Award Size	X	X	
• Assignment of Priority to Awards	X		Pursuant to [illegible] Legislative direction or "intent."
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - One who carries at least one-half of the normal full-time course work or other required activity as determined by an institution.	Interpretation	Yes	Yes	Yes
State Resident - A citizen or permanent resident of the United States who is domiciled in the State of Florida. A student must have been a resident of the State of Florida for one year to qualify for the Florida Insured Student Loan Program. A student must have been a resident for two years to qualify for the Florida Student Assistance Grant Program.	Law	Yes	Yes	Yes
Independent Student - One who is self-supporting. The State of Florida uses the federal guidelines.	Law and Interpretation	Yes	Yes	Yes
Non-Profit Institution - Federal definition as defined in Section 1201 of the Higher Education Act of 1965, as amended.	Law and Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - In the student assistance grant program a student must earn a minimum of 24 semester or 36 quarter hours with a 2.0 or better GPA each academic year to qualify for renewal consideration.	Law and Interpretation	Yes	Yes	Yes
United States Citizenship - Implied.	Law and Interpretation	Yes	Yes	Yes

FLORIDA B4

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Adequate	Summaries Regulations Announcements	Adequate
BEOG Office	Inadequate	Magnetic Tapes Out of BEOG Applications Summaries	Marginal
Regional OE Student-Assistance Office (Campus-Based Programs)	Adequate	Adequate, if requested Marginal, if voluntary	Marginal[1]
Washington OE Office (Campus-Based Programs)	Not Applicable	Marginal	Marginal
FISL/GSL Office	Adequate	Adequate	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Adequate	Adequate	Adequate
Private Institutions	Adequate	Adequate	Adequate
Governor/Budget Office	Adequate	Adequate	Adequate
State Legislature (Including Committee Staffs)	Adequate	Adequate	Adequate

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[1] Do not receive program funding information for state.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

FLORIDA B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	30	4 FTE
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	25	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	10	1 FTE
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	10	1 FTE
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	25	3 FTE
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
SEPTEMBER - 1976	Agency budget presented to governors office or budget bureau
OCTOBER - 1976	Agency completes planning workshops for students or counselors
NOVEMBER - 1976	1) Agency budget presented to legislature 2) Agency makes student-aid applications available
JANUARY - 1977	BEOG applications information received from federal office
MARCH - 1977	Deadline for student-aid applications
JUNE - 1977	Legislature appropriates student assistance funds
JULY - 1977	Agency (or institutions) announces award winners

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes	Institution, State	
2. Match Against BEOG Applications?	Yes	Institution, State	
3. Match Against Institutional Student Records?	Yes	Institution, State	
4. Warnings of Prosecution?	Yes	Institution, State	
5. Prosecution?	Yes	Institution, State	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes	Institution, State	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes	Institution, State	
4. Check on Academic Progress Before Award?	Yes	Institution, State	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes	Institution, State	
2. Match Against BEOG, Campus-Based Award Files?	Yes	Institution, State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?		Institution	
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?		Institution	
2. Aid Officer Bonding?		Institution	
3. Aid Officer Certification?		Institution	
4. Double Signatures on All Fund Transfers?		Institution	
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State	
2. Bonding of Staff Members?	Yes	State	
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	
2. College Financial-Aid Officers	X	X	X		X	
3. Direct Mailings to Graduating High School Students or Parents	X	X	X		X	
4. High School Meetings or Workshops	X	X	X		X	
5. College Admissions Office	X	X	X		X	
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X	X	X		X	
9. Other (Please Specify) Public Television Programs			X		X	

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Florida Student Assistance Grants - A grant program which provides assistance to resident students with the greatest amount of unmet (relative) need. Students must attend in-state post-secondary schools.

Seminole-Miccosukee Indian Scholarships - A direct grant award program for needy Seminole and Miccosukee Indians living within the boundaries of the State of Florida and attending accredited in-state schools.

Florida Insured Student Loans - A loan program which provides financial assistance to enable state residents to study at approved state institutions.

Florida Student Loans - A state funded program providing financial need loans up to $1800 yearly for educational expenses. Loans were awarded to resident students at in-state public schools. The program is being phased out.

Scholarships for Children of Deceased and Disabled Veterans - An assistance grant program for resident dependents of deceased and disabled Veterans, MIAs and POWs attending in-state public schools.

Confederate Memorial Scholarships - An educational grant assistance program designed to aid resident students who are lineal descendants of confederate soldiers or sailors and who are attending in-state public schools.

Exceptional Child Teaching Scholarships - A tuition reimbursement program enabling certified teachers of exceptional children to pursue additional courses in exceptional child education so that their teaching certificates can be extended to include eligibility to teach in specified shortage areas. Grants are awarded to resident students attending in-state public and private universities and four-year schools.

BASIC STATE PROGRAM INFORMATION

FLORIDA D2

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Florida Student Assistance Grants	1972-73	283	$1,200	$ 340,000	Resident Undergraduates
	1975-76	4,462	$1,031	$ 4,598,493	
Seminole-Miccosukee Indian Scholarships	1970-71	2	$ 600	$ 1,200	Seminole and Miccosukee Indians
	1975-76	3	$ 600	$ 1,800	
Loans					
Florida Insured Student Loans	1972-73	1,066	$ 740	$ 789,075	Residents Studying at Approved In-State Institutions
	1975-76	8,789	$1,655	$14,545,672	
Non Need-Based					
Scholarships for Children of Deceased and Disabled Veterans	1971-72	27	$ 350	$ 10,000	Dependents of Veterans/POWs/MIAs
	1975-76	42	$ 450	$ 25,000	
Exceptional Child Teaching Scholarships	1971-72	912	$ 115	$ 105,000	Teachers of Exceptional Children
	1975-76	850	$ 132	$ 112,620	
Confederate Memorial Scholarships	1971-72	27	$ 150	$ 4,000	Lineal Descendents of Confederate Soldiers and Sailors
	1975-76	27	$ 150	$ 4,000	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Florida Student Assistance Grants	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	$200
Seminole-Miccosukee Indian Scholarships	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	-0-
Loans						
Florida Insured Student Loans	Residents attending In-State	Half-Time Full-Time	All	Yes	Not Applicable	Not Applicable
Non Need-Based						
Scholarships for Children of Deceased or Disabled Veterans	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Exceptional Child Teaching Scholarships	Residents attending In-State	Part-Time	Graduates[1]	No	Not Applicable	Not Applicable
Confederate Memorial Scholarships	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable

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[1] Post-baccalaureate, not necessarily "graduate."

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Florida Student Assistance Grants	Public Private	Public	No	No	Hospital Schools of Nursing
Seminole-Miccosukee Indian Scholarships	Public Private	Public	No	No	No
Loans					
Florida Insured Student Loans	Public Private	Public	Public	Yes	Hospital* Schools of Nursing
Non Need-Based					
Scholarships for Children of Deceased and Disabled Veterans	Public	Public	No	No	No
Exceptional Child Teaching Scholarships	Public Private	No	No	No	No
Confederate Memorial Scholarships	Public	Public	No	No	No

*Under Florida law, residents attending a non-Florida institution under the auspices of the Southern Regional Education Board Interstate Compact are eligible for loans.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Florida Student Assistance Grants	Need = Educational Costs - Total Family Contribution	Yes	Uniform Methodology	General Student Costs[1]
Seminole-Miccosukee Indian Scholarships	Need = Educational Costs - Total Family Contribution	No	Uniform Methodology	General Student Costs
Loans				
Florida Insured Student Loans	Federal definition for federally insured student loan program	No	Uniform Methodology	General Student Costs

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[1] Instructional and non-instructional educational costs.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Florida Student Assistance Grants	$1,200	Amount of need. No less than $200--no more than $1,200.	Renewal applicants first. Then others showing the greatest amount of relative need in descending order of need.	Note 1
Seminole-Miccosukee Indian Scholarships	$ 600	Amount of need not to exceed $600.	Renewals--others on a first come-first awarded basis.	State
Loans				
Florida Insured Student Loans	$2,500	Cannot exceed need or educational costs--maximum of $2,500. Gross adjusted annual income of parents or applicant cannot exceed $25,000.	Qualified applicants are awarded on a first come, first served basis.	State
Non Need-Based				
Scholarships for Children of Deceased and Disabled Veterans	Tuition & Fees	Amount of tuition and fees.	Not Applicable	Institution
Exceptional Child Teaching Scholarships				
Confederate Memorial Scholarships	$150/year	$150 per academic year.	Qualified applicants are awarded on a first come, first served basis.	State

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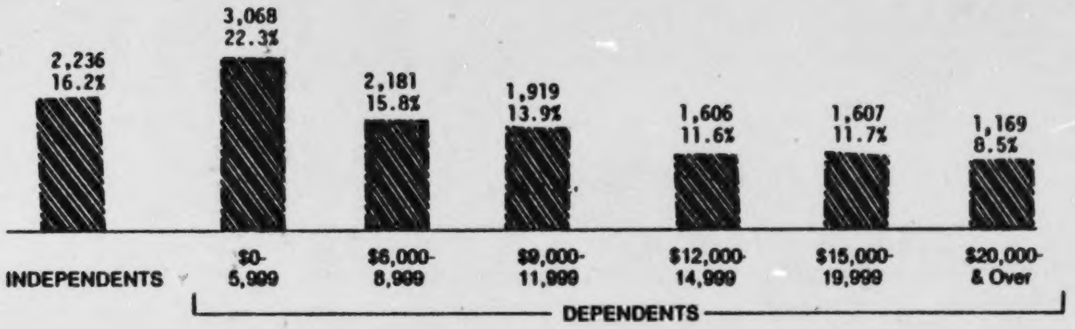
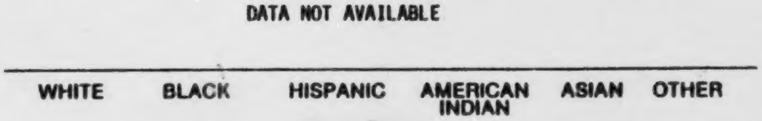
[1] State recommends award amount. Institution adjusts award if need has been exceeded.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

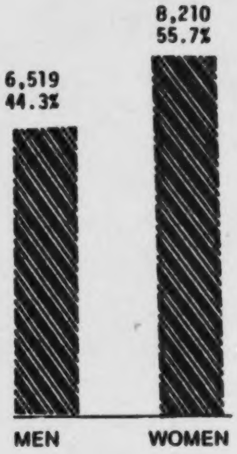
FLORIDA F1



Income Level



Ethnic Status

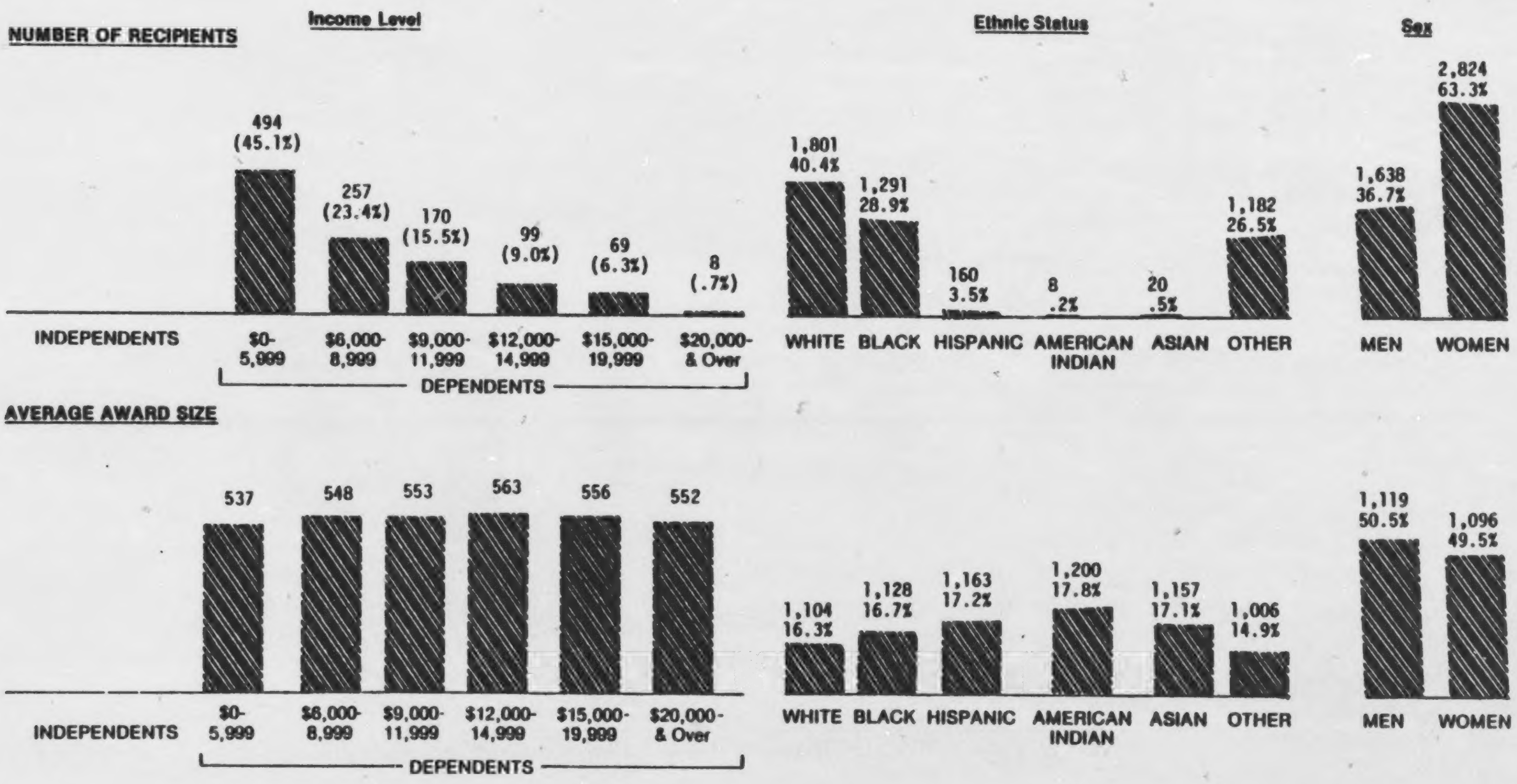


Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

FLORIDA

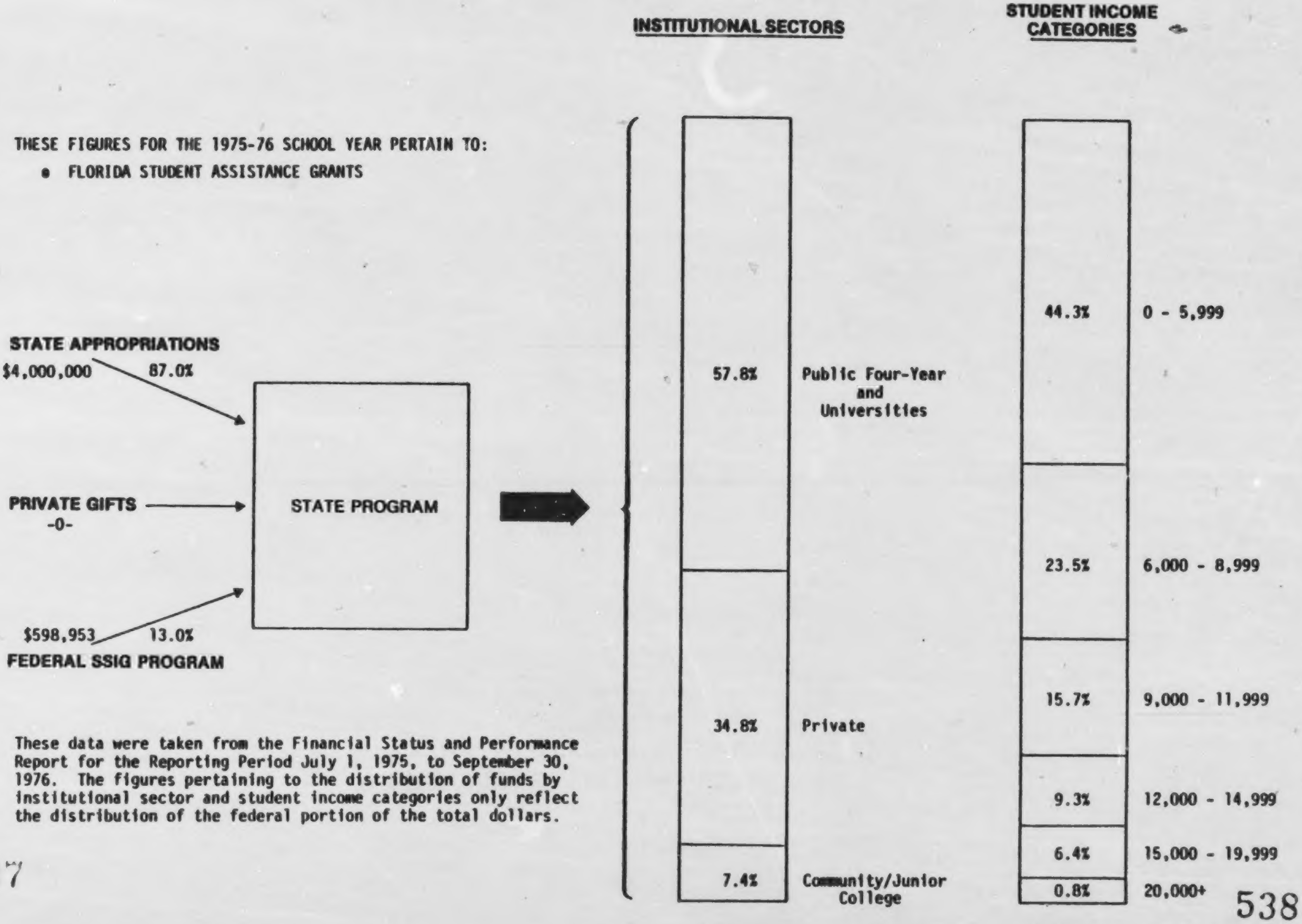


These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and only reflect the distribution of the federal portion of the "Number of Recipients" and "Average Award Size." These data do not differentiate between dependend and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

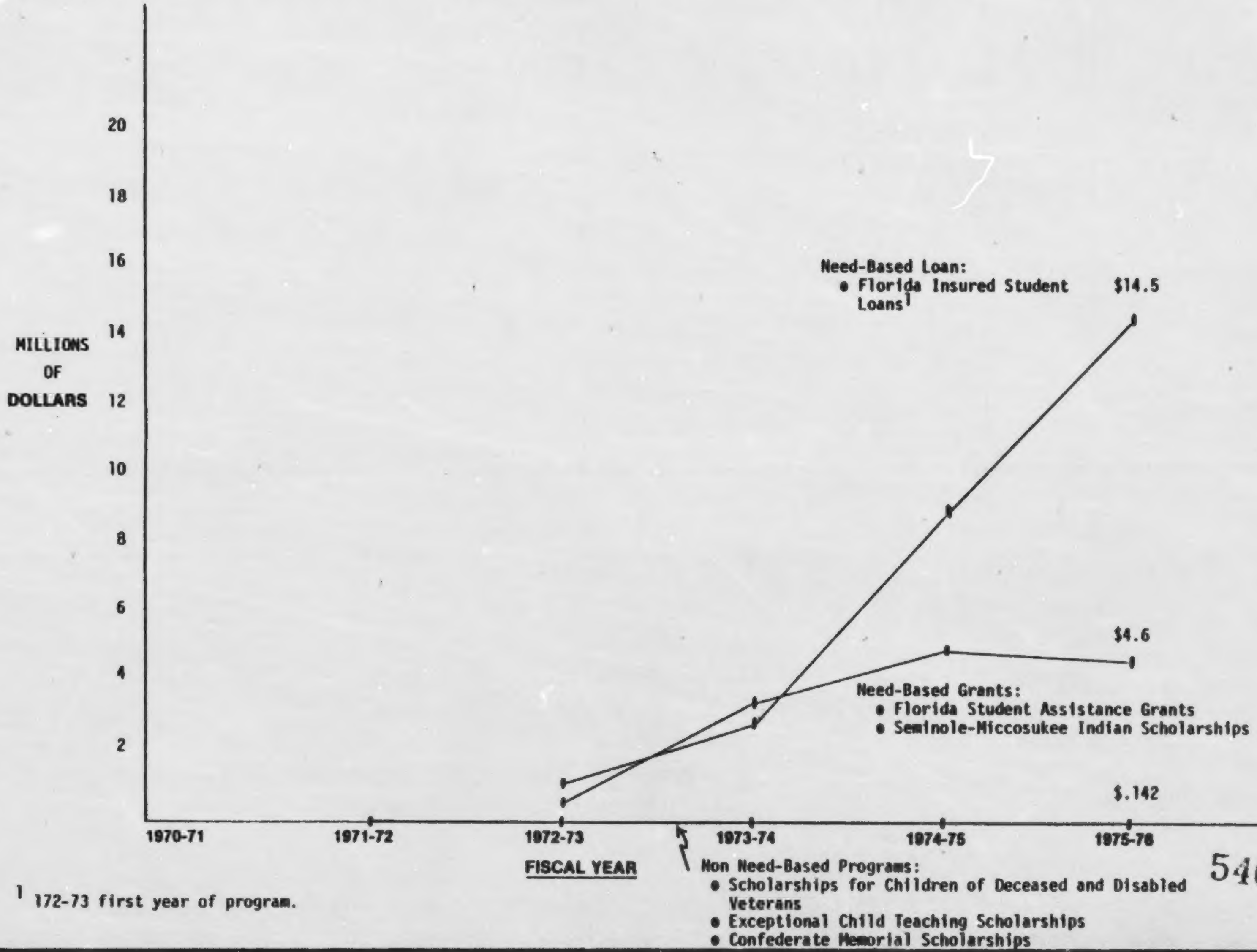
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- FLORIDA STUDENT ASSISTANCE GRANTS



These data were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income categories only reflect the distribution of the federal portion of the total dollars.

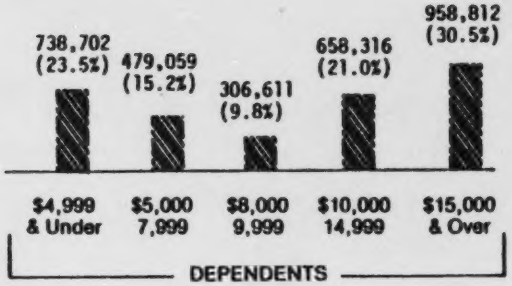
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 172-73 first year of program.

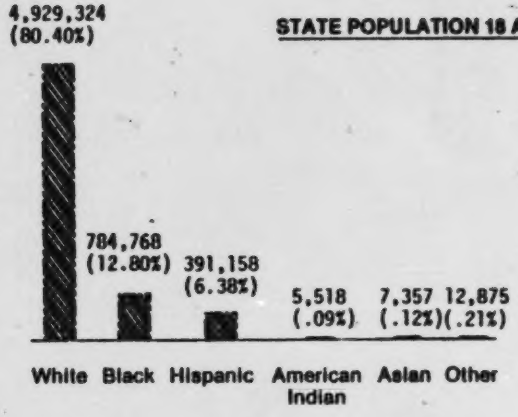
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

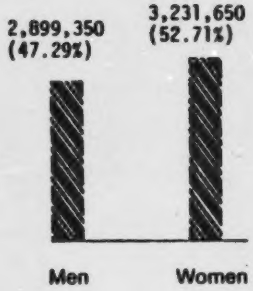


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

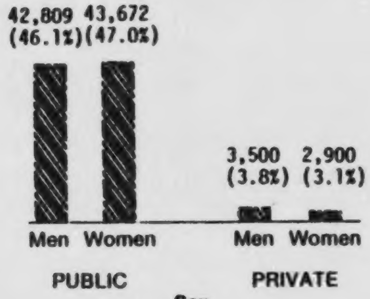


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 12,589 persons granted a high school equivalency certificate.

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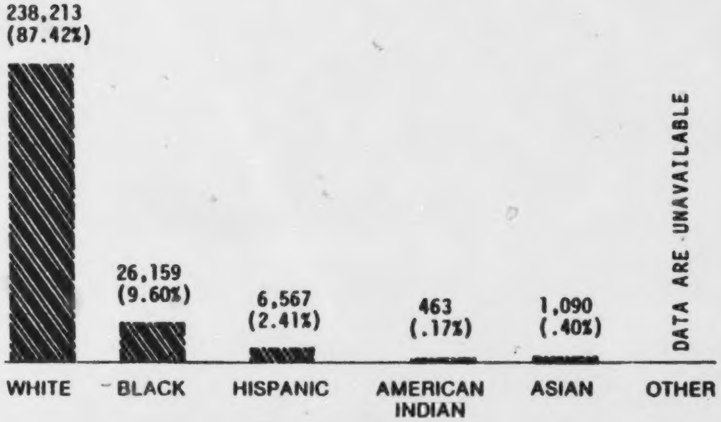
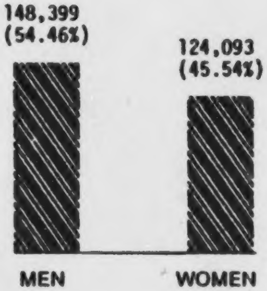
[1] (c) Sales and Marketing Management Survey of Buying Power Data Service

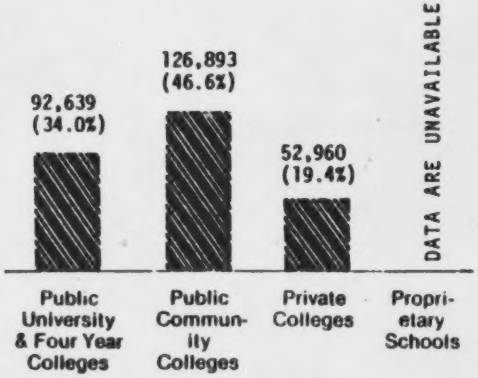
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



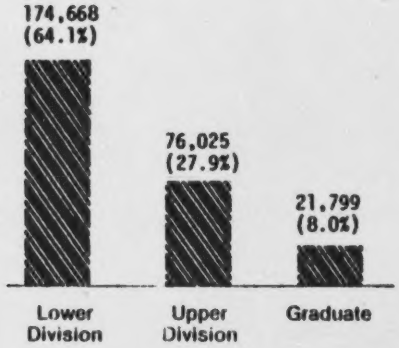
Ethnic Status



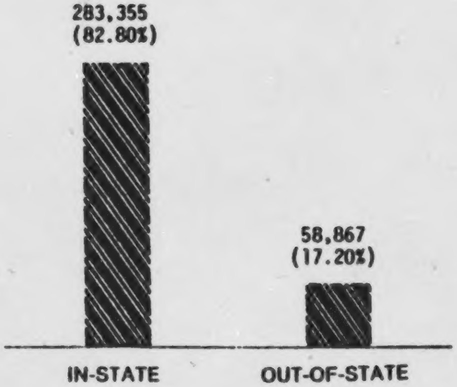
Sex



Institutional Sector

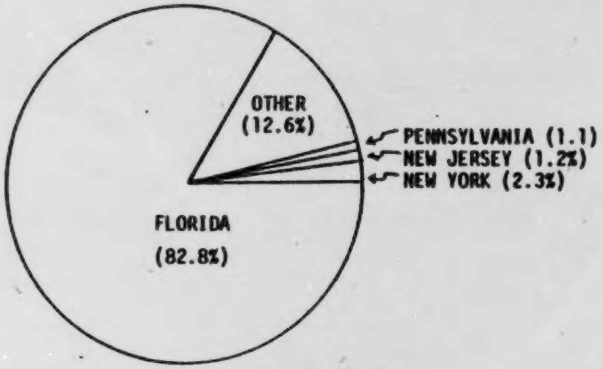


Student Level

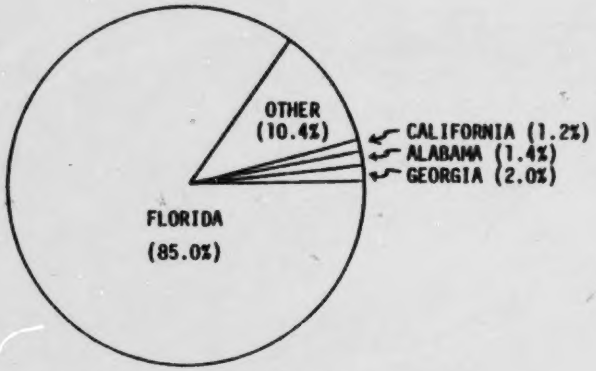


Residency

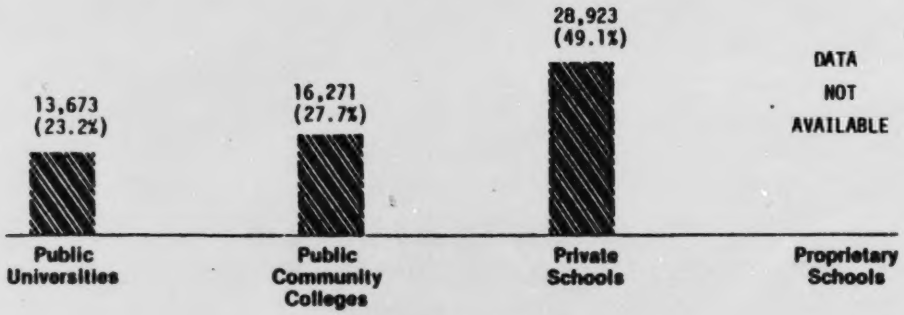
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS
ATTENDING IN-STATE INSTITUTIONS

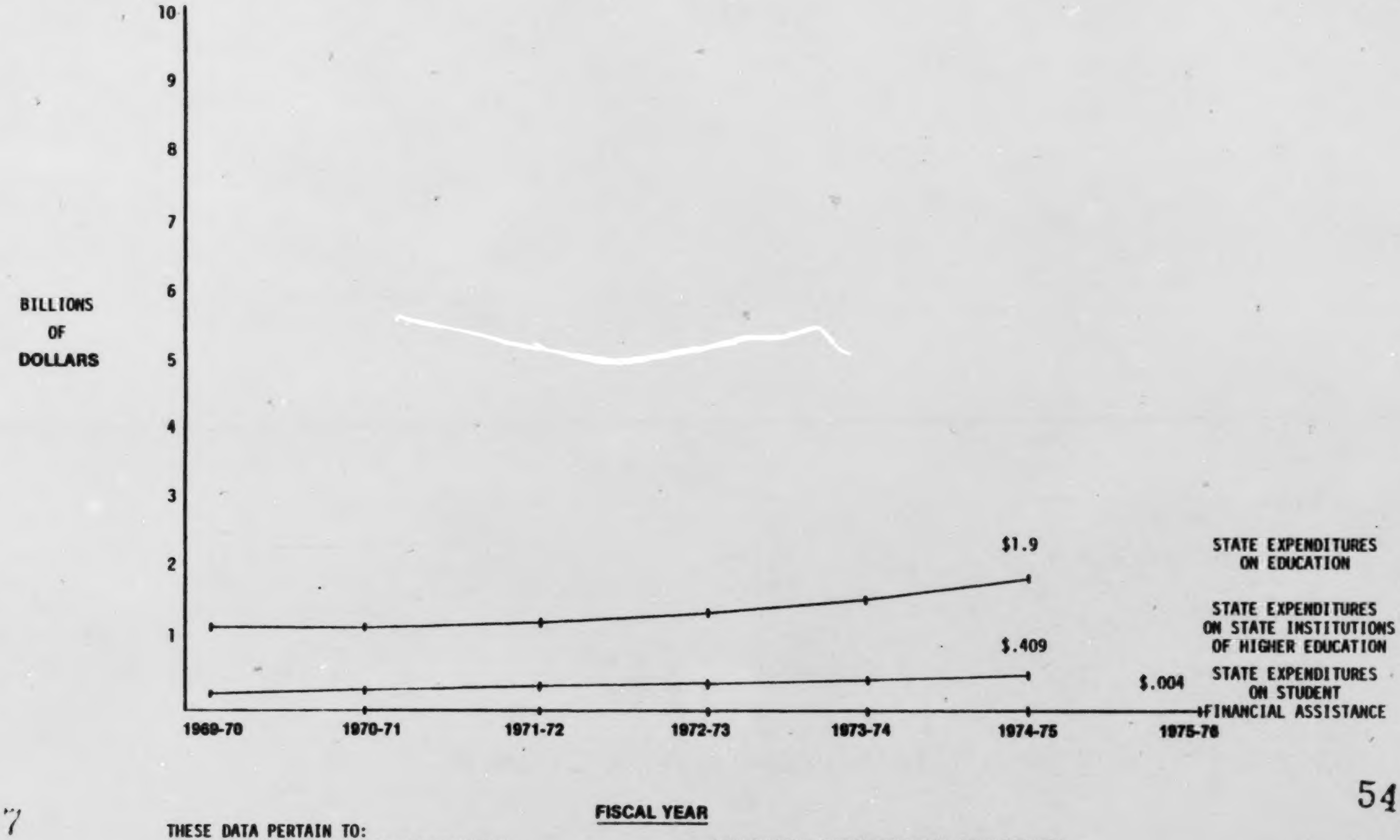


RESIDENT STUDENTS ATTENDING IN-STATE
AND OUT-OF-STATE INSTITUTIONS



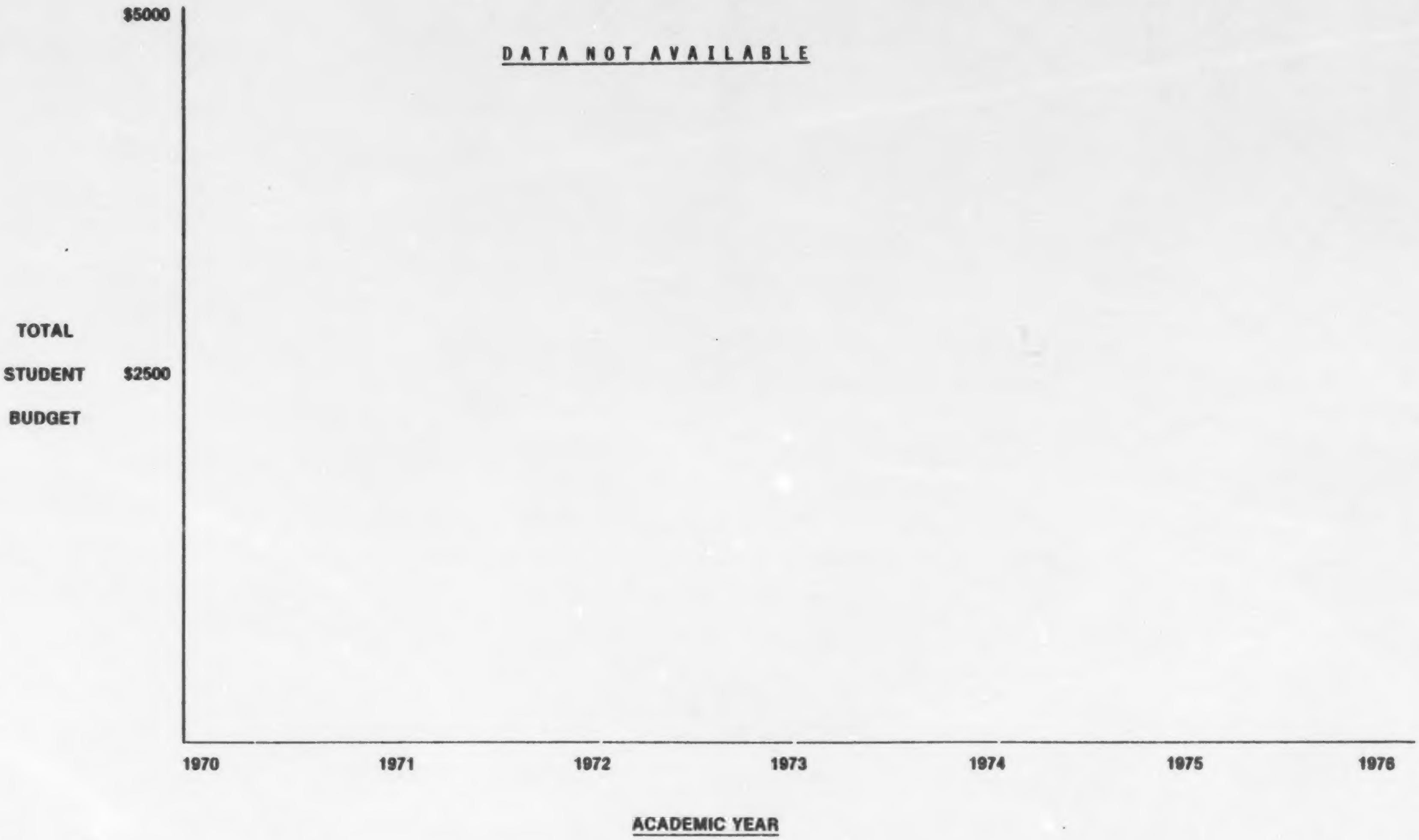
INSTITUTIONAL SECTOR
(Out-of-State Students In School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



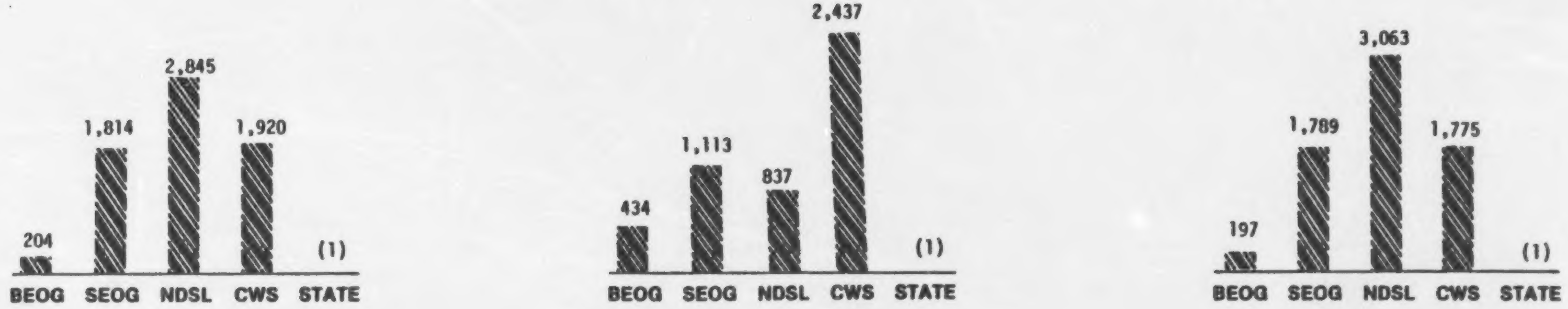
THESE DATA PERTAIN TO:
- FLORIDA STUDENT ASSISTANCE GRANTS
- SEMINOLE-MICCOSUKEE INDIAN SCHOLARSHIPS
- SCHOLARSHIPS FOR CHILDREN OF DECEASED AND DISABLED VETERANS
- EXCEPTIONAL CHILD TEACHING SCHOLARSHIPS
- CONFEDERATE MEMORIAL SCHOLARSHIPS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)



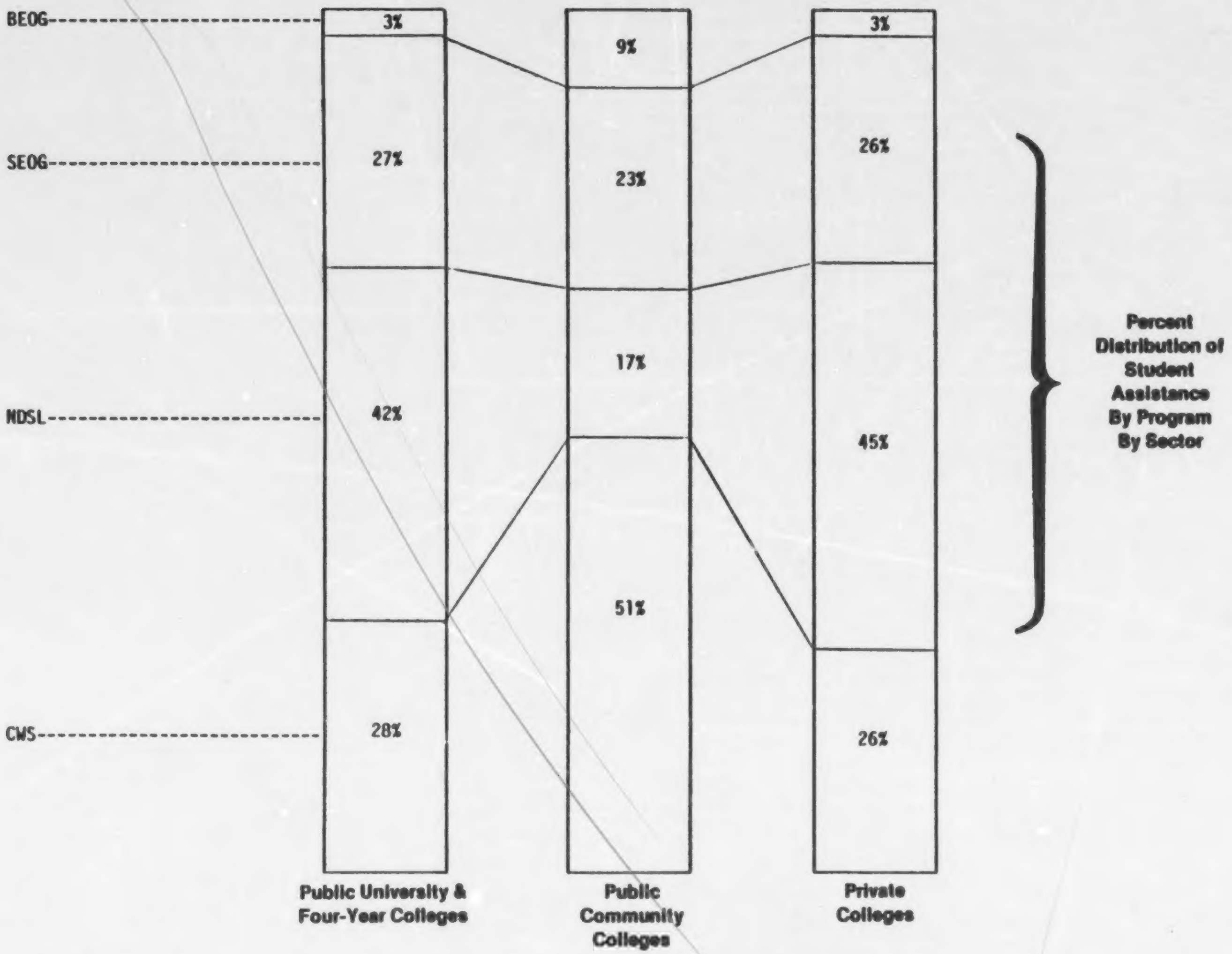
Public University and Four-Year Colleges

Public Community Colleges

Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The State Scholarship Commission, the Higher Education Assistance Authority, and the Higher Education Assistance Corporation are distinct legal entities; however, they are in effect one centralized agency with a common board of directors and executive director. All state appropriations are made through the Commission. All personnel are employees of the Commission and it provides all personnel and funds to carry out operations of the Authority and Corporation.

The Higher Education Assistance Authority is a "public corporation" assigned to the Commission for administrative purposes only.

1. Incentive Scholarship Program
2. Independent College Tuition Equalization Grant Program
3. Law Enforcement Personnel Dependents Scholarship Program
4. State Direct Student Loan Program

Board of Regents and the State Medical Education Board

1. State Medical Education Board Scholarships

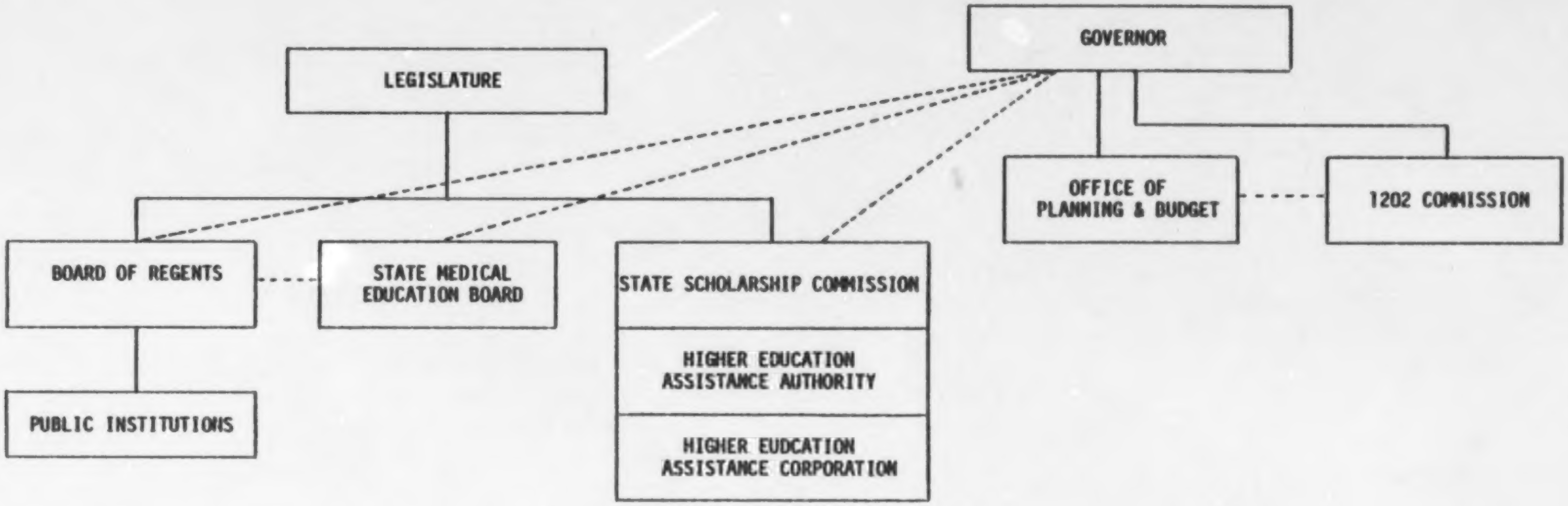
Board of Regents

1. Regents Scholarship Program

Southern Regional Education Board

1. Academic Common Market (SREB)

RELATIONSHIPS OF STATE AGENCIES


GOVERNOR
LEGISLATURE
OFFICE OF PLANNING & BUDGET
1202 COMMISSION
BOARD OF REGENTS
STATE MEDICAL EDUCATION BOARD
STATE SCHOLARSHIP COMMISSION
HIGHER EDUCATION ASSISTANCE AUTHORITY
HIGHER EUDCATION ASSISTANCE CORPORATION
PUBLIC INSTITUTIONS

Governor: Appoints members of Regents, Medical Education Board, and the Directors of the Commission, Authority and Corporation. He can direct planning and coordination of agencies and programs as Governor; recommends funding and laws to legislature regarding programs, planning and coordination.

Legislature: Controls funding, and can control by law both planning and coordination.

Office of Planning and Budget: Advises Governor on planning budget and coordination and program reviews.

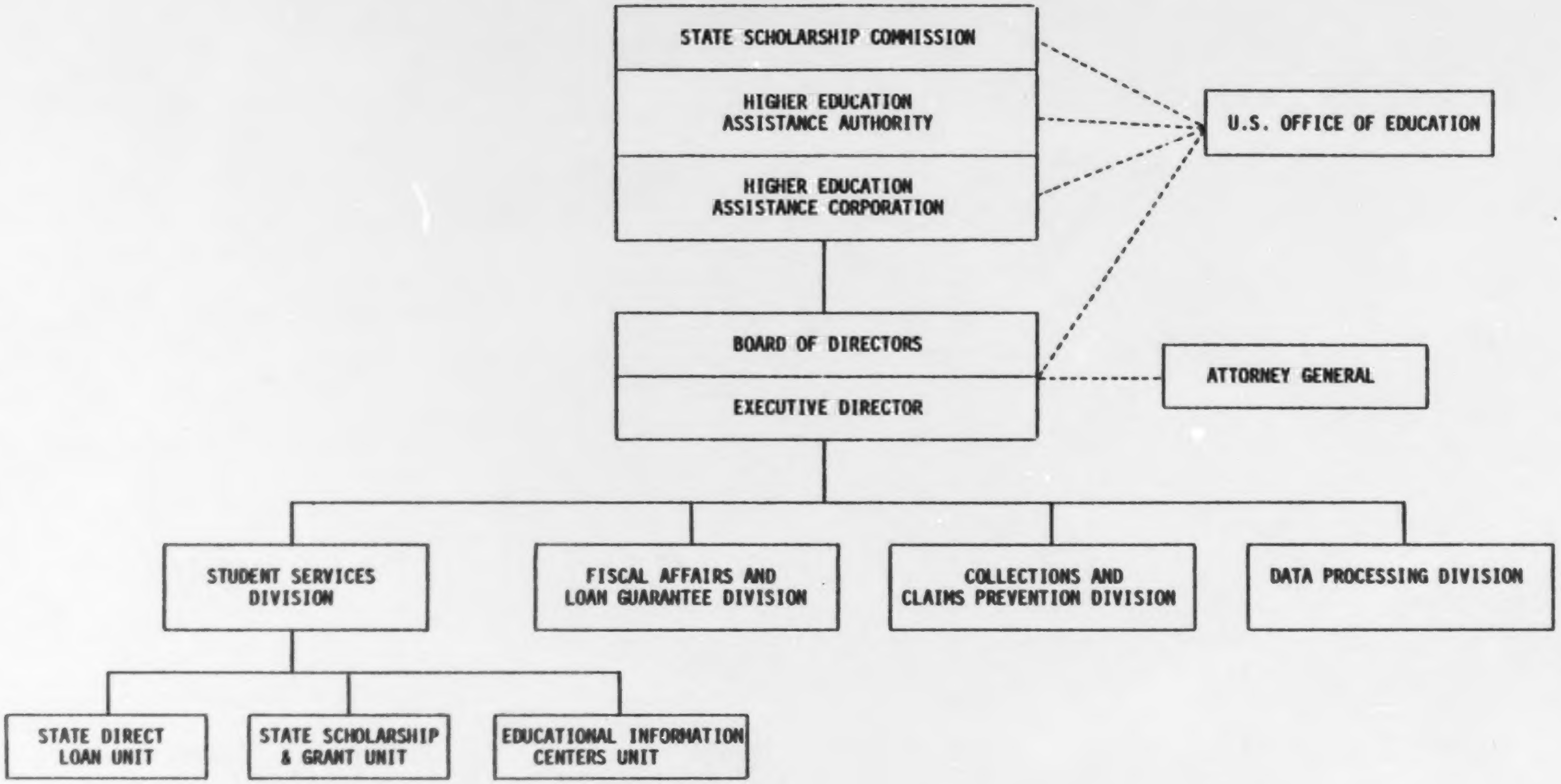
1202 Commission: Is a budget unit of the Office of Planning and Budget but directly advises Governor on planning and coordination and program reviews.

Board of Regents: Administers records on cancellable loans made to medical students selected by the Medical Education Board. Regents allocate Regent Scholarship (cancellable loan) funds to public two- and four-year graduate institutions for their use.

State Medical Education Board: Selects students for medical education cancellable loans. The Regent's office handles the administration of the records.

Higher Education Assistance Corporation: Administers (1) GIS (SSIG) Program, (2) GTG (Private College Grant Program), (3) LEPD (Law Enforcement Dependents, Etc. Program), (4) SDSL (State Direct Loan Program--Mostly Health Career Students), and (5) GSL (Guaranteed Student Loan Program)

STATE AGENCY ORGANIZATION


STATE SCHOLARSHIP COMMISSION
HIGHER EDUCATION ASSISTANCE AUTHORITY
HIGHER EDUCATION ASSISTANCE CORPORATION
U.S. OFFICE OF EDUCATION
BOARD OF DIRECTORS
EXECUTIVE DIRECTOR
ATTORNEY GENERAL
STUDENT SERVICES DIVISION
FISCAL AFFAIRS AND LOAN GUARANTEE DIVISION
COLLECTIONS AND CLAIMS PREVENTION DIVISION
DATA PROCESSING DIVISION
STATE DIRECT LOAN UNIT
STATE SCHOLARSHIP & GRANT UNIT
EDUCATIONAL INFORMATION CENTERS UNIT

The State Scholarship Commission is a "state agency" through which all state appropriations are made. All personnel are employees of the Commission and it provides all personnel and funds to carry out operations of the Authority and the Corporation. The Commission itself now administers only the Law Enforcement Personnel Dependents Program.

The Higher Education Assistance Authority is a "public corporation" assigned to the Commission for administrative purposes only--and administers the GIS (SSIG) program, the Private College Tuition Education Grant Program and the State Direct Guaranteed Loan Program.

The Higher Education Assistance Corporation is a "public corporation" assigned to the Commission for administrative purposes only--and administers the Guaranteed Student Loan Program. The Authority is an eligible lender under that program.

Although the Commission, the Authority, and the Corporation are distinct legal entities, they are in effect one centralized agency. The three agencies have a common board of directors, a common executive director, and the organization is set up and operates on a "functional basis"--without regard to the three entities or the multiple programs.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information[1]			
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

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[1] Verification procedures are now under discussion. State legislation may be required to obtain certain verification data.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - Means a student who is enrolled or who intends to enroll and carry at least one-half the normal academic workload of a full-time student.	Interpretation	Yes	Yes	Yes[1]
State Resident - Means a citizen of the United States who is domiciled in the State (of Georgia).	Law	Yes	Yes	Yes
Independent Student - Means a self-supporting student who did not or will not reside with, receive $600 or more in support, or be claimed as an income tax excemption by anyone other than himself (or spouse) during the current or immediately preceding calendar year.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - Means an institution owned and operated by one or more persons, or non-profit corporation or association no part of the net earnings of which inures, or may hopefully inure, to the benefit of such person or any private shareholder or individual.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - Means satisfactory academic progress in a course of study, as determined by the institution at which the student is enrolled.	Interpretation	Yes	Yes	Yes[2]
United States Citizenship - Definition not given.	Law	Yes	Yes	Yes

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[1] Half-time students are not eligible under state grant programs.

[2] GSL program now requiring substantial advancement to be eligible for additional loans.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate
BEOG Office		Summaries Recipients Applications Regulations	Adequate[1]
Regional OE Student-Assistance Office (Campus-Based Programs)			Inadequate
Washington OE Office (Campus-Based Programs)			Inadequate
FISL/GSL Office	Summaries Litigation Regulations	Summaries Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Applications Regulations Terminations	Litigation (occasional) Terminations	Inadequate[2]
Private Institutions	Summaries Recipients Applications Regulations Terminations	Litigation (occasional) Terminations	Inadequate[2]
Governor/Budget Office	Summaries Regulations		Adequate
State Legislature (Including Committee Staffs)	Summaries Regulations	Regulations	Adequate

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[1] A change in direction of flow as regards application processing or data entry would perhaps be more effective.

[2] A combination of listings and individual student notices regarding state awards are involved, but needs to be improved upon.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	29	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	2	Purely in-house response to telephone and mail requests for information. See "Public Relations and Information Dissemination" below.
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5	These persons also do P.R. and Information Dissemination work in connection with reviews and audits.
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	16	This overlaps with "Responding to Requests for Information" above. It is hard to separate these two items.
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	30	Includes Collections Division personnel.
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	18	Includes Central Accounting and EDP Systems personnel.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

GEORGIA B6

MONTH/YEAR	EVENTS
SEPTEMBER - 1975	Agency budget presented to governors office or budget bureau
DECEMBER - 1975	Agency completes planning workshops for students or counselors
JANUARY - 1976	Agency budget presented to legislature
FEBRUARY - 1976	BEOG applications information received from federal office
APRIL - 1976	1) Legislature appropriates student assistance funds 2) Agency makes student-aid applications available
MAY-SEPTEMBER - 1976	Agency (or institutions) announces award winners
AUGUST - 1976	Deadline for student-aid applications
ONGOING	Award changes and adjustments are made as necessary throughout the year

2) This program has been phased in an on academic level basis during the past three years, thus delaying distribution of applications until after the legislature determined the level of funding and the academic level through which funding would be provided. Beginning with AY 1977-78, the program will cover all undergraduate level students for the first time. Having reached this point, the agency plans to advance its calendar for AY 1978-79 and have applications ready for distribution in January 1978.

Subsequent awards are made if funds become available due to dropouts, etc. Recalculations are made where necessary.

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			Anticipate doing this in FY 79.
2. Match Against BEOG Applications?	Yes, Sample[1]	State	Initiate in FY 78.
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?	Yes	State	Application delayed or denied.
5. Prosecution?	Yes	State	Refer to Attorney General, FBI, etc., as appropriate.
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	Checks returned if not enrolled.
2. Check on Mid-Term Attendance?	Yes, All	Institution, State	
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?	Yes, All	Institution, State	Deny if academic progress is not satisfactory.
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?			
2. Match Against BEOG, Campus-Based Award Files?			
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, Individual	Institution, State	Award recalculated if error is reported or found, different BEOG award, etc.
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, All	State	Note 2
2. Aid Officer Bonding?			
3. Aid Officer Certification?	Yes, All	State	Corrected as may be necessary.
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	Performed by state auditor from both a financial and management point of view. Action as may be appropriate.
2. Bonding of Staff Members?	Yes, All	State	
3. Double Signatures on All Fund Transactions?			

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[1] 5% or more.

[2] To get underway in FY 78 by agency personnel.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	Note 1	X	X
2. College Financial-Aid Officers	X	X	X		X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X		X	X
6. Newspaper Ads						
7. Radio, Television Ads	X	X	X			
8. Social Service Agencies[2]	X	X	X		X	X
9. Other (Please Specify) Regional Staff Personnel[3]	X	X	X	X	X	X

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[1] Intend to do this in the future.

[2] Assisted or served by regional staff personnel.

[3] Regional staff personnel serve students and parents, high school counselors, and public at large on an individual and group basis throughout the state.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Georgia Incentive Scholarship Program (SSIG Program) - A need-based grant program to increase accessibility of resident students to in-state postsecondary educational institutions by providing financial assistance.

Independent College Tuition Equalization Grant Program - A grant program to increase financial access and provide a degree of choice to resident students desiring to attend an in-state private college by providing non-need based equalization grants to each student. This program thereby lessens the cost to the state of having such increasing numbers of students attending public institutions of higher education.

Law Enforcement Personnel Dependents Scholarship Program - A grant program to assure financial access to postsecondary education to children of law enforcement officers, firemen and prison guards permanently disabled or killed in the line of duty, in recognition of their services of such parent to the public. Recipients must be state residents and may attend in-state or out-of-state public or private institutions or hospital schools of nursing.

State Direct Student Loan Program - A conditional loan program to meet (1) the public demand and need for skilled manpower in certain critical paramedical, professional and educational fields of study by providing financial aid to those resident students with need and who express a willingness to practice their profession in an underserved area of the state; and (2) to secondarily provide loan assistance to some general studies resident students unable to obtain a loan from a commercial lender. Loans made to students in critical occupational fields may be cancelled if the student practices his profession in an underserved area. Each year of such practice cancels the amount of loan received for an academic year of study. Otherwise, such loans are repayable in cash with interest at 7% per annum.

Georgia State Medical Education Board Scholarships - A conditional loan program to provide health care delivery to underserved rural areas of the state by providing assistance to needy resident students enrolled in medical colleges and who express willingness to practice their profession in a community having a population under 15,000, or in a state institution under the control of the State Department of Human Resources Policy. Each year of approved practice by a recipient cancels one-fifth of the total amount of loan assistance received. Otherwise, such loans are repayable in cash with interest at 8% per annum.

Academic Common Market (SREB) - A tuition-reciprocity program to share among the states in the area served by the Southern Regional Education Board (SREB), certain post-baccalaureate academic programs at the least possible cost to each of the participating states and at the least possible cost to their residents pursuing those programs outside of their own states. Students are enrolled on an in-state tuition basis.

Regents Scholarship Program - A conditional loan program to aid local retention of academically gifted students by providing assistance to such students with superior academic ability who have need and express a willingness to practice their profession within the state upon graduation. Recipients must attend in-state public institutions. Each year the student practices his/her profession within the state serves to cancel $1000 of the total amount of loan assistance received. Otherwise, such loans are repayable in cash with interest at 3% per annum.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Georgia Incentive Scholarship Program (SSIG Program)	1974-75	2,692	$ 244	$ 656,697	Resident Undergraduates
	1975-76	4,243	$ 275	$1,168,042	
Loans					
State Direct Student Loan Program	1970-71	222	$ 900	$ 200,000	Paramedical, Professional and Educational Students
	1975-76	1,817	$1,186	$2,155,500	
Georgia State Medical Education Board Scholarships	1970-71	88	$2,500	$ 219,500	Resident Medical Students
	1975-76	138	$2,500	$ 345,000	
Regents Scholarship Program	1970-71	470	$ 425	$ 200,000	Resident Students
	1975-76	470	$ 425	$ 200,000	
Non Need-Based					
Independent College Tuition Equalization Grant Program	1972-73	6,215	$ 400	$2,486,094	Resident Undergraduates
	1975-76	13,971	$ 430	$6,007,342	
Law Enforcement Personnel Dependents Scholarship Program	1971-72	3	$2,000	$ 6,000	Dependents of Law/Fire Officers and Prison Guards
	1975-76	6	$2,000	$ 12,000	
Academic Common Market (SREB)	1975-76	26	Not Applicable	Not Applicable	Resident Graduate Students in Selected Fields of Study

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Georgia Incentive Scholarship Program (SSIG Program)	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Given	$250
Loans						
State Direct Student Loan Program	Residents attending In/Out of State	Half-Time Full-Time	All	Yes	Not Given	Not Given
Georgia State Medical Education Board Scholarships	Residents attending In/Out of State	Full-Time	Graduates	Yes	Not Given	$2,500
Regents Scholarship Program	Residents attending In-State	Full-Time	All	Yes	Not Given	Not Given
Non Need-Based						
Independent College Tuition Equalization Grant Program	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
Law Enforcement Personnel Dependents Scholarship Program	Residents attending In/Out of State	Full-Time	All	No	Not Applicable	Not Applicable
Academic Common Market (SREB)	Residents attending Out-of-State Schools	Half-Time Full-Time	Graduates	Not Applicable	Not Applicable	Not Applicable

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Georgia Incentive Scholarship Program (SSIG Program)	Public Private	Public Private	Public	No	Hospital Schools of Nursing
Loans					
State Direct Student Loan Program	Public Private	Public Private	Public	Yes	Hospital Schools of Nursing
Georgia State Medical Education Board Scholarships	---	---	---	---	Medical Schools
Regents Scholarship Program	Public	Public			Public Graduate Schools
Non Need-Based					
Independent College Tuition Equalization Grant Program	Private	Private	No	No	No
Law Enforcement Personnel Dependents Scholarship Program	Public Private	Public Private	Public	No	Hospital Schools of Nursing
Academic Common Market (SREB)	---	---	---	---	Graduate Schools

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Georgia Incentive Scholarship Program (SSIG Program)	Need = Student Budget - (EFC + BEOG + Non-institutional Grant Aid + A Required Self-Help Amount)	No	BEOG (CSS Methodology)	General Student Costs
Loans				
State Direct Student Loan Program	Need = Student Budget - (BEOG + Other State Grant Aid + Any Other Institutional or Non-institutional Grant Aid Reported)	No	BEOG (CSS Methodology)	General Student Costs
Georgia State Medical Education Board Scholarships	Need = Student Budget - (EFC + Any Other Grant Aid Received)	No	BEOG (CSS Methodology)	General Student Costs
Regents Scholarship Program	Need = Student Budget - (BEOG + Other State Institutional or Non-institutional Grant Aid + EFC)	No	BEOG (CSS Methodology)	General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Georgia Incentive Scholarship Program (SSIG Program)	$ 450	30% of Need	Due to present (1) insufficiency of funds to meet the needs of all eligible students, and (2) the relatively low amount of the maximum award, students are urged to apply and file all required data early, and awards are made on a "first completed/first awarded" basis with priority to continuation awards.	State
Loans				
State Direct Student Loan Program	$3,000	Amount of need, but not to exceed one-half of the student's cost of education budget.	Funds are allocated to various fields of study, primarily nursing, and awards are made within the field quotas according to (1) need, and (2) expressed willingness by student to practice profession in an underserved area in the state.	State
Georgia State Medical Education Board Scholarships	$2,500	Need, but not to exceed $2,500/AY.	Need plus expressed willingness to practice in a community having a population under 15,000 or in a state medical institution under the Department of Human Resources.	Not Given
Regents Scholarship Program	$500- Junior College $750- Senior College $1,000- Graduate	Need, not to exceed appropriate maximum amount.	Predicted GPA to be in upper 25% of class, and maintenance of rank in upper 25% of class.	Institution

CONTINUED

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Non Need-Based				
Independent College Tuition Equalization Grant Program	$ 400[1]	As entitlement program, the state legislature determines the amount each year. Current statutory law limits the maximum (that may be appropriated) to $600 per AY.	Not Applicable	State
Law Enforcement Personnel Dependents Scholarship Program	$2,000	The $2,000 is fixed by statutory law.	Not Applicable	State
Academic Common Market (SREB)	Not Applicable	Not Applicable	Not Applicable	Institution[2]

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[1] $500 per AY beginning 1977-78 AY

[2] Acceptance by host institution; if resident of and recommended by exchange (sending) state, entitles recipient to resident institution rate.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

GEORGIA F1

DATA NOT AVAILABLE

	DEPENDENTS					
INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

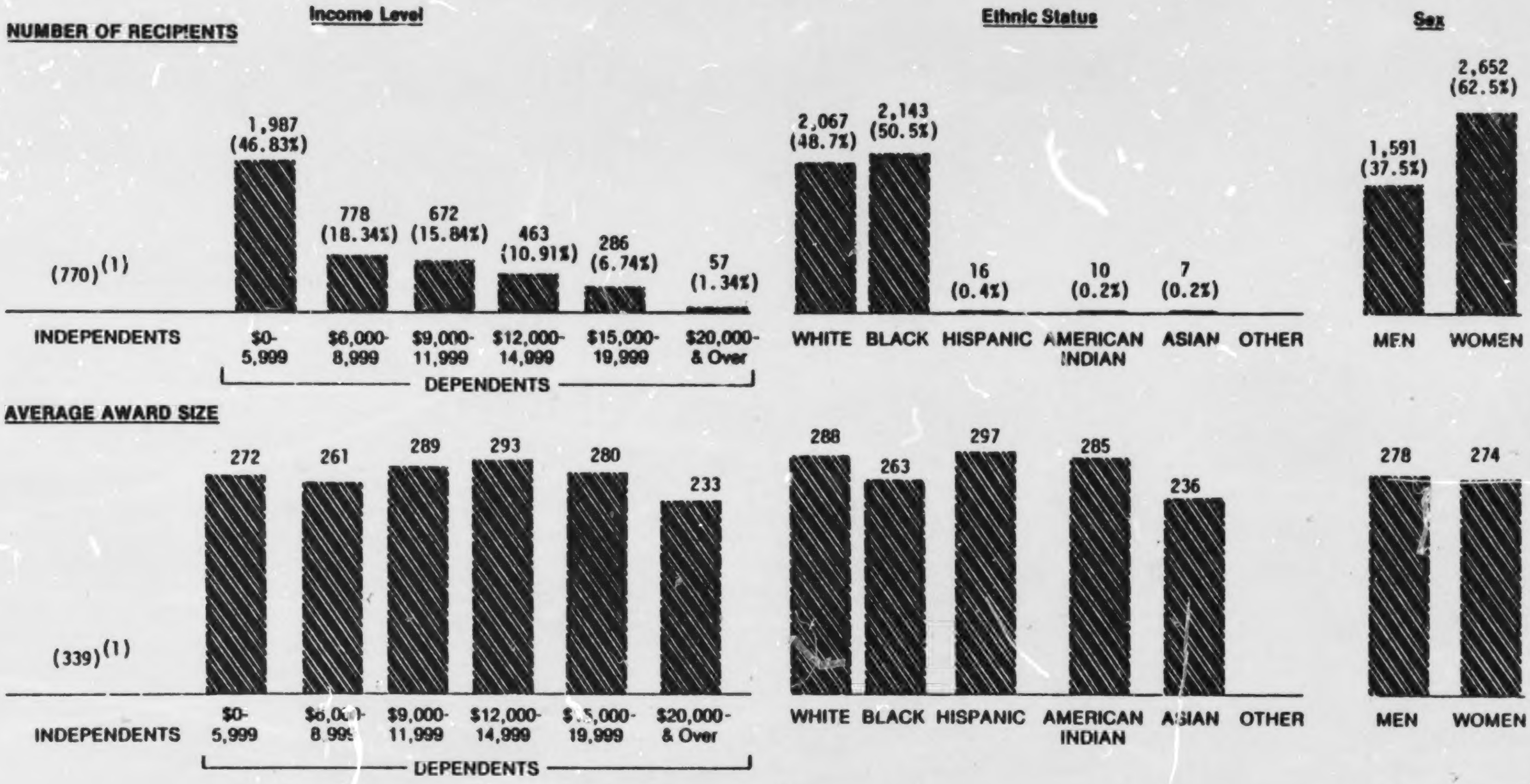
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

GEORGIA

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM (SSIG)

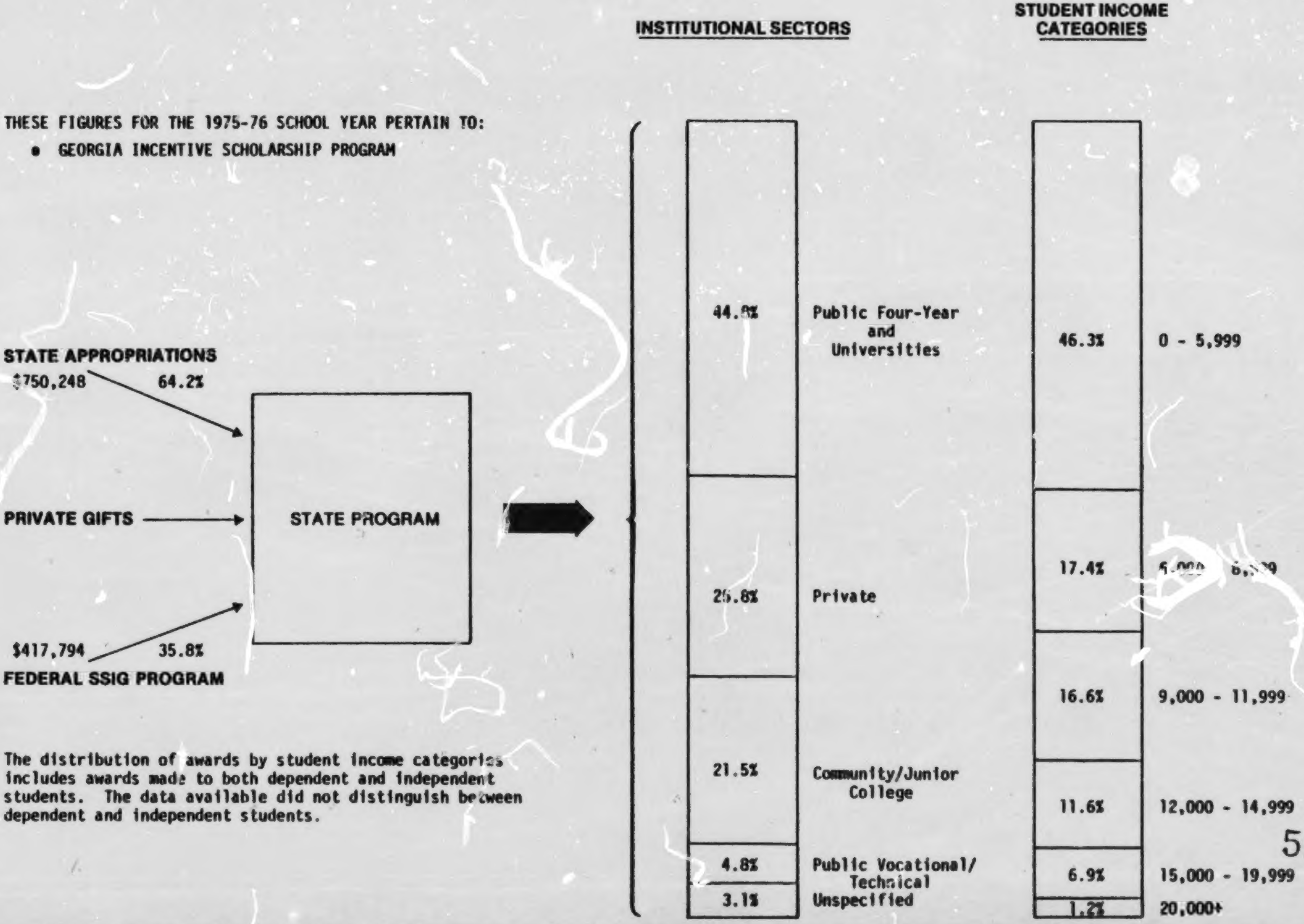


(1) The "Independent" figure is included and calculated in the "Dependent" figure. This figure is merely for information.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

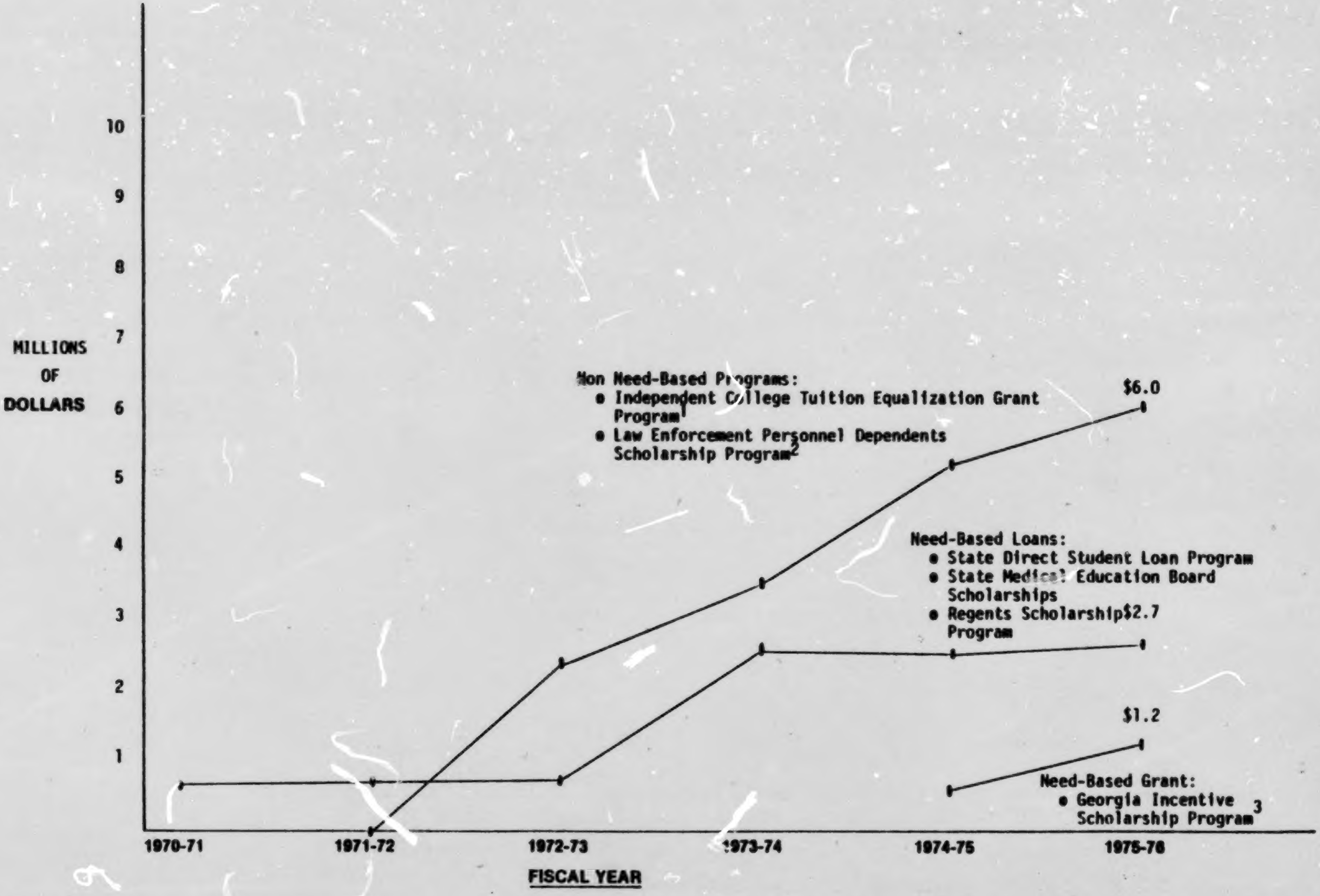
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM



The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



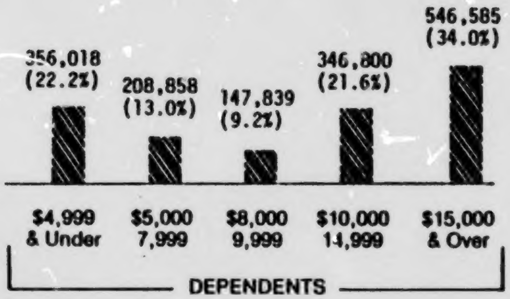
[1] 1972-73 first year of program.

[2] 1971-72 first year of program.

[3] 1974-75 first year of program.

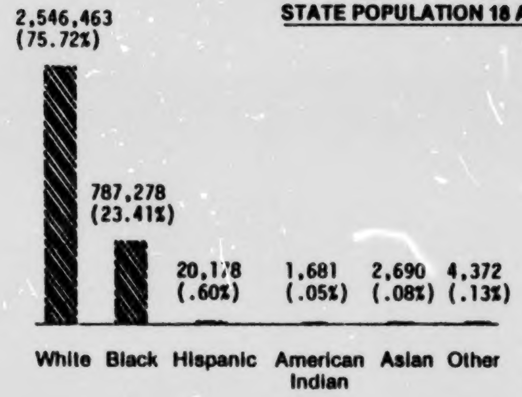
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

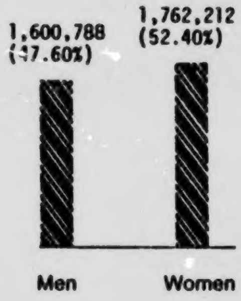


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

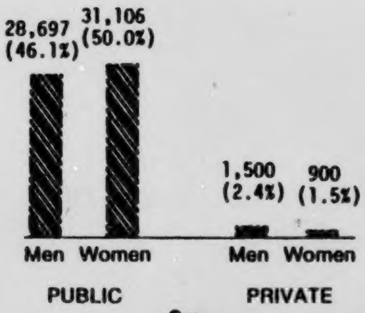


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 8,900 persons granted a high school equivalency certificate.

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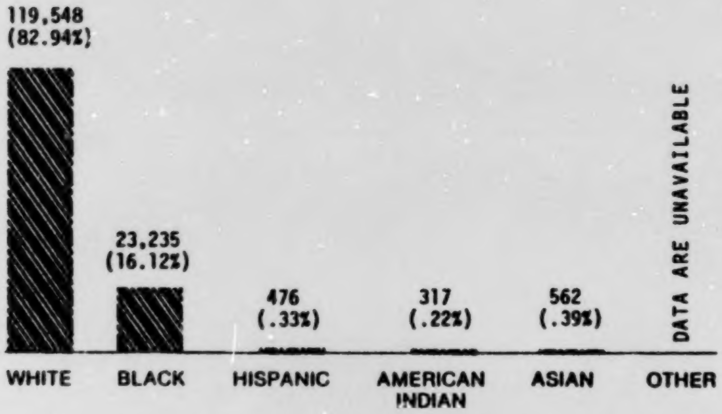
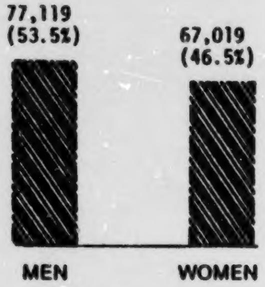
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

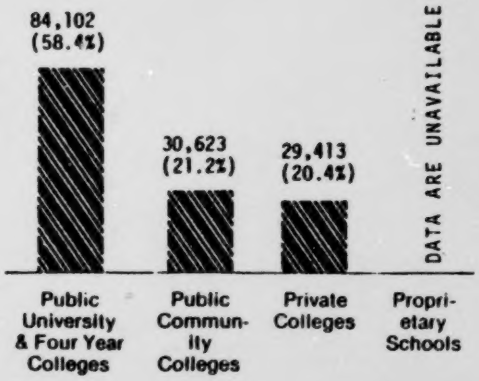
GEORGIA H2



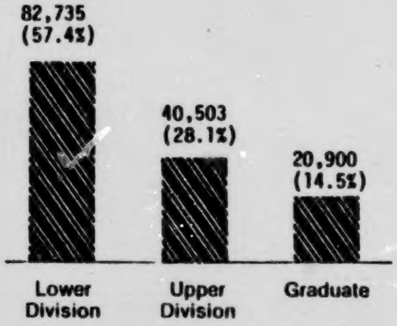
Ethnic Status [1]



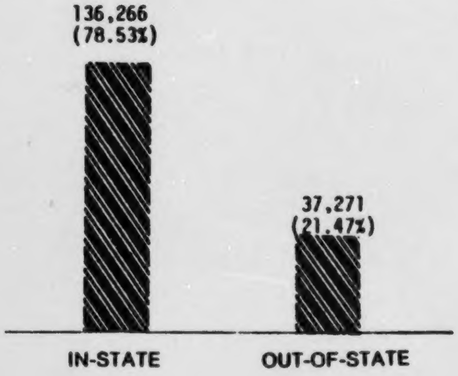
Sex [1]



Institutional Sector [1]



Student Level [1]



Residency [2]

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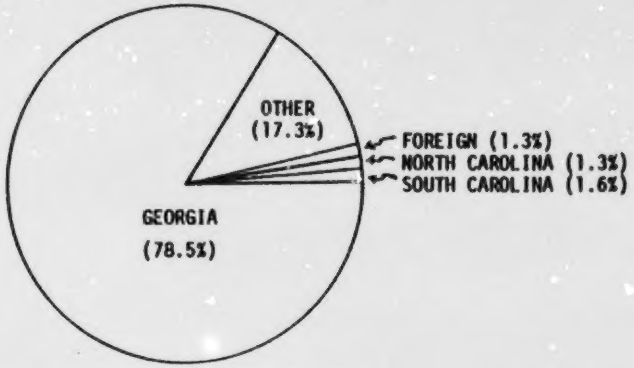
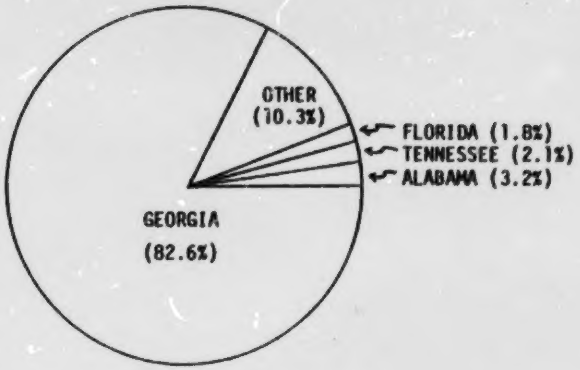
[1] Based on FTE

[2] Based on Head Count

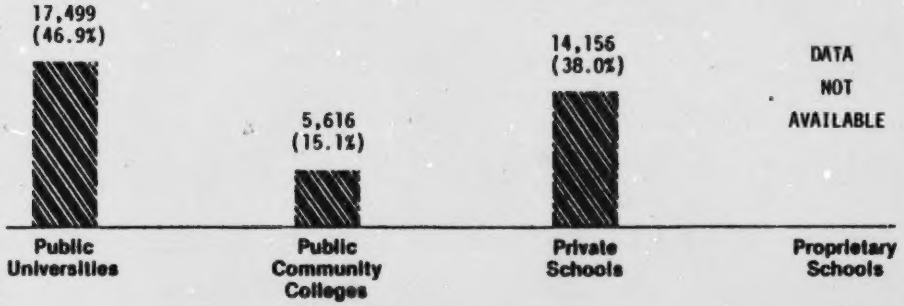
INTERSTATE MIGRATION OF STUDENTS



STUDENTS ATTENDING IN-STATE INSTITUTIONS



RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR*

(Out-of-State Students in School In-State)

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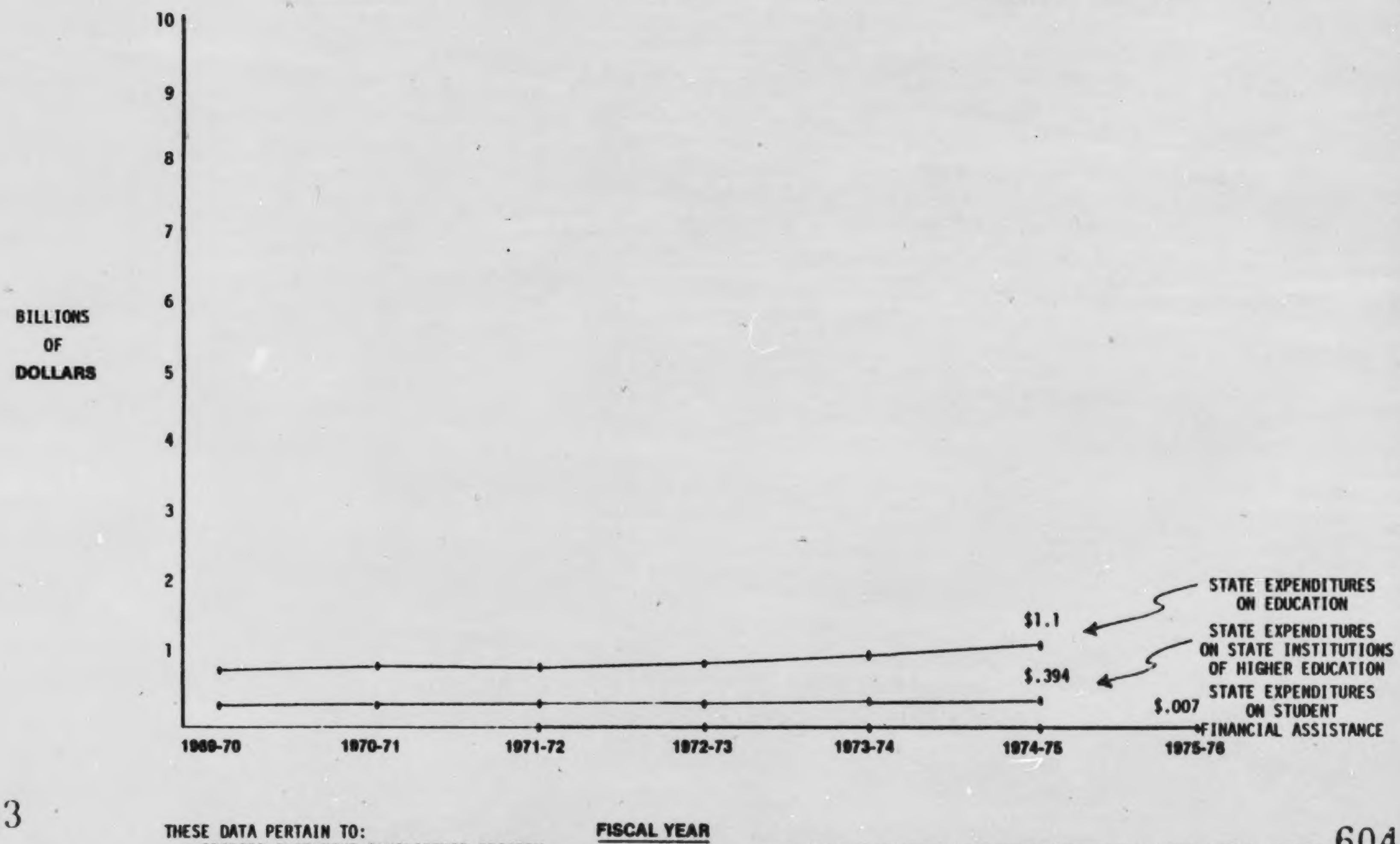
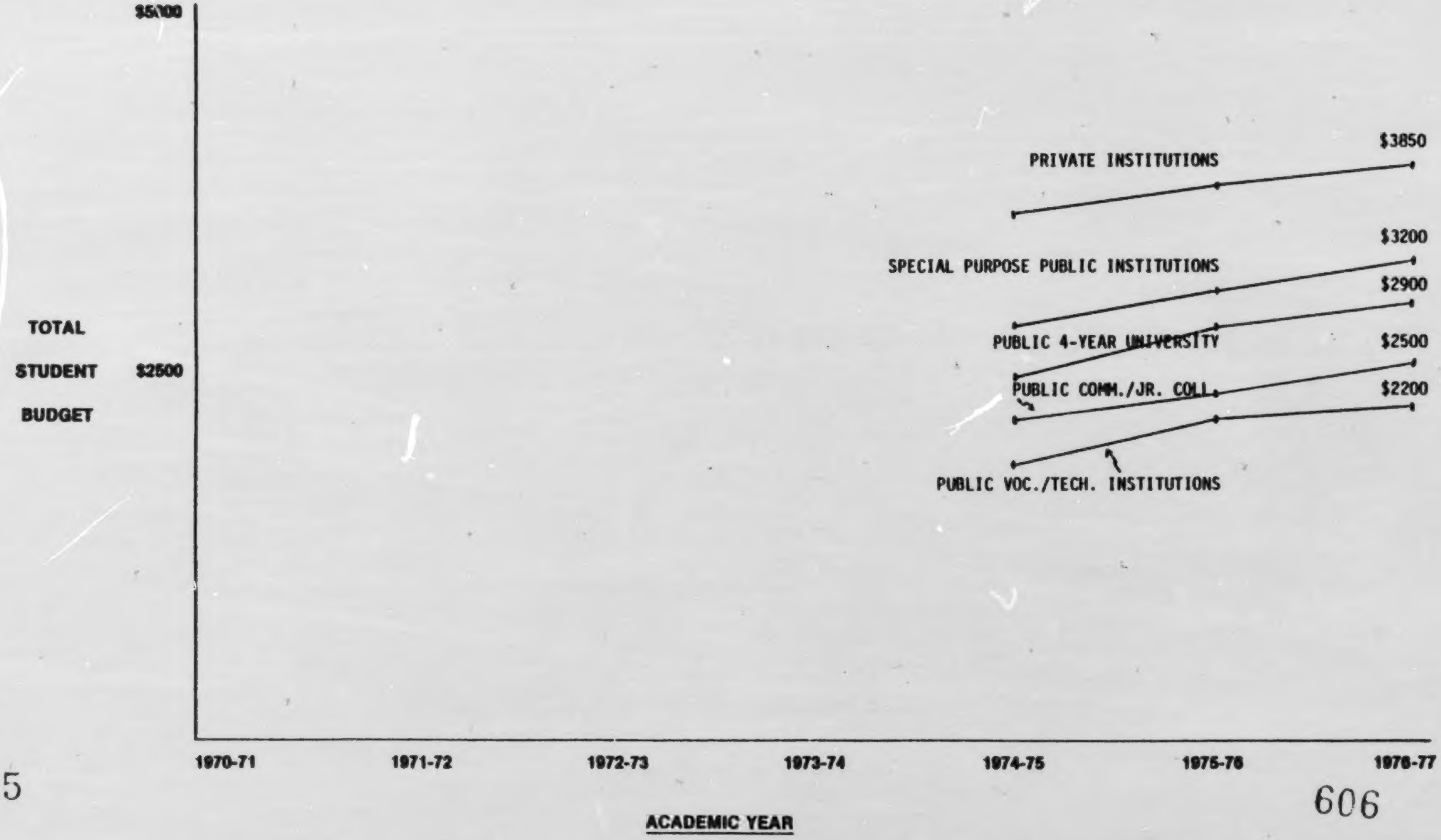
*Based on Head Count

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:
- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM
- INDEPENDENT COLLEGE TUITION EQUALIZATION GRANT PROGRAM
- LAW ENFORCEMENT PERSONNEL DEPENDENTS SCHOLARSHIP PROGRAM

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

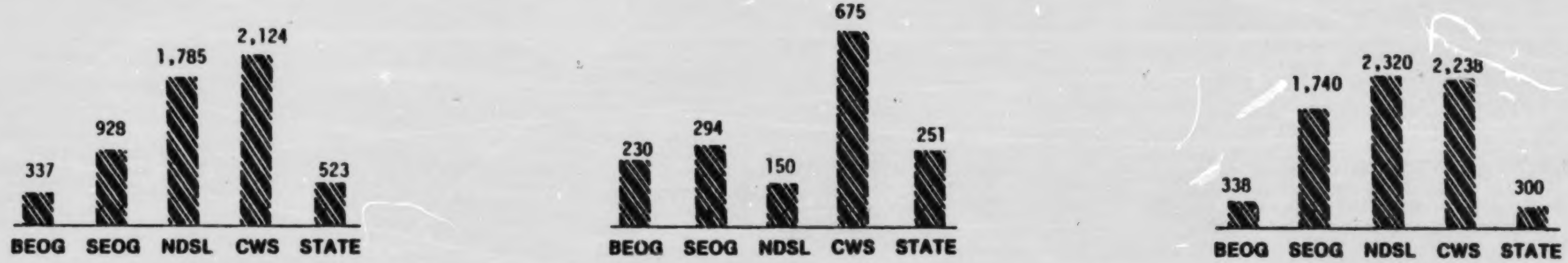


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM



Public University and Four-Year Colleges **Public Community Colleges** **Private Colleges**

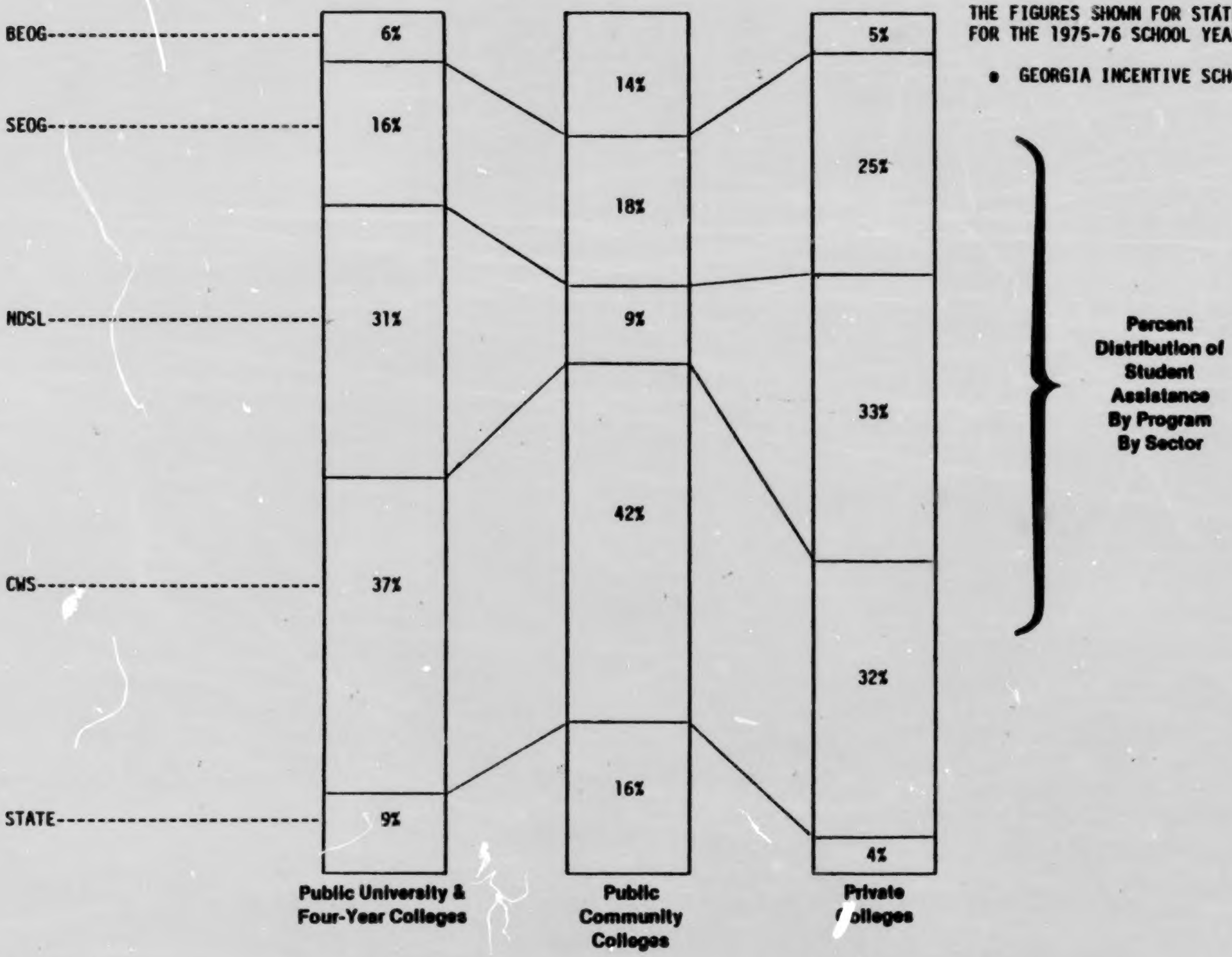
State funds were also awarded to the following types of institutions:

- Public Vocational - $56,575
- Unspecified - $36,125

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- GEORGIA INCENTIVE SCHOLARSHIP PROGRAM



State funds were also awarded to the following types of institutions:

Public Vocational	$56,575
Unspecified	$36,125

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Office of the Vice President for Academic Affairs, University of Hawaii, reports directly to the President of the University of Hawaii. It has one staff member assigned to student affairs who is responsible for advising the Vice President on policy direction and for providing administrative support for University-wide Student Services including admission and financial aids programs; compliance with all the federal laws affecting students; and academic affairs staff work not related to student services as well.

1. Hawaii Student Incentive Grant Program
2. Hawaii State Scholarship Program
3. Hawaii Merit Scholarship Program
4. Tuition Waiver Program
5. State Higher Education Loan Fund

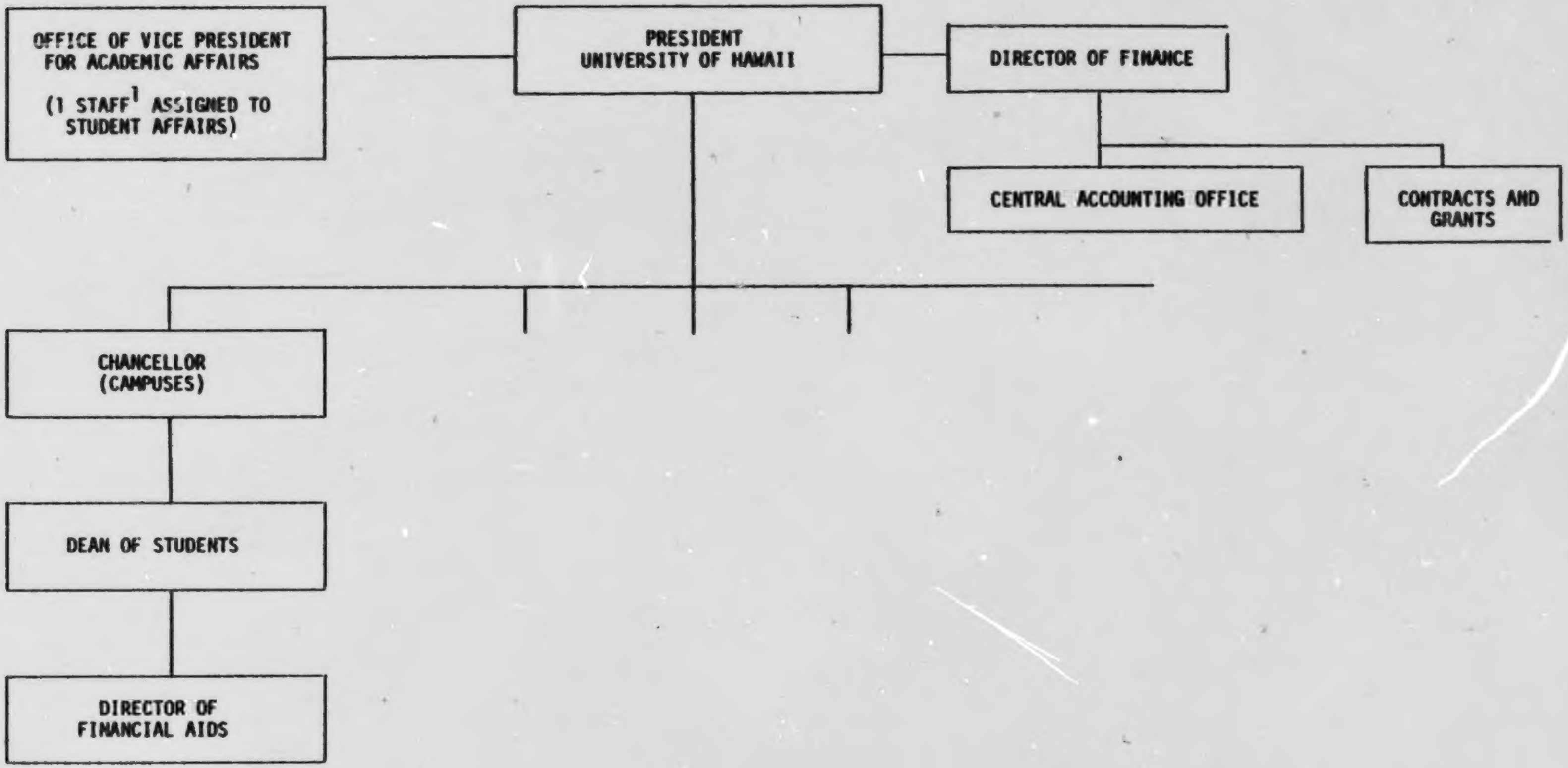
WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered centrally by the Western Interstate Commission on Higher Education. Located within each state is a certifying officer who is the state's representative.

1. WICHE Student Exchange Program
2. WICHE Fellows Program

RELATIONSHIPS OF STATE AGENCIES

The Office of the Vice President for Academic Affairs, University of Hawaii, is responsible for overall policy and program direction for Universitywide Student Services, including student financial aid programs. Funding for the State Higher Education Loan Fund and for the Hawaii Student Incentive Grant Program are secured by this office as part of the biennium budget for Universitywide Student Services. The Governor approves the budget and submits it to the Legislature, which in turn passes on the budget. The Governor allocates funds on the advice of the Department of Budget and Finance. The State 1202 Commission shortly will become the State agency responsible for the State SSIG program, but will initiate a decentralized administrative pattern, allowing individual colleges to continue to make awards subject to certain criteria and to college allocations.

STATE AGENCY ORGANIZATION


OFFICE OF VICE PRESIDENT FOR ACADEMIC AFFAIRS
(1 STAFF[1] ASSIGNED TO STUDENT AFFAIRS)
PRESIDENT UNIVERSITY OF HAWAII
DIRECTOR OF FINANCE
CENTRAL ACCOUNTING OFFICE
CONTRACTS AND GRANTS
CHANCELLOR (CAMPUSES)
DEAN OF STUDENTS
DIRECTOR OF FINANCIAL AIDS

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[1] Responsible for coordinating Universitywide Student Services including admission and financial aid; compliance with all federal laws affecting students; academic affairs staff work, not related to student services as well.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information		X	
• Identification of Eligible Recipients		X	
• Determination of Award Size		X	
• Assignment of Priority to Awards		X	
• Formally Approves Award		X	
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications		X	
Publicizes Award Availability	X	X	

COMMENTS: Central office mainly assists in securing State funds and federal SSIG funds; in promulgating rules and regulations; in centralized accounting system management; and in publicity. Campuses operate programs.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - A student who is enrolled for at least six credit hours (semester).	Interpretation	Yes		Yes
State Resident - One year domiciled in Hawaii.	Law and Interpretation (Regulations)	Yes		Yes
Independent Student - Hawaii uses Federal criteria for independent student.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - Hawaii uses Federal criteria for independent student.	Interpretation	Yes		Yes
Satisfactory Academic Progress - Determined by each campus, "satisfactory progress" is so stated in law.	Law and Interpretation	Yes		Yes
United States Citizenship - Not a foreign citizen or immigrant.	Interpretation	Yes		Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations	Summaries Regulations	Adequate
BEOG Office	None	Summaries Regulations	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	None[1]		Adequate
Washington OE Office (Campus-Based Programs)	None[1]	Summaries Regulations	Adequate
FISL/GSL Office	None	None	Not Applicable
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Regulations	Summaries	Adequate
Private Institutions	None	None	Not Applicable
Governor/Budget Office	Summaries	None	Adequate
State Legislature (Including Committee Staffs)	Summaries	None	Adequate

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[1] Campuses supply data, not university central office.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	0	Performed by each campus (75%)[1]
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	5	Performed by each campus (10%)[1]
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	1	Performed by each campus (0%)[1]
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	1	Performed by each campus (5%)[1]
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	8	Performed by each campus (10%)[1]
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	85	---[1]

[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
AUGUST -1975	Agency budget presented to Governors Office
SEPTEMBER-NOVEMBER -1975	Agency completes planning workshops for students or counselors
JANUARY -1976	1) Agency budget presented to legislature 2) Institutions make student-aid applications available
APRIL -1976	Legislature appropriates student assistance funds
JUNE-SEPTEMBER -1976	Institutions announce award winners
SEPTEMBER -1976	Deadline for student-aid applications
NOVEMBER -1976	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?			
2. Match Against BEOG Applications?	Yes, Sample	Institution	Discuss with student
3. Match Against Institutional Student Records?			
4. Warnings of Prosecution?	Yes	Institution	
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Revise award
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?	Yes	Institution	
4. Check on Academic Progress Before Award?	Yes	Institution	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution	Correct/repackage by institutions
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?	Yes	Institution, State	
5. Internal Audit	Yes	Institution, State	
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?			
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?	Yes	Institution, State	
4. Internal Audit	Yes	Institution, State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X	X	X	X
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify) College Fair	X	X	X	X	X	X

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Hawaii Student Incentive Grant Program - A grant program to assist financially needy state residents to enroll at campuses of the University of Hawaii by paying their tuition.

Hawaii State Scholarship Program - A tuition-remission program to assist financially needy state residents to enroll at campuses of the University of Hawaii.

Hawaii Merit Scholarship Program - A tuition-remission program to help and to recognize outstanding resident students and needy part-time resident students to attend campuses of the University of Hawaii.

Tuition Waiver Program - A tuition-remission program for non-resident and resident students who bring special talents (band, athletics, graduate students, agricultural, foreign) to the campuses of the University of Hawaii.

State Higher Education Loan Fund - A low-interest loan program to provide loans to needy residents attending in-state public schools. (Rate is 3%--repayable over 10 years.)

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend.

WICHE Fellows Program - A tuition-remission program which aids students in participating western states to obtain access to graduate programs not available in their home state. Students pay in-state tuition rather than non-resident tuition. The student does not receive a cash stipend.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Hawaii Student Incentive Grant Program[1]	1975-76	784	$225	$175,974	Resident Undergraduates
Loans					
State Higher Education Loan Fund	No information given------------------------				Resident Students
Tuition Remission					
Hawaii State Scholarship Program[2]	1970-71 1975-76	800 1,224	Not Applicable Not Applicable		Resident Undergraduates
Non Need-Based					
Hawaii Merit Scholarship Program	1974-75 1975-76	216 278	Not Applicable Not Applicable		Resident Undergraduates
Tuition Waiver Program[3]	1973-74 1975-76	400 596	Not Applicable Not Applicable		Students talented in music, athletics, agriculture, foreign study or graduate programs
WICHE Student Exchange Program[4]					
WICHE Fellows Program[4]					
Hawaii Veteran's Memorial Fund[5]					

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[1] The State Legislature has approved recommendations to transfer program to State 1202 Commission and to expand program to include private non-profit schools using the alternate state funding formula.

[2] Number of awards available is based on set percentage (currently 13%) of previous Fall semester full-time undergraduate enrollment of each campus of the University of Hawaii.

[3] Program has helped University to recruit and retain many talented students both from Hawaii and elsewhere.

[4] Board of Regents is not responsible for the administration of this program. It does assist students, however, in becoming aware of it.

[5] Board of Regents is not responsible for the administration of this program. It is a private scholarship.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Hawaii Student Incentive Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Cost of Tuition
Loans						
State Higher Education Loan Fund	Residents attending In-State	Full-Time	All	Yes	Not Applicable	$200
Tuition Remission						
Hawaii State Scholarship Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Cost of Tuition
Non Need-Based						
Hawaii Merit Scholarship Program	Residents attending In-State	Full-Time Part-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Tuition Waiver Program	Residents attending In-State & Non-Residents	Full-Time	All	Yes	Not Applicable	Not Applicable
WICHE Student Exchange Program						
WICHE Fellows Program						
Hawaii Veteran's Memorial Fund						

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Hawaii Student Incentive Grant Program	Public/ Private[1]	Public/ Private[1]	No	No	No
Loans					
State Higher Education Loan Fund	Public	Public	No	No	No
Tuition Remission					
Hawaii State Scholarship Program	Public	Public	No	No	No
Non Need-Based					
Hawaii Merit Scholarship Program	Public	Public	No	No	No
Tuition Waiver Program	Public	Public	No	No	No
WICHE Student Exchange Program					
Hawaii Veteran's Memorial Fund					

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[1] The State Legislature has approved recommendations to transfer program to State 1202 Commission and to expand program to include private non-profit schools using the alternate state funding formula.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Hawaii Student Incentive Grant Program	"The inability of a student and his supportive economic unit to meet the cost of education incurred by the student and his supportive unit while the student is attending the university."	Yes	CSS	Tuition/Fees; Room/Board; Books; Transportation; General Student Costs
Loans				
State Higher Education Loan Fund	Same as Grants	Yes	CSS	Tuition/Fees; Room/Board; Books; Transportation; General Student Costs
Tuition Remission				
Hawaii State Scholarship Program	Same as Grants	Yes	CSS	Tuition/Fees; Room/Board; Books; Transportation; General Student Costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Hawaii Student Incentive Grant Program	$750	Award is only for full tuition at time of registration; should student withdraw in part or in full, refund of award is made to HSIG fund.	Generally, neediest first, with continuing students given priority over initial year.	Institution
Loans				
State Higher Education Loan Fund	[1]	Costs as listed in note [1] less resources plus other aid--loan to cover unmet need.	Not Applicable	Institution
Tuition Remission				
Hawaii State Scholarship Program	$450	Set by statute--cost of tuition which is then waived.	Order of need by each campus per its allocation of award units.	Institution
Non Need-Based				
Hawaii Merit Scholarship Program	$450	By the number of credits taken times cost per credit--tuition then waived.	Each campus sets its own priorities.	Institution
Tuition Waiver Program	$450	Must be cost of tuition at campus student attends--set by law--tuition then waived.	Determined by Chancellor of each campus; allocated to program areas by Legislative intent.	Institution
WICHE Student Exchange Program				
WICHE Fellows Program				
Hawaii Veteran's Memorial Fund				

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[1] Not to exceed tuition fees, books, supplies, and $200 per month for room and board. Amount for room and board allowance being considered for adjustment; also maximum award (total of all years) may be set.

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS	$0- 5,999	$6,000- 8,999	$9,000- 11,999	$12,000- 14,999	$15,000- 19,999	$20,000- & Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

NUMBER OF RECIPIENTS

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN	WOMEN

Sex

AVERAGE AWARD SIZE

DATA NOT AVAILABLE

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE

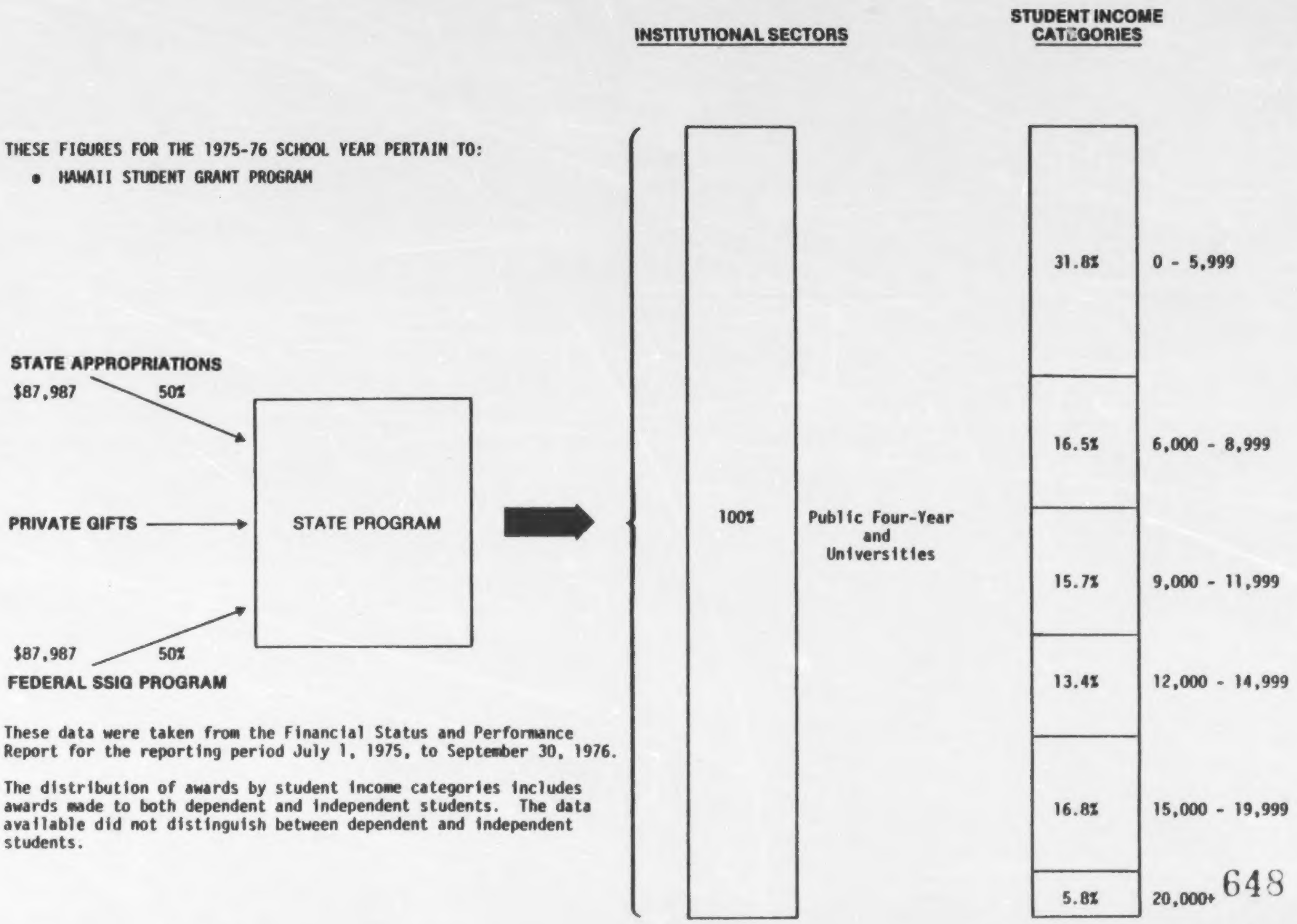
MEN	WOMEN

Sex

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

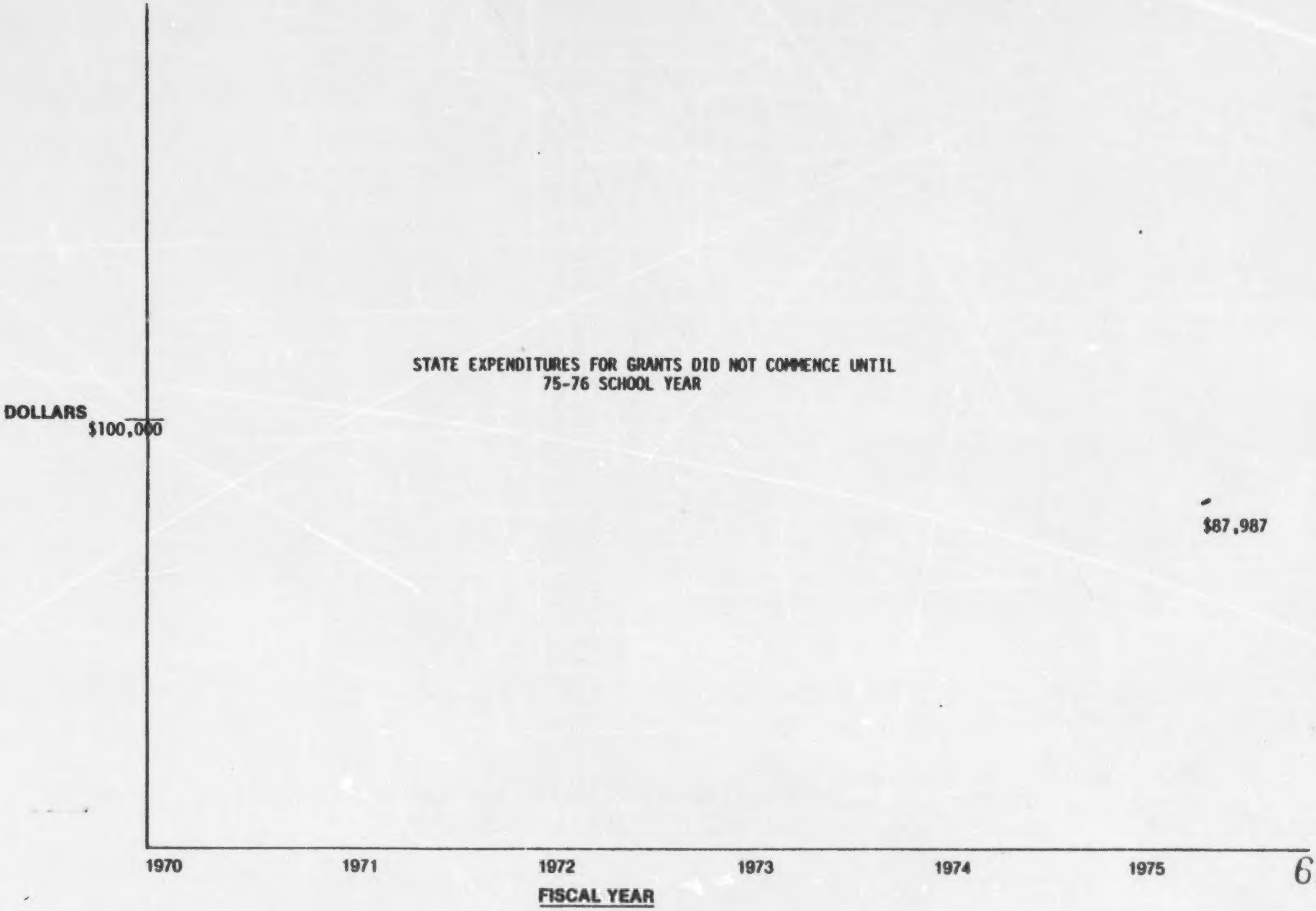
- HAWAII STUDENT GRANT PROGRAM


INSTITUTIONAL SECTORS
STUDENT INCOME CATEGORIES
STATE APPROPRIATIONS
$87,987
50%
PRIVATE GIFTS
STATE PROGRAM
$87,987
50%
FEDERAL SSIG PROGRAM
100%
Public Four-Year and Universities
31.8%
0 - 5,999
16.5%
6,000 - 8,999
15.7%
9,000 - 11,999
13.4%
12,000 - 14,999
16.8%
15,000 - 19,999
5.8%
20,000+

These data were taken from the Financial Status and Performance Report for the reporting period July 1, 1975, to September 30, 1976.

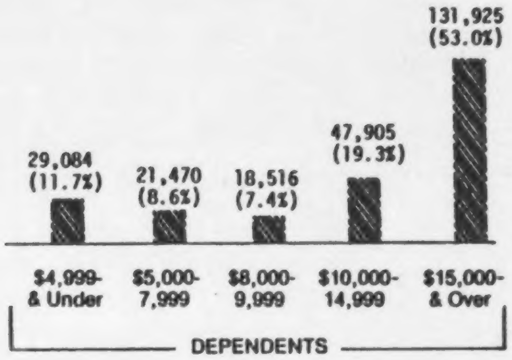
The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



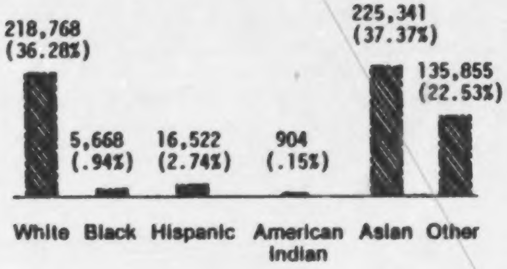
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

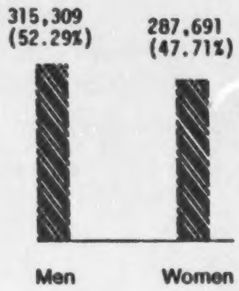


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

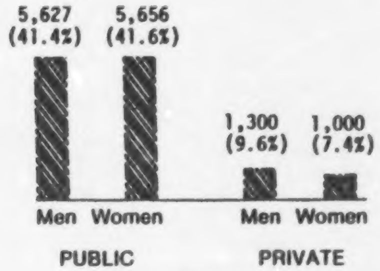


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 1,792 persons who graduated from other than regular day school programs.

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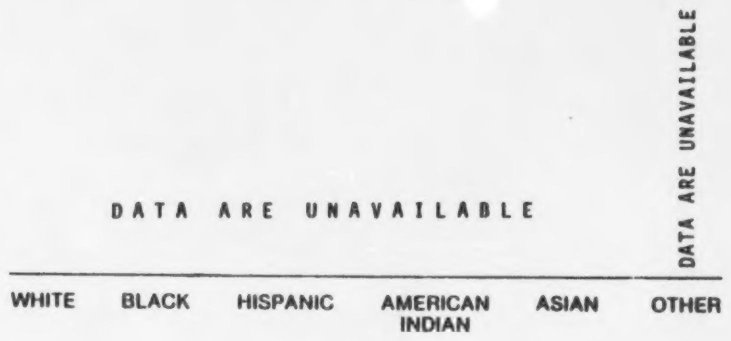
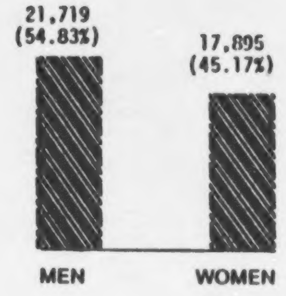
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

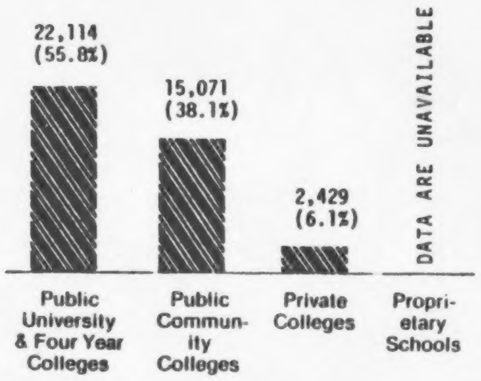
HAWAII H2



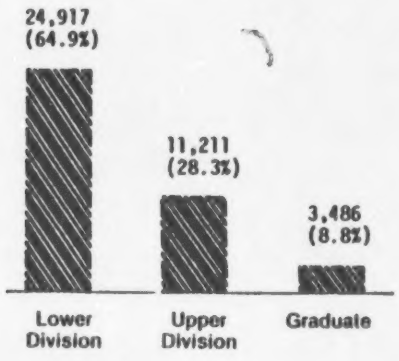
Ethnic Status



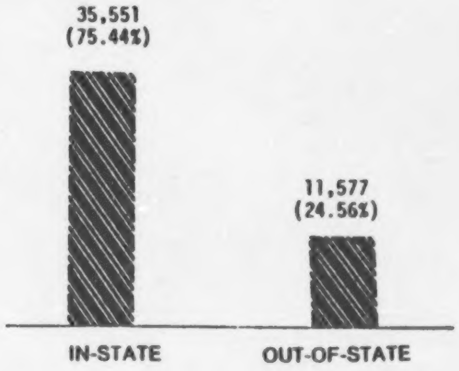
Sex



Institutional Sector

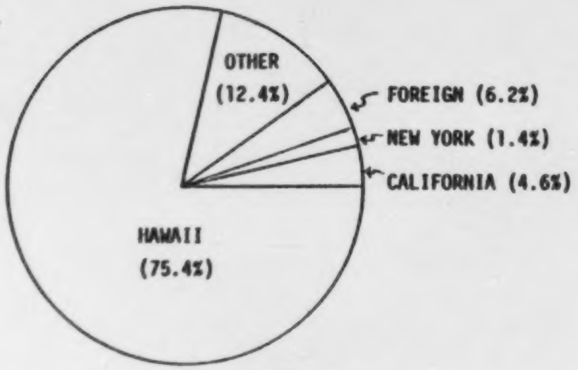


Student Level

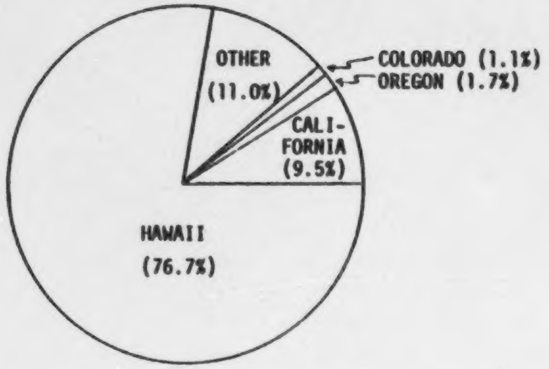


Residency

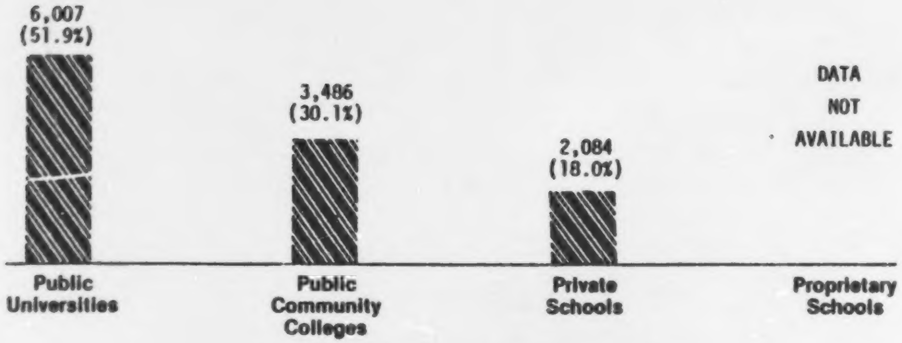
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



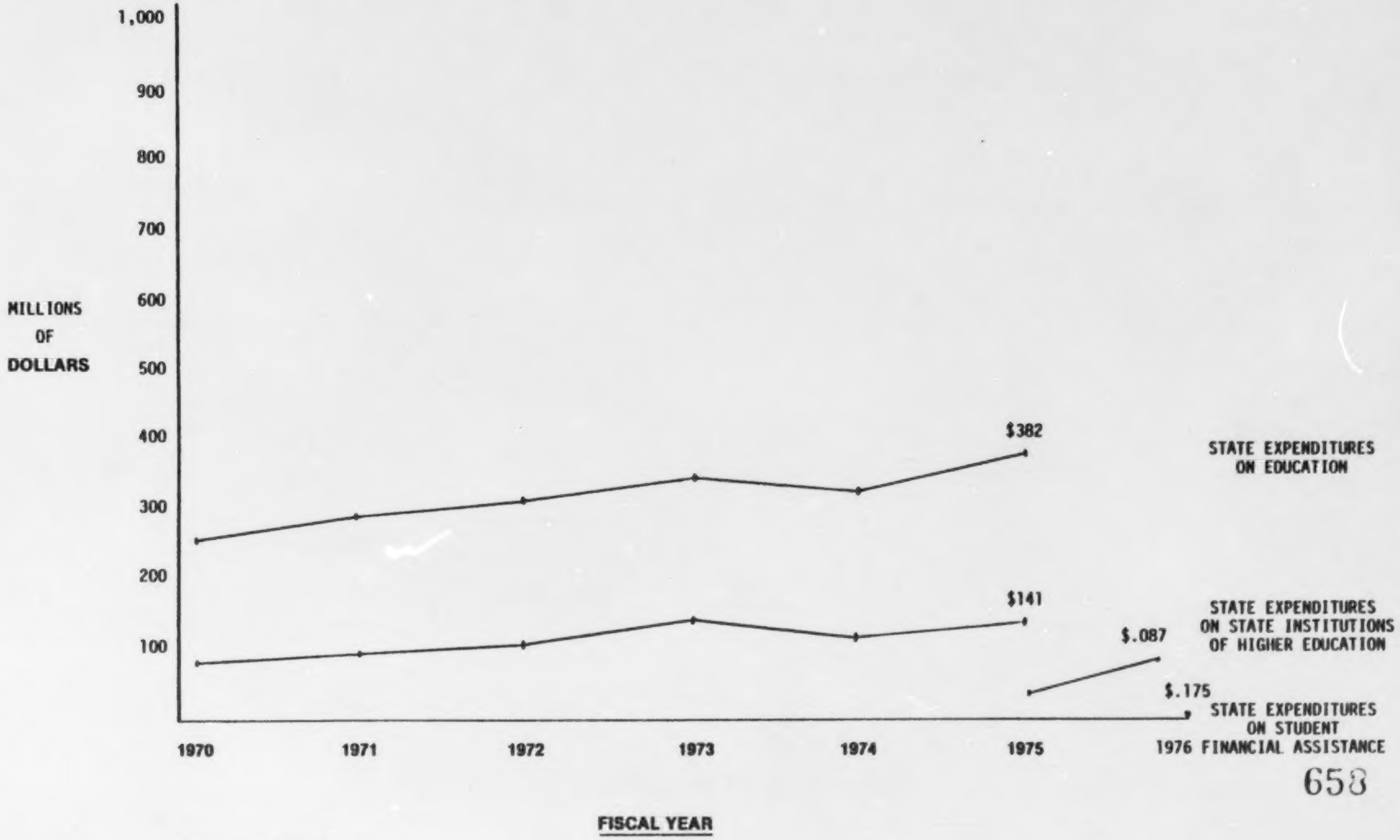
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

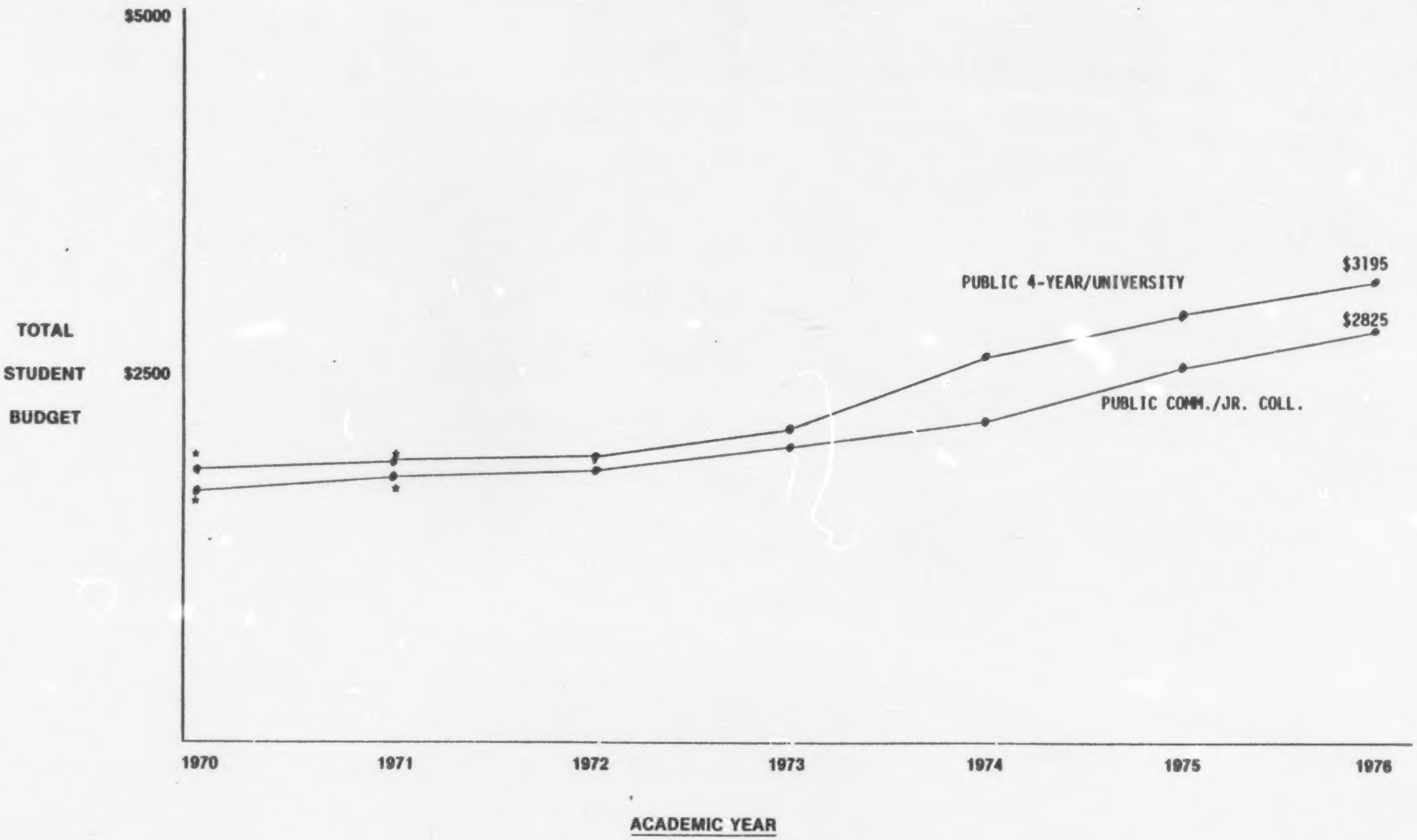
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATE PERTAIN TO:

- HAWAII STUDENT INCENTIVE GRANT

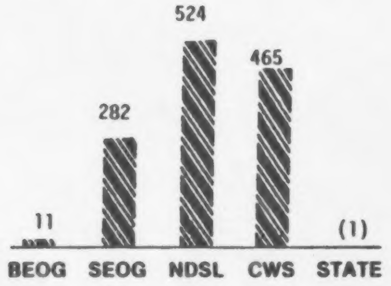
GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



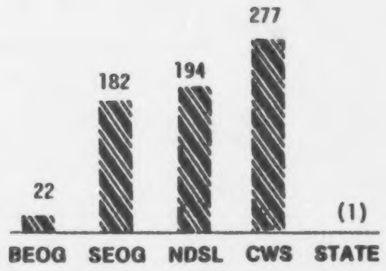
* Response not based on fact, but is reasonably certain.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

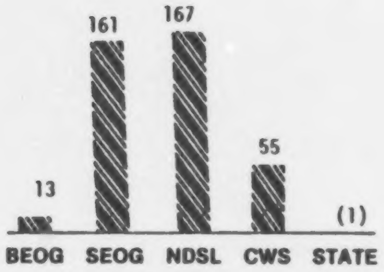
(Figures in thousands)



Public University and Four-Year Colleges



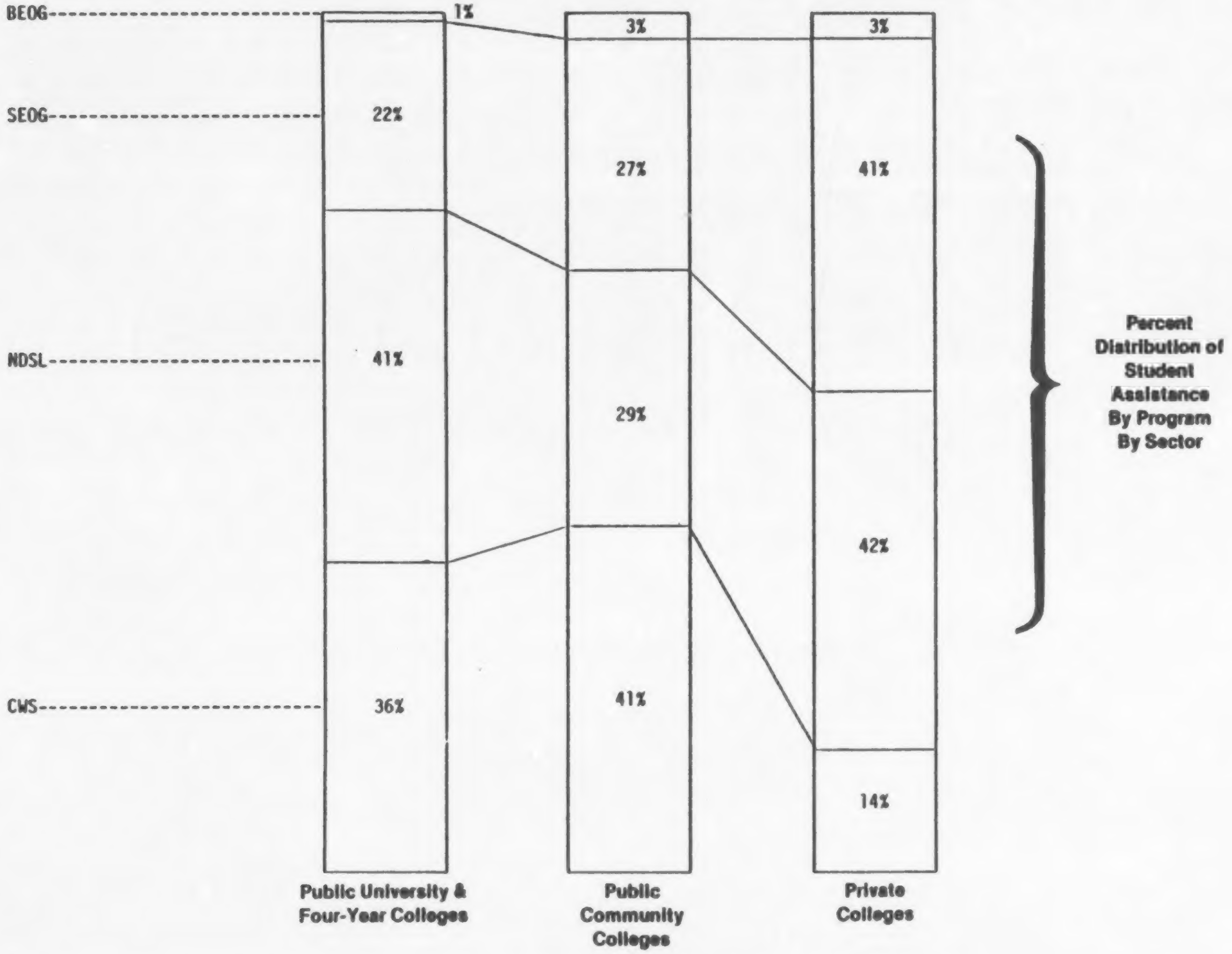
Public Community Colleges



Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

664

663

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Office of the State Board of Education

1. State Scholarship Program
2. State Student Incentive Grant Program
3. State Higher Education Loan Fund
4. Merit Scholarship Program
5. Tuition Waiver Program

WICHE Student Exchange Programs have been developed to help western students obtain access to fields of professional education that are not available in their home state. The program is administered centrally by the Western Interstate Commission on Higher Education. Located within each state is a certifying officer who is the state's representative.

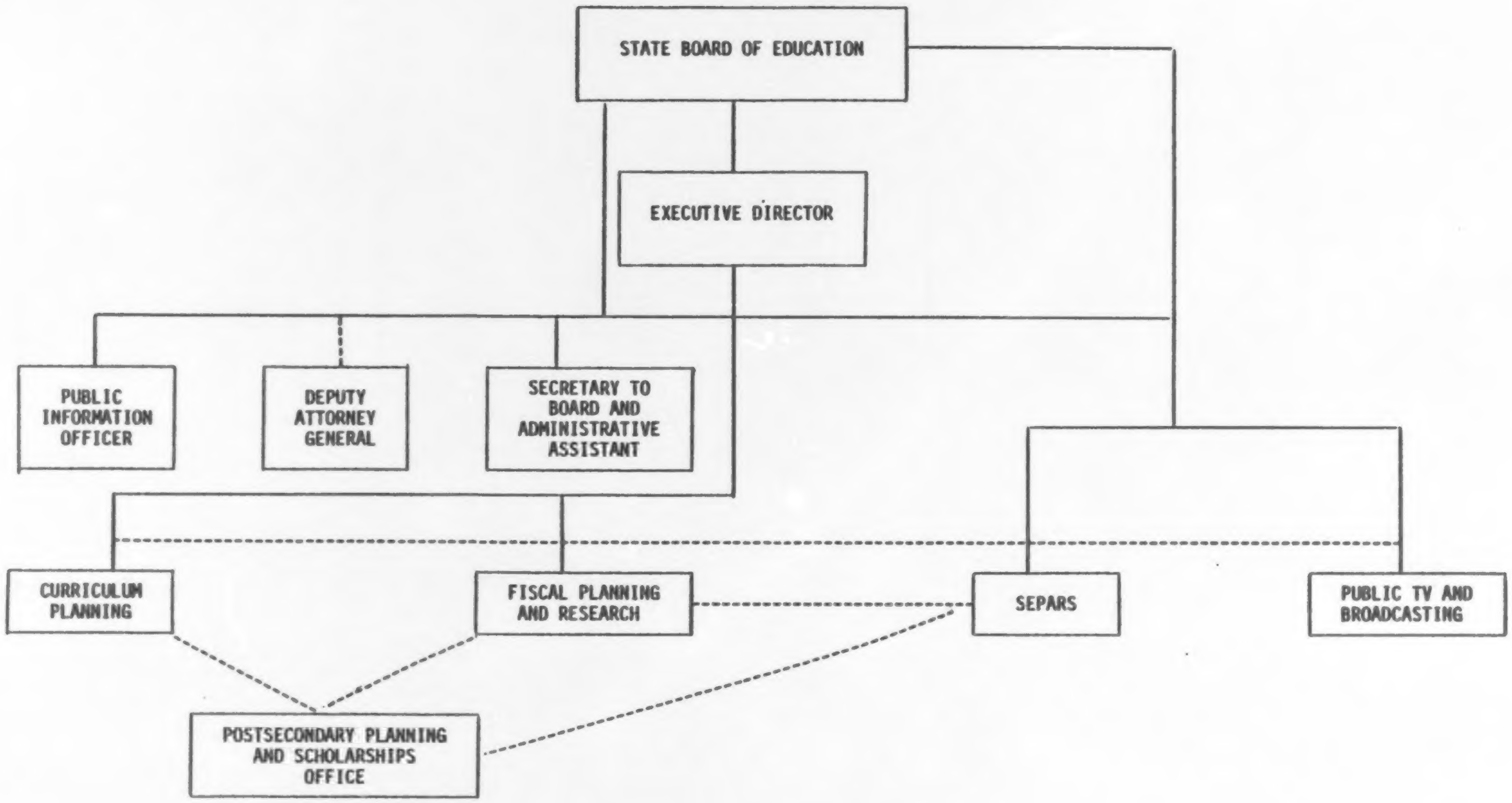
1. WICHE Student Exchange Program

RELATIONSHIPS OF STATE AGENCIES

DATA ARE UNAVAILABLE

STATE AGENCY ORGANIZATION

IDAHO B1


STATE BOARD OF EDUCATION
EXECUTIVE DIRECTOR
PUBLIC INFORMATION OFFICER
DEPUTY ATTORNEY GENERAL
SECRETARY TO BOARD AND ADMINISTRATIVE ASSISTANT
CURRICULUM PLANNING
FISCAL PLANNING AND RESEARCH
SEPARS
PUBLIC TV AND BROADCASTING
POSTSECONDARY PLANNING AND SCHOLARSHIPS OFFICE

IDAHO B2

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information		X	
• Identification of Eligible Recipients		X	
• Determination of Award Size	X	X	
• Assignment of Priority to Awards		X	
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments		X	
Initiates the Prosecution of Possible Fraudulent Student applications		X	
Publicizes Award Availability	X		

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-time Student - An individual who is enrolled in and is carrying not less than one-half of the number of credit hours which would qualify that individual as a full-time student--normally described as at least 12 semester hours per semester.	Interpretation	Yes	Yes	Yes
State Resident - As defined by state law.	Law	Yes	Yes	Yes
Independent Student - A student who has not and will not be claimed as an exemption, has not received or will not receive financial assistance of more than $600, nor has not or will not live for more than two consecutive weeks in the home of a parent--during the calendar year(s) in which aid is received and the calendar year prior to the academic year for which aid is requested.	Interpretation	Yes		Yes
Non-Profit Institution - Independent colleges and universities shall mean an educational organization which is operated privately and not for profit under the control of an independent board and not directly controlled or administered by a public or political subdivision.	Interpretation	Yes	Yes	Yes
Satisfactory Academic Progress - Is required; no actual definition; highly decentralized so each institution uses its own guidelines for progress.				
United States Citizenship - Not applicable.				

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Regulations Litigations	Regulations	Adequate
BEOG Office	---	Summaries	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	---	---	No Contact
Washington OE Office (Campus-Based Programs)	---	---	No Contact
FISL/GSL Office	---	---	No Contact
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Litigation Regulations	Applications Litigation Terminations	Adequate
Private Institutions	Summaries Recipients Litigation Regulations	Applications Litigation Terminations	Adequate
Governor/Budget Office	Litigation Summaries Regulations	---	Not Applicable
State Legislature (Including Committee Staffs)	Summaries REgulations Litigation	---	Not Applicable

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

IDAHO B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	.2	.2
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	.1	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)		
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	.1	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	.1	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	.5	Includes Data Management

INAPPROPRIATE INFORMATION SUPPLIED

IDAHO B6

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
AUGUST - 1975	Agency budget presented to governors office or budget bureau
ONGOING	Agency makes student-aid applications available
JANUARY - 1976	Agency budget presented to legislature
MARCH - 1976	Legislature appropriates student assistance funds
AUGUST-ONGOING - 1976	Agency (or institutions) announces award winners
MARCH - 1977	Deadline for student-aid applications
JUNE - 1977	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes	Institution	Action taken, if any, to be decided by State Board of Education.
2. Match Against BEOG Applications?	Yes, All	Institution	
3. Match Against Institutional Student Records?	Yes, All	Institution	
4. Warnings of Prosecution?	Yes	State	
5. Prosecution?	Yes	State	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Action taken, if any, to be decided by State Board of Education.
2. Check on Mid-Term Attendance?	Yes, All	Institution	
3. Check on Course Completion?	Yes, All	Institution, State	
4. Check on Academic Progress Before Award?	Yes, All	Institution, State	
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution	Action taken, if any, to be decided by State Board of Education.
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?	Yes, All	Institution	
D. Controls on Institutional Student-Aid Operations	Unavailable		
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	Audit by legislative auditor
2. Bonding of Staff Members?	Yes, All	State	
3. Double Signatures on All Fund Transactions?	Yes, All	State	

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents	X	X	X	X	X	X
4. High School Meetings or Workshops						
5. College Admissions Office	X	X	X			
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies						
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State of Idaho Scholarship Program - A grant program to encourage scholastically talented high school graduates in the state to enroll in state postsecondary educational institutions and to recognize such high standards of performance.

State Student Incentive Grant Program - A grant program to enable eligible resident and non-resident undergraduate students to attend in-state postsecondary educational institutions through the removal of the financial barriers to their educational goals.

WICHE Student Exchange Program - A tuition-remission program which aids students in participating western states to obtain access to fields of professional education that are not available in their home states. Funds appropriated by a sponsoring state reimburse the receiving school through payment of an institutional support fee for the educational service offered. The student does not receive a cash stipend. Idaho students participate in professional health programs not available in the state.

State Assistance to Children of POW/MIA -

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Student Incentive Grant Program	1975-76	175	$ 300	$100,556	Undergraduate Students
Non Need-Based					
State of Idaho Scholarship Program	1974-75	26	$1,500	$ 40,000	Freshman Residents
	1975-76	53	$1,500	$ 77,800	
WICHE Student Exchange Program[1]	1972-73	150	Not Applicable	$418,234	Legal Residents attending Out-of-State Schools
	1975-76	135		$626,338	

---

[1] Financial aspect of the program is indirect. Not actually a scholarship program--it is to provide access for Idaho students to medical, dental, physical therapy, optometry, pediatry, and occupational therapy programs.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Student Incentive Grant Program	Residents attending In-State & Non-Residents	Half-Time Full-Time	All Undergraduates	Yes	$20,000	$90
Non Need-Based						
State of Idaho Scholarship Program	Residents attending In-State	Full-Time	Freshmen Sophomores Juniors	No	---	---
WICHE Student Exchange Program	Legal Residents at Out-of-State Schools	Full-Time	Graduates	Yes	---	---

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Student Incentive Grant Program	Public Private	Public	Public	Yes	No
Non Need-Based					
State of Idaho Scholarship Program	Public Private	Public	Public	No	No
WICHE Student Exchange Program	Participating schools in 13 Western States.				

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Student Incentive Grant Program	Need = Student's Anticipated Expenses - Net Financial Assets Available[1]			

---

[1] Including those available from parents, parent, guardians or other persons to whom applicant looks for support or who stands in loco parentis.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Student Incentive Grant Program	$1,500	Institution FAO's determine award by packaging SSIG with other campus-based financial aid programs.	Renewals; then initial awards.	
Non Need-Based				
State of Idaho Scholarship Program	$1,500	All awards are for $1,500 a a year--maximum $6,000.	Renewals beginning with date of initial award. Further, recipient's rank within his/her academic or vocational major will be used.	State
WICHE Student Exchange Program	Not Applicable	Not Applicable	Not Applicable	Not Applicable

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE

INDEPENDENTS

$0-5,999

$6,000-8,999

$9,000-11,999

$12,000-14,999

$15,000-19,999

$20,000-& Over

DEPENDENTS

Income Level

DATA NOT AVAILABLE

WHITE

BLACK

HISPANIC

AMERICAN INDIAN

ASIAN.

OTHER

Ethnic Status

DATA NOT AVAILABLE

MEN

WOMEN

Sex

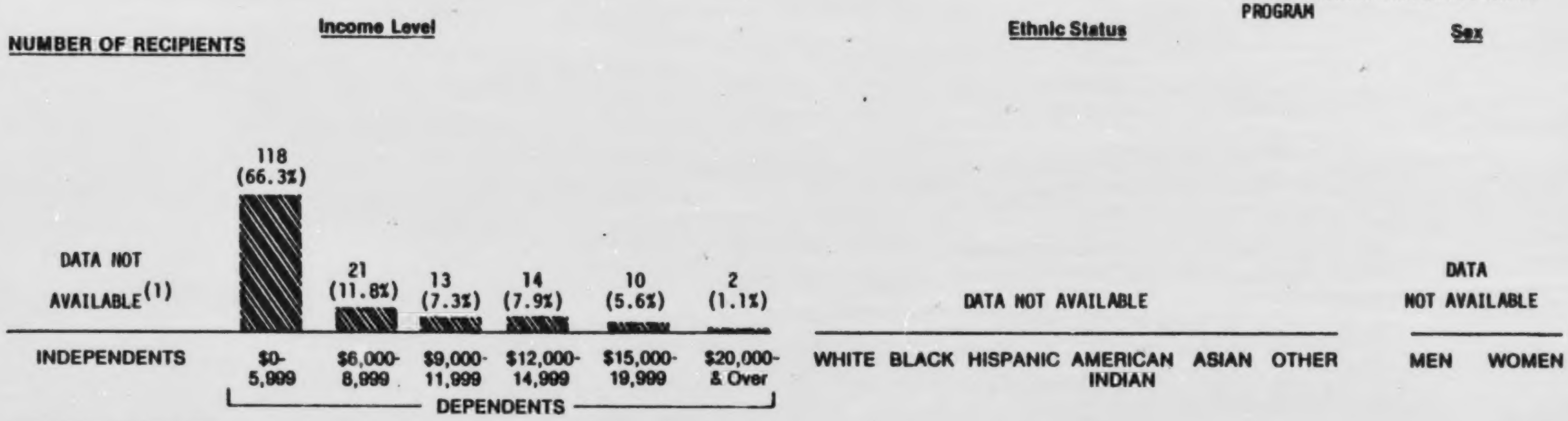
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

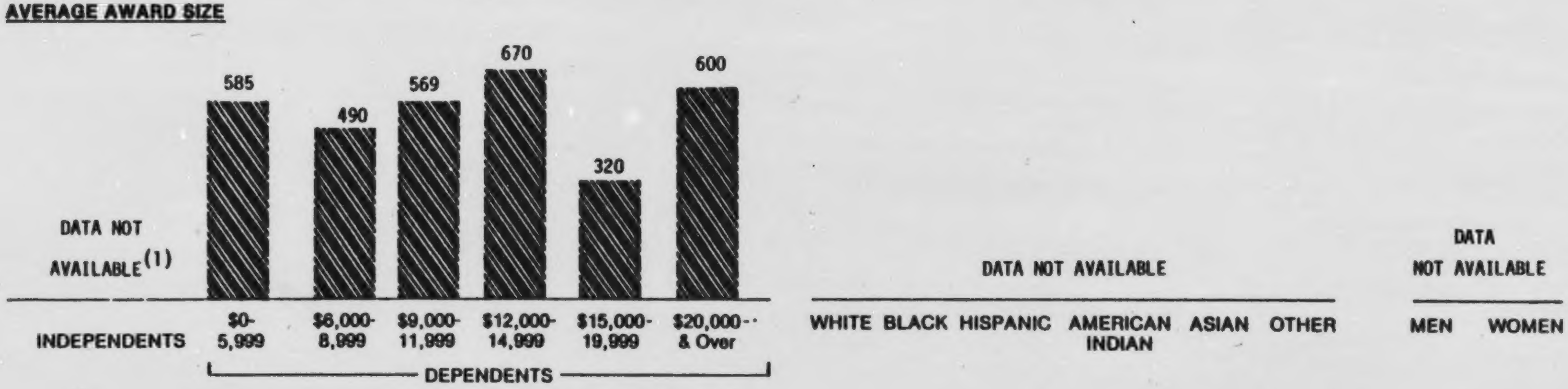
I D A H O

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM



NUMBER OF RECIPIENTS



AVERAGE AWARD SIZE

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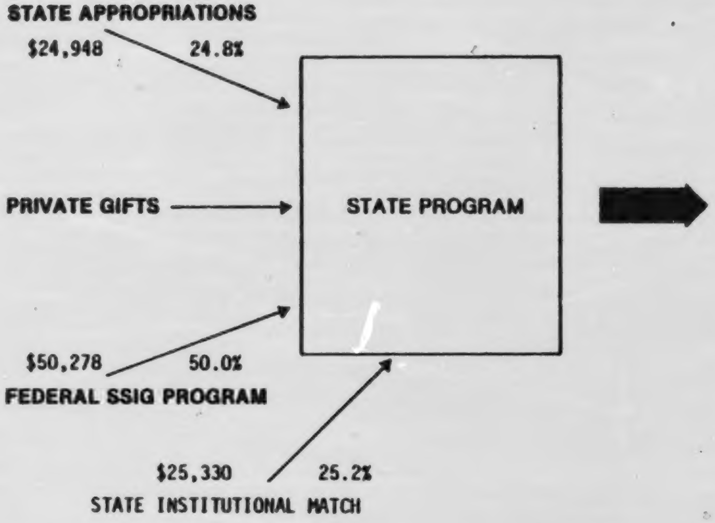
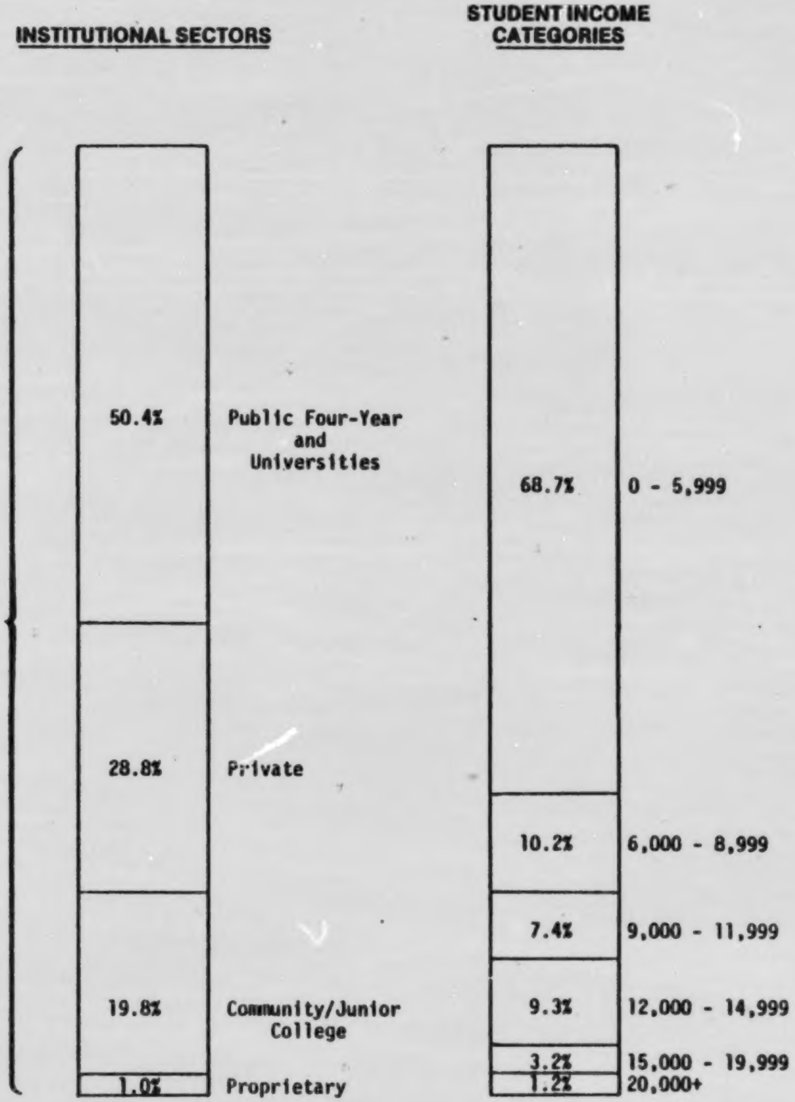
(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

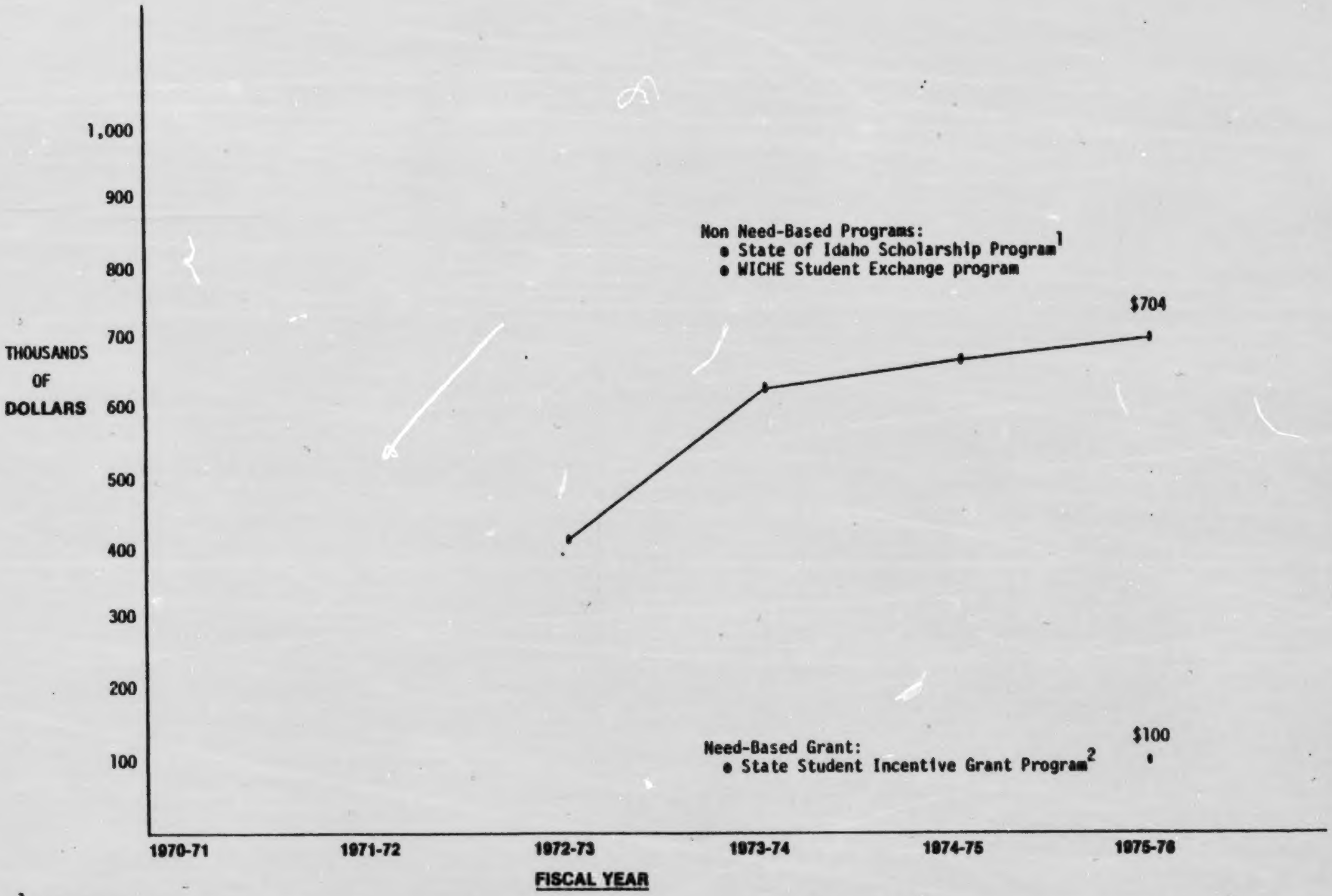

STATE APPROPRIATIONS
$24,948
24.8%
PRIVATE GIFTS
STATE PROGRAM
$50,278
50.0%
FEDERAL SSIG PROGRAM
$25,330
25.2%
STATE INSTITUTIONAL MATCH



The distribution of dollars by institutional sector includes awards to non-resident students attending in-state institutions.

The distribution of awards by student income categories includes awards made to both dependent and independent students. The date available did not distinguish between dependent and independent students.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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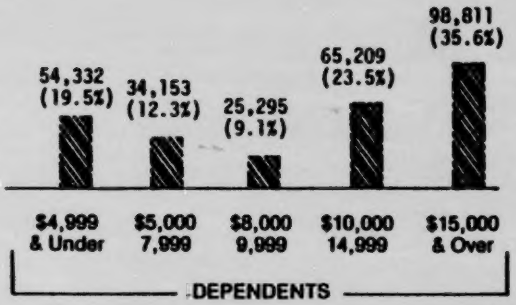
[1] 1974-75 first year of program.
[2] 1975-76 first year of program.

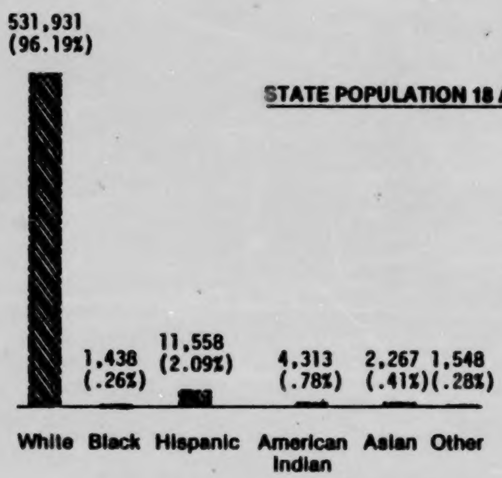
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

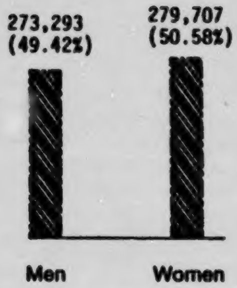


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

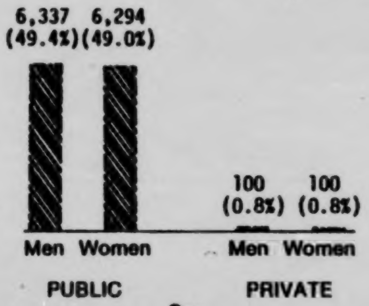


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 762 persons granted a high school equivalency certificate, nor 46 persons graduated from other than regular day school programs.

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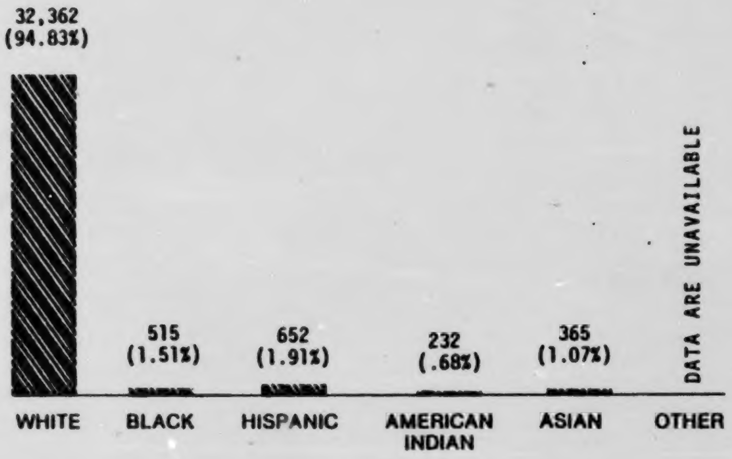
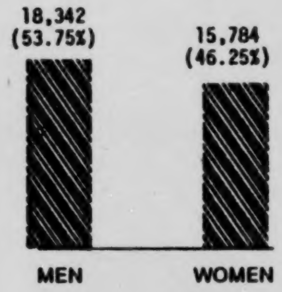
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

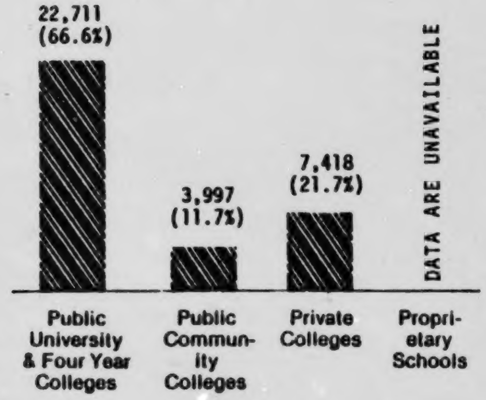
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



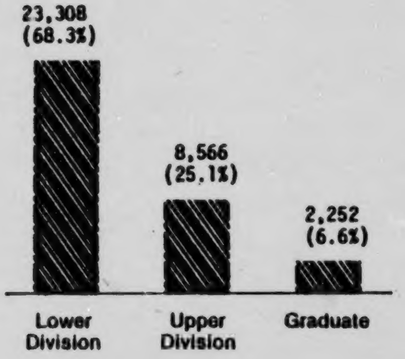
Ethnic Status



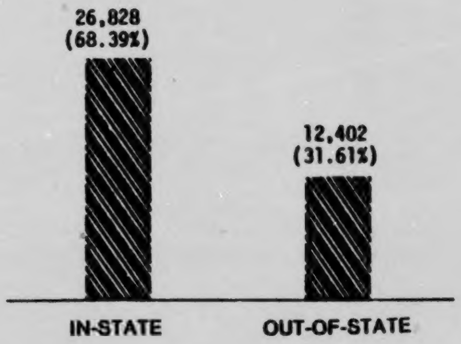
Sex



Institutional Sector

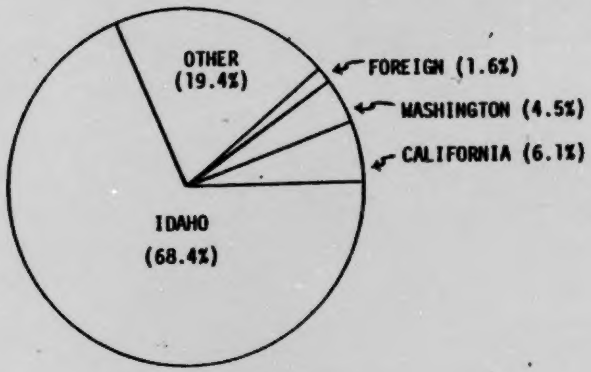


Student Level

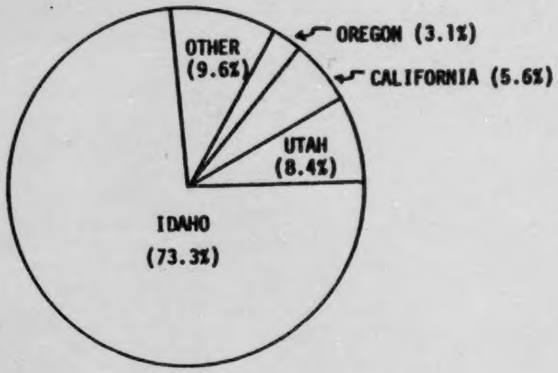


Residency

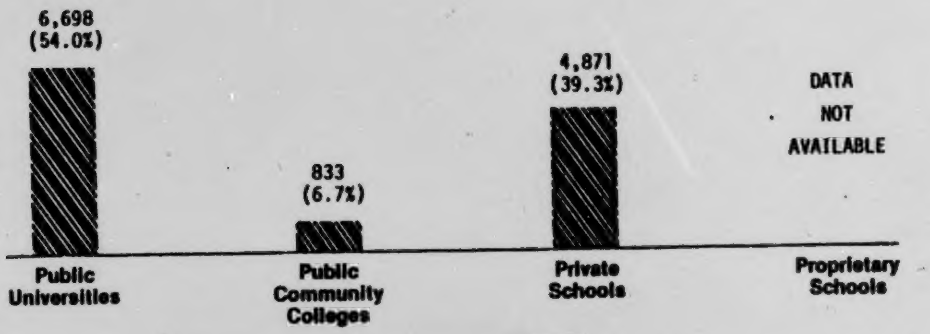
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



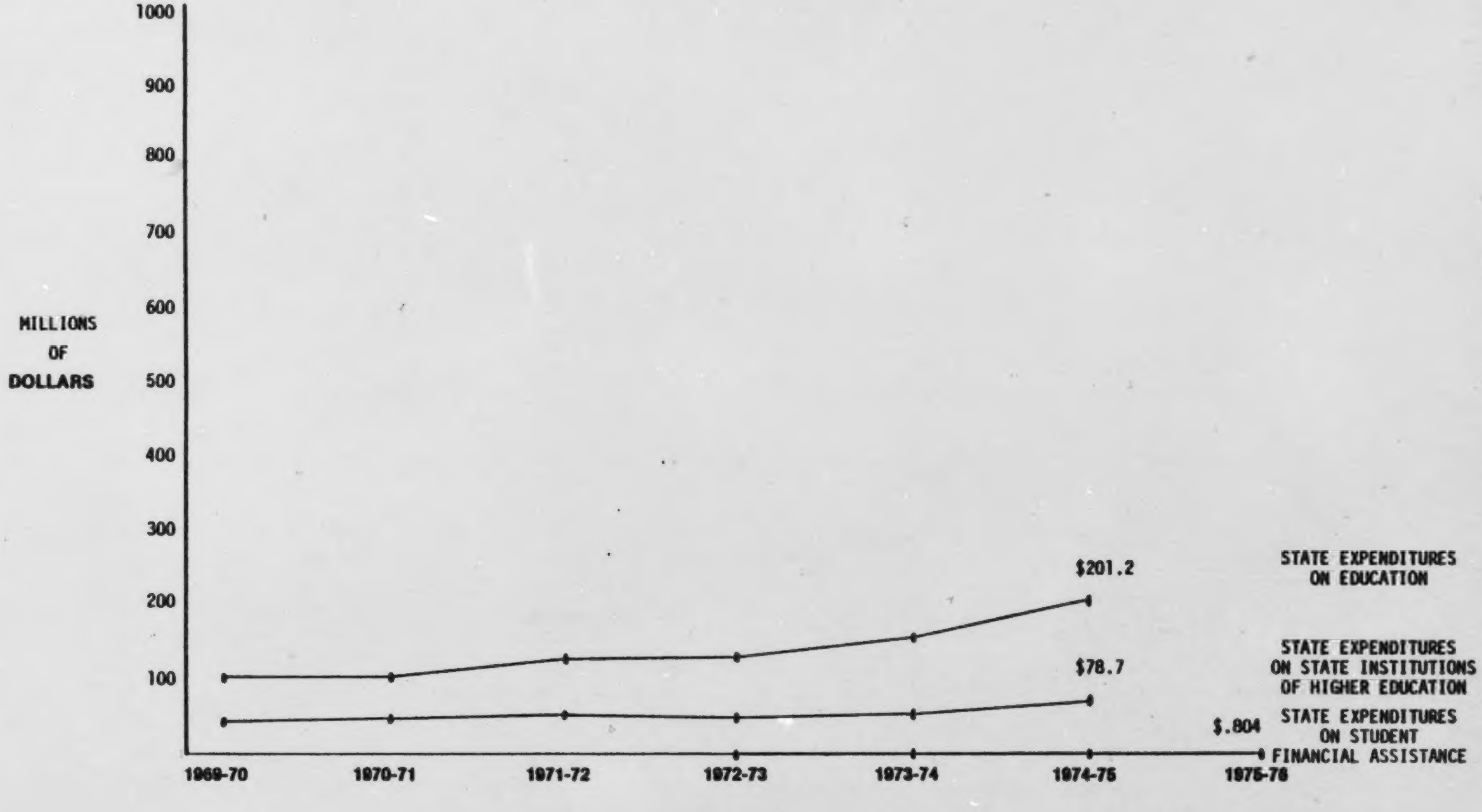
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



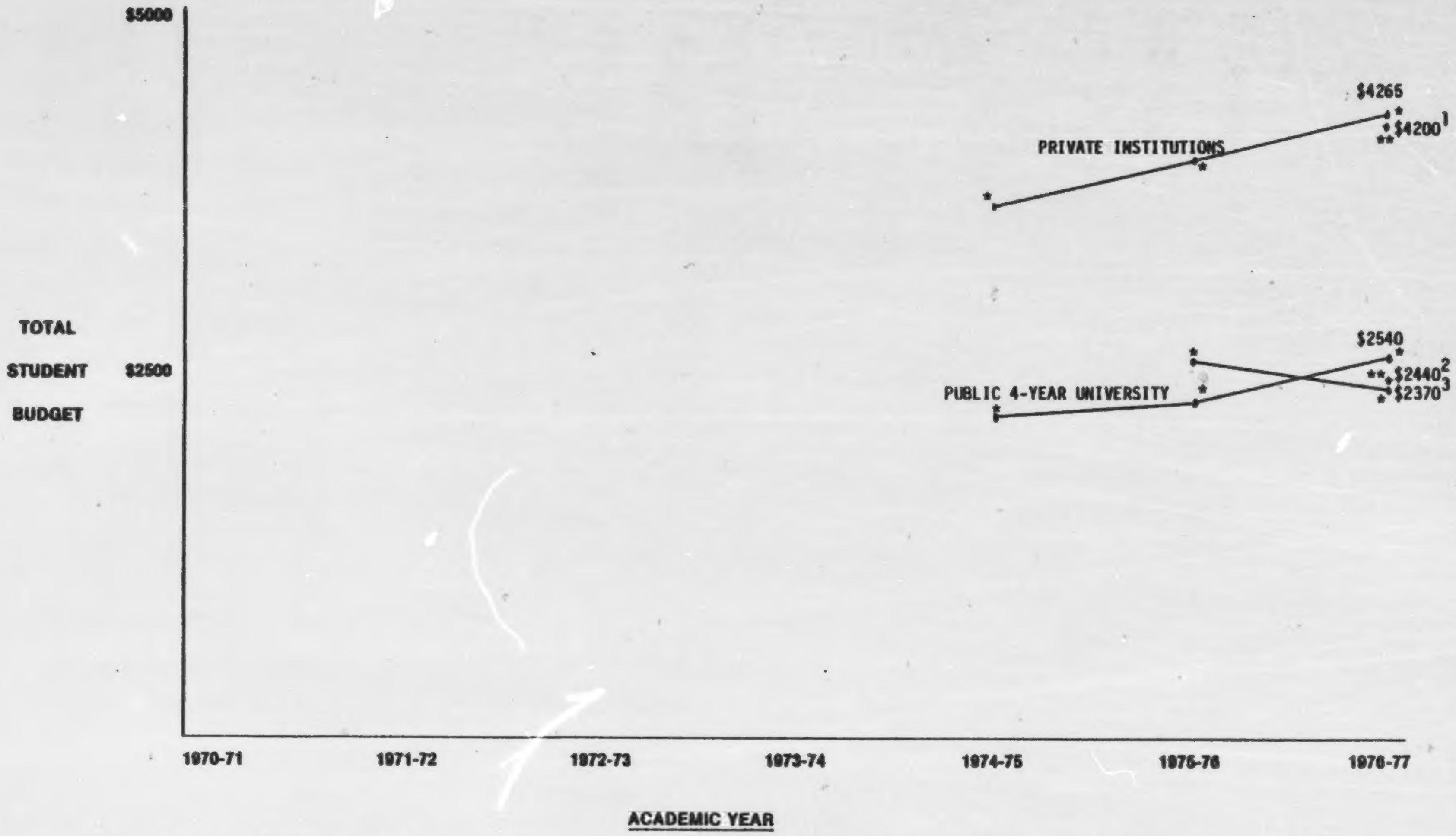
THESE DATA PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM
- STATE OF IDAHO SCHOLARSHIP PROGRAM
- WICHE STUDENT EXCHANGE PROGRAM

711

712

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



[1] PROPRIETARY INSTITUTIONS
[2] PUBLIC VOCATIONAL-TECHNICAL INSTITUTIONS
[3] PUBLIC COMMUNITY/JUNIOR COLLEGES

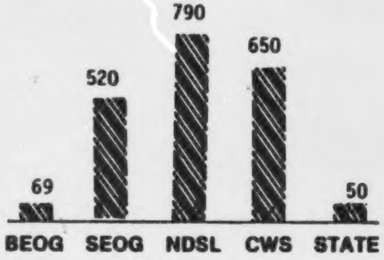
* Response is not based on fact, but is reasonably certain.
** Response is based on a reasonable estimation or approximation.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

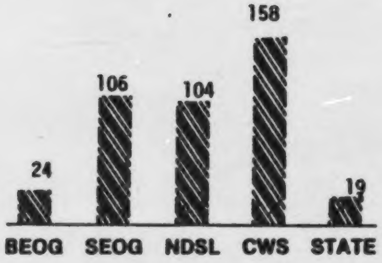
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

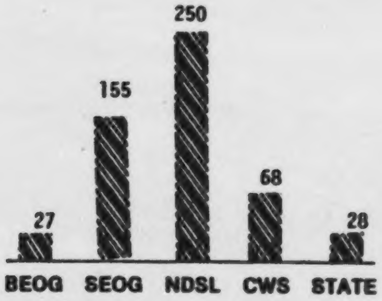
- STATE STUDENT INCENTIVE GRANT PROGRAM



Public University and Four-Year Colleges



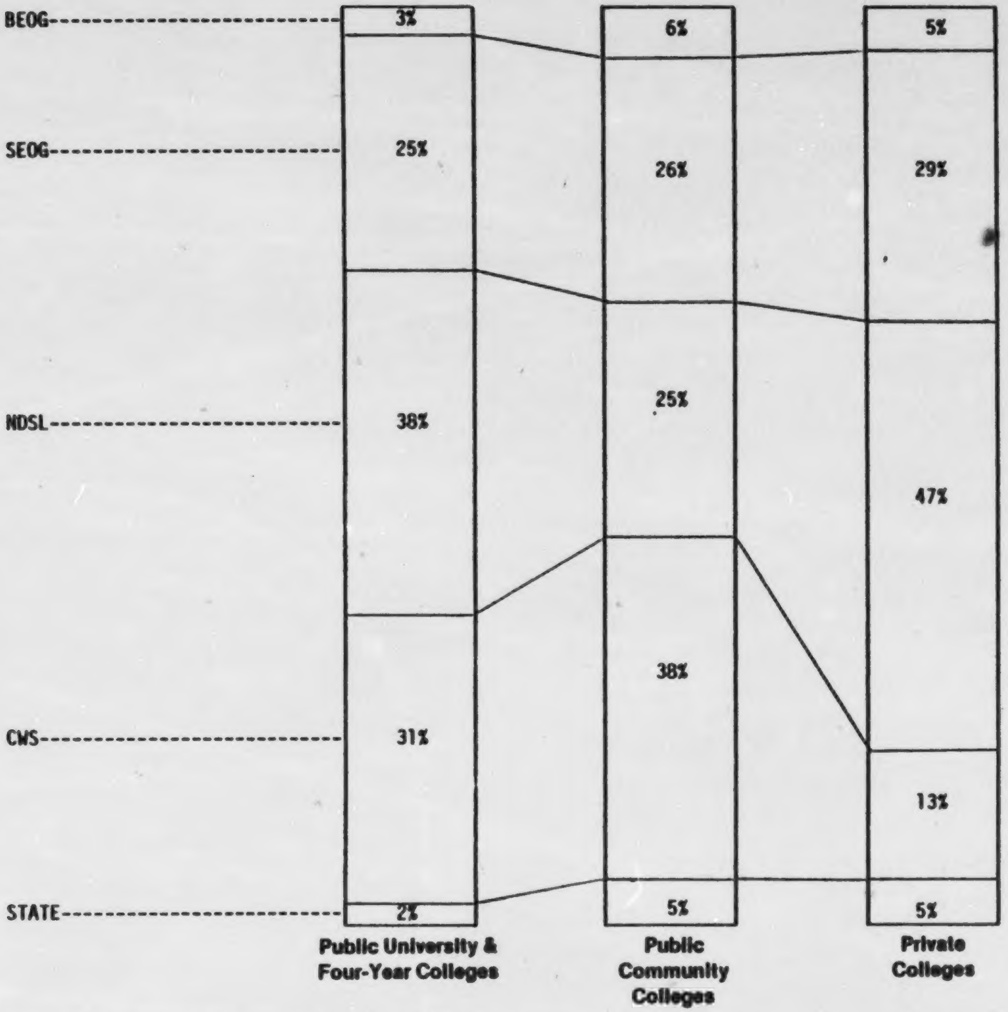
Public Community Colleges



Private Colleges

State funds were also awarded to the following types of institutions:
- Proprietary - $998

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- STATE STUDENT INCENTIVE GRANT PROGRAM

Percent Distribution of Student Assistance By Program By Sector

State funds were also awarded to the following types of institutions:
- Proprietary - $998

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Illinois State Scholarship Commission (ISSC) is a legislatively created commission and is an independent state agency. The Governor's Bureau of Budget advises on budget matters. Appropriations Committees and Higher Education Committees of both houses of the General Assembly consider fiscal and substanti e matters.

The Illinois Board of Higher Education (IBHE) recommends to the governor and General Assembly what level of funding is requested for ISSC programs. ISSC cooperates with but is not administratively under IBHE.

1. Monetary Award Program
2. Bilingual Scholarships
3. National Guard/Naval Militia Awards
4. POW/MIA Dependents Awards
5. Fireman/Policeman/Correctional Officers
6. Student-to-Student Grant Program
7. Guaranteed Student Loan Program
8. Identification of State Scholars

Illinois Department of Veterans Affairs

1. Veteran's Scholarships

Illinois Office of Education

1. Special Education Scholarships

Division of Vocational Rehabilitation

1. Vocational Rehabilitation Services Program

Office of Public Education

1. General Assembly Awards

RELATIONSHIPS OF STATE AGENCIES

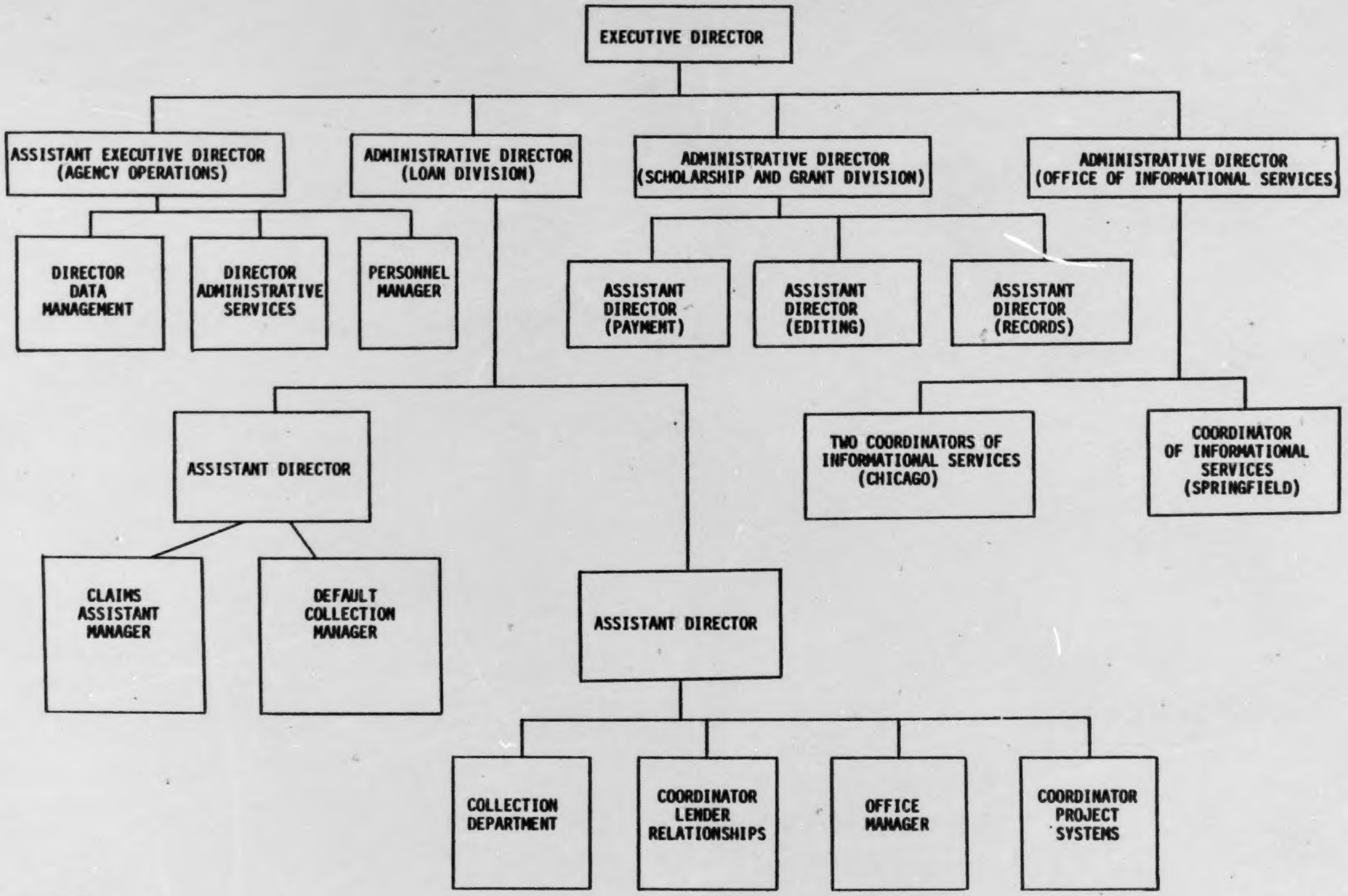
- The Illinois State Scholarship Commission (ISSC) is a legislatively created commission and is an independent state agency.

- The Illinois Board of Higher Education (IBHE) does recommend to the governor and General Assembly what level of funding is requested for ISSC programs. The IBHE also, as its master planning role, recommends maximum award amount and other significant student aid master planning. ISSC cooperates with and is not administratively under IBHE.

- The Governor's Bureau of Budget also advises on budget matters relating to ISSC.

- Appropriations Committees and Higher Education Committees of both houses of the General Assembly consider fiscal and substantive ISSC matters.

STATE AGENCY ORGANIZATION


EXECUTIVE DIRECTOR
ASSISTANT EXECUTIVE DIRECTOR (AGENCY OPERATIONS)
ADMINISTRATIVE DIRECTOR (LOAN DIVISION)
ADMINISTRATIVE DIRECTOR (SCHOLARSHIP AND GRANT DIVISION)
ADMINISTRATIVE DIRECTOR (OFFICE OF INFORMATIONAL SERVICES)
DIRECTOR DATA MANAGEMENT
DIRECTOR ADMINISTRATIVE SERVICES
PERSONNEL MANAGER
ASSISTANT DIRECTOR (PAYMENT)
ASSISTANT DIRECTOR (EDITING)
ASSISTANT DIRECTOR (RECORDS)
ASSISTANT DIRECTOR
TWO COORDINATORS OF INFORMATIONAL SERVICES (CHICAGO)
COORDINATOR OF INFORMATIONAL SERVICES (SPRINGFIELD)
CLAIMS ASSISTANT MANAGER
DEFAULT COLLECTION MANAGER
ASSISTANT DIRECTOR
COLLECTION DEPARTMENT
COORDINATOR LENDER RELATIONSHIPS
OFFICE MANAGER
COORDINATOR PROJECT SYSTEMS

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X	X[1]	
Makes Payment to Student (or Credits Student Account)	X	X	
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

---

[1] Verifies enrollment.

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - One who is registered for 6-11 (quarter or semester) hours of credit or its equivalent.	Law	Yes	Yes	Yes
State Resident - One whose parents and/or parent/legal guardian resides within the state of Illinois.	Law	Yes	Yes	Yes
*Independent Student - Must reside in the state of Illinois in some capacity other than as a college student for twelve months prior to the term in which the award is to be used.	Interpretation			
Non-Profit Institution - An institution not conducted or maintained for the purpose of making a monetary gain.	Law	Yes	Yes	Yes
Satisfactory Academic Progress - Enrollment at an approved Illinois institution as defined by the institution.	Interpretation			Yes
United States Citizenship - Persons born in the United States and/or Territories of the U.S. who have been naturalized in the U.S. and have become citizens. Please Note: For most programs, permanent resident immigrants (who possess a green card) and have lived in the state of Illinois for twelve months are eligible for most award programs.	Law	Yes	Yes	Yes

*For the 1977-78 award year must reside in the state of Illinois in some capacity other than a college student for twelve months prior to the term in which the award is to be used.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Applications Regulations	Adequate
BEOG Office		Applications and Application Information	Marginal
Regional OE Student-Assistance Office (Campus-Based Programs)	Almost None	Regulations (Illinois College Allocations)	Adequate
Washington OE Office (Campus-Based Programs)	Almost None	Regulations (Approval for college to use common methodology calculated by ISSC)	Adequate
FISL/GSL Office	Summaries	Summaries	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Applications Regulations	Applications (Verification of enrollment)	Adequate
Private Institutions	Summaries Recipients Applications Regulations	Applications (Verification of enrollment)	Adequate
Governor/Budget Office	Summaries (Annual Report)	Summaries (Budget Analysis, Requests for Information)	Adequate
State Legislature (Including Committee Staffs)	Summaries (Annual Report)	Summaries (Budget Analysis, Requests for Information)	Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

ILLINOIS B5

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	65[1]	"0" - Includes defaulted loan prevention and collection
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	10[1]	"0"
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5[1]	"0"
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5[1]	"0"
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	15[1]	"0"
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)		

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[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
APRIL -- 1975	BEOG applications information received from federal office
OCTOBER -- 1975	1) Agency budget presented to governor's office or budget office 2) Agency makes student-aid applications available
NOVEMBER -- 1975	Agency completes planning workshops for students or counselors
(DECEMBER 1975-NOVEMBER 1976) DECEMBER -- 1975	Agency announces award winners
APRIL -- 1976	Agency budget presented to legislature
JUNE -- 1976	Legislature appropriates student assistance funds
SEPTEMBER -- 1976	Deadline for student-aid applications
APRIL -- 1977	Last date at which award changes and adjustments are made

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			All awards pending if federal tax paid is not according to income, exemptions and itemized deductions indicated. If actual federal forms show differences in ISSC application data, one year of benefits from ISSC is denied.
1. Match Against Tax Forms?	Yes, Sample	State	
2. Match Against BEOG Applications?	Yes, Sample	State	
3. Match Against Institutional Student Records?	Yes, All	State	
4. Warnings of Prosecution?			
5. Prosecution?			
6. Denial of future benefits?	Yes	State	
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	State	
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	State	ISSC benefits reduced in future to equal amount of unreported to ISSC gift aid.
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office	X	X	X	X	X	X
6. Newspaper Ads		X	X		X	
7. Radio, Television Ads		X	X		X	
8. Social Service Agencies	X	X	X	X	X	X
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Illinois Guaranteed Loan Program (IGLP) - Guarantees loans for educational expenses made to postsecondary students by Illinois private banks, savings and loan associations, and credit unions.

Monetary Award Program - A grant program for needy state residents which provides access to and reasonable choice of public and private state institutions for undergraduate study, by removing financial barriers and keeping loan(s) and work at reasonable levels.

Bilingual Scholarships - A conditional grant program for state residents attending in-state schools (1) who are bilingual but whose primary language is not English; (2) who are eligible to teach in another state or country; (3) who are seeking Illinois Teacher Certification; and (4) who need not more than four semesters/six quarters to be eligible for Illinois Teachers Certification.

National Guard/Naval Militia Awards - A grant program providing educational benefits for currently enlisted members of Illinois National Guard or Illinois Naval Militia who have served at least one year and who are attending in-state public schools.

POW/MIA Dependents Awards - A grant program providing educational benefits for spouse and/or dependent children of any Illinois veteran officially reported to be a POW, MIA, or a deceased or 90% disabled veteran since 1/1/60. Students may be resident or non-resident dependents attending public or private in-state schools.

Fireman/Policeman Dependents Awards - A grant program providing educational benefits for children (age 25 and under) of policemen and firemen killed in the line of duty. Deceased officer must have been an Illinois resident, but dependents may be resident or non-resident students who are attending public or private in-state schools.

Student-to-Student Grant Program - A program providing matching funds to state universities for any contributions they receive toward establishing special scholarships for needy students at their institution. Contributions must come from students with a maximum contribution of $9.00 a year (per student). Recipients must be state residents attending in-state schools.

Illinois Veteran's Scholarships - A grant program to provide financial assistance to state veterans who have been honorably discharged, who have served at least one year, and who were residents at least six months prior and six months following service. Recipients must be resident students attending in-state public schools.

Illinois Special Education Scholarship - A scholastic tuition-remission program (conditional that the student teach for two years in state) which provides educational benefits for a maximum of 250 resident students per year who are enrolled at least half-time at a four-year Illinois State Institution in the field of special education only. Awards are available to recent high school graduates or certified teachers retraining in special education.

Illinois Program for Veteran's Dependents and War Orphans - A grant program to provide educational opportunity to resident dependents of Illinois veterans 100% disabled, killed in action, or died due to disability. This program is not for postsecondary students.

Vocational Rehabilitation Services Program - A grant program to provide education eligibility and services to needy individuals based on presence of physical or mental disability that represents substantial handicap to employment and education. Recipients may be resident or non-resident students attending in-state schools or special institutions, or resident students attending out-of-state schools or special institutions.

General Assembly Awards - A mandated program to assist, each year, two members of each legislative district with tuition waivers at the University of Illinois and at one other state university.

Dependents of Depeartment of Correctional Employees - A grant program to provide educational benefits to dependents of the Dept. of Correctional Employees. Recipients may be resident or non-resident students attending in-state schools.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Monetary Award Program	1970-71	48,369	$ 671	$32,461,000	Resident Undergraduates
	1975-76	92,211	$ 739	$68,135,000	
Student-to-Student Grant Program	1972-73	831	$ 177	$ 147,205	Resident Undergraduates
	1975-76	4,442	$ 109	$ 486,157	
Vocational Rehabilitation Services Program[1]	Unavailable----------------------------------				Handicapped
	1975-76	533	$1,500	$ 800,000	
Loans					
Illinois Guaranteed Loan Program (IGLP)[2]	1970-71	35,865	$1,166	$41,829,668	All Students
	1975-76	30,916	$1,547	$47,823,946	
Non Need-Based					
Bilingual Scholarships[3]	1972-73	255	$ 494	$ 126,016	Bilingual Students
	1975-76	113	$1,151	$ 130,118	
National Guard/Naval Militia Awards[4]					
POW/MIA Dependents Awards	1972-73	4	$ 492	$ 1,969	Dependents of Veterans killed or 90% disabled
	1975-76	102	$ 311	$ 31,711	
Fireman/Policeman Dependents Awards	1972-73	1	$1,200	$ 1,200	Children (under 25) of Law/Fire Officers
	1975-76	8	$ 387	$ 3,093	
Illinois Veteran's Scholarships[5]	1972-73	49,388[6]	$ 167[7]	$ 8,247,430	Veterans
	1975-76	91,722[6]	$ 128[7]	$11,774,271	
Illinois Special Education Scholarship[8]	1970-71	Unavailable----------------------			Students enrolled in Special Education Study
	1975-76	250	$ 500	$ 125,000	
Illinois Program for Veteran's Dependents and War Orphans[9]	Information Unavailable-----------------------				Dependents of Illinois Veterans

CONTINUED

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
General Assembly Awards[10]	1972-73	1,924	Tuition	$ 347,816	One student named each year in District enrolled at University of Illinois and one student at another Illinois university.
	1975-76	2,394	Tuition	$ 432,093	
Dependents of Department of Correctional Employees	1972-73	-0-			Dependents of Department of Correction Employees
	1975-76	-0-			

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[1] Applicants must apply to all other aid programs (ISSC, BEOG, etc.) prior to being granted an award from DVR based on Congressional Act in 1973.

[2] Is a need-based program if student is requesting federally-paid interest loan. Is not need-based for non-subsidized loan.

[3] Recipients of awards must sign a declaration of intent to teach in an Illinois school were there are a substantial number of non-English speaking students enrolled. Under certain conditions, grant becomes a repayable loan.

[4] First year of awards will be 1976-77.

[5] Student has twelve years after discharge to take advantage of this program. The student is eligible for an "equivalent" of four years.

[6] Response not based on fact, but reasonable certainty.

[7] A "best guess" response.

[8] If student can prove that he/she is unable to find a job, he/she is not liable for grant. Substitute teaching or part-time teaching is acceptable.

[9] This program is not for postsecondary students. Further information is contained in state statute 126/2, paragraphs 26 and 27.

[10] Each of 235 representatives and 59 senators must nominate from his/her district one candidate on the merit of the student for tuition waiver at the University of Illinois and one candidate at one other state four-year school. Legislators may choose to nominate one student for four years or four students for one year each. If students drop out of school, the remaining monies go back to legislator who may reissue waiver to a different student. Legislator is only responsible for nominating a candidate if that student chooses not to attend University of Illinois--the waiver does not have to be reissued.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Monetary Award Program	Residents attending In-State	Half-Time Full-Time	All Undergraduates	No	None	$120[1]
Student-to-Student Grant Program	Residents attending In-State	Full-Time	All Undergraduates at Public Senior Colleges	No	None	$120
Vocational Rehabilitation Services Program	Residents attending In/Out of State & Non-Residents	Part-Time Half-Time Full-Time	All	Yes	Financial need analysis	Not Applicable
Loans						
Illinois Guaranteed Loan Program (IGLP)	Residents attending In/Out of State & Non-Residents	Half-Time Full-Time	All	No	$24,999 for Interest subsides	None
Non Need-Based						
Bilingual Scholarships	Residents attending In-State	Half-Time Full-Time	Juniors Seniors Graduates	No	Not Applicable	Not Applicable
National Guard/Naval Militia Awards	[2]	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
POW/MIA Dependents Awards	Residents & Non-Residents attending In-State Schools[3]	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Fireman/Policeman Dependents Awards	Residents & Non-Residents attending In-State Schools[3]	Part-Time Half-Time Full-Time	All Undergraduates	No	Not Applicable	Not Applicable

CONTINUED

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Illinois Veteran's Scholarships	Residents - In-State	Part-Time Half-Time Full-Time	All	No	Not Applicable	Not Applicable
Illinois Special Education Scholarship	Residents - In-State	Half-Time[4]	All Undergraduates	No	Not Applicable	Not Applicable
Illinois Program for Veterans' Dependents and War Orphans	Residents - In-State	Full-Time	Not Applicable	No	Not Applicable	Not Applicable
General Assembly Awards	Residents (See Note 10, Table D2)	Not Applicable	All	No	Not Applicable	Not Applicable
Dependents of Department of Correctional Employees	Residents & Non-Residents in In-State Schools[3]	Part-Time Half-Time Full-Time	All Undergraduates	No	Not Applicable	Not Applicable

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[1] Unless tuition and fees are less than $120.

[2] Membership in Illinois National Guard/Militia determines eligibility, not state of residency.

[3] Veteran must have been an Illinois resident; beneficiary may live out of state.

[4] At least halftime. University makes final decision.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Monetary Award Program	Public Private	Public Private	No	No	Hospital schools of nursing & allied health programs
Student-to-Student Grant Program	Public	No	No	No	No
Vocational Rehabilitation Services Program	Public Private	Public Private	Public	Yes	Training of any sort; on-job-training
Loans					
Illinois Guaranteed Loan Program (IGLP)	Public Private	Public Private	Public	Yes	All schools federally approved & meet State of Illinois criteria
Non Need-Based					
Bilingual Scholarships	Public Private		No	No	No
National Guard/Naval Militia Awards	Public	Public	No	No	No
POW/MIA Dependents Awards	Public Private	Public Private	No	No	No
Fireman/Policeman Dependents Awards	Public Private	Public Private	No	No	No
Illinois Veteran's Scholarships	Public	Public	No	No	No
Illinois Special Education Scholarship	Public	No	No	No	No
Illinois Program for Veterans' Dependents and War Orphans	State, elementary, secondary, high school, vocational/trade, college or university.				
General Assembly Awards	Public	No	No	No	
Dependents of Department of Correctional Employees	Public Private	Public Private	No	No	

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Monetary Award Program	Need = Respective College Cost Budget - Expected Contribution from Income/Assets, Arbitrary Self-Help & any BEOG Dollars	No[1]	Use own system of need analysis	Tuition/Fees
Student-to-Student Grant Program	Need = Respective College Cost Budget - Expected Contribution from Income Assets - Arbitrary Self-Help - Any BEOG Dollars	No	Needs analysis of ISSC	Tuition/Fees
Vocational Rehabilitation Services Program	Need = If, without DVR, person cannot get training necessary for ultimate employment	Yes	Income Tax, BEOG, Total Need Analysis	All
Loans				
Illinois Guaranteed Loan Program (IGLP)	Federal law definition	No	Not Given	Any educational cost

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[1] Need calculation assumes application to BEOG and benefits will be received, if eligible. Filing of BEOG is not technically required.

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Monetary Award Program	$1,500 for 1976-77	If difference between college cost budget minus expected contribution from income-assets, aribtrary self-help and any BEOG support is equal or greater than tuition and fees, then up to $1,500, not to exceed tuition and fees, is assigned. Partial awards from $120 to $1,440 ($60 intervals) are assigned for those with less need	Date of filing	State
Student-to-Student Grant Program	Tuition/Fees	By need analysis	Date of filing	Institution
Vocational Rehabilitation Services Program	No limits	Financial need/cost of institute/ other scholarships/special category handicapped	When monies are short--most severely handicapped are helped first.	Institution & combination of counselors
Loans				
Illinois Guaranteed Loan Program (IGLP)	$ 2,500/academic yr. $ 7,500/undergrad. $10,000/graduate	Expenses minus aid to maximum of $2,500 per academic year for third year and above, maximum of $1,000 for Freshman and $1,500 for Sophomores. Lender must approve.	None. Lender must approve loan--all eligible students receive guarantee by State of Illinois	State
Non Need-Based				
Bilingual Scholarships	$1,500 for 1976-77	Payment of tuition and fees	Date of filing	State
National Guard/Naval Militia Awards	Tuition/Fees	Tuition and mandatory fees (which include matriculation, graduation, activity, term and incidental)	Not applicable	State
POW/MIA Dependents Awards	$1,500 for 1976-77	Based on tuition and fees	Not applicable	State

CONTINUED

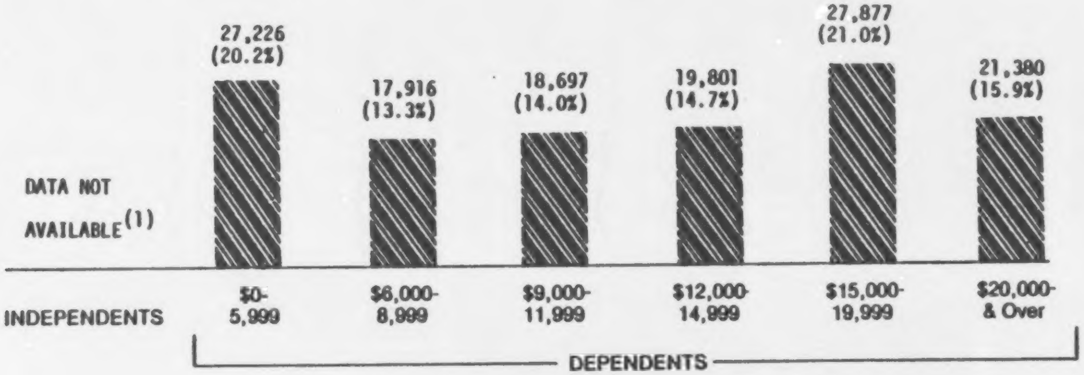
AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method is Used?	How is Award Priority Determined?	Who Ultimately Determines Award Amount?
Fireman/Policeman Dependents Awards	$ 1,500	Based on tuition and fees	Not applicable	State
Illinois Veteran's Scholarships	Tuition/Fees	By amount of tuition and fees	Not applicable	Institution
Illinois Special Education Scholarship	Tuition	ACT scores and high school rank in class must be upper half	ACT scores and high school rank in class (must be upper half)	Institution
Illinois Program for Veterans' Dependents and War Orphans	$ 150	Not applicable	Not applicable	State
General Assembly Awards	Tuition	Individual legislator has privilege of awarding two students (maximum) on whatever basis he desires each year.	Dependent upon individual legislator	Institution based on school budget
Dependents of Department of Correctional Employees	$ 1,500 for 1976-77	Based on tuition and fees	Not applicable	State

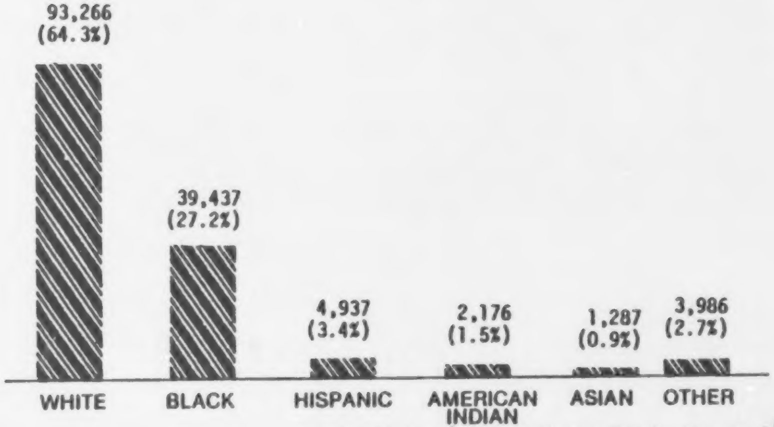
NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

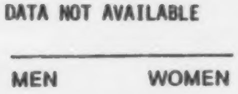
- MONETARY AWARD PROGRAM



Income Level (Data Includes Only Applicants Eligible to Process)



Ethnic Status (Data Includes All Applicants)(2)



Sex

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(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

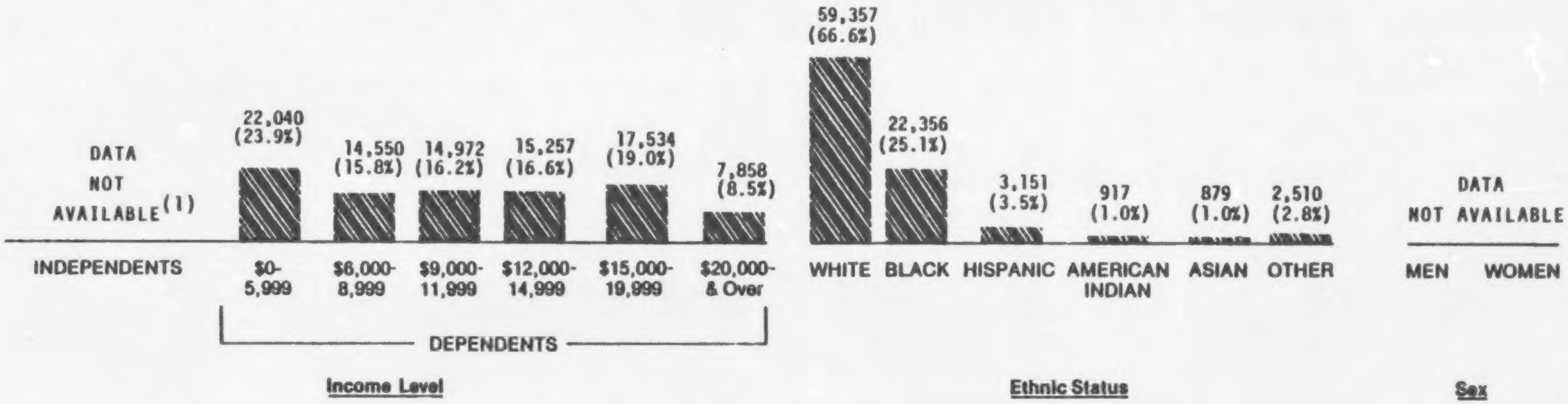
(2) There were 5,721 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

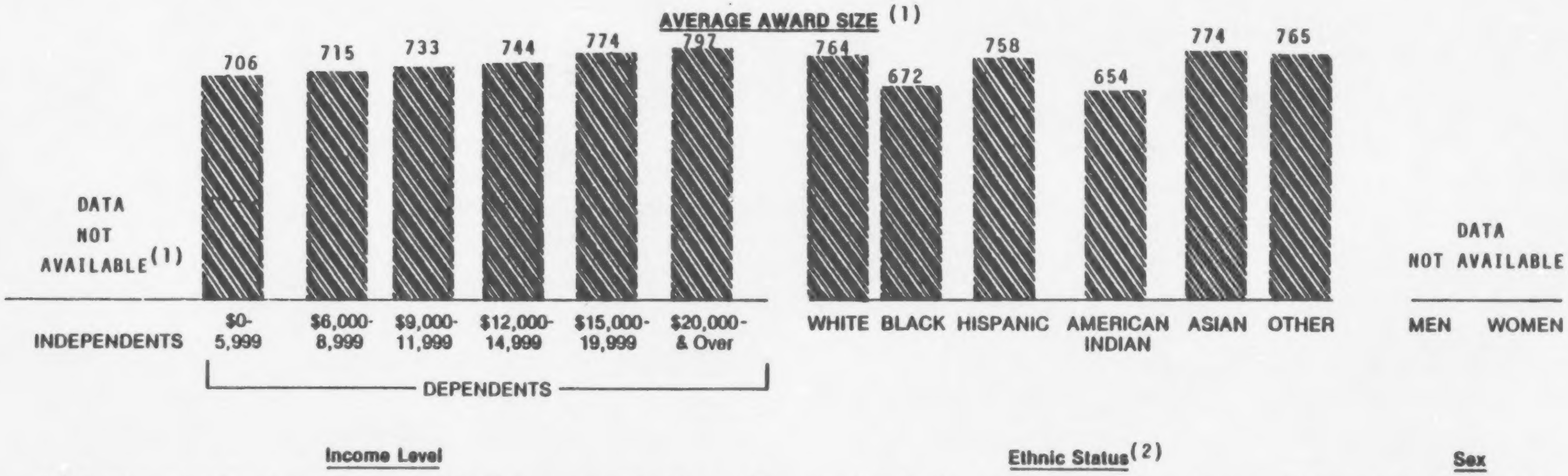
THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- MONETARY AWARD PROGRAM

NUMBER OF RECIPIENTS (1)



AVERAGE AWARD SIZE (1)



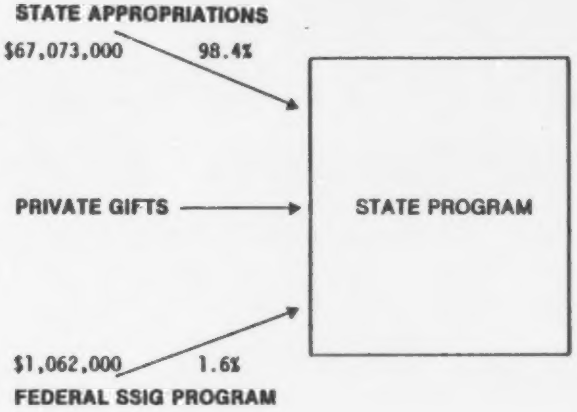
(1) Responses are based on reasonable estimations or approximations. Also, the distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

(2) There were 3,040 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- MONETARY AWARD PROGRAM



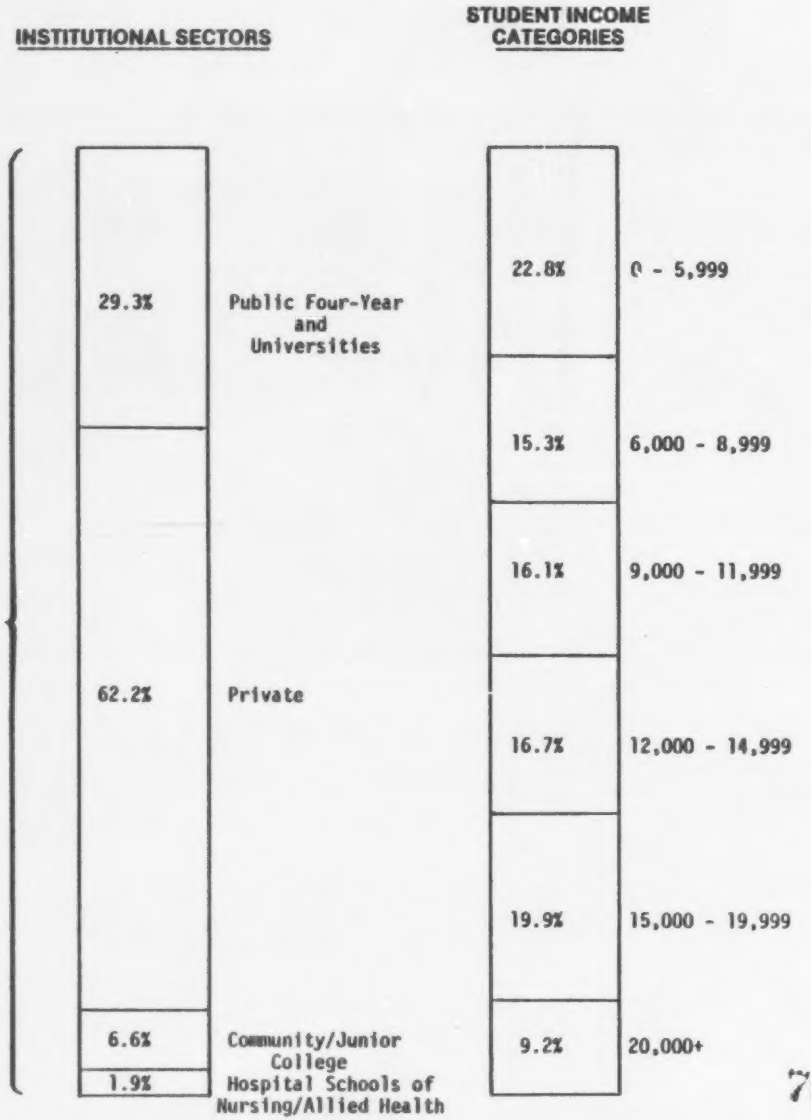
STATE APPROPRIATIONS
$67,073,000 98.4%

PRIVATE GIFTS

STATE PROGRAM

$1,062,000 1.6%
FEDERAL SSIG PROGRAM

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.



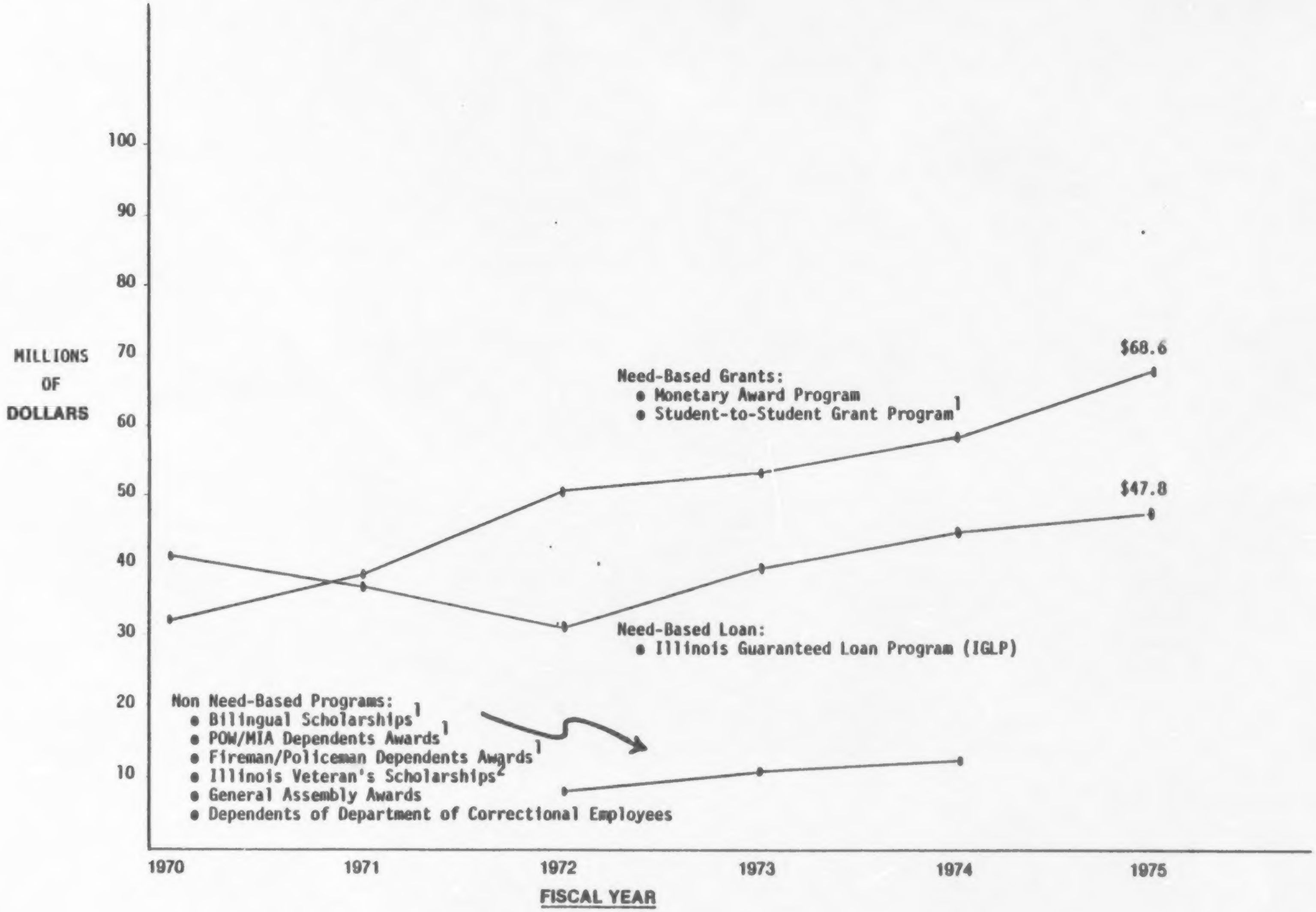
INSTITUTIONAL SECTORS

29.3%	Public Four-Year and Universities
62.2%	Private
6.6%	Community/Junior College
1.9%	Hospital Schools of Nursing/Allied Health

STUDENT INCOME CATEGORIES

22.8%	0 - 5,999
15.3%	6,000 - 8,999
16.1%	9,000 - 11,999
16.7%	12,000 - 14,999
19.9%	15,000 - 19,999
9.2%	20,000+

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



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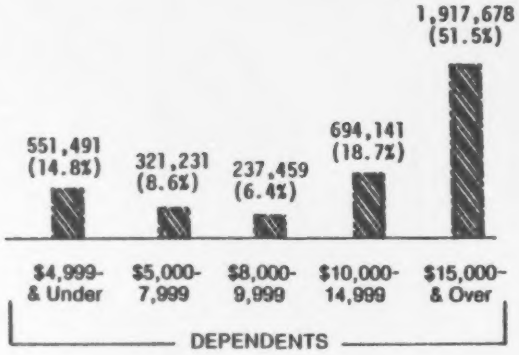
[1] 1972-73 first year of program.
[2] Data not given for 1975-76.

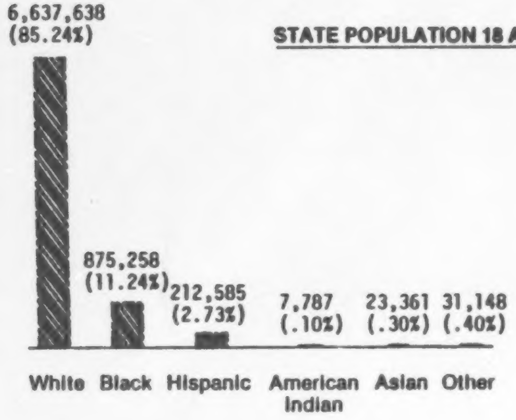
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

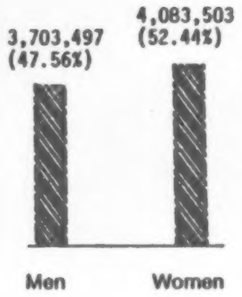


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

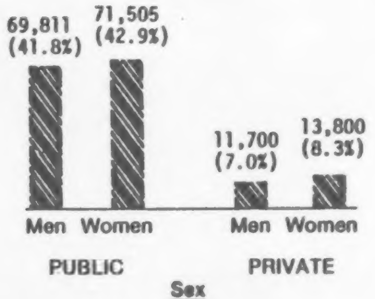


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 15,807 persons granted a high school equivalency certificate.

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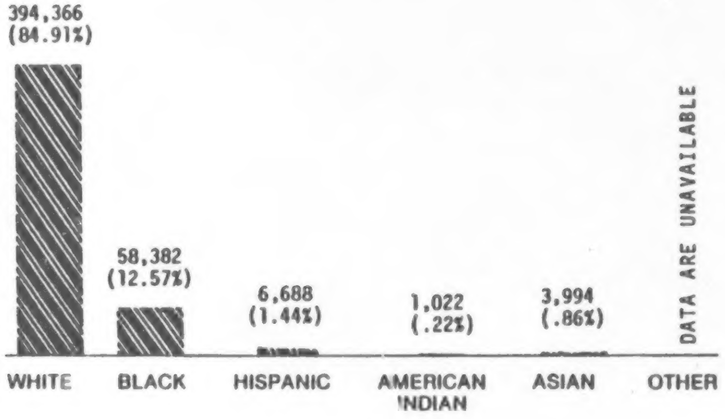
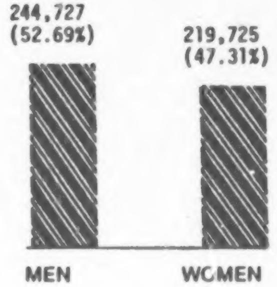
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

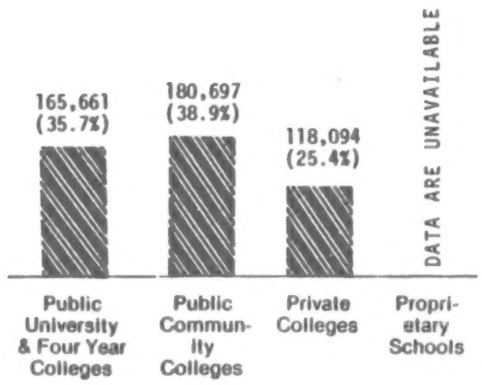
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



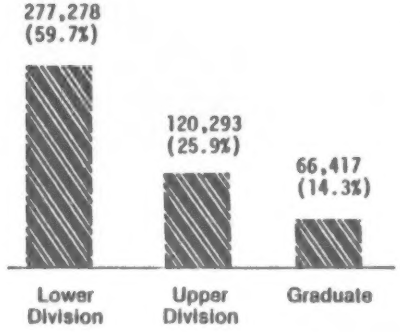
Ethnic Status



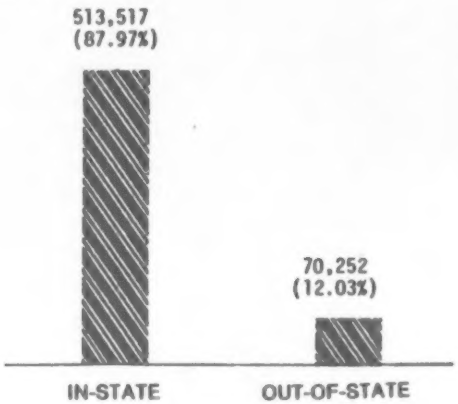
Sex



Institutional Sector

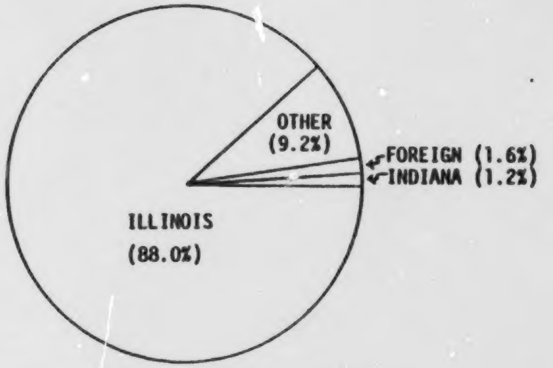


Student Level

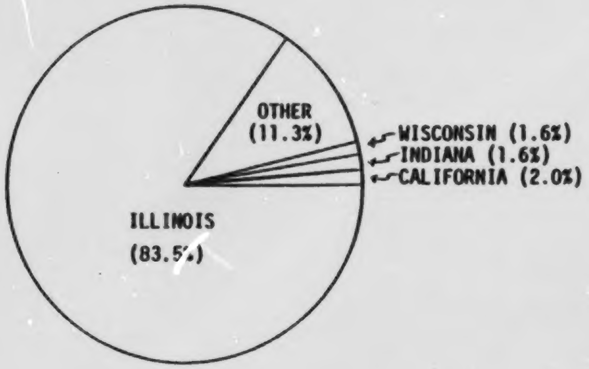


Residency

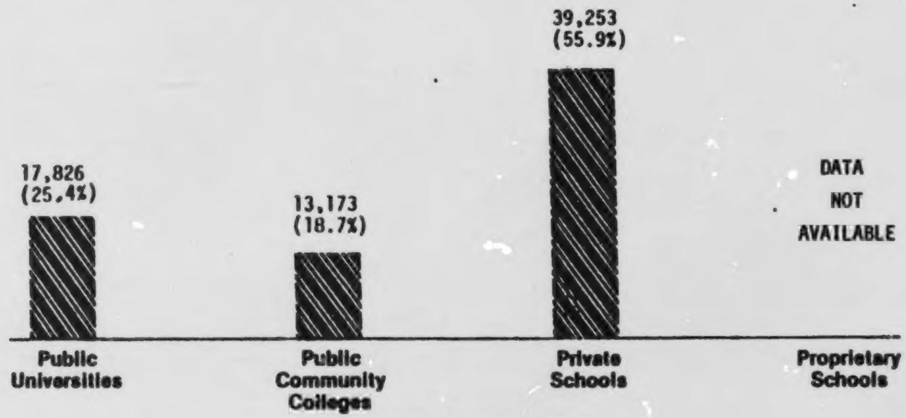
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



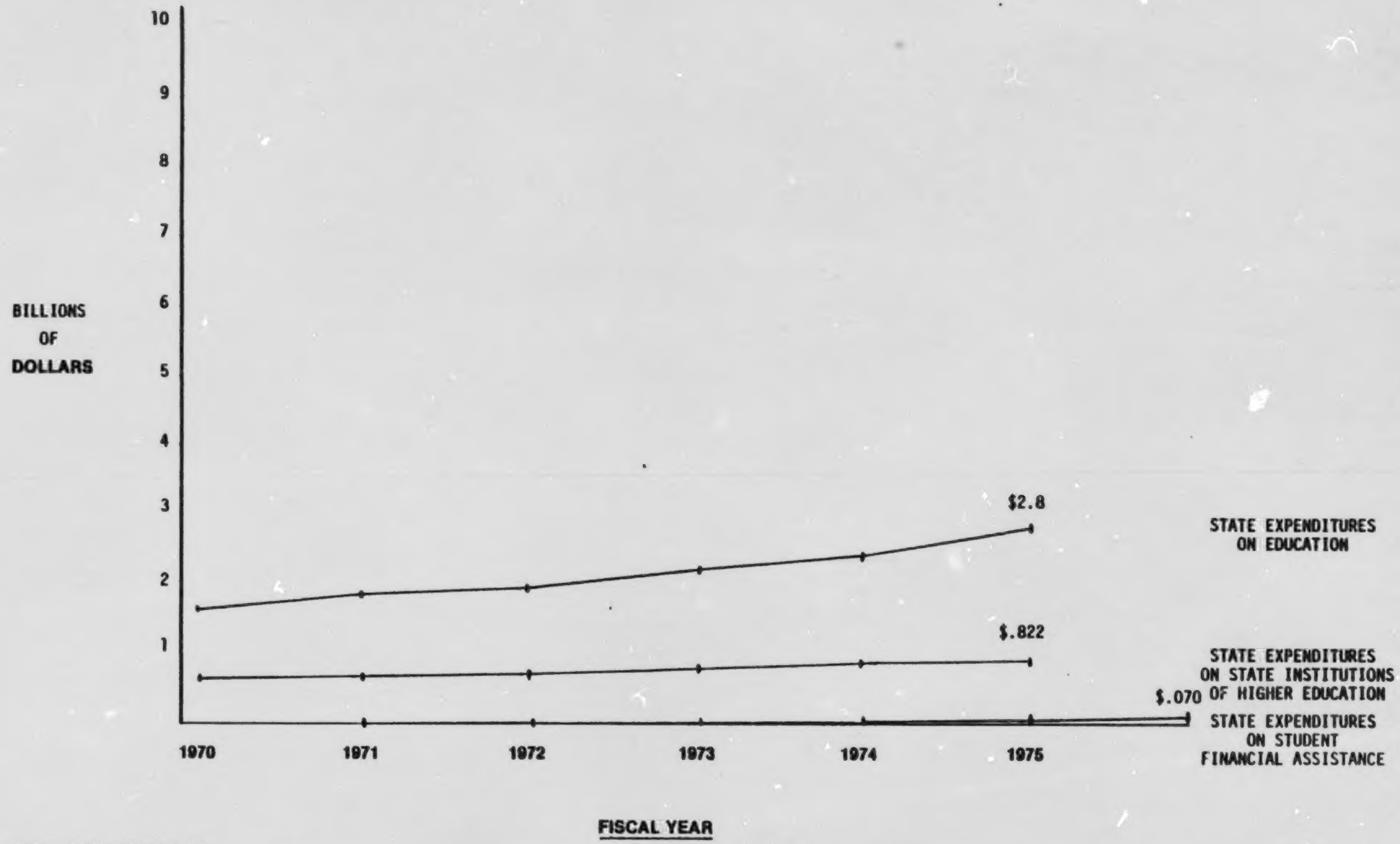
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students In School In-State)

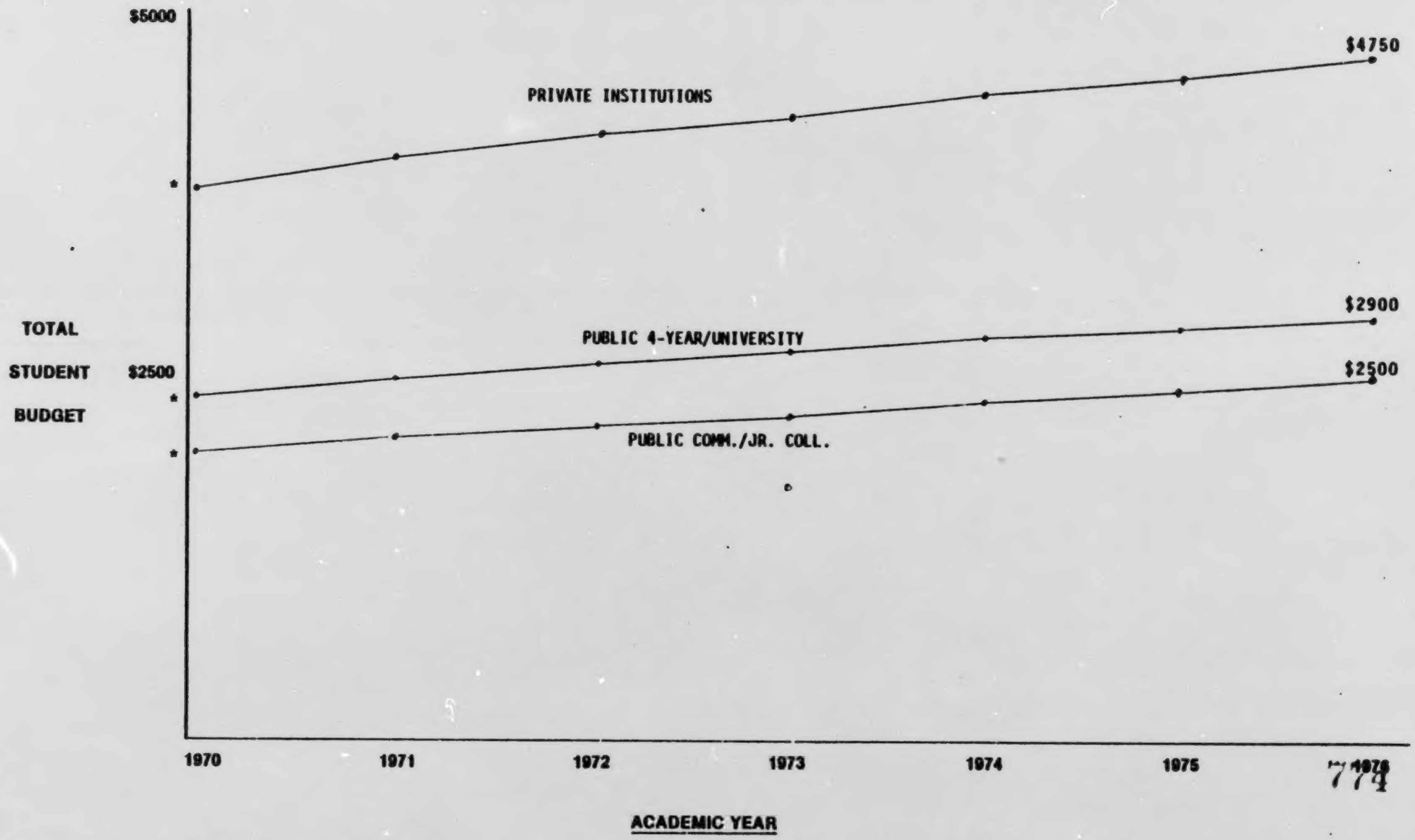
STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE



THESE DATA PERTAIN TO:

- MONETARY AWARD PROGRAM
- BILINGUAL SCHOLARSHIPS
- POW/MIA DEPENDENT AWARDS
- FIREMAN/POLICEMAN DEPENDENTS AWARDS
- STUDENT-TO-STUDENT GRANT AWARDS
- VETERANS' SCHOLARSHIPS
- SPECIAL EDUCATION SCHOLARSHIP
- VOCATIONAL REHABILITATION SERVICES PROGRAM
- GENERAL ASSEMBLY AWARDS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



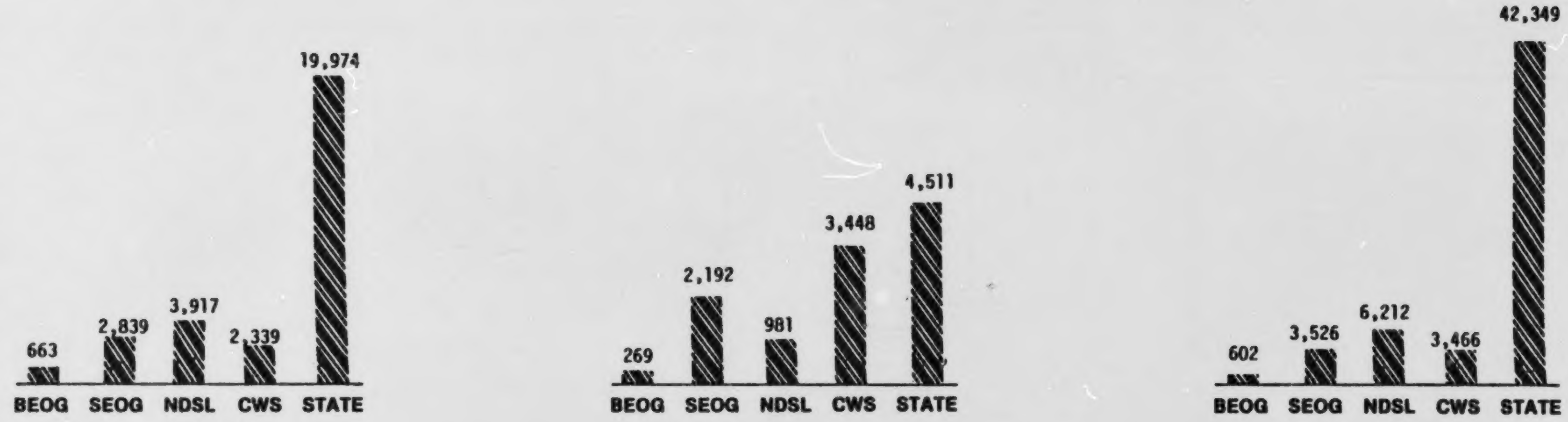
*All responses are based on reasonable estimations or approximations.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- MONETARY AWARD PROGRAM



Public University and Four-Year Colleges

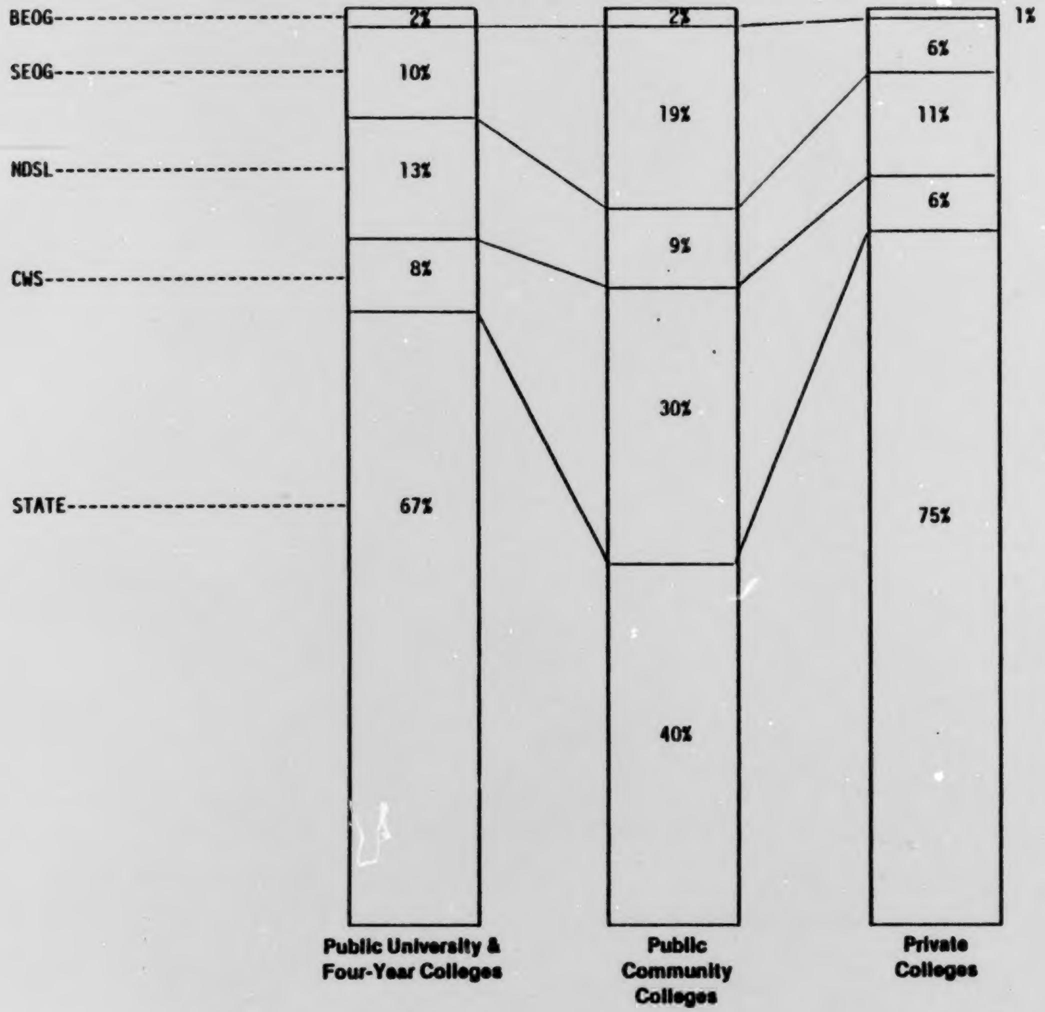
Public Community Colleges

Private Colleges

State funds were also awarded to the following types of institutions:

- Special (Health) - $1,306,027

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- MONETARY AWARD PROGRAM

Percent Distribution of Student Assistance By Program By Sector

State funds were also awarded to the following types of institutions:

- Special (Health) - $1,306,027

777

778

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The State Student Assistance Commission of Indiana makes all policy decisions which affect the state need-based student financial aid programs and acts as the guarantor for student loans made by approved lenders in the state loan program. The Commission membership consists of eleven persons, citizens of Indiana who are appointed by the Governor for three-year terms. Each of the eleven congressional districts are represented by one member who resides therein. No member, while serving his/her term, may be an employee of or serve on the governing board of any state or private college, university, school corporation or other educational institution in Indiana, or be an employee or official of the State of Indiana. However, the Governor may appoint up to two members affiliated with private educational institutions and up to two members affiliated with public educational institutions. The Commission appoints the Executive Director who is the chief administrative officer that administers the programs of the Commission. The Executive Director prepares the budget for approval by the Commission. The approved budget is then sent to the State Budget Agency and the Commission for Higher Education for their review and recommendations to the Governor and the state legislative committees. The final approval of the budget is made by the full state legislature.

1. State Scholarship Program
2. Indiana Educational Grant Program
3. Freedom of Choice Grant Program
4. Top Twenty Hoosier Scholars Awards
5. Student Guaranteed Loan Program

Other Agencies

1. Vocational Rehabilitation Grant
2. State Assistance for Dependents of POW/MIA & Disabled Veteran's
3. State Assistance for Dependents of Deceased Firemen and Policemen

RELATIONSHIPS OF STATE AGENCIES

MANAGEMENT

The State Student Assistance Commission of Indiana makes all rules and policies which affect the state need-based student financial aid programs, as well as, the state loan program. The Commission membership consists of eleven persons, citizens of Indiana representing each of the eleven congressional districts, who are appointed by the Governor. The members represent the "lay" community with the possible exception of up to two members affiliated with private educational institutions and up to two members affiliated with public educational institutions.

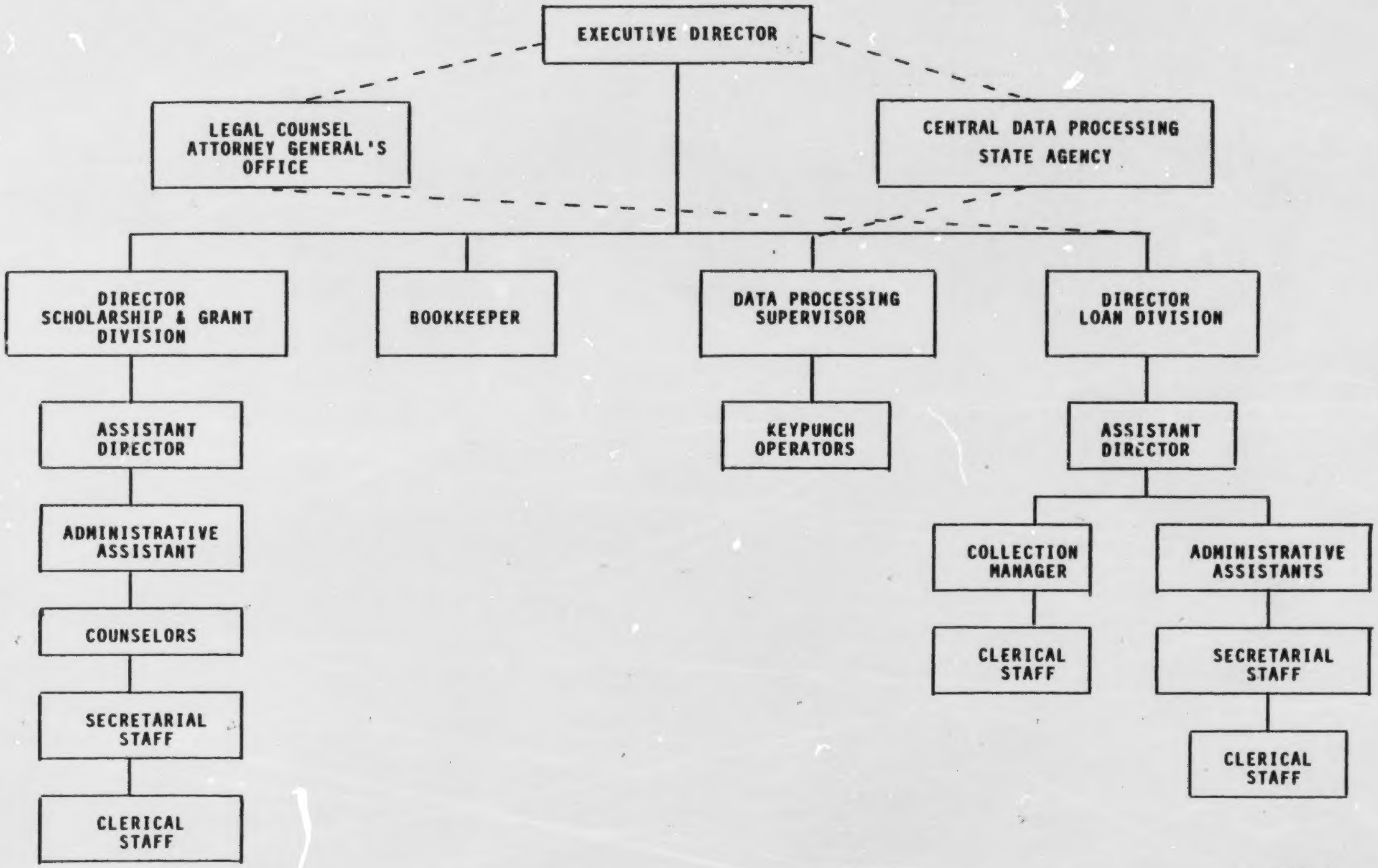
The Commission appoints the Executive Director who is the chief administrative officer of the agency. The Commission along with the Executive Director and staff members are responsible for managing the programs and are also responsible for planning activities.

BUDGET APPROVAL

The Executive Director prepares the budgetary requests. The Executive Director presents the budget to the Commission. Upon the Commission's approval, the budget is sent to the Commission for Higher Education and the State Budget Agency (which acts to maintain the wishes of the Governor's Office) for their review and recommendations to the state legislature. Legislative committees which recommend budgetary matters to the full legislature are the House Ways and Means Committee and the Senate Finance Committee. Finally, the entire state legislature approves the budget.

STATE AGENCY ORGANIZATION

INDIANA B1


EXECUTIVE DIRECTOR
LEGAL COUNSEL ATTORNEY GENERAL'S OFFICE
CENTRAL DATA PROCESSING STATE AGENCY
DIRECTOR SCHOLARSHIP & GRANT DIVISION
BOOKKEEPER
DATA PROCESSING SUPERVISOR
DIRECTOR LOAN DIVISION
ASSISTANT DIRECTOR
KEYPUNCH OPERATORS
ASSISTANT DIRECTOR
ADMINISTRATIVE ASSISTANT
COLLECTION MANAGER
ADMINISTRATIVE ASSISTANTS
COUNSELORS
CLERICAL STAFF
SECRETARIAL STAFF
SECRETARIAL STAFF
CLERICAL STAFF
CLERICAL STAFF

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X	X	
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X	X	
Publicizes Award Availability	X	X	X

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Part-Time Student - A student who is enrolled with less than the number of hours needed to be classified as a full-time student as determined by the policies of the institution the student is attending.	Interpretation	Yes	Yes	Yes
State Resident - Student is "domiciled in the state for the six-month period immediately preceding the first-year scholarship." The domicile of a dependent student for purposes of state student financial aid is that of the student's parents.	Law and Interpretation	Yes	Yes	Yes
Independent Student - 1) Has not and will not be listed as an exemption for federal income tax purposes by any person except his or her spouse for the calendar year(s) in which aid is received and the calendar year prior to the academic year for which aid is requested; 2) Has not received and will not receive financial assistance of more than $600 from his or her parent(s) in the calendar year(s) in which aid is received and the calendar year prior to the academic year for which aid is requested; and 3) Has not lived or will not live for more than two consecutive weeks in the home of a parent during the calendar year in which aid is received and the calendar year prior to the academic year for which aid is requested.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - An "approved institution of higher learning" means an educational institution located in the state (a) that provides an organized two-year or longer program of collegiate grade directly creditable toward a baccalaureate degree; (b) that is either operated by the state or operated not for profit; and (c) that is accredited by a recognized regional accrediting agency or is certified by the state department of public instruction as qualified to prepare persons to teach in the state, also includes a hospital which operates a nursing diploma program which is accredited by the Indiana State Board of Nursing Registration and Nursing Education.	Law	Yes	Yes	Yes
Satisfactory Academic Progress - A student is eligible for renewal if the student continues to be a student in good standing as defined by the institution the student is attending.	Interpretation	Yes	Yes	Yes
United States Citizenship - A student must be a citizen of the United States.	Law	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Summaries Regulations	Adequate
BEOG Office		Regulations	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)		Regulations	Adequate
Washington OE Office (Campus-Based Programs)		Regulations	Adequate
FISL/GSL Office	Summaries Regulations	Regulations	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Recipients Regulations Terminations	Summaries Terminations	Adequate
Private Institutions	Recipients Regulations Terminations	Summaries Terminations	Adequate
Governor/Budget Office	Summaries	Summaries	Adequate
State Legislature (Including Committee Staffs)	Summaries		Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	55[1]	Institutional financial aid offices notify our office of need analysis changes on special circumstances.
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	15[1]	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	3[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5[1]	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	17[1]	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	5[1]	

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[1] Response is based on a reasonable estimation or approximation.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
SEPTEMBER - 1975	1) Agency budget presented to governor's office and budget agency 2) Agency makes student-aid applications available
OCTOBER - 1975	Agency completes planning workshops for students and counselors
DECEMBER - 1975	1) Deadline for Freshmen scholarship applications 2) Deadline for taking SAT examination--Freshmen scholarship applicants
JANUARY - 1976	Agency budget presented to legislature
FEBRUARY - 1976	1) Legislature appropriates student assistance funds 2) Deadline for renewal student-aid applications
MARCH - 1976	1) Deadline for Freshmen grant applications 2) Deadline for parents' financial statements
MAY - 1976	Agency announces award winners
SEPTEMBER - 1976	Institutions sent first semester check
JANUARY - 1977	Institutions sent second semester check
ONGOING -	Award changes and adjustments are made continuously or as needed throughout the year
MAY - 1977	Reconciliation of awards for 1976-77 per institution

1) Legislature had already appropriated funds for the 1976-77 academic year in April 1975. Our budget is a biennial one and the budgetary considerations for 1976 concern supplemental or additional requests only

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, Sample	Institution, State	Note 1
2. Match Against BEOG Applications?	Yes	Institution	
3. Match Against Institutional Student Records?	Yes, All	Institution, State	Note 2
4. Warnings of Prosecution?	Yes, Sample	State	Note 3
5. Prosecution?	Yes	State	Note 4
6. Reduction or Cancellation	Yes, All	Institution, State	Note 5
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	Note 6
2. Check on Mid-Term Attendance?	Yes, All	Institution	Note 7
3. Check on Course Completion?	Yes	Institution	
4. Check on Academic Progress Before Award?	YesYes	Institution	
5. Partial Awards?	Yes	Institution, State	Note 8
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution, State	
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution, State	
3. Re-Check to Ensure Award Adjustments Actually Made?	Yes, All	State	
4. Second Review of Aid Packages?	Yes, Sample.	Institution, State	Note 9
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State Board of Accounts	
2. Bonding of Staff Members?	Yes, All	Private Insurance Agency	
3. Double Signatures on All Fund Transactions?			

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[1] Application is delayed or award is revised to reflect tax data.

[2] If recipient is not enrolled, award is withdrawn.

[3] When repayment of an award based on fraudulent PCS data doesn't occur, a prosecution warning is sent.

[4] If repayment of an award when requested doesn't occur or a student defaults on a loan, prosecution is pursued.

[5] If tax forms do not correspond with PCS, award may be reduced or canceled.

[6] Award is canceled if student is not enrolled.

[7] Award is canceled if student is not enrolled full-time.

[8] If a student withdraws or only attends part of an academic year, a partial award is given.

[9] Review is done as needed.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

INFORMATION PROVIDED THROUGH	TYPE OF INFORMATION PROVIDED					
	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops	X	X	X	X	X	X
5. College Admissions Office		X	X	X	X	
6. Newspaper Ads		X	X		X	
7. Radio, Television Ads		X	X			
8. Social Service Agencies		X	X	X	X	X
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

State Scholarship Program - A grant program to assist financially needy students who have scholastically qualified for the program. Recipients must be resident students attending in-state schools. Awards are financial need based, range from $100 to $1400.

Indiana Educational Grant Program - A grant program to assist resident students with financial need to attend in-state schools. Awards range from $100 to $1400.

Freedom of Choice Grant Program - A supplemental grant program to provide resident students who have already received the maximum state scholarship or educational grant and who continue to demonstrate need at private, in-state institutions.

Top Twenty Hoosier Scholars Awards - A grant program to award $500 to each of the top twenty scholastically qualified Freshmen students in the state.

Vocational Rehabilitation Grants - Benefits are available to students with physical disabilities which interfere with their ability to pursue a gainful occupation or which threaten continued employment. Funds are administered through the local Vocational Rehabilitation Office.

State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans - Awards are made to students whose parents have been declared a prisoner or missing in action after January 1, 1960. The parent must have been a resident of Indiana at the time he entered the service. Students must be undergraduates and attend a state-supported institution.

State Assistance for Dependents of Deceased Firemen/Policemen - Children of deceased policemen or firemen who were killed in the line of duty are eligible. Students must have resided in the state for the immediate previous year. Students must be full-time and attend a state-supported institution.

State Student Guaranteed Loan Program - A program to guarantee loans made by approved lenders to students who are attending qualified institutions in or out of the state. Students must be enrolled at least half-time. Federal regulations are abided. Purpose of program is to persuade approved lenders to make student loans.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
State Scholarship Program	1970-71	7,214	$476	$ 3,439,930	Resident Undergraduates
	1975-76	13,384	$872	$11,667,572	
Indiana Educational Grant Program	1971-72	625	$787	$ 492,255	Resident Undergraduates
	1975-76	2,815	$731	$ 2,058,414	
Freedom of Choice Grant Program	1973-74	1,556	$897	$ 521,240	Private School Students Only
	1975-76	3,892	$424	$ 1,648,831	
Non Need-Based					
Top Twenty Hoosier Scholars Awards	1974-75	20	$500	$ 10,000	Top Twenty Resident Freshmen
	1975-76	20	$500	$ 10,000	
Vocational Rehabilitation Grants[1]					
State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans[1]					
State Assistance for Dependents of Deceased Fireman/Policeman[1]					
State Student Guaranteed Loan Program[2]					All students enrolled at least half-time

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[1] Information not available from the Student Assistance Commission.

[2] Created by 1977 Legislation of Indiana General Assembly.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
State Scholarship Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	Not Applicable
Indiana Educational Grant Program	Residents attending In-State	Full-Time	All Under graduates	Yes	Not Applicable	Not Applicable
Freedom of Choice Grant Program	Residents attending In-State	Full-Time	All Under graduates	Yes	Not Applicable	Not Applicable
Non Need-Based						
Top Twenty Hoosier Scholars Awards	Residents attending In-State	Full-Time	Freshmen	Not Applicable	Not Applicable	Not Applicable
Vocational Rehabilitation Grants	Residents attending In-State	Half-Time	All Undergraduates	No	Not Applicable	Not Applicable
State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans	Residents attending In-State	Half-Time	All Undergraduates	No	Not Applicable	Not Applicable
State Assistance for Dependents of Deceased Fireman/Policeman	Residents attending In-State	Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
State Student Guaranteed Loan Program	U.S. Citizen or Permanent Resident	Half-Time	Undergraduates and Graduates	No	Yes[1]	Yes[1]

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[1] Only if interest-free benefits are requested.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
State Scholarship Program	Public Private	Public	Public	No	Hospital Schools of Nursing
Indiana Educational Grant Program	Public Private	Public	Public	No	Hospital Schools of Nursing
Freedom of Choice Grant Program	Private	No	No	No	No
Non Need-Based					
Top Twenty Hoosier Scholars Awards	Public Private	Public	Public	No	No
Vocational Rehabilitation Grants	Public Private	Public Private	Yes	Yes	Yes
State Assistance for Dependents of POW/MIA/ Deceased/Disabled Veterans	Public	Public	Public	No	No
State Assistance for Dependents of Deceased Fireman/Policeman	Public	Public	Public	No	No
State Student Guaranteed Loan Program	Public Private	Yes	Yes	Yes	Yes

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
State Scholarship Program	Same as CSS	No	Consensus	Tuition/Fees, Books, Room/ Board, Travel, Personal
Indiana Educational Grant Program	Same as CSS	No	Consensus	Tuition/Fees, Books, Room/ Board, Travel, Personal
Freedom of Choice Grant Program	Same as CSS	No	Consensus	Tuition/Fees, Books, Room/ Board, Travel, Personal

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
State Scholarship Program	$1,400	Difference between the institutional budget and the Total Family Contribution equals need. The award amount will equal the need or tuition at the institution--whichever is less, but not to exceed $1,400.	All renewal obligations first; amount left from appropriation after renewals used for Freshman class (ranked by a Composite Score--SAT and class rank) until funds run out.	State
Indiana Educational Grant Program	$1,400	Same as State Scholarship Program	Renewals first; then Freshmen with greatest need first and down the line until funds are exhausted.	State
Freedom of Choice Grant Program	$ 600 - since 1975-76	On a percentage basis, but not to exceed $600. (Percentage will vary from year to year depending upon appropriation and number of students who are eligible.)	Not Applicable	State
Non Need-Based				
Top Twenty Hoosier Scholars Awards	$ 500	By ranking all Freshmen applicants by a Composite Score (SAT and class rank). The top twenty receive the award.	Not Applicable	State
Vocational Rehabilitation Grants	Variable	Counselor Determination	Not Applicable	State
State Assistance for Dependents of POW/MIA/Deceased/Disabled Veterans	Variable	On a percentage basis of fees	Not Applicable	Student
State Assistance for Dependents of Deceased Fireman/Policeman	Variable	On a percentage basis of fees	Not Applicable	Student
State Student Guaranteed Loan Program	$2,500	Difference between the institutional budget and other types of financial aid but not to exceed $2500 ($5000 for Graduate).	Not Applicable	Lender

NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

DATA NOT AVAILABLE(1)

INDEPENDENTS	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over
	DEPENDENTS					

Income Level

DATA NOT AVAILABLE(1)

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE(1)

MEN	WOMEN

Sex

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(1) This type of information is kept on SSIG recipients only; not total recipients.

NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

NUMBER OF RECIPIENTS

DATA NOT AVAILABLE(1)

INDEPENDENTS	DEPENDENTS					
	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over

Income Level

DATA NOT AVAILABLE(1)

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE(1)

MEN	WOMEN

Sex

AVERAGE AWARD SIZE

DATA NOT AVAILABLE(1)

INDEPENDENTS	DEPENDENTS					
	$0-5,999	$6,000-8,999	$9,000-11,999	$12,000-14,999	$15,000-19,999	$20,000-& Over

Income Level

DATA NOT AVAILABLE(1)

WHITE	BLACK	HISPANIC	AMERICAN INDIAN	ASIAN	OTHER

Ethnic Status

DATA NOT AVAILABLE(1)

MEN	WOMEN

Sex

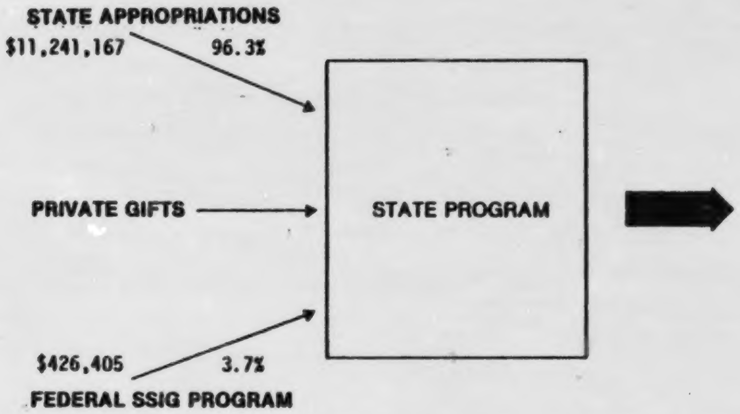
---

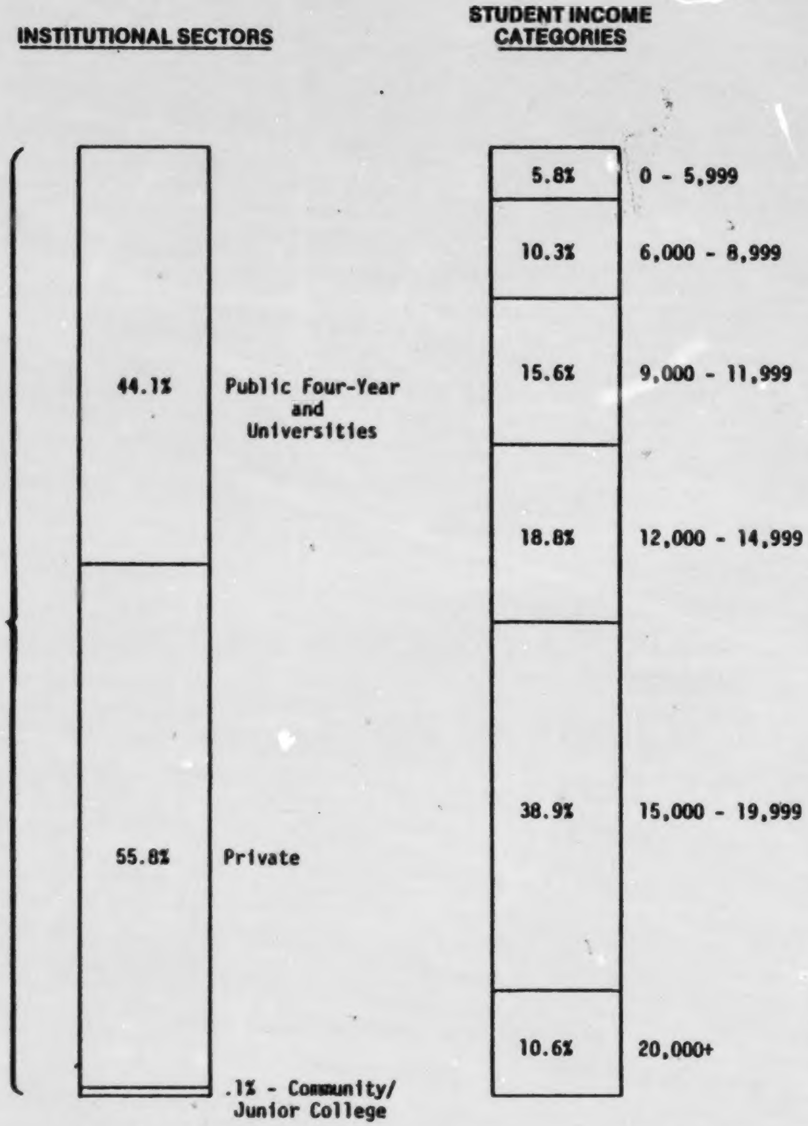
(1) This type of information is kept on SSIG recipients only; not total recipients.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- STATE SCHOLARSHIP PROGRAM

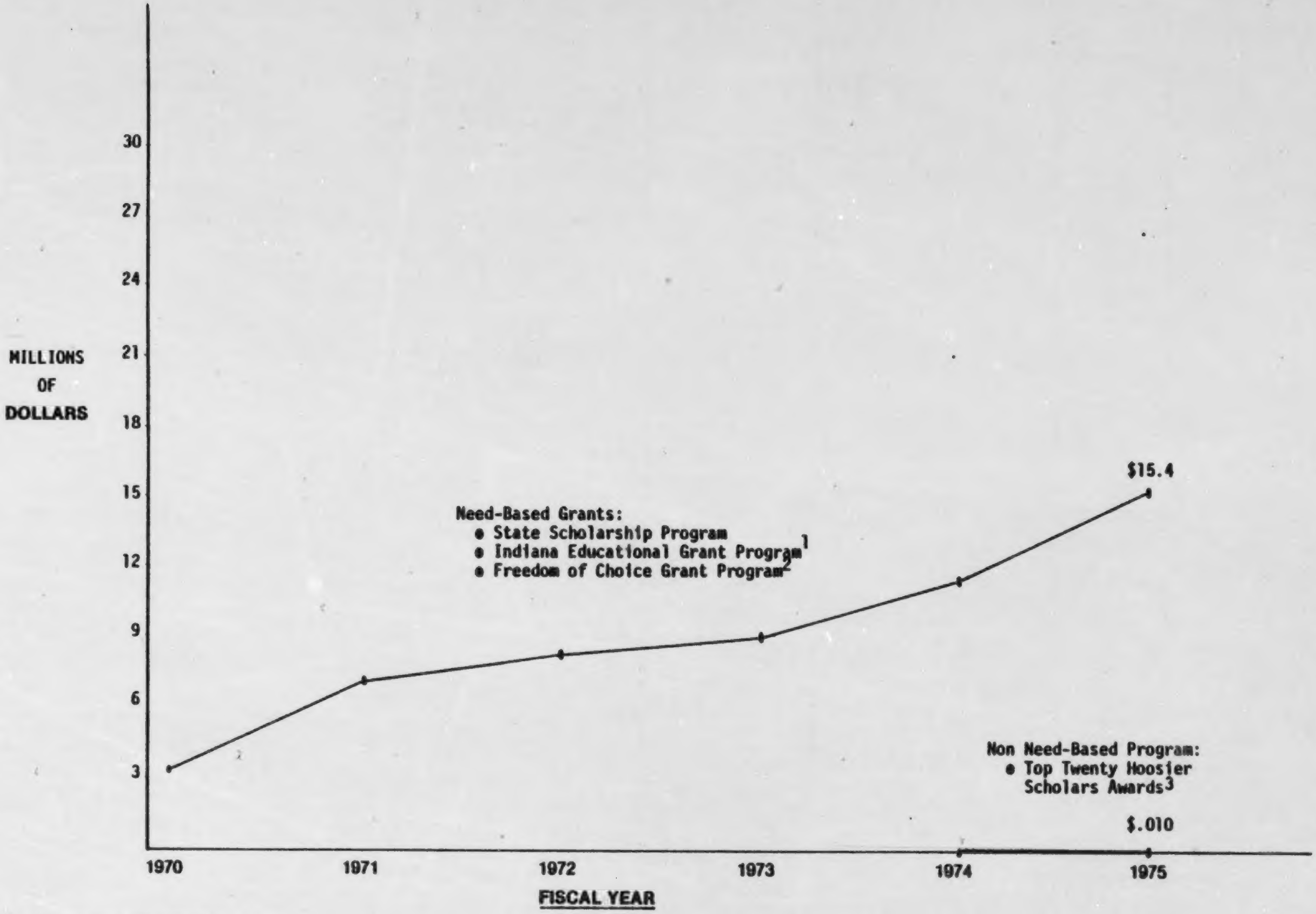

STATE APPROPRIATIONS
$11,241,167
96.3%
PRIVATE GIFTS
STATE PROGRAM
$426,405
3.7%
FEDERAL SSIG PROGRAM



These data were taken from the Financial Status and Performance Report for the reporting period July 1, 1975, to September 30, 1976. The figures pertaining to the distribution of funds by institutional sector and student income cagegories only reflect the distribution of the federal portion of the total dollars.

The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

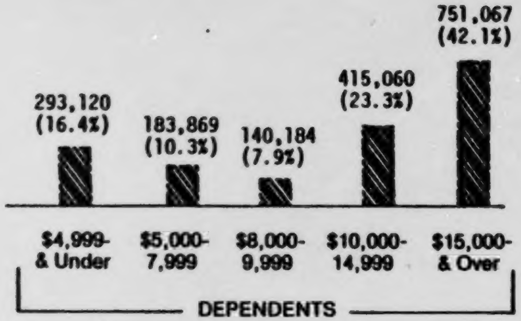
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1971-72 first year of program.
[2] 1973-75 first year of program.
[3] 1974-75 first year of program.

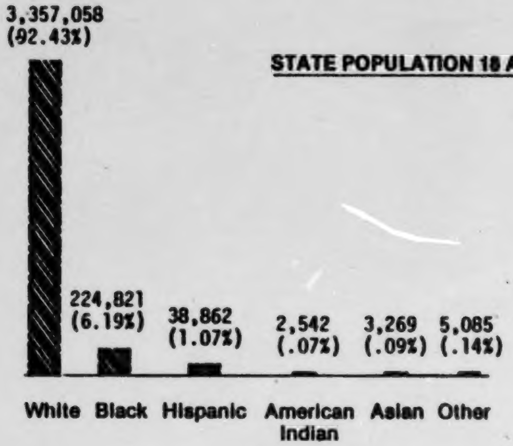
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

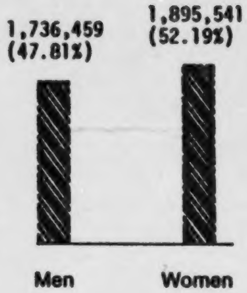


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

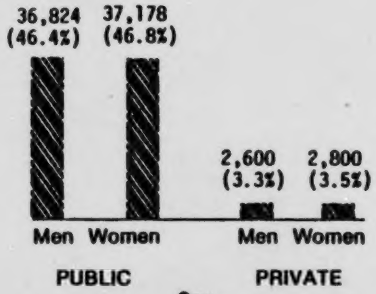


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 3,671 persons granted a high school equivalency certificate, nor 2,213 persons graduated from other than regular day school programs.

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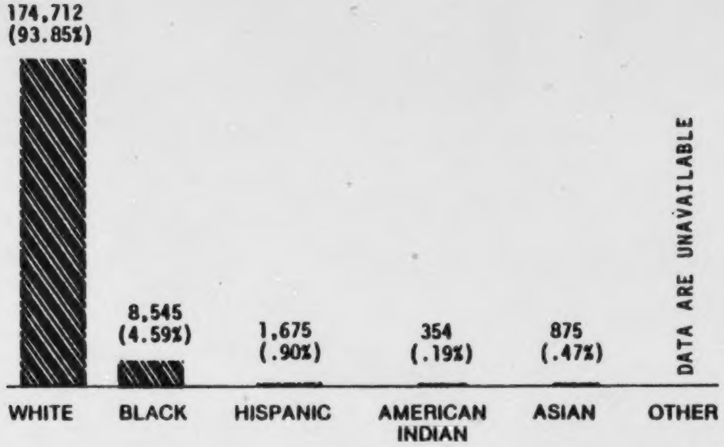
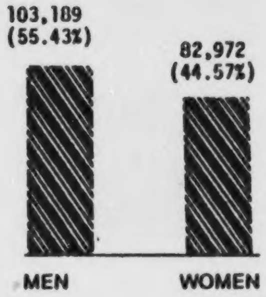
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

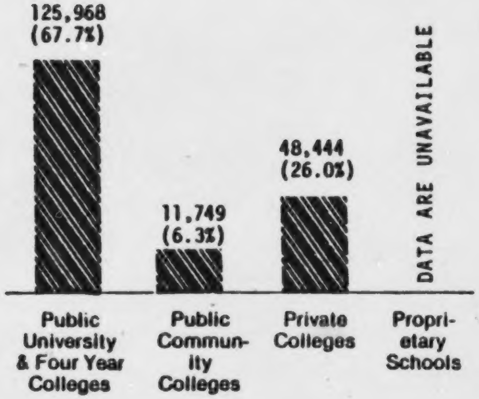
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



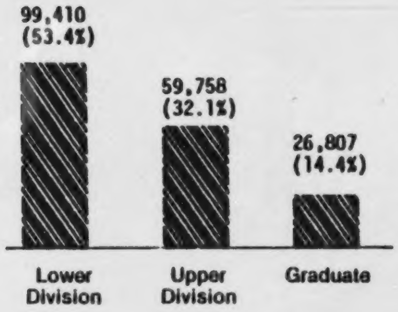
Ethnic Status



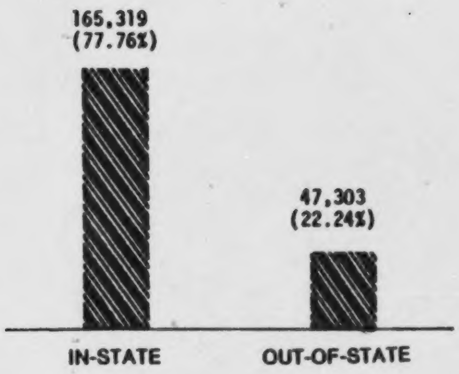
Sex



Institutional Sector

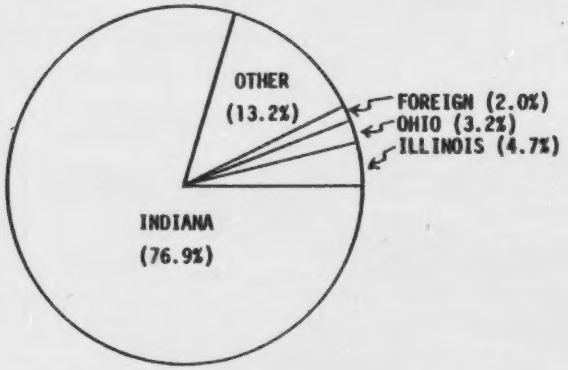


Student Level

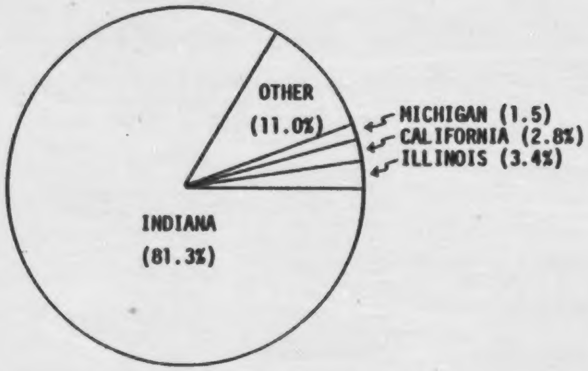


Residency

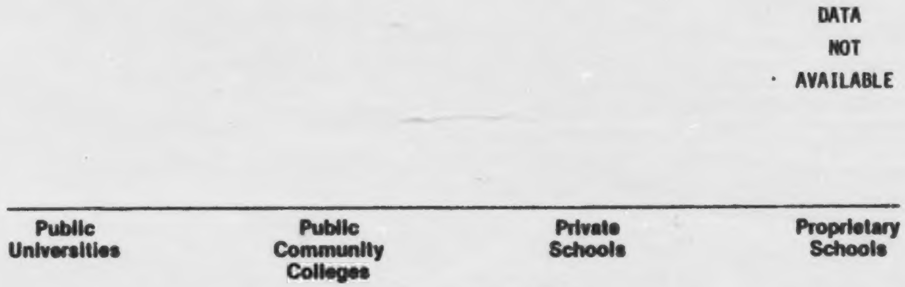
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



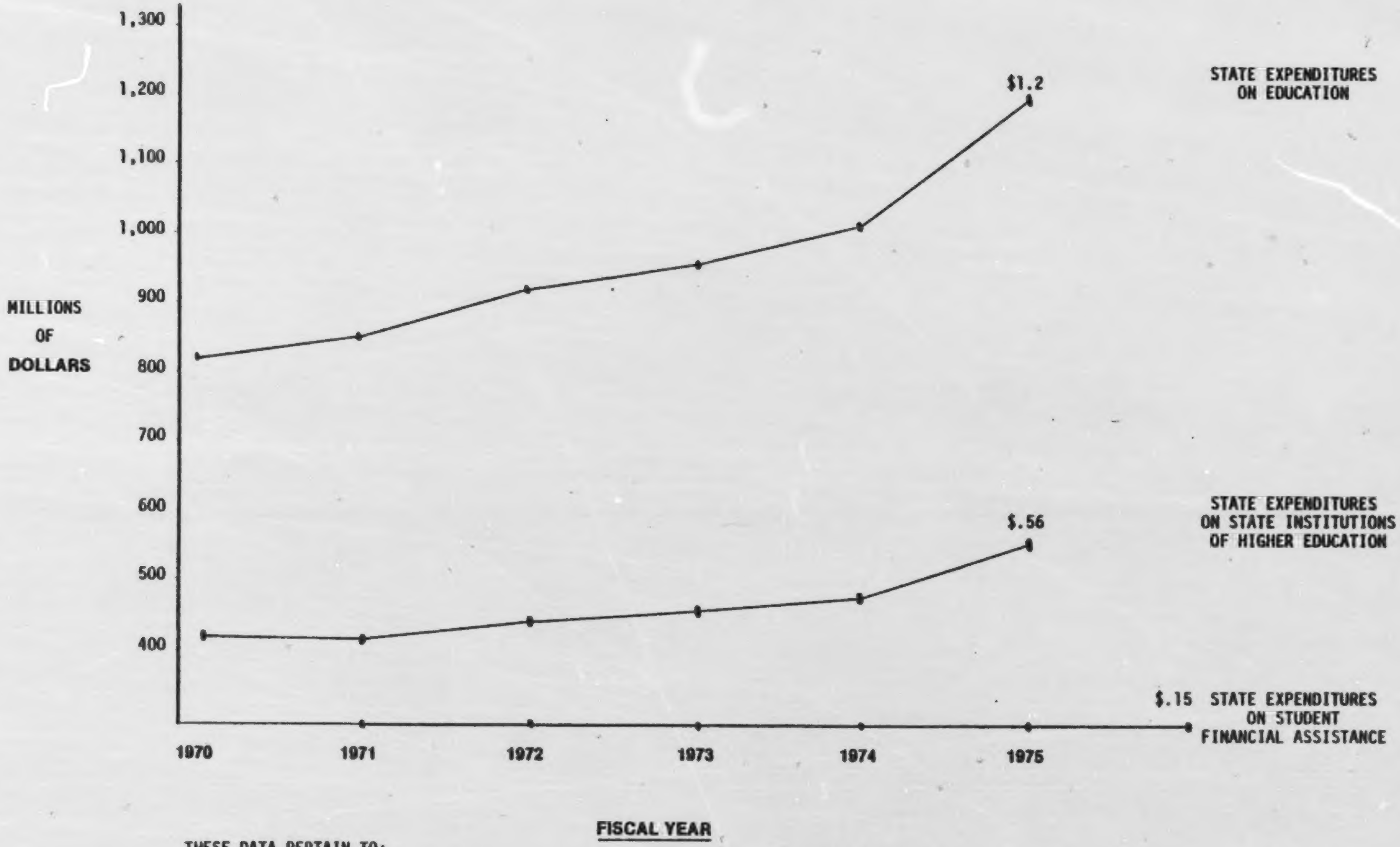
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

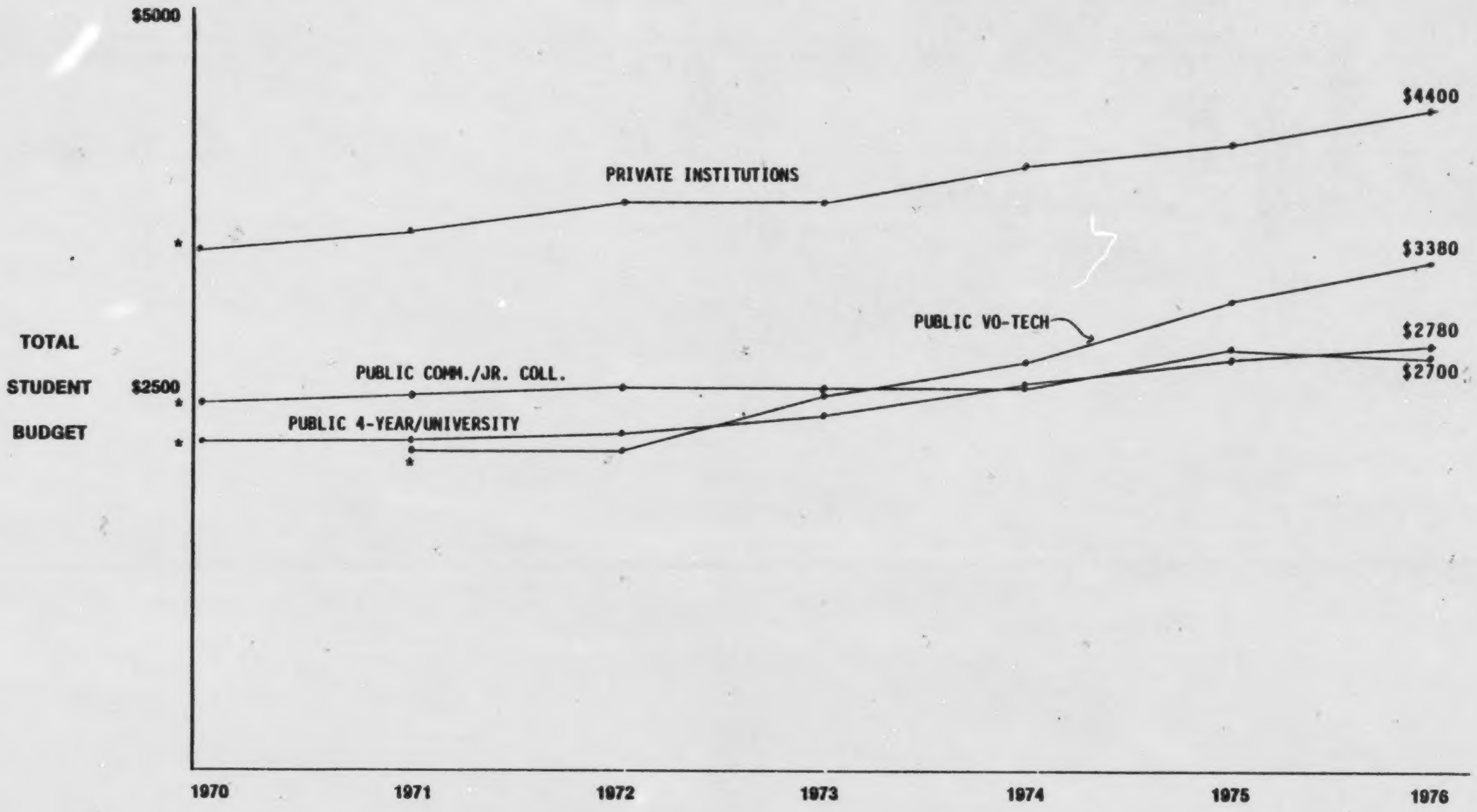


THESE DATA PERTAIN TO:
- STATE SCHOLARSHIP PROGRAM
- EDUCATIONAL GRANT PROGRAM
- FREEDOM OF CHOICE GRANT PROGRAM
- TOP TWENTY HOOSIER SCHOLARS AWARDS
- VOCATIONAL REHABILITATION GRANTS

825

826

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

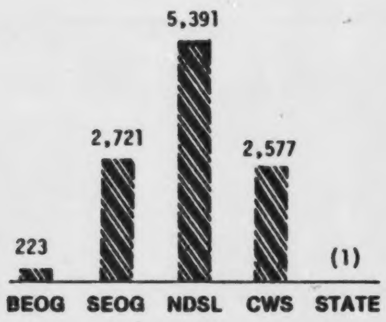


ACADEMIC YEAR

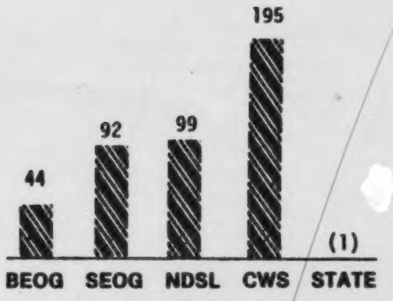
*All responses are not based on fact, but are reasonably certain.

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

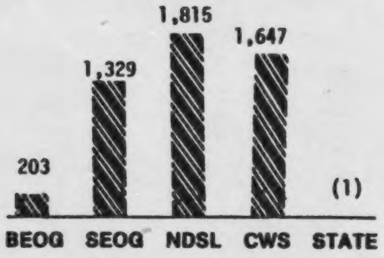
(Figures in thousands)



Public University and Four-Year Colleges



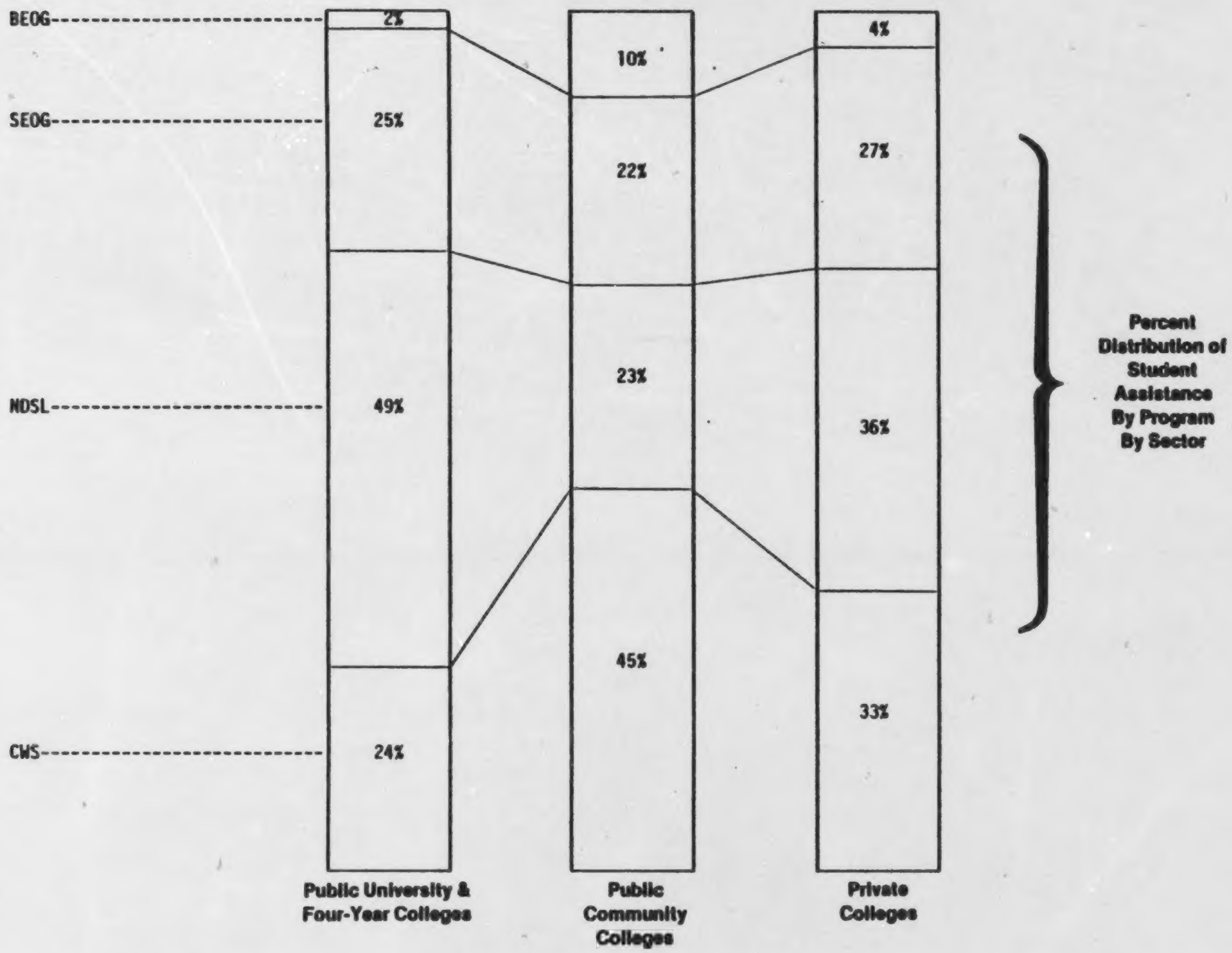
Public Community Colleges



Private Colleges

(1) The data pertaining to state dollars awarded by institutional sector are unavailable.

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR



The data pertaining to state dollars awarded by institutional sector are unavailable.

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

The Higher Education Facilities Commission has nine members: four appointed by the Governor, one appointed by the Board of Regents, one appointed by the State Career Education Advisory Council, the Superintendent of State Department of Public Instruction, and one appointed legislator from each house. The legislators are non-voting members. The state legislature establishes parameters of the programs and appropriates annual operational and program funds based on Commission requests and Governor's budget recommendations.

1. Iowa Tuition Grant Program
2. State of Iowa Scholarship Program
3. Iowa Vocational-Technical Tuition Grant Program

Iowa Bonus Board

1. Program for War Orphans and Dependents of POW/MIAs

RELATIONSHIPS OF STATE AGENCIES

GOVERNOR - Appoints four members of nine-member commission, one to represent private postsecondary schools and three to represent the general public; sets salary of Executive Director within range established by state legislature, recommends operational and program funding (based upon budget requests developed by commission) to legislature.

STATE LEGISLATURE - Appoints two non-voting members to commission, sets salary range of Executive Director, establishes statutory parameters of programs, appropriates annual operational and program funds based on commission requests and Governor's budget recommendations, and reviews administrative rules established by commission.

ADVISORY COUNCIL ON STATE STUDENT AID PROGRAMS - Appointed by commission--12 members representative of high schools, all types of postsecondary schools, financial aid association, admissions counselors' association and high school guidance counselors' association.[1]

STATE 1202 - HEFC[2] is 1202, as designated--no statutory authority for coordination of postsecondary education.

BOARD OF REGENTS - Appoints one of nine-member commission.

DEPARTMENT OF PUBLIC INSTRUCTION - State Superintendent is a member of the commission by virtue of his office.

CAREER EDUCATION ADVISORY COUNCIL - Appoints one of nine member commission.

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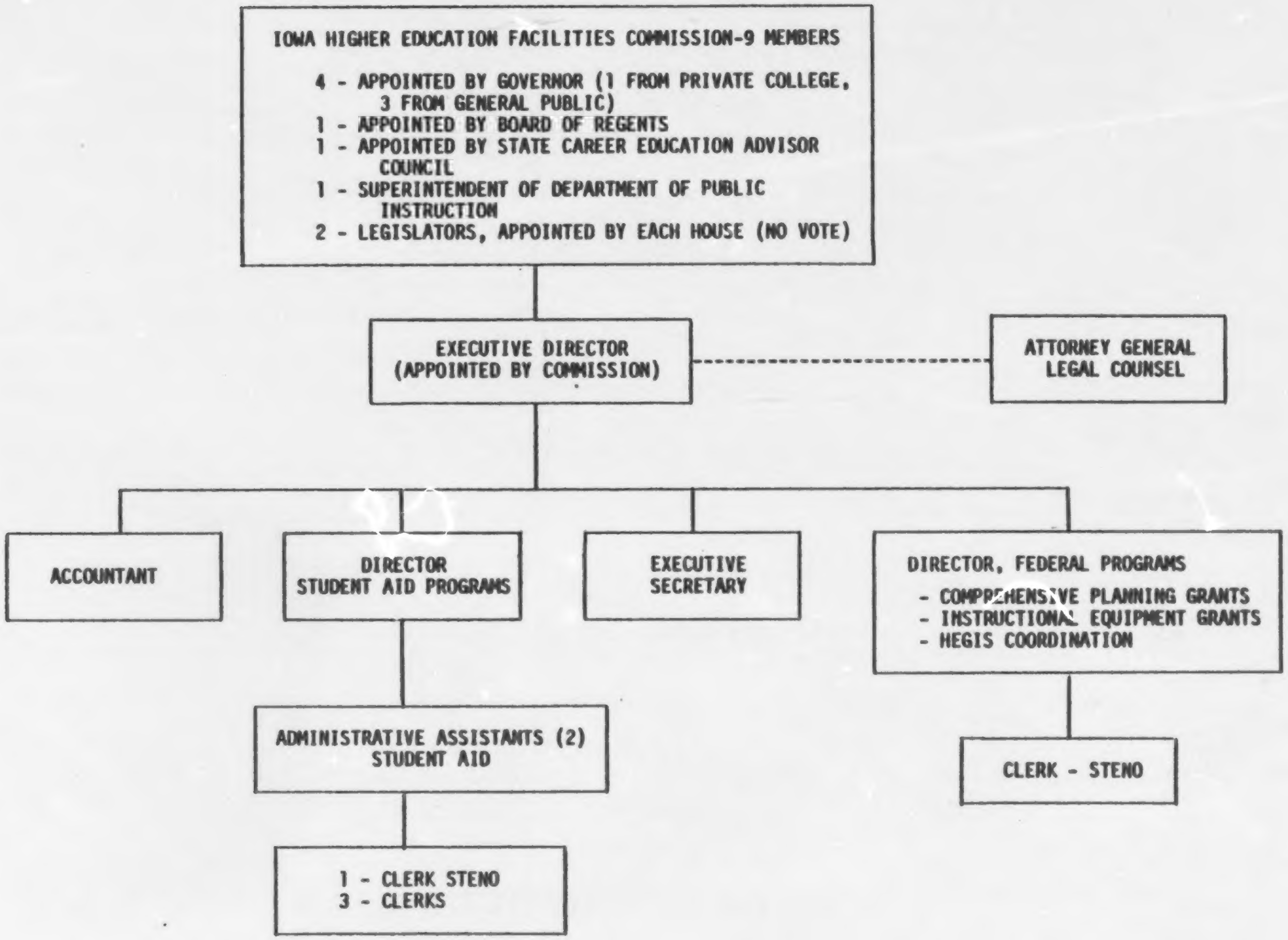
[1] Not a state agency.

[2] Vocational Rehabilitation Department of Social Services, and Iowa Bonus Board are the only state agencies concerned with student aid, other than the HEFC.

STATE AGENCY ORGANIZATION



IOWA HIGHER EDUCATION FACILITIES COMMISSION-9 MEMBERS

4 - APPOINTED BY GOVERNOR (1 FROM PRIVATE COLLEGE, 3 FROM GENERAL PUBLIC)
1 - APPOINTED BY BOARD OF REGENTS
1 - APPOINTED BY STATE CAREER EDUCATION ADVISOR COUNCIL
1 - SUPERINTENDENT OF DEPARTMENT OF PUBLIC INSTRUCTION
2 - LEGISLATORS, APPOINTED BY EACH HOUSE (NO VOTE)

EXECUTIVE DIRECTOR (APPOINTED BY COMMISSION)

ATTORNEY GENERAL LEGAL COUNSEL

ACCOUNTANT

DIRECTOR STUDENT AID PROGRAMS

EXECUTIVE SECRETARY

DIRECTOR, FEDERAL PROGRAMS

- COMPREHENSIVE PLANNING GRANTS
- INSTRUCTIONAL EQUIPMENT GRANTS
- HEGIS COORDINATION

ADMINISTRATIVE ASSISTANTS (2) STUDENT AID

CLERK - STENO

1 - CLERK STENO
3 - CLERKS

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICERS OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)		X	
Makes Post-Award Adjustments	X	X	
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
Half-Time Student - A student enrolled for at least six semester credit hours or trimester equivalent.	Law	Yes	Yes	Yes
State Resident (Self-Supporting) - One who has been domiciled in the State for a one-year period without full-time enrollment at any postsecondary institution; or who has been married to an Iowa resident for at least one year. A dependent student's residency is generally that of the parent.	Interpretation	No[1]	Yes	Yes
Independent Student - Same definition as that used by the U.S. Office of Education.	Interpretation	Yes	Yes	Yes
Non-Profit Institution - A corporation no part of the income or profit of which is distributable to its members, directors or officers except as provided in Ch. 504A.2 of Iowa Code.	Law	Yes	Yes	
Satisfactory Academic Progress - As determined by the postsecondary institution.	Interpretation	Yes	Yes	Yes
United States Citizenship - As defined in the U.S. Constitution. State programs also provide eligibility for refugees and other aliens domiciled in Iowa on a permanent resident basis.	Interpretation	Yes	Yes	

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[1] Residency definition differs at 2-year public institutions.

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries Applications	Regulations	Adequate
BEOG Office		Rosters, tapes & application materials	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)			Marginal[1]
Washington OE Office (Campus-Based Programs)			Marginal
FISL/GSL Office			No Contact
Comprehensive Statewide Planning, National Center for Educational Statistics, Office of Library and Learning Resources	Applications Summaries	Regulations Applications	Adequate
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Recipients Summaries	Certifications Terminations	Adequate
Private Institutions	Recipients Summaries	Certifications Terminations	Adequate
Governor/Budget Office	Summaries	Regulations	Adequate
State Legislature (Including Committee Staffs)	Summaries Recipients	Regulations	Adequate

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[1] When information is requested, however, agency gets quick response.

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	50	
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	15	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	0[1]	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	25	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	5	

---

[1] Advisory Council (secondary and postsecondary representatives) assists with program/policy review). Review Board (postsecondary financial aid officers) assists with review of financial aid forms filed by applicants.

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR **EVENTS**

SEPTEMBER - 1975 1) Agency budget presented to governors office or budget bureau
2) Agency makes student-aid applications available

SEPTEMBER-OCTOBER - 1975 Agency completes planning workshops for students or counselors

JANUARY - 1976 Agency budget presented to legislature

FEBRUARY & MAY - 1976 Deadline for student-aid applications*

February - two programs;
May - one program

APRIL & AUGUST - 1976 Agency (or institutions) announces award winners*

April - two programs;
August - one program

MAY-JUNE - 1976 Legislature appropriates student assistance funds

Although the programs now have a standing limited appropriation, an earlier vote on supplemental funds would be helpful

JANUARY - 1977 BEOG applications information received from federal office*

ONGOING - Award changes and adjustments are made up to matriculation*

* Would be better if BEOG and state programs could be geared to same timetable, using a common application form.

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, Sample	State	Award adjusted or withdrawn
2. Match Against BEOG Applications?	Yes, All	State	
3. Match Against Institutional Student Records?	Yes, All	Institution	Note 1
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution, State	
2. Check on Mid-Term Attendance?	Yes, All	Institution, State	
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?	Yes, All	State	Scholarship only
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution, State	Adjust if necessary
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution, State	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?			
2. Aid Officer Bonding?			
3. Aid Officer Certification?	Yes	Institution, State	
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes	State	
2. Bonding of Staff Members?	Yes	State	
3. Double Signatures on All Fund Transactions?			

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[1] Award not paid unless enrolled.

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X	X	X	X
2. College Financial-Aid Officers	X	X	X	X	X	X
3. Direct Mailings to Graduating High School Students or Parents[1]						
4. High School Meetings or Workshops	X	X	X	X	X	
5. College Admissions Office	X	X	X	X	X	X
6. Newspaper Articles	X	X	X			
7. Radio, Television Ads	X	X	X			
8. Social Service Agencies	X			X	X	
9. Other (Please Specify)						

---

[1] Only upon query.

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Iowa Tuition Grant Program - A grant program which provides tuition equalization between in-state public and private colleges and universities to allow "freedom of choice" to resident students who need assistance to meet private college costs.

State of Iowa Scholarship Program - A grant program to give recognition and financial aid, if needed, to Iowa's academically outstanding high school graduates while attending in-state schools.

Iowa Vocational-Technical Tuition Grant Program - A need-based grant program to provide access to Voc/Tech training for resident students attending in-state schools.

Program for War Orphans and Dependents of POWs/MIAs - A non-need based grant program for resident dependents of war orphans and dependents of POWs/MIAs who are attending in-state public and private institutions.

Vocational Rehabilitation - Non-need based grants for residents attending in or out-of-state institutions.

Rural Student Loan Program - A Federally-guaranteed direct loan program operated by the Iowa Department of Social Services for rural students.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Iowa Tuition Grant Program	1970-71	3,536	$ 860	$2,968,978	Resident Undergraduates
	1975-76	7,771	$1,254	$8,992,019	
State of Iowa Scholarship Program	1970-71	546	$ 599	$ 327,431	Academically Superior High School Graduates entering Iowa Schools
	1975-76	1,001	$ 555	$ 555,563	
Iowa Vocational Technical Tuition Grant Program	1974-75	200	$ 185	$ 39,385	Freshmen or Sophomores attending Voc-Tech Schools
	1975-76	476	$ 150	$ 71,418	
Rural Student Loan Program	1975-76	78	$1,275	$ 99,348	Rural & Farm residents entering Iowa schools or schools within 50 miles of state borders
Non Need-Based					
Program for War Orphans and Dependents of POWs/MIAs	1970-71	129	$ 389	$ 50,216	Dependents of Veterans/ POWs/MIAs
	1975-76	106	$ 300	$ 31,875	
Vocational Rehabilitation Grants	1975-76	----	----	$1,604,802	

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Iowa Tuition Grant Program	Residents attending In-State	Half-Time[1] Full-Time	All Undergraduates	No	Not Applicable	Not Applicable
State of Iowa Scholarship Program	Residents attending In-State	Full-Time	Freshmen[2] Sophomores	Yes	Not Applicable	Not Applicable
Iowa Vocational-Technical Tuition Grant Program	Residents attending In-State	Full-Time	Freshmen Sophomores	No	Not Applicable	Not Applicable
Rural Student Loan Program	Residents within 50 mile radius of state borders	Full-Time Part-Time	All	No	Not Applicable	Not Applicable
Non Need-Based						
Program for War Orphans and Dependents of POWs/MIAs	Residents attending In-State	Part-Time	All	Not Given	Not Applicable	Not Applicable
Vocational Rehabilitation Grants	Residents attending In/Out of State	Part-Time Full-Time	All	----	Not Applicable	Not Applicable

---

[1] Half-time effective for 1977-78 awards.

[2] Acceptable levels include all undergraduate years--limited funding has restricted to first two years.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Iowa Tuition Grant Program	Private	No	No	Yes[1]	Hospital Schools of Nursing
State of Iowa Scholarship Program	Public Private	Public	Public	Yes[2]	Nursing Schools
Iowa Vocational-Technical Tuition Grant Program	No	No	Public	No	No
Rural Student Loan Program	Public Private	Public Private	Public Private	Yes	Yes
Non Need-Based					
Program for War Orphans and Dependents of POWs/MIAs	Public Private	Public	Public	Yes	Yes
Vocational Rehabilitation Grants	ALL				

[1] If credits are certified as acceptable at three Iowa NCA-accredited institutions.

[2] If qualified under 3-letter criterion.

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Iowa Tuition Grant Program	Need = Budget - Family Contribution	No	Consensus	Tuition/Fees
State of Iowa Scholarship Program	Need = Budget - Family Contribution	No	Consensus	Tuition/Fees
Iowa Vocational-Technical Tuition Grant Program	Need = Budget - Family Contribution	No	Consensus	Tuition/Fees
Rural Student Loan Program	Need = Budget - Family Contribution	No	Consensus	All educational/ related costs

AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Iowa Tuition Grant Program	$1,300[1]	Lesser of student's need or the amount of tuition and fees after deducting the average tuition at the Iowa state universities.	By ranking applicants in ascending order of Family Contribution.	State
State of Iowa Scholarship Program	$ 600	Award equals tuition and fees, student's need, or maximum allowable--whichever is the lowest figure.	Descending academic score and awards given in that order to those demonstrating financial need.	State
Iowa Vocational-Technical Tuition Grant Program	$ 400	Lesser of need, tuition and fees or award maximum	Ascending sequence of Family Contribution.	State
Rural Student Loan Program	$2,000	Recommendation of financial officer.	By County Social Services Agent	State
Non Need-Based				
Program for War Orphans and	$400/year up to total of $2,000	By enrollment period; example: one semester = $200; one quarter = $100.	If number of eligible applicants exceeds available dollars, amount of awards would be prorated. This has not occurred.	State

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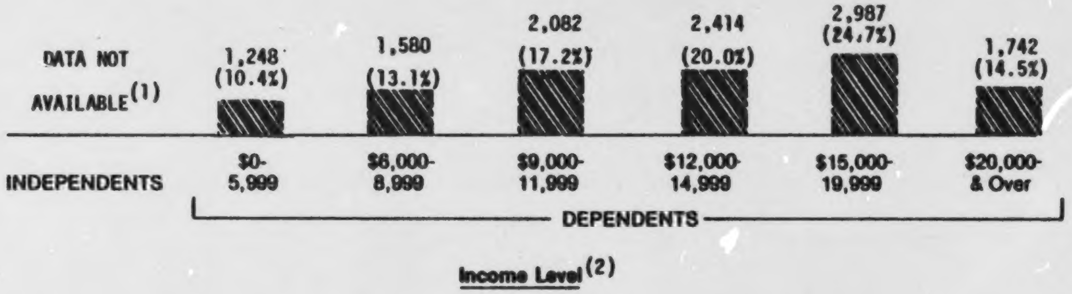
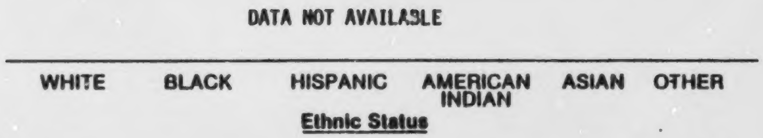
[1] Present grant of $1,300 provides 56% of average tuition and 32% of average total costs of Iowa private colleges. Commission is seeking increase in maximum grant.

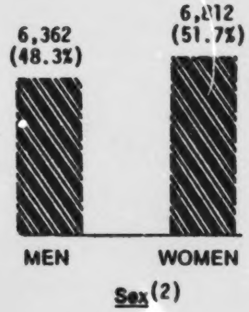
NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- IOWA TUITION GRANTS
- STATE OF IOWA SCHOLARSHIPS







(1) The distribution of awards by student income categories includes awards dependent students only. The data available did not include include breakdowns for 1,121 independent students.

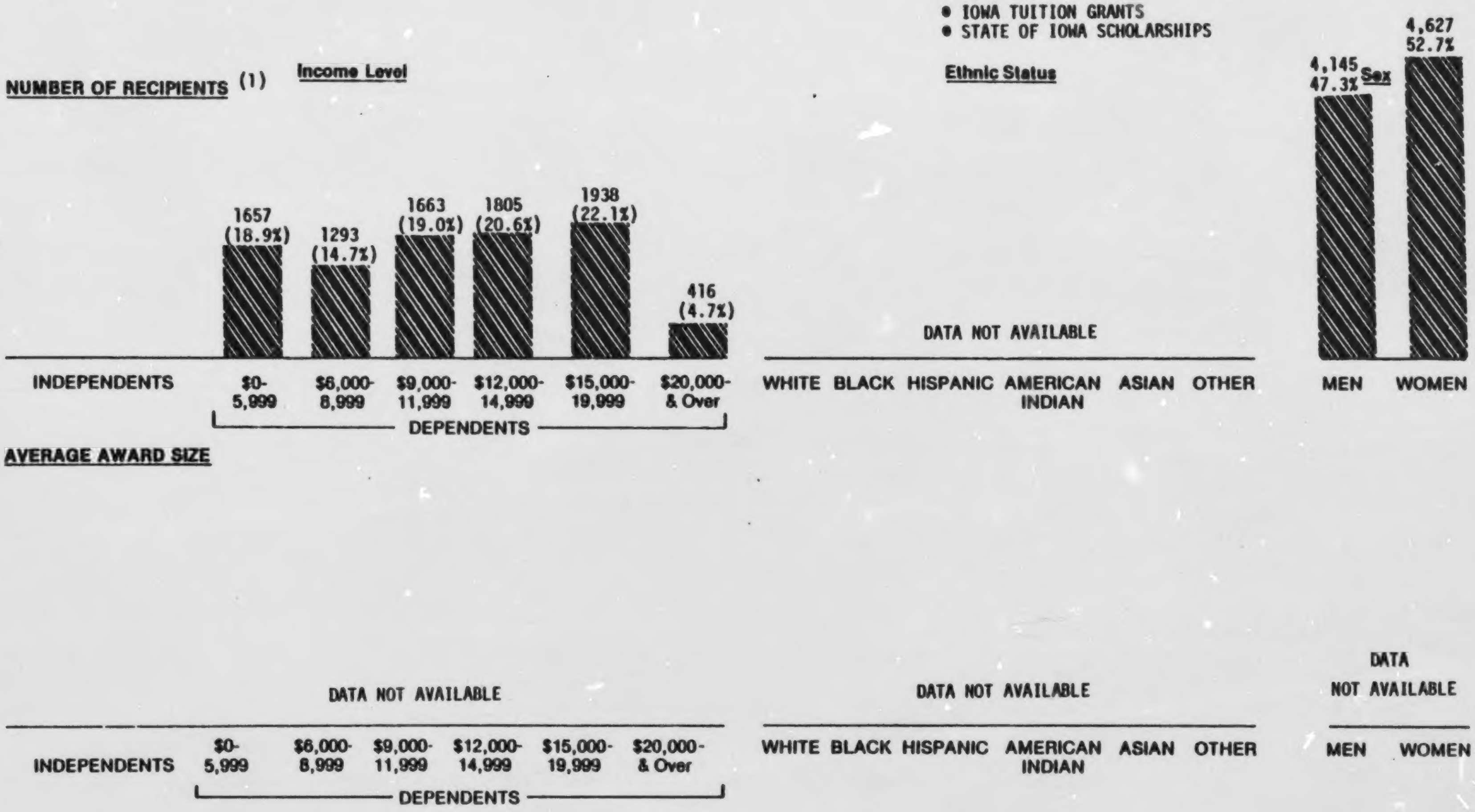
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

IOWA

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- IOWA TUITION GRANTS
- STATE OF IOWA SCHOLARSHIPS

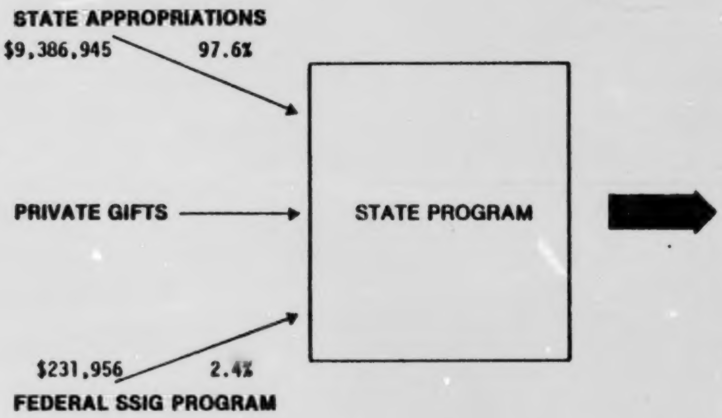


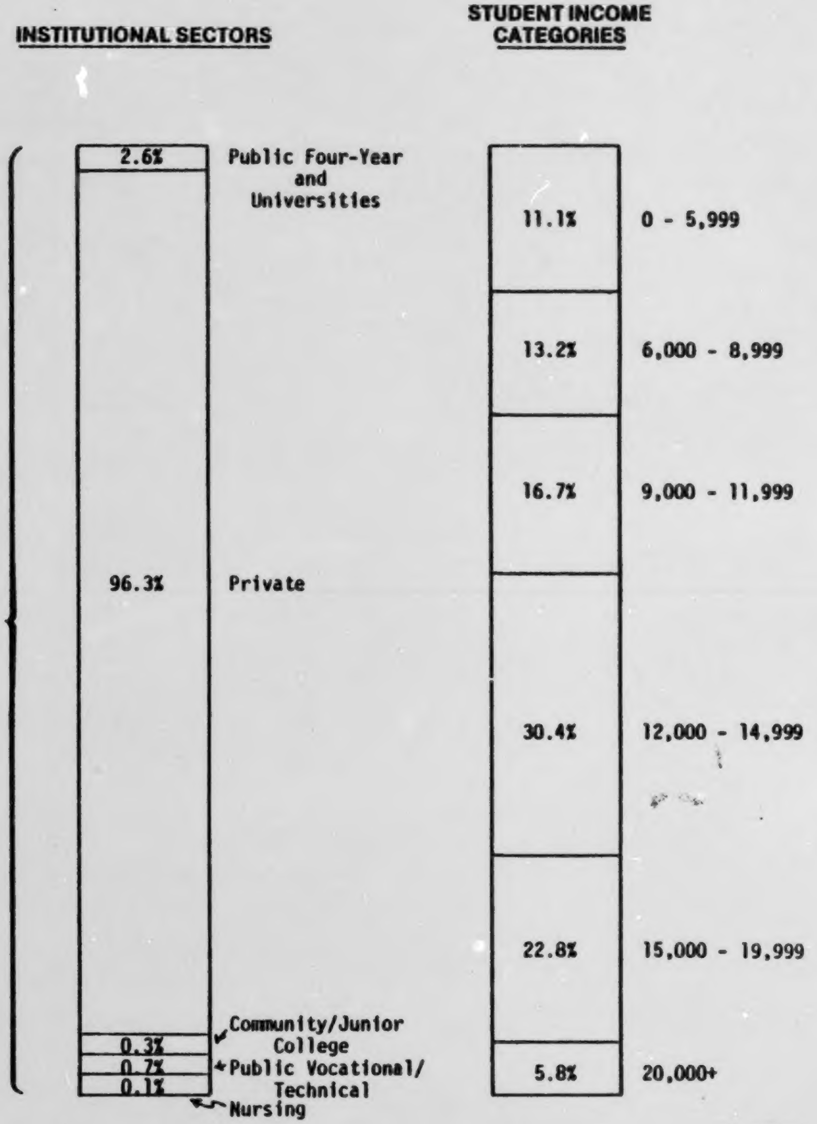
(1) Data includes both dependent and independent recipients

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

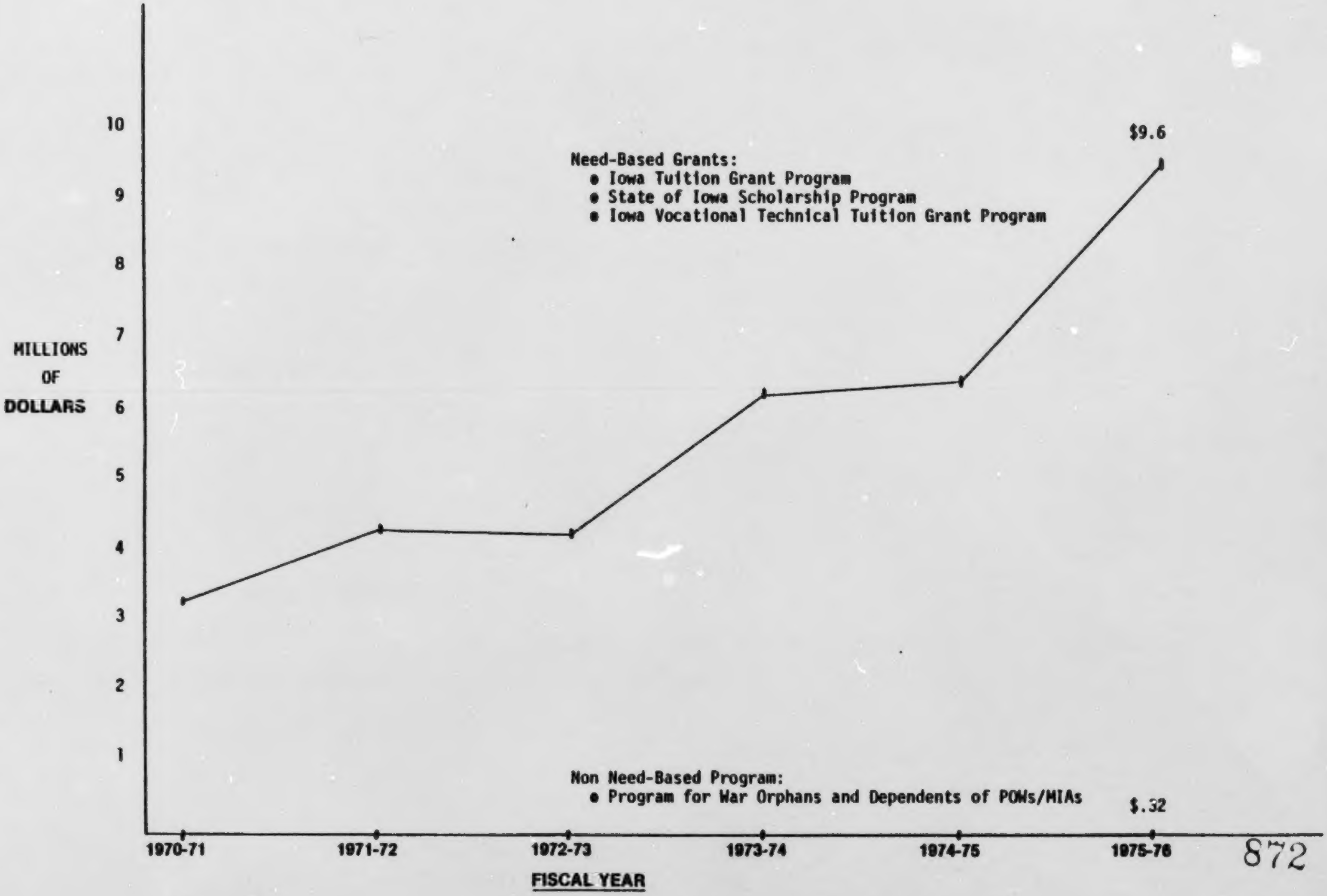
- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM
- IOWA VOCATIONAL-TECHNICAL TUITION GRANT PROGRAM


STATE APPROPRIATIONS
$9,386,945
97.6%
PRIVATE GIFTS
STATE PROGRAM
$231,956
2.4%
FEDERAL SSIG PROGRAM



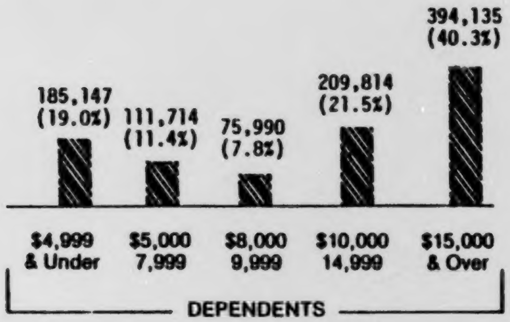
The data for student income categories were taken from the Financial Status and Performance Report for the Reporting Period July 1, 1975, to September 30, 1976, and reflect only the distribution of the federal portion of the total dollars.

HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



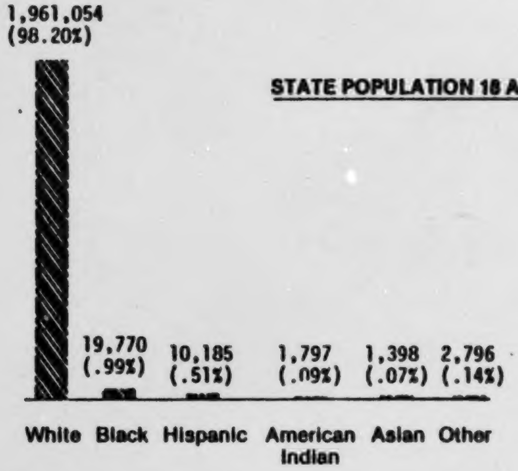
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

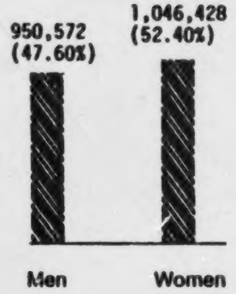


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

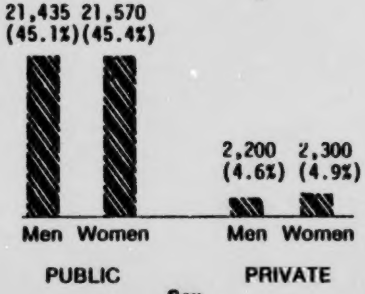


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 3,186 persons granted a high school equivalency certificate.

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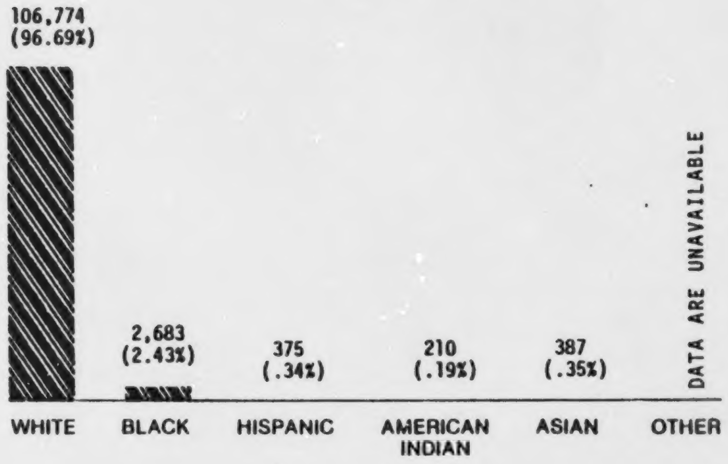
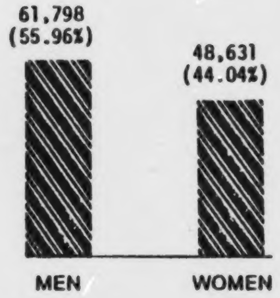
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

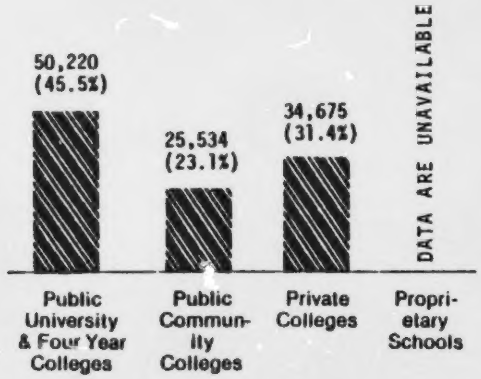
STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS



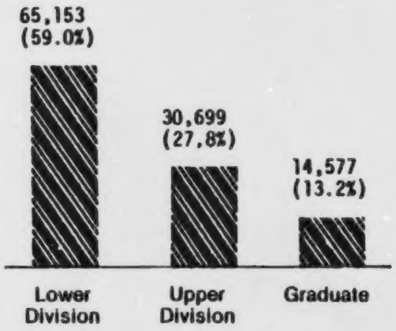
Ethnic Status



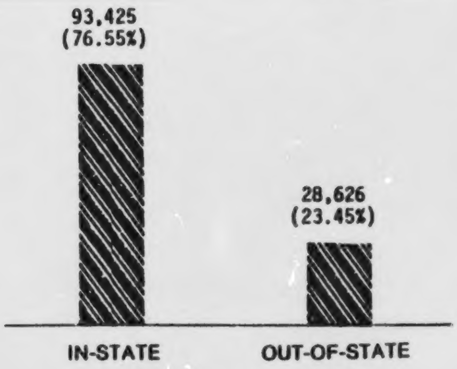
Sex



Institutional Sector

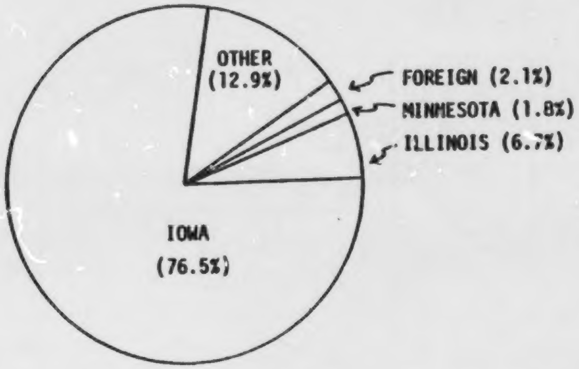


Student Level

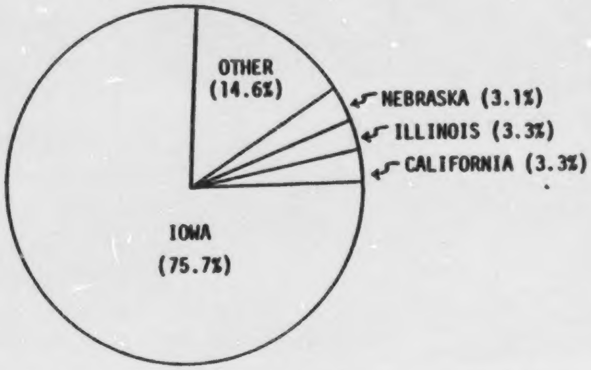


Residency

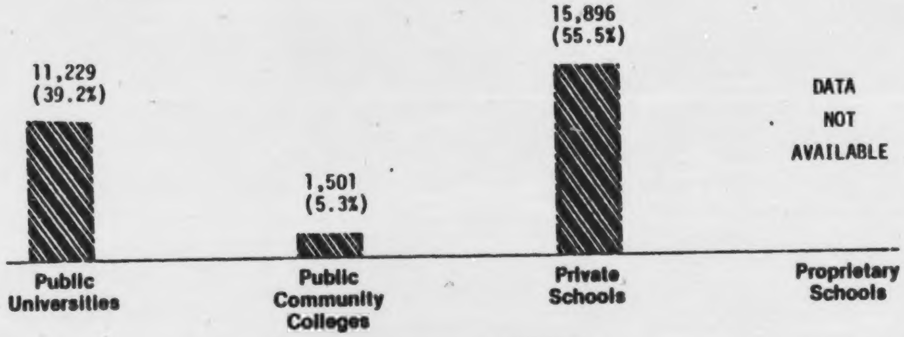
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



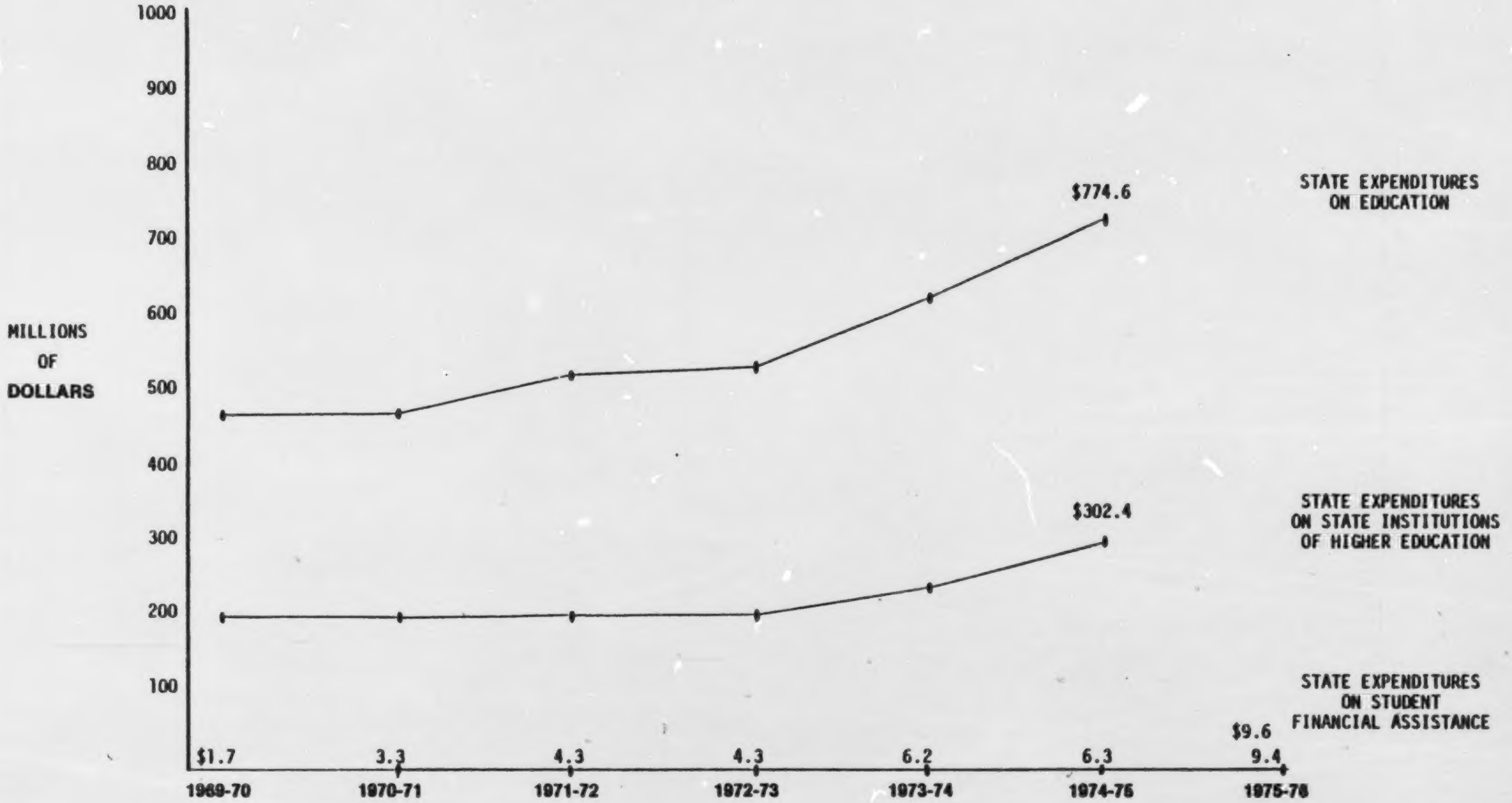
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

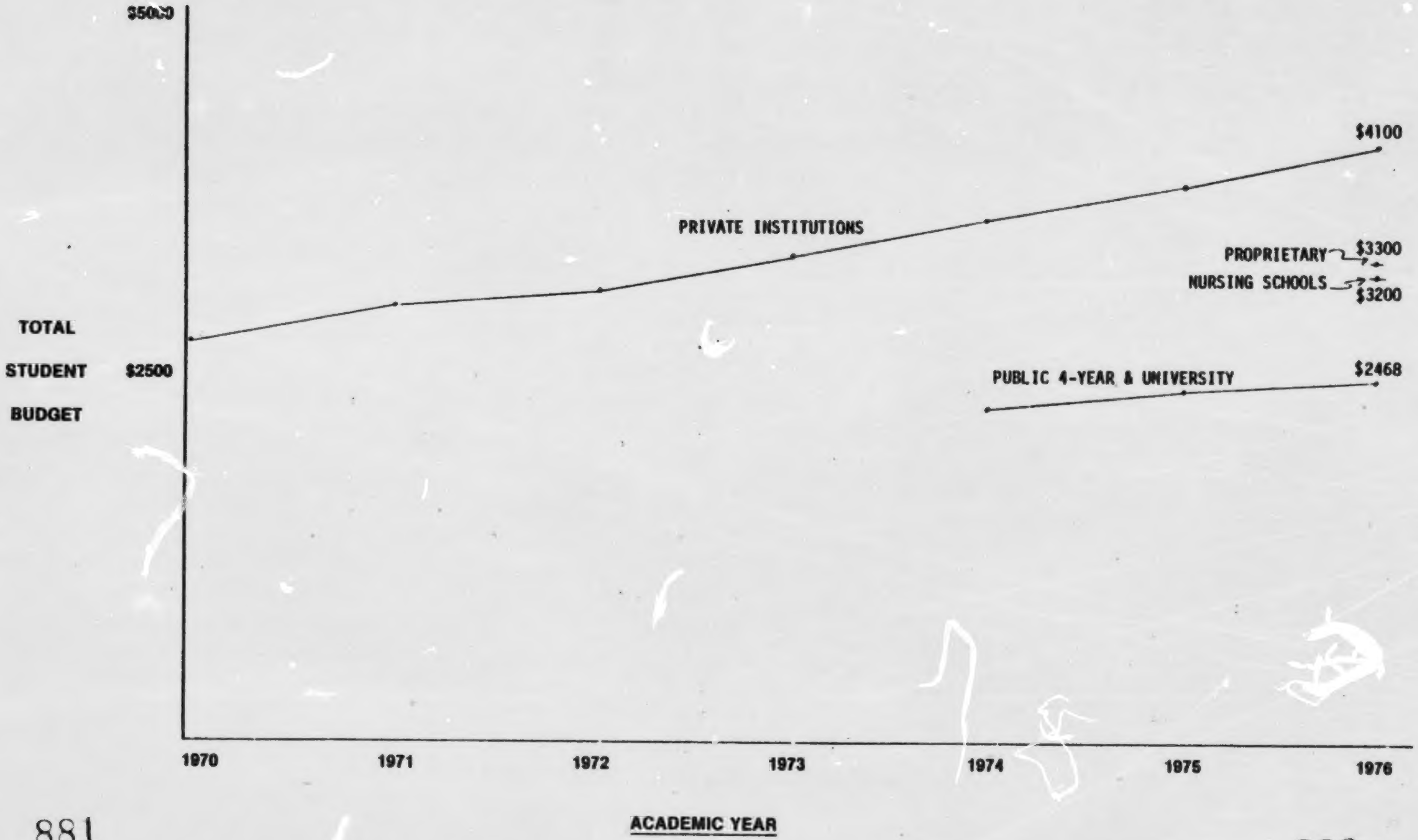


THESE DATA PERTAIN TO:
- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM

FISCAL YEAR
- IOWA VOCATIONAL TECHNICAL TUITION GRANT PROGRAM
- PROGRAM FOR WAR ORPHANS AND DEPENDENTS OF POWs/MIAs
- RURAL STUDENT LOAN PROGRAM
- VOCATIONAL REHABILITATION

1975-76
$1,736,025

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS



881

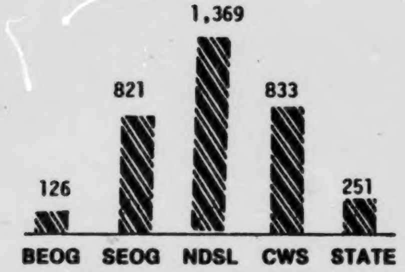
882

LEVELS OF FEDERAL AND STATE PROGRAM FUNDING BY INSTITUTIONAL SECTOR

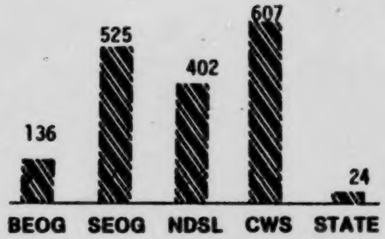
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

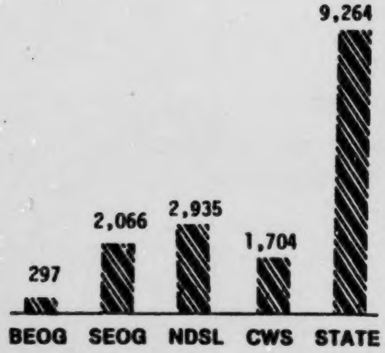
- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM
- IOWA VOCATIONAL-TECHNICAL TUITION GRANT PROGRAM



Public University and Four-Year Colleges



Public Community Colleges



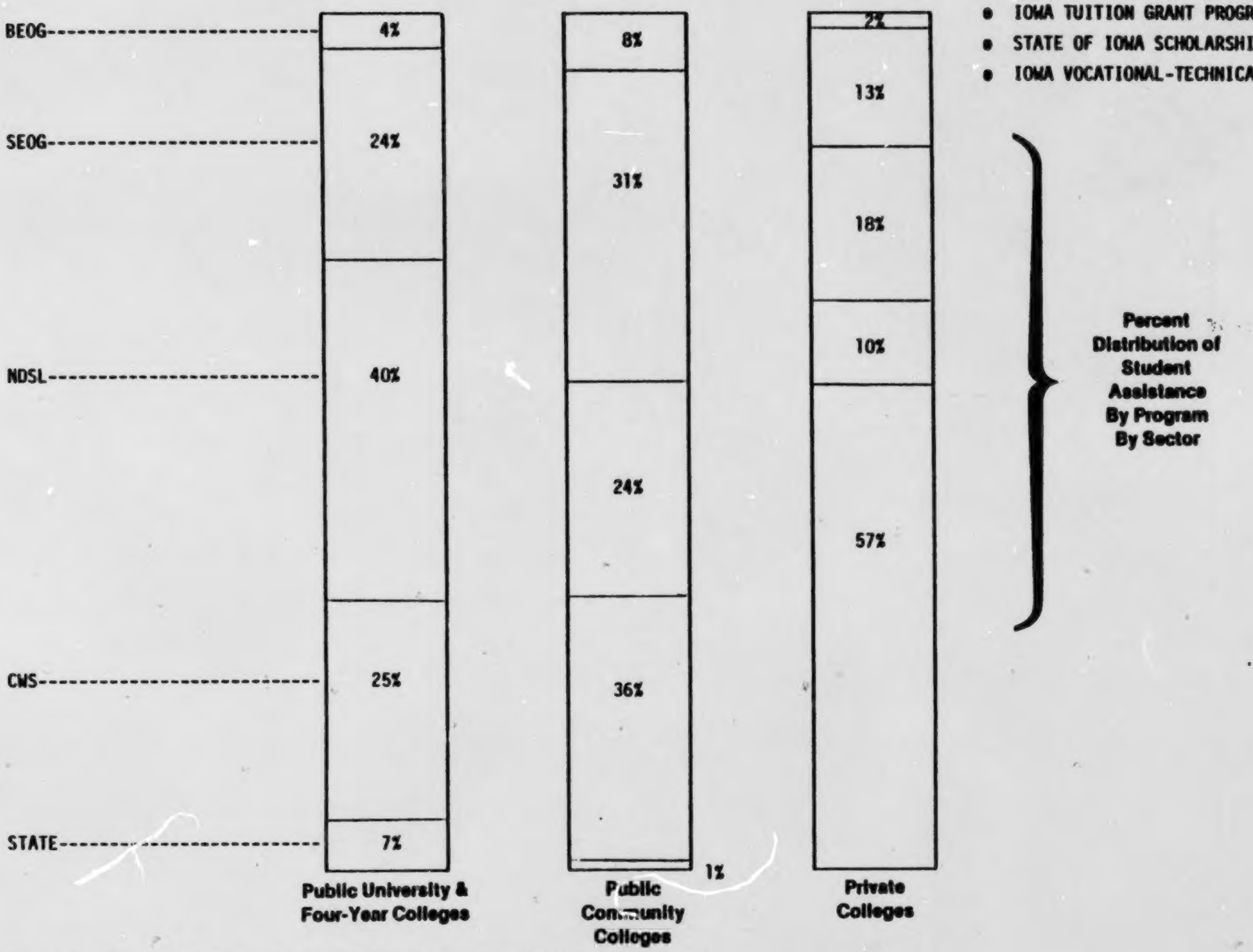
Private Colleges

State funds were also awarded to the following types of institutions:
- Public Vocational - $71,418
- Nursing - $ 6,820

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- IOWA TUITION GRANT PROGRAM
- STATE OF IOWA SCHOLARSHIP PROGRAM
- IOWA VOCATIONAL-TECHNICAL TUITION GRANT PROGRAM



State funds were also awarded to the following types of institutions:

- Public Vocational - $71,418
- Nursing - $ 6,820

DESCRIPTIONS OF PROGRAM OFFICES AND AGENCIES AND CORRESPONDING PROGRAM RESPONSIBILITIES

Board of Regents

1. Tuition Grant Program
2. State Scholarships
3. Medical/Osteopathic Loans/Scholarships

Veteran's Commission

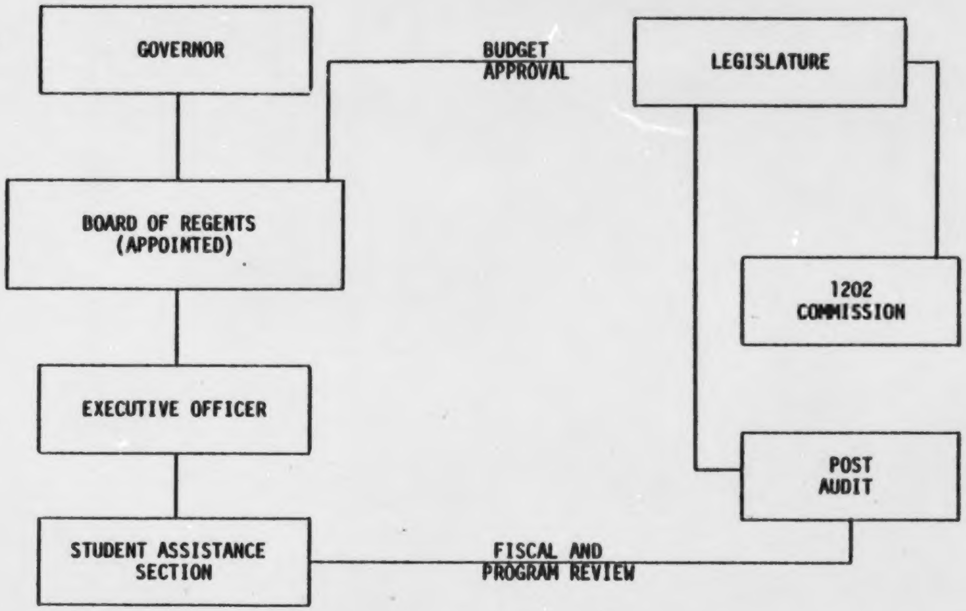
1. Benefit Program for Dependents of POWs and MIAs

RELATIONSHIPS OF STATE AGENCIES

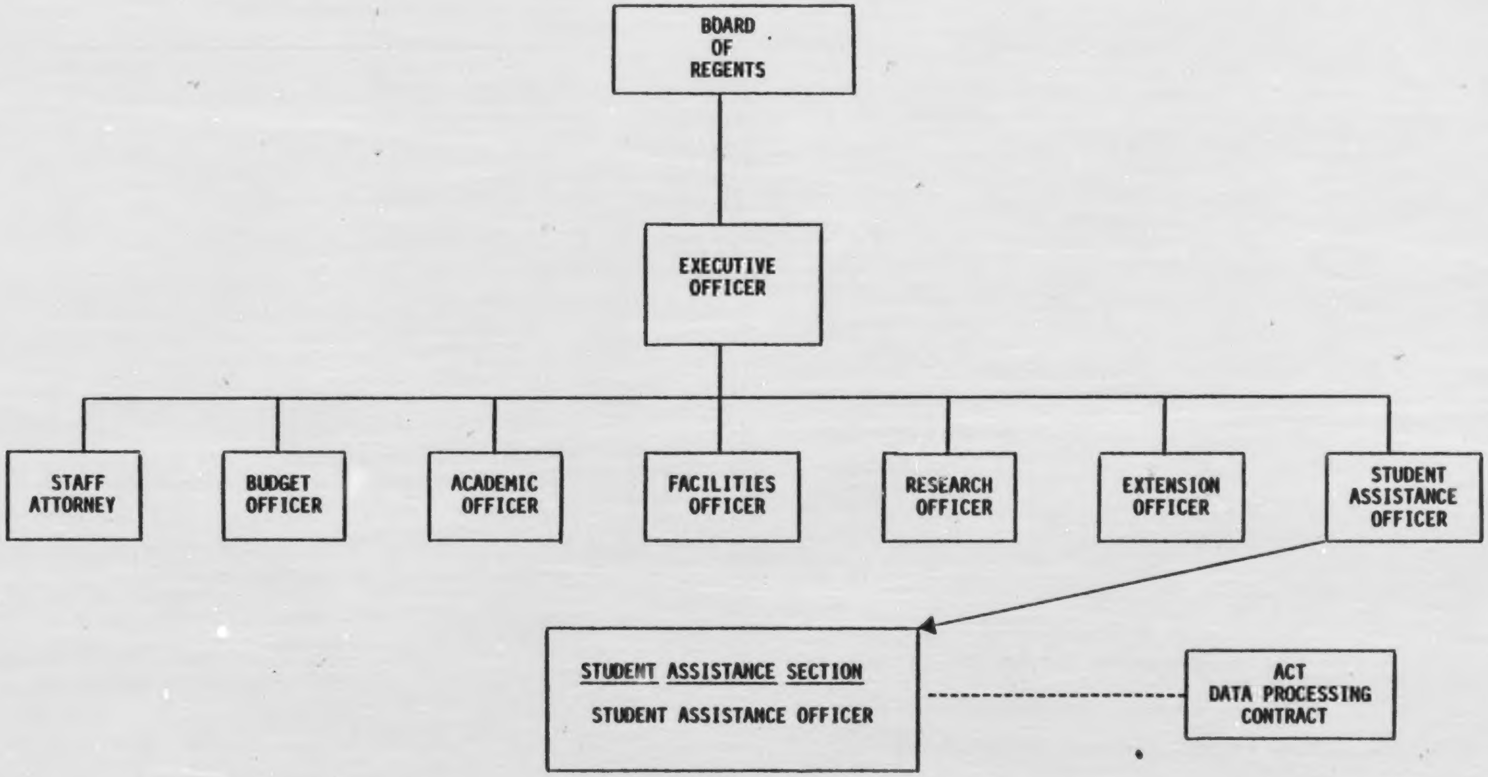
The Student Assistance Section has responsibilities for all state student aid programs and administers SSIG funds.

The 1202 Commission is comprised of legislators and at present has no control and little coordinative responsibility to the Board of Regents.

The State has just requested the Higher Education Assistance Foundation, a private non-profit foundation, to establish a guarantee and direct lender agency in Kansas. This should be operational in the fall of 1977.


GOVERNOR
BUDGET APPROVAL
LEGISLATURE
BOARD OF REGENTS (APPOINTED)
1202 COMMISSION
EXECUTIVE OFFICER
POST AUDIT
STUDENT ASSISTANCE SECTION
FISCAL AND PROGRAM REVIEW

STATE AGENCY ORGANIZATION


BOARD OF REGENTS
EXECUTIVE OFFICER
STAFF ATTORNEY
BUDGET OFFICER
ACADEMIC OFFICER
FACILITIES OFFICER
RESEARCH OFFICER
EXTENSION OFFICER
STUDENT ASSISTANCE OFFICER
STUDENT ASSISTANCE SECTION
STUDENT ASSISTANCE OFFICER
ACT DATA PROCESSING CONTRACT

The Student Assistance Section has responsibility for Title VI-A, instructional equipment grants, in addition to student and programs.

MANAGEMENT RELATIONSHIPS BETWEEN THE STATE AGENCY AND OTHER STATE AGENCIES/INSTITUTIONS

WHO ACCOMPLISHES THE FOLLOWING?	STATE PROGRAM OFFICE	INSTITUTIONAL FINANCIAL AID OFFICER	OTHER OFFICES OR AGENCIES
Processing of Applications			
• Verification of Student Application Information	X		
• Identification of Eligible Recipients	X		
• Determination of Award Size	X		
• Assignment of Priority to Awards	X		
• Formally Approves Award	X		
Makes Payment to Student (or Credits Student Account)	X		
Makes Post-Award Adjustments	X		
Initiates the Prosecution of Possible Fraudulent Student applications	X		
Publicizes Award Availability	X	X	Admissions Personnel

893

STATE PROGRAM OFFICE DEFINITIONS FOR SELECTED TERMS

DEFINITIONS USED BY STATE PROGRAM OFFICES	STATE DEFINITION SPECIFIED BY	STATE DEFINITION COMMONLY USED BY ALL INSTITUTIONS		STATE DEFINITION CONSISTENT ACROSS ALL STATE PROGRAMS
		PUBLIC	PRIVATE	
State Resident - An individual who lives (or has lived) in the state for purposes other than attending college for a minimum of one year prior to enrollment.	Interpretation	Yes	Yes	Yes
Independent Student - BEOG definition for independent student.	Interpretation	Yes	Yes	Yes
United States Citizenship - As determined by Immigration Service.	Interpretation	Yes	Yes	Yes

INFORMATION FLOW BETWEEN THE STATE PROGRAM OFFICE AND VARIOUS FEDERAL OFFICES

	TYPE OF INFORMATION PROVIDED BY STATE STUDENT-AID AGENCY	TYPE OF INFORMATION RECEIVED BY STATE STUDENT-AID AGENCY	PERCEPTION OF ADEQUACY OF INFORMATION FLOW
FEDERAL OFFICES			
SSIG Office	Summaries	Not Applicable	Adequate
BEOG Office	Not Applicable	Applications	Adequate
Regional OE Student-Assistance Office (Campus-Based Programs)	Not Applicable	Not Applicable	
Washington OE Office (Campus-Based Programs)	Not Applicable	Not Applicable	
FISL/GSL Office	Not Applicable	Not Applicable	
STATE/INSTITUTIONAL OFFICES			
Public Institutions	Summaries Recipients Applications Regulations	Terminations	Adequate
Private Institutions	Summaries Recipients Applications Regulations	Terminations	Adequate
Governor/Budget Office	Summaries	Regulations	Adequate
State Legislature (Including Committee Staffs)	Summaries	Regulations	Adequate

MANAGEMENT STAFFING OF STATE PROGRAM OFFICES

MANAGEMENT FUNCTION	PERCENTAGE OF STAFF EFFORT	STAFF ASSISTANCE FROM OTHER AGENCIES COMMENTS
APPLICATIONS PROCESSING (Includes verification of student information, need analysis, award determination, etc.)	70	Contract with ACT provides data processing, ranking applicants, etc.
RESPONDING TO REQUESTS FOR INFORMATION (Includes federal requests for information, parent/student inquiries, testimony in hearings, etc.)	10	
PROGRAM REVIEW (Includes on-site visits to institutions, formal/informal audits, etc.)	5	
PUBLIC RELATIONS AND INFORMATION DISSEMINATION (Includes parent/student workshops, work on promotional literature, etc.)	5	
GENERAL ADMINISTRATION (Includes executive personnel, staff training and development, regulations and guidelines, preparation of summary statistics, etc.)	10	
OTHER MANAGEMENT ACTIVITIES (Includes any special staff activities which do not clearly fall in one of the above categories)	0	

STATE AGENCY CALENDAR OF EVENTS FOR NEED-BASED PROGRAMS FOR 1976-77 SCHOOL YEAR

MONTH/YEAR	EVENTS
JANUARY - 1976	1) Agency budget presented to legislature 2) Agency makes student-aid applications available
APRIL - 1976	Legislature appropriates student assistance funds
SEPTEMBER - 1976	Agency budget presented to governors office or budget bureau
APRIL - 1977	Deadline for student-aid applications
MAY - 1977	Agency (or institutions) announces award winners

PROGRAM AND FISCAL CONTROLS OF PROGRAMS OPERATED BY STATE AGENCY

PROGRAM CONTROLS	CONTROL USED SAMPLE OR TOTAL POPULATION STUDIED	AGENCY/OFFICE UNDERTAKING CONTROLS	SPECIAL ACTIONS COMMENTS
A. Control on Student Application Data Accuracy			
1. Match Against Tax Forms?	Yes, On Request	State	Remove from consideration.
2. Match Against BEOG Applications?			
3. Match Against Institutional Student Records?	Yes	Institution	Remove from program.
4. Warnings of Prosecution?			
5. Prosecution?			
B. Controls on Attendance and/or Progress			
1. Check on Enrollment/Initial Attendance?	Yes, All	Institution	Dropped from program.
2. Check on Mid-Term Attendance?			
3. Check on Course Completion?			
4. Check on Academic Progress Before Award?			
5. Partial Awards?			
C. Controls on Award Size			
1. Check to Make Sure Other Awards Actually Made?	Yes, All	Institution	Institutional aid officer adjusts aid package.
2. Match Against BEOG, Campus-Based Award Files?	Yes, All	Institution	
3. Re-Check to Ensure Award Adjustments Actually Made?			
4. Second Review of Aid Packages?			
D. Controls on Institutional Student-Aid Operations			
1. Independent Program Audits?	Yes, All	State	
2. Aid Officer Bonding?			
3. Aid Officer Certification?			
4. Double Signatures on All Fund Transfers?			
E. Controls on Central Administrative Staff Operations			
1. Independent Program Audits?	Yes, All	State	
2. Bonding of Staff Members?			
3. Double Signatures on All Fund Transactions?			

PROGRAM INFORMATION DISSEMINATION PROCEDURES USED FOR STATE NEED BASED PROGRAMS

	TYPE OF INFORMATION PROVIDED					
INFORMATION PROVIDED THROUGH	APPLICATION FORMS	ELIGIBILITY CONDITIONS	FILING/CLOSING DATES FOR STATE PROGRAMS	INFORMATION ON THE COST OF ATTENDANCE AT VARIOUS TYPES OF INSTITUTIONS	INFORMATION ABOUT APPLYING FOR AID FROM OTHER SOURCES	INFORMATION ON PENALTIES FOR PROVIDING FRAUDULENT DATA
1. High School Counselors	X	X	X		X	X
2. College Financial-Aid Officers	X	X	X			X
3. Direct Mailings to Graduating High School Students or Parents						
4. High School Meetings or Workshops		X	X		X	X
5. College Admissions Office	X	X	X		X	X
6. Newspaper Ads						
7. Radio, Television Ads						
8. Social Service Agencies	X	X	X		X	X
9. Other (Please Specify)						

DESCRIPTIONS OF STATE-SPONSORED STUDENT ASSISTANCE PROGRAMS

Kansas Tuition Grant Program - A grant program for needy resident students attending in-state private schools to reduce differential between public and private costs, particularly tuition. It is not tied to tuition except the grant cannot exceed the tuition or $1,000. The need is determined by total student budget.

Kansas State Scholarships - A grant program to recognize scholastic achievement as evidenced by ACT assessment and provide a limited ($500 maximum) stipend to those who also have need. Recipients must be residents attending in-state schools.

Medical/Osteopathic Loans/Scholarships - A conditional grant/loan program to encourage doctors to practice primary care medicine and osteopathic medicine and surgery in areas of Kansas where there is insufficiency of medical care. Recipients must be medical and osteopathy students and/or interns attending medical and osteopathy schools.

Benefit Program for Dependents of POWs and MIAs - A tuition-remission program for resident students which covers fees at any state supported (or partially supported) institution for students whose parent was a POW, MIA, killed in action, or died from injuries received in service.

BASIC STATE PROGRAM INFORMATION

Programs	Year	Number of Awards	Average Full-Time Award Size	Total Dollars Awarded	Eligible Population
Need-Based					
Grants					
Kansas Tuition Grant Program	1975-76	3231	$ 888	$2,867,826	Resident Undergraduates
Kansas State Scholarships	1975-76	1120	$ 493	$ 552,009	Resident Undergraduates
Non Need-Based					
Medical/Osteopathic Loans/Scholarships[1]	1975-76	14	$6,000	$ 84,000	Medical and Osteopathy Students and/or Interns
Benefit Program for Dependents of POWs and MIAs	1973-74 1975-76	7 18	Not Given $ 550[2]	Not Given $ 10,000[2]	Dependents of POWs and MIAs

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[1] No basic difference between loan assumption or scholarship. This program was initiated for 1975-76, and of the 14 students selected initially, 12 were renewed for 1976-77. The legislation did not authorize selection of additional students to a total of 16 after the first year. Funds were provided for continuation of original recipients only.

[2] "Best guess" estimates.

STUDENT ELIGIBILITY CRITERIA FOR STATE PROGRAMS

Programs	Acceptable Residency Status	Acceptable Attend. Status	Acceptable Student Levels	Special Priority to Cont'g. Awards	Income Limits	Need Limits
Need-Based						
Grants						
Kansas Tuition Grant Program	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	$200
Kansas State Scholarships	Residents attending In-State	Full-Time	All Undergraduates	Yes	Not Applicable	$200
Non Need-Based						
Medical/Osteopathic Loans/Scholarships	Residents attending In/Out of State and Non-Residents[1]	Full-Time	Graduates or Interns	Yes	Not Applicable	Not Applicable
Benefit Program for Dependents of POW's and MIA's	Residents attending In-State	Part-Time Half-Time Full-Time	All	Yes	Not Applicable	Not Applicable

---

[1] Students must attend University of Kansas Medical School for medical school. Also, one-half of medical and osteopathy students must be Kansas residents.

INSTITUTIONAL ELIGIBILITY CRITERIA FOR STATE STUDENT-ASSISTANCE PROGRAMS

Programs	University and 4-Year Colleges	Community/ Junior Colleges	Voc./Tech. Schools	Proprietary	Special Types of Institutions
Need-Based					
Grants					
Kansas Tuition Grant Program	Private	No	No	No	No
Kansas State Scholarships	Public Private	Public	Public	Yes	Allied Health
Non Need-Based					
Medical/Osteopathic Loans/Scholarships	No	No	No	No	Medical and Osteopathy Schools
Benefit Program for Dependents of POW's and MIA's	Public	Public	Public	No	Must be partially state supported institutions

NEED DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Program Definition of Need	Is BEOG Application Required?	Expected Family Contribution (EFC) Method	Student Budget Includes What Items?
Need-Based				
Grants				
Kansas Tuition Grant Program	BLS low maintenance budget for 9 months + $200 books and supplies + tuition and fees = college budget less PC less $450 student contribution or student asset contribution and veterans, social security, and other benefits, = financial need less grant = unmet need (Institutional financial aid package cannot exceed this unmet need figure which most often is greater than institutional student and budget.)	No	Consensus	General Student Costs
Kansas State Scholarships	Same as Tuition Grant Program	No	Consensus	General Student Costs

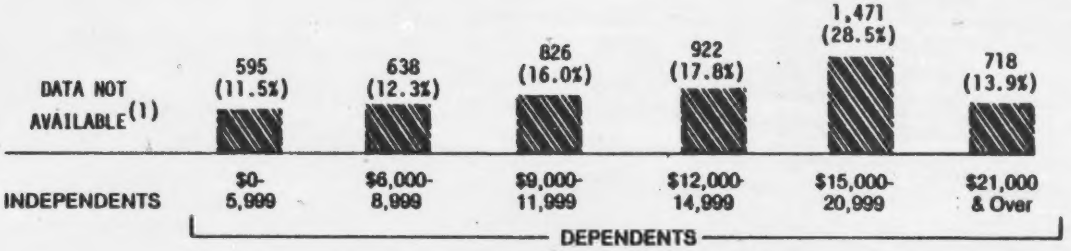
AWARD SIZE DETERMINATION CRITERIA FOR STATE PROGRAMS

Programs	Maximum Award Size?	What Award Size Determination Method Is Used?	How Is Award Priority Determined?	Who Ultimately Determines Award Amount?
Need-Based				
Grants				
Kansas Tuition Grant Program	$1,000	Financial need	Rank order from smallest PC (or family contribution for independent student).	State
Kansas State Scholarships	$ 500	Financial need	Rank order from smallest PC (family contribution for independent student).	State
Non Need-Based				
Medical/Osteopathic Loans/ Scholarships	$6,000	All receive maximum award of $6,000.	(1) Likelihood of practicing medicine in designated underserved areas of Kansas; (2) Kansas residency; (3) shortness of time to enter practice.	State
Benefit Program for Dependents of POW's and MIA's	Fees	What is charged as fees to student.	All eligible students will be assisted.	State

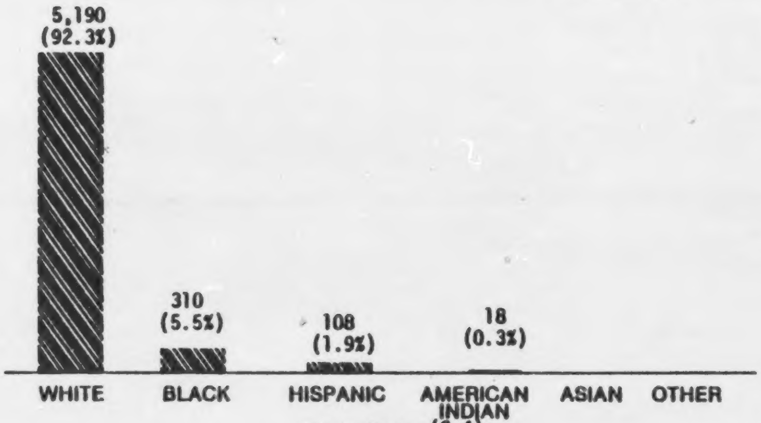
NUMBER OF NEED-BASED GRANT PROGRAM APPLICANTS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

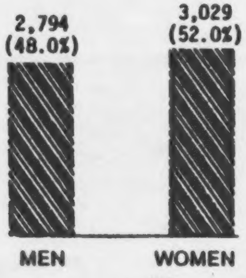
- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS



Income Level(2,3)



Ethnic Status(2,4)



Sex(2)

(1) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.
(2) There is a discrepancy in the total applicants by "Income", "Ethnic Status", and "Sex." However, this was the information supplied in the NASSGP Survey.
(3) There were 16 applicants whose income status was not reported. These are not included in the income status distributions shown above.
(4) There were 210 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

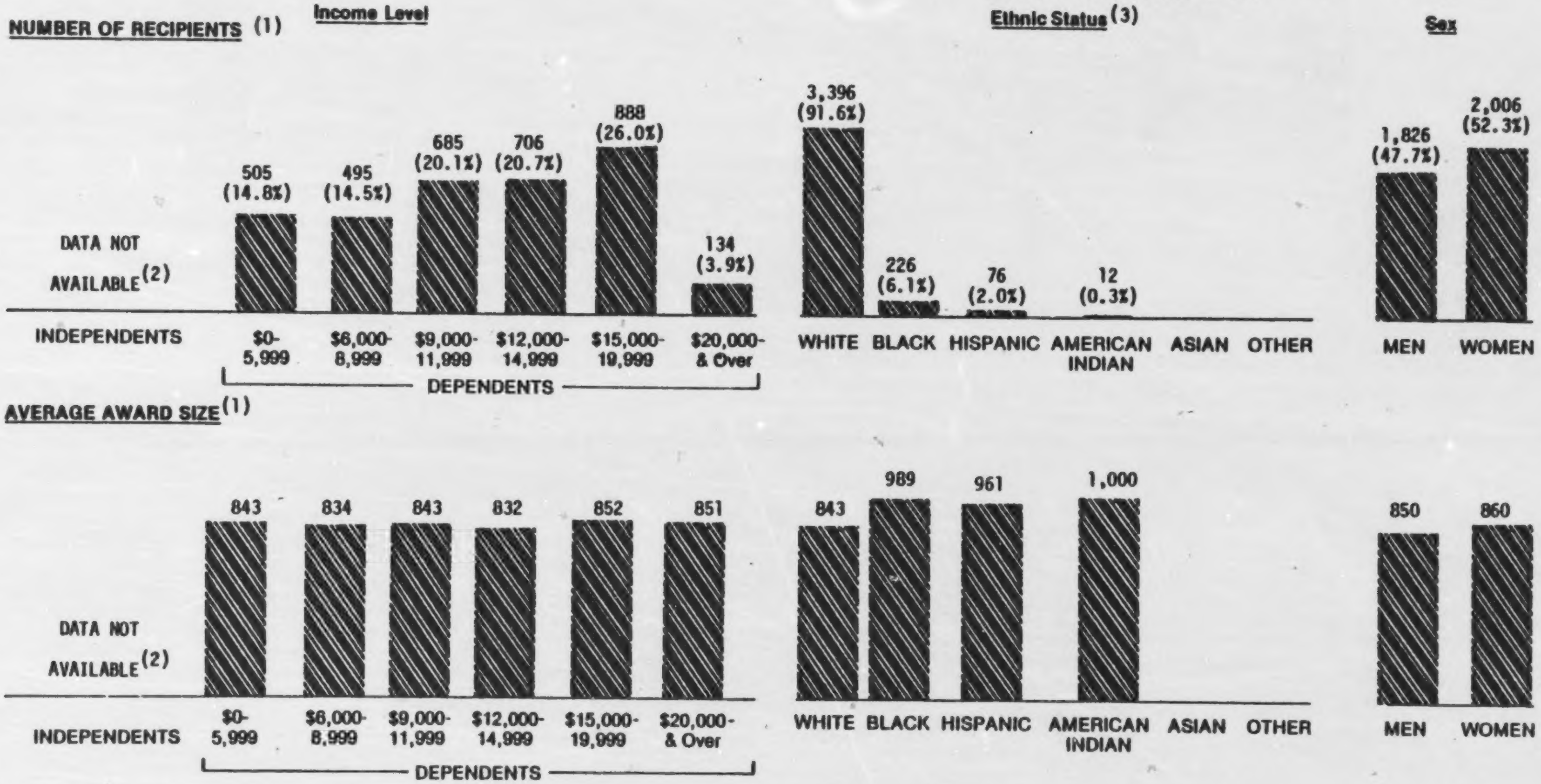
NUMBER OF NEED-BASED GRANT PROGRAM RECIPIENTS AND AVERAGE AWARD SIZE

F2

KANSAS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS



(1) There is a discrepancy in the total number of awards and dollars awarded as reported in the NASSGP Survey data.

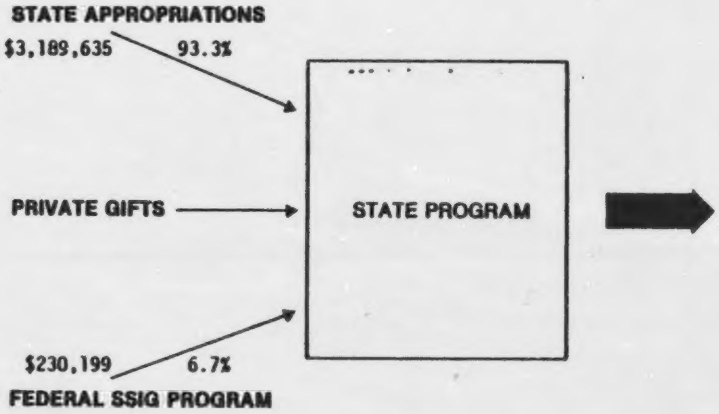
(2) The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available do not distinguish between dependent and independent students.

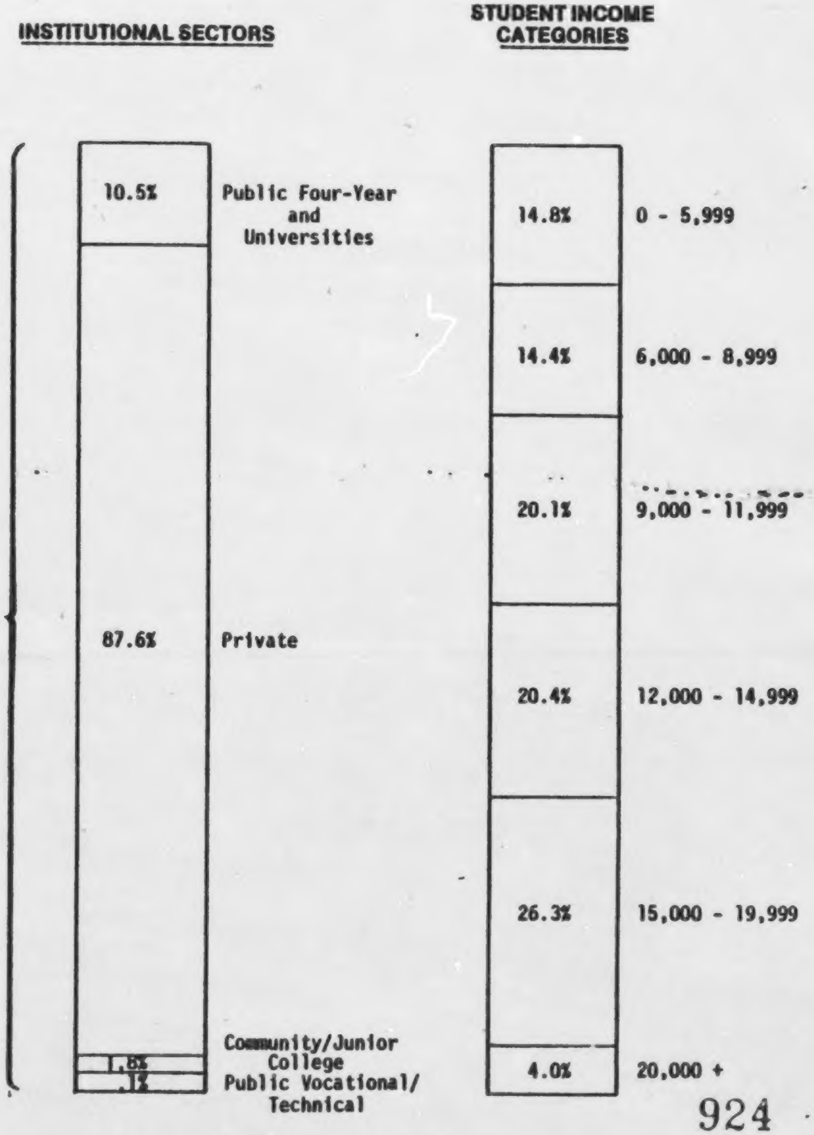
(3) There were 136 applicants whose ethnic status was not reported. These are not included in the ethnic status distributions shown above.

SOURCES AND DISTRIBUTION OF NEED-BASED GRANT PROGRAM FUNDS

THESE FIGURES FOR THE 1975-76 SCHOOL YEAR PERTAIN TO:

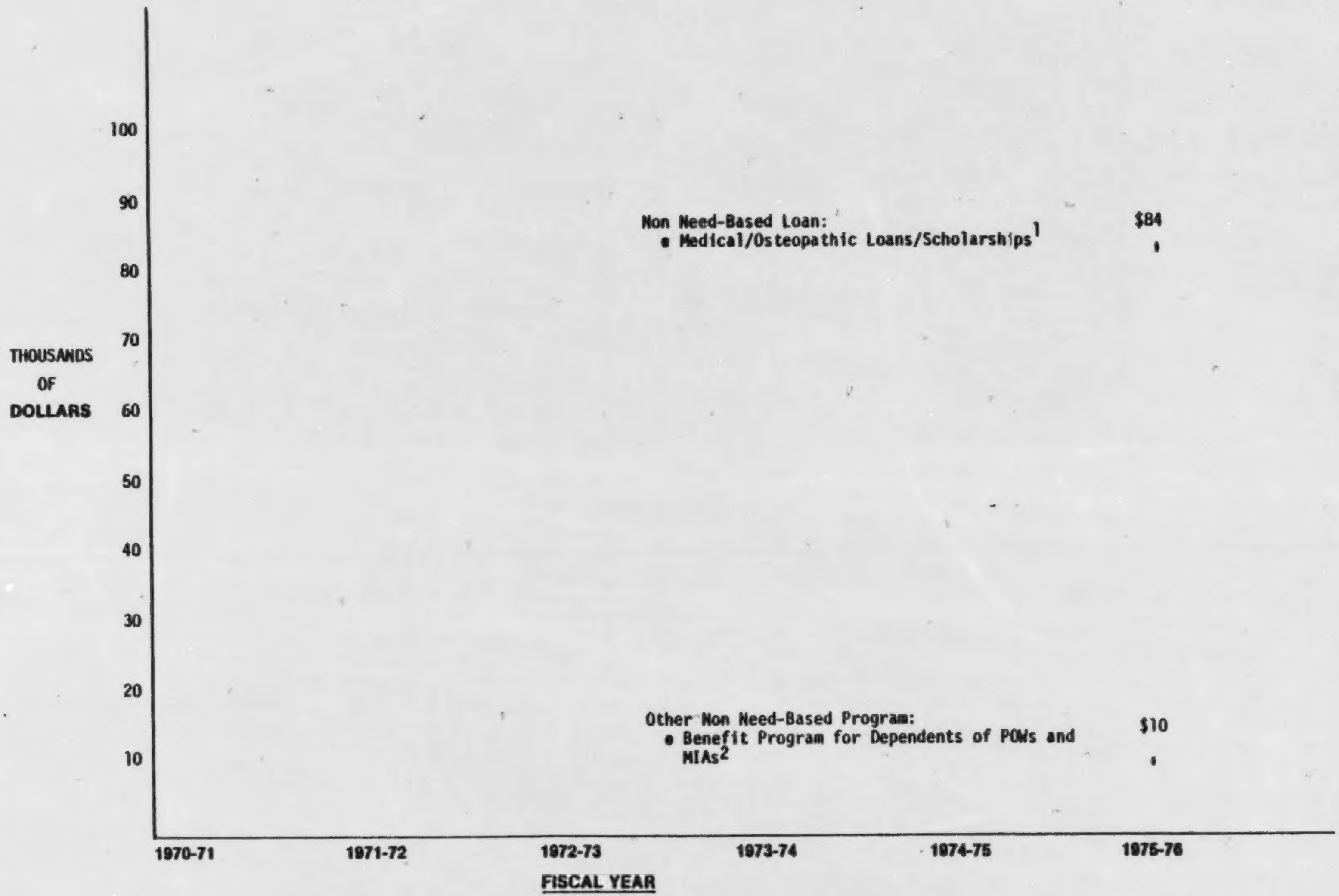
- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS


STATE APPROPRIATIONS
$3,189,635
93.3%
PRIVATE GIFTS
STATE PROGRAM
$230,199
6.7%
FEDERAL SSIG PROGRAM



The distribution of awards by student income categories includes awards made to both dependent and independent students. The data available did not distinguish between dependent and independent students.

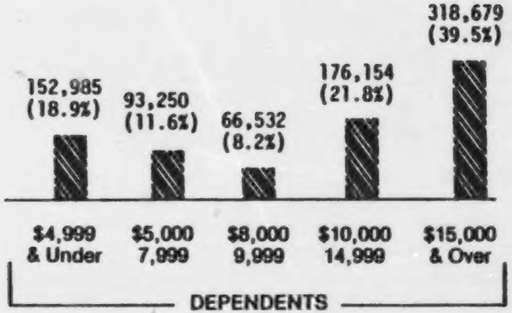
HISTORIC STATE EXPENDITURES ON STUDENT-ASSISTANCE PROGRAMS



[1] 1975-76 first year of program.
[2] 1973-74 first year of program.

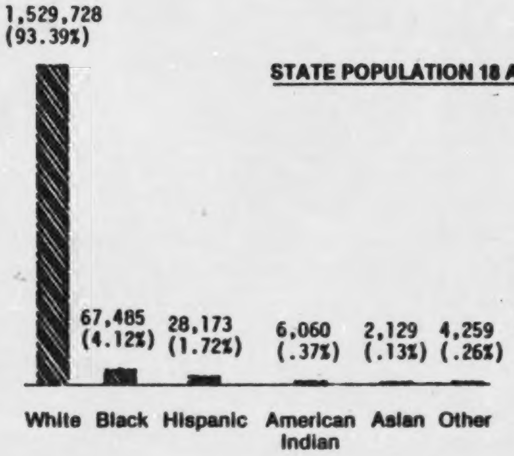
STATE POPULATION PROFILE

NUMBER OF HOUSEHOLDS

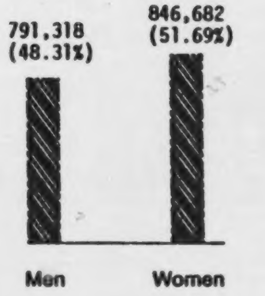


Effective Buying Income[1]

STATE POPULATION 18 AND OVER

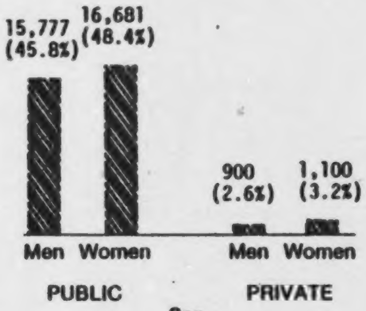


Ethnic Status



Sex

STATE HIGH SCHOOL GRADUATES



Sex

The high school graduation figures do not include 5,345 persons granted a high school equivalency certificate.

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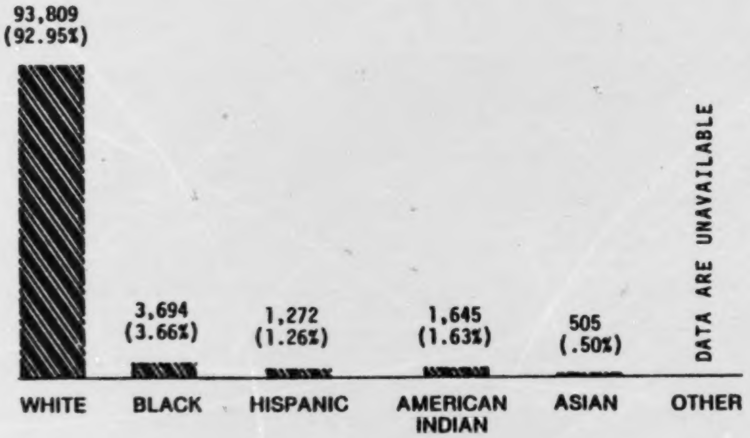
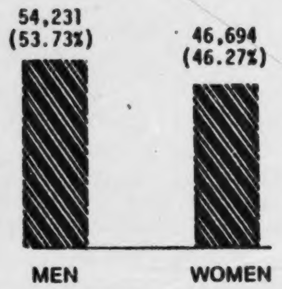
[1] (c) Sales and Marketing Management 1977 Survey of Buying Power Data Service

STATE POSTSECONDARY EDUCATION STUDENT ENROLLMENTS

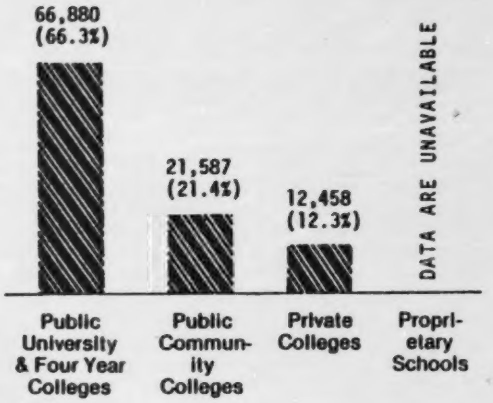
(Figures in Thousands)



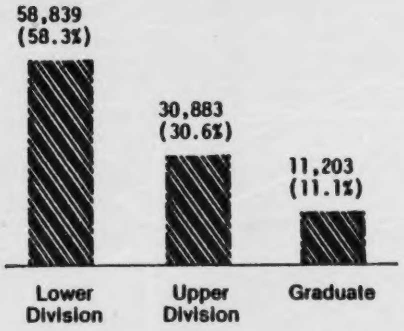
Ethnic Status



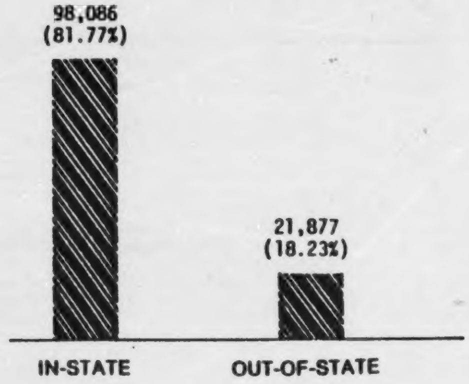
Sex



Institutional Sector

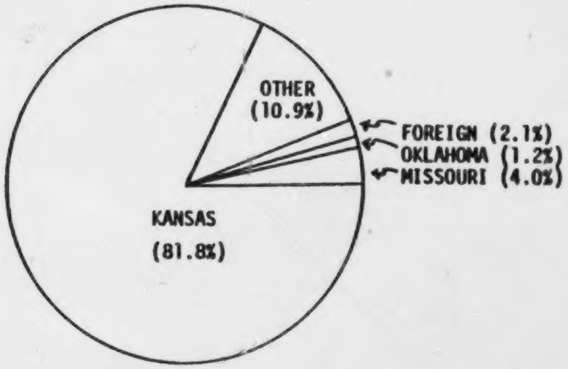


Student Level

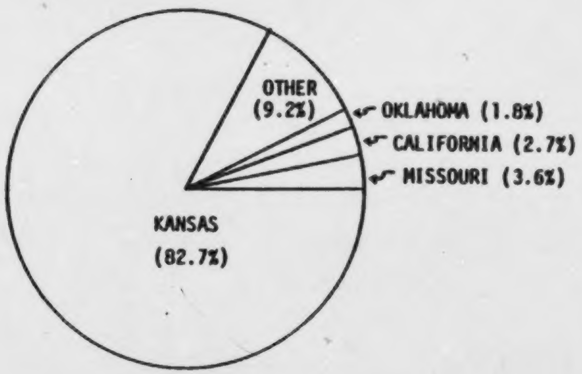


Residency

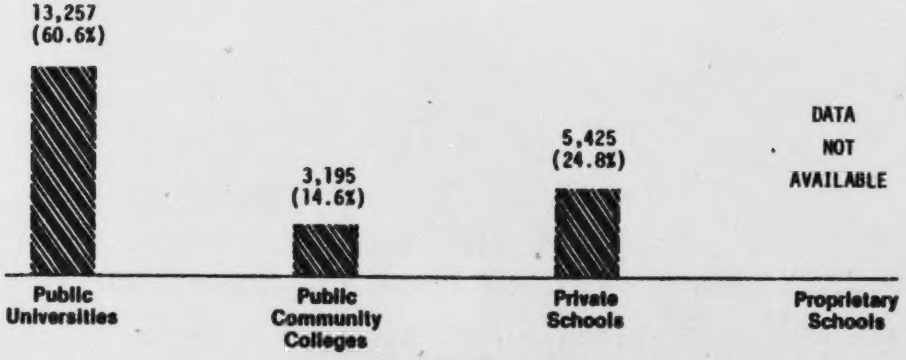
INTERSTATE MIGRATION OF STUDENTS



RESIDENT AND NON-RESIDENT STUDENTS ATTENDING IN-STATE INSTITUTIONS



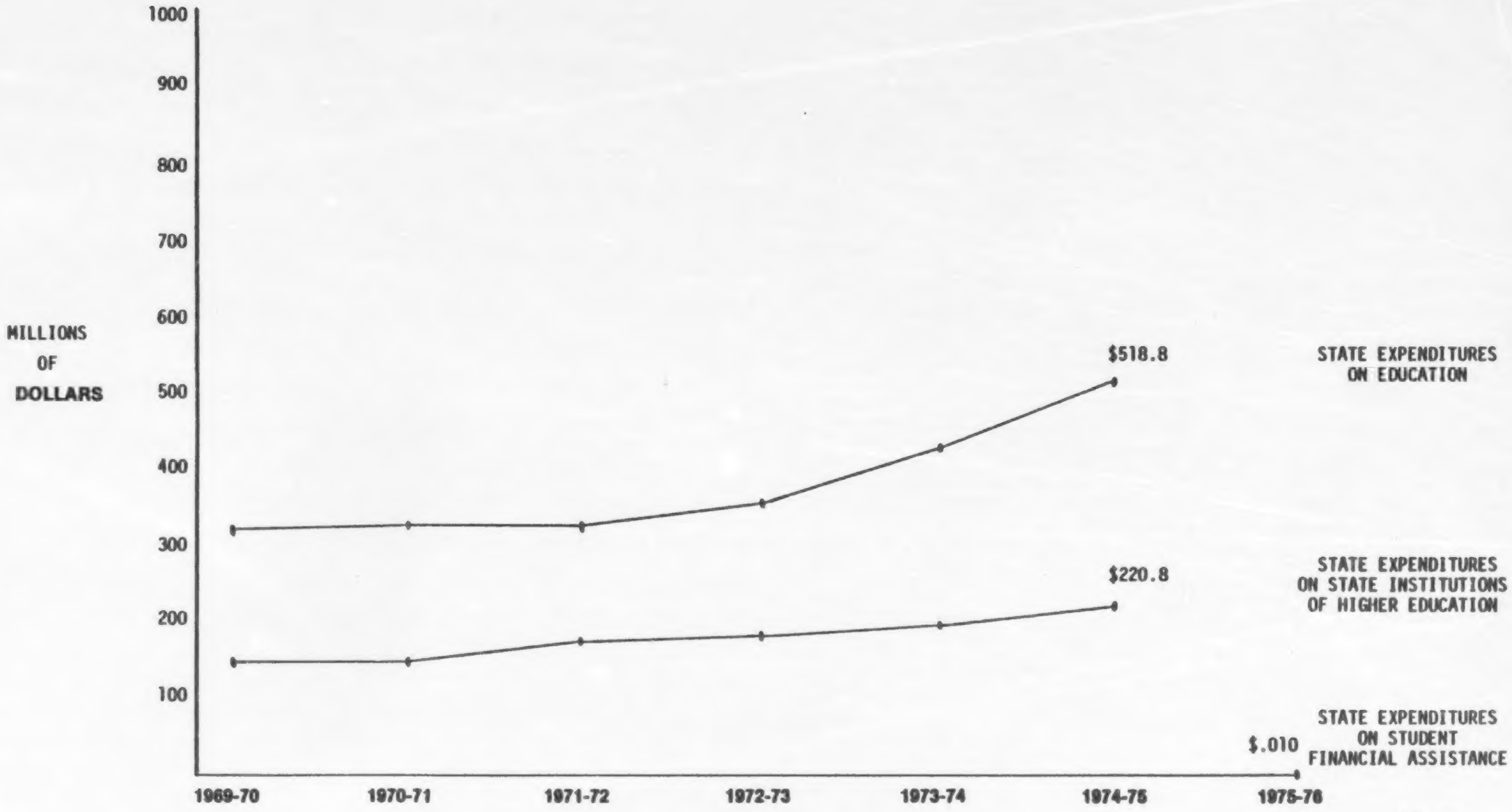
RESIDENT STUDENTS ATTENDING IN-STATE AND OUT-OF-STATE INSTITUTIONS



INSTITUTIONAL SECTOR

(Out-of-State Students in School In-State)

STATE EXPENDITURE ON POSTSECONDARY EDUCATION
STUDENT ASSISTANCE

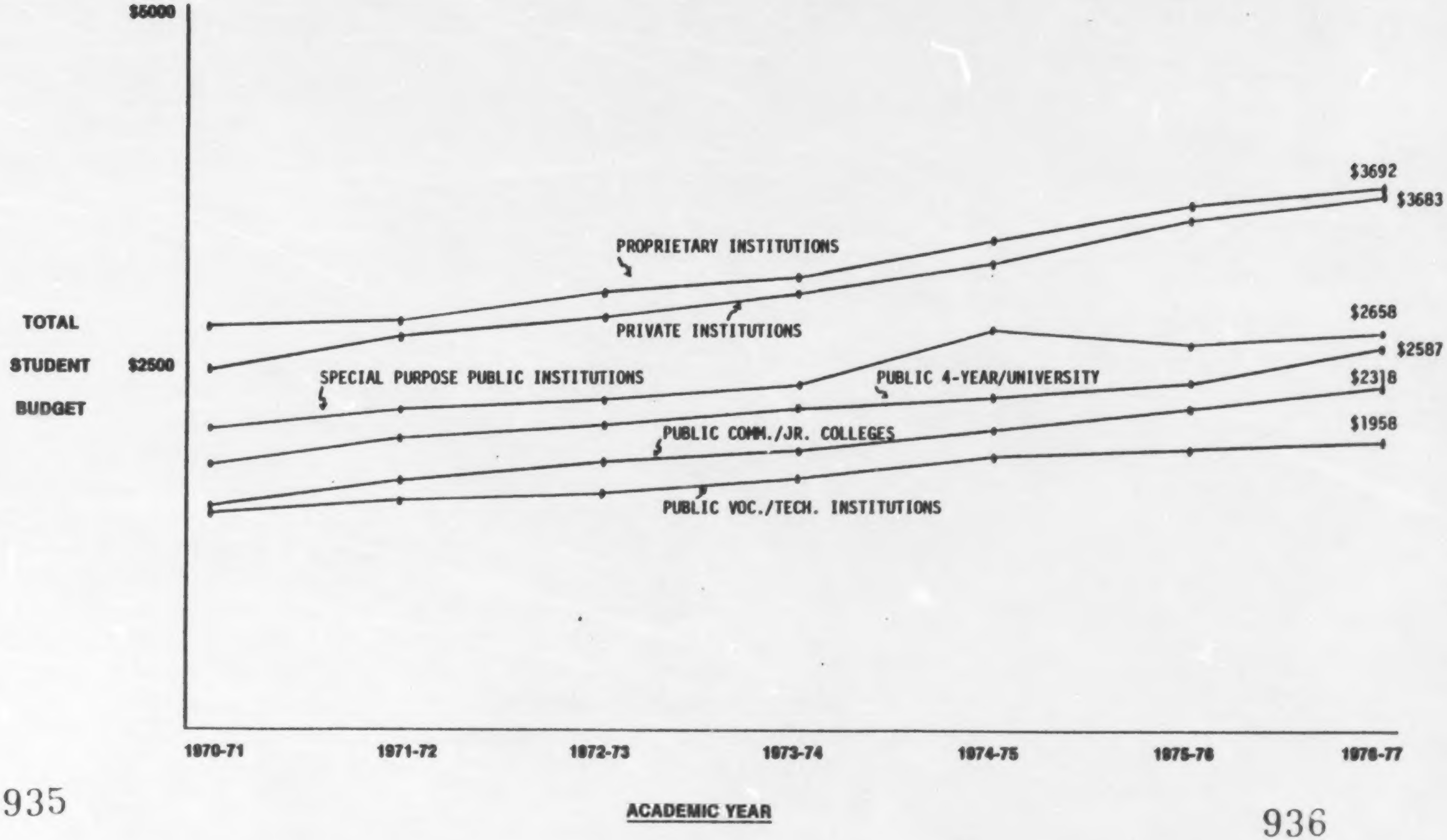


933

934

THESE DATA PERTAIN TO: FISCAL YEAR
- BENEFIT PROGRAM FOR DEPENDENTS OF POWS AND MIAS

GROSS COSTS TO STUDENTS ATTENDING SCHOOL BY POSTSECONDARY EDUCATION SECTORS

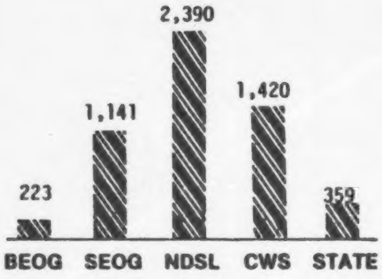


LEVELS OF FEDERAL AND STATE PROGRAM FUNDING
BY INSTITUTIONAL SECTOR

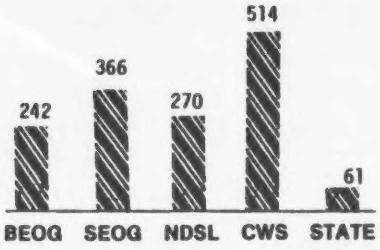
(Figures in thousands)

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

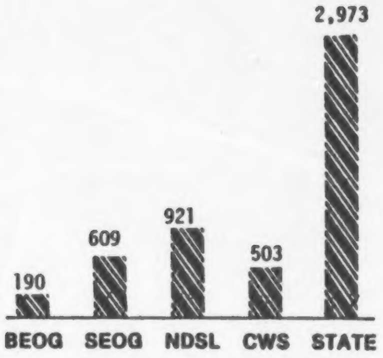
- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS



Public University and Four-Year Colleges



Public Community Colleges



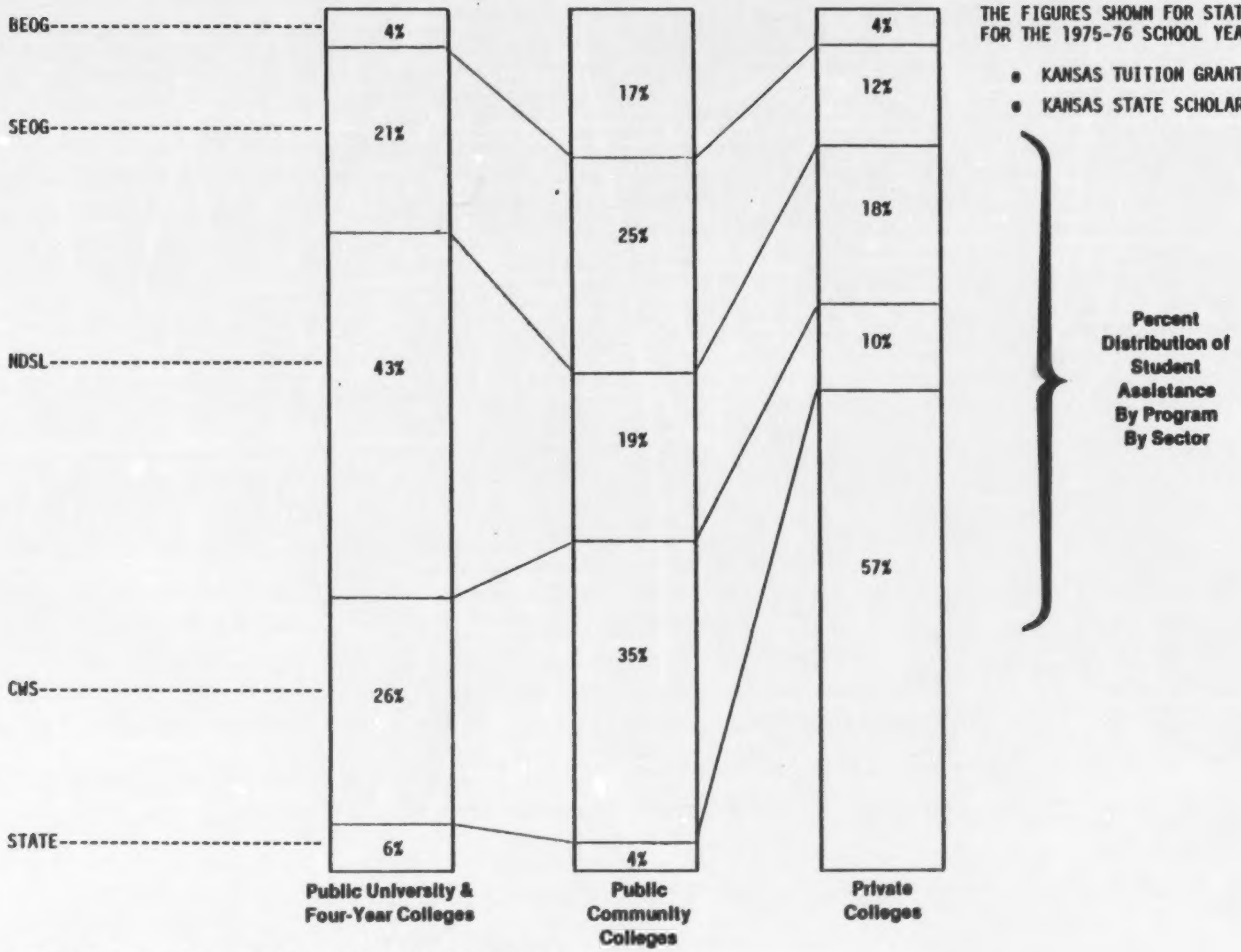
Private Colleges

State funds were also awarded to the following types of institutions:
- Public Vocational - $1,200

RELATIVE IMPORTANCE OF FEDERAL AND STATE PROGRAM FUNDING BY SECTOR

THE FIGURES SHOWN FOR STATE DOLLARS AWARDED ARE FOR THE 1975-76 SCHOOL YEAR AND PERTAIN TO:

- KANSAS TUITION GRANT PROGRAM
- KANSAS STATE SCHOLARSHIPS



State funds were also awarded to the following types of institutions:

- Public Vocational - $1,200

APPENDIX

APPENDIX

The data used in preparing the profiles have been assembled from a variety of data sources. These are listed below. The following table gives a detailed explanation of the use of these data in each of the profiles.

Bureau of the Census
- Data from the 1970 Decennial Census[1]
- 1976 Estimates of State Population[2]
- 1970-1975 Statistics of State Expenditures on Education[3]

National Association of State Student Grant Programs (NASSGP)
- NASSGP Eighth Annual Survey - Supplemental Survey[4]

National Center for Education Statistics
- 1975 HEGIS[5] - Residency and Migration of College Students
- 1975 and 1976 HEGIS - Opening Fall Enrollments (Preliminary Statistics for 1976)
- 1975 High School Graduation Statistics

Office of Civil Rights
- 1974 Higher Education Civil Rights Survey

Office of Education
- Higher Education Data Base: FY 1967 to FY 1975[6]
- Data from the Financial Status and Performance Report--1975-76[7]

Sales and Marketing Management Magazine
- Statistics from the 1977 Survey of Buying Power[8]

Notes on Following Pages

[1] Data from the 1970 Census (various special tabulations and published statistics) were used to derive percentage distributions of the population 15 years old and over by racial/ethnic background, and sex. These data were then used to estimate 1976 data by applying the 1970 percentage distributions to the 1976 population estimates.

[2] 1976 estimates of the population 18 and over were obtained from Table 1 of the following publication:

> Population Estimates and Projections - Estimates of the Populations of State by Age: July 1, 1975 and 1976 Advanced Report. Series P-25, No. 646, February, 1977. Bureau of the Census, U. S. Department of Commerce

[3] These data were taken from statistics annually published by the Bureau of the Census. Specifically, they were taken from: State Government Finances--Table 9: Expenditures by Type and Function, for years 1970 through 1975. Descriptions of these and other tables are contained in the following publication.

> Guide to Recurrent and Special Governmental Statistics--State and Local Government Special Studies No. 62, May, 1972. Bureau of the Census, Department of Commerce.

[4] The National Association for State Student Grant Programs (NASSGP) annually conducts a survey to collect information about state-level

student aid offices and grant programs. For further information contact:

Dr. Joseph D. Boyd
Illinois State Scholarship Commission
102 Wilmot Road
Deerfield, Illinois 60015
(312) 945-1500

[5] HEGIS - Higher Education General Information Survey

[6] Higher Education Data Base - FY 1967 to FY 1975 (Specifically, the Student Financial-Aid File). Data on federal student-aid program expenditures were obtained from this machine-readable source. A preliminary release version of this data base was obtained so that it could be utilized. This data base is being developed for the Office of Planning and Budget Evaluation, Office of Education, Department of Health, Education and Welfare - O.E. Contract No. 500-75-0382, titled Student Access to Postsecondary Education: Comparative Analyses of Federal State Student Aid Programs (SISFAP/Study D).

[7] This is a reporting form used to collect annual operating information from the state offices administering the SSIG program by the federal SSIG program office.

[8] For more information about this data source, contact:

Sales and Marketing Management Magazine
633 Third Avenue
New York, New York 10017
(212) 986-4800

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
A. Office Relationships and Program Responsibilities		
1. Description of Program Offices and Agencies and Corresponding Program Responsibilities	NASSGP - Supplemental Survey	
2. Relationships of State Agencies	NASSGP - Supplemental Survey	
B. Office Program Management		
1. State Agency Organization	NASSGP - Supplemental Survey	
2. Management Relationships Between State Agency and Other State Agencies/Institutions	NASSGP - Supplemental Survey	
3. State Program Office Definitions for Selected Terms	NASSGP - Supplemental Survey	
4. Information Flow Between State Program Office and Various Federal Offices	NASSGP - Supplemental Survey	
5. Management Staffing of State Program Offices	NASSGP - Supplemental Survey	
6. State Agency Calendar of Events for Need-Based Programs for 1976-77 School Year	NASSGP - Supplemental Survey	
7. Program and Fiscal Controls of Programs Operated by State Agency	NASSGP - Supplemental Survey	
C. Consumer-Oriented Efforts		
1. Basic Program Information Need-Based Dissemination Procedures Used for State Agency Programs	NASSGP - Supplemental Survey	
2. Consumer Grievance Procedures Used for State Agency Programs	SSIG Program Review Record	
D. Brief Program Summaries		
1. Descriptions of State-Sponsored Student Assistance Programs	NASSGP - Supplemental Survey	
2. Basic State Program Information	NASSGP - Supplemental Survey	
E. Criteria for Determination of Need, Award Size, Etc.		
1. Student Eligibility Criteria for State Programs	NASSGP - Supplemental Survey	
2. Institutional Eligibility Criteria for State Student-Assistance Programs	NASSGP - Supplemental Survey	
3. Need Determination Criteria for State Programs	NASSGP - Supplemental Survey	
4. Award Size Determination Criteria for State Programs	NASSGP - Supplemental Survey	
F. Counts of Eligibles, Recipients, Applicants, Etc.		
1. Number of Need-Based Grant Program Applicants	NASSGP - Supplemental Survey	
2. Number of Need-Based Grant Program Recipients and Average Award Size	NASSGP - Supplemental Survey	The figures shown for Average Award Size were computed by dividing the Total Dollars Awarded by the number of recipients. This calculation was done for each of the categories shown.

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
G. Program Financing 1. Sources and Distribution of Need-Based Grant Program Funds	NASSGP - Supplemental Survey or the Financial Status and Performance Report	Where the desired data (i.e. distribution of SSIG program funds by student income and institutional sector) were unavailable from the NASSGP Supplemental Survey, the second data source was used. In most cases, the distributions shown only reflect the distribution of the federal portion of the total dollars.
2. Historic State Expenditures on Student-Assistance Programs	NASSGP - Supplemental Survey	These data reflect dollars awarded through state programs including both state and federal dollars for matching programs (e.g. SSIG, Vocational-Rehabilitation). Because the information collected may not have been totally comprehensive, that is, there may have been state programs which were overlooked or for which the dollars awarded data were not available, these figures may not reflect total state dollars to student-aid. The figures do not include BEOG or the campus based programs.
H. Counts of State Populations, Students, etc. 1. State Population Profile	Effective Buying Income: Sales and Marketing Management-1977 Survey of Buying Power	Effective Buying Income: A classification exclusively developed by Sales & Marketing Management, it is personal income less personal tax and nontax payments. Personal income is the aggregate of wages and salaries, other labor income (such as employer contributions to private pension funds), proprietors' income, rental income (which includes imputed rental income of owner-occupants of nonfarm dwellings), dividends paid by corporations, personal interest income from all sources, and transfer payments (such as pensions and welfare assistance). Deducted from this total are personal taxes (federal, state, and local), nontax payments (such as fines, fees, penalties), and personal contributions for social insurance. The resultant figure is commonly known as "disposable personal income." Market Statistics removes from this figure compensation paid to military and diplomatic personnel stationed overseas to arrive at Effective Buying Income

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
H. Counts of State Populations, Students, Etc. (continued) 1. State Population Profile (continued)		Effective Buying Income is a bulk measurement of market potential. It indicates the general ability to buy and is essential in comparing, selecting, and grouping markets on that basis. But for products that appeal to specific income classes, the percent of households by Effective Buying Income groups should be considered.
	Population: Bureau of the Census 1970 Decennial Census	The population distributions-by ethnic status and by sex, were derived in the following manner. For the population 15 and over, the percent distribution by sex was taken and the percent distribution by ethnic status was derived from 1970 census data. These percentages were then applied to 1976 state population estimates (Bureau of Census) to arrive at the estimates shown.
	High School Graduates: National Center for Education Statistics	The data on high school graduations were obtained from published statistics.
2. State Postsecondary Education Student Enrollments	Office of Civil Rights (OCR) 1974 Higher Education Civil Rights Survey National Center for Education Statistics (NCES) 1975 Residency & Migration Survey 1975 Opening Fall Enrollment Survey (OFE) 1976 Opening Fall Enrollment Survey (OFE)	All figures are in terms of FTE except for the Residency-In/Out of State data which are in terms of headcount. 1 FTE = 1 full time student or 2 part-time students. The data on Ethnic Status were estimated by obtaining a percentage distribution of FTE enrollments by ethnic status from the 1974 OCR survey. These percentages were then applied to the 1976 FTE total enrollment (obtained from the NCES 1976 OFE survey) to obtain the distribution shown. The data on enrollments by sex were obtained from the NCES 1976 OFE Survey. The data on institutional sector were obtained from the NCES 1976 OFE survey. The data on student level were estimated by obtaining a percentage distribution from the NCES 1975 OFE survey and applied to the total obtained from the 1976 OFE survey. (The 1976 OFE survey did not collect data by the lower/upper division, graduate, professional categories, thus the 1975 data were utilized).

DESCRIPTIVE PROFILES - SECTION/TITLE	DATA SOURCE	COMMENTS
H. Counts of State Populations, Students, etc. (Continued) 2. State Postsecondary Education Student Enrollments (Continued)		The graduate category combines graduate and professional students. The Residency-In-State/Out-of-State data were obtained directly from the NCES 1975 Residency and Migration Survey. The data shown are in terms of head count and pertain to 1975.
3. Interstate Migration of Students	National Center for Education Statistics 1975 Residency and Migration Survey	All the data shown are from the NCES 1975 Residency and Migration Survey and pertain to 1975; these data are in terms of or based on headcount data.
I. State and Federal Financing of Education 1. State Expenditure on Postsecondary Education Student Assistance	Bureau of the Census (governmental statistics program) NASSGP - Supplemental Survey	The data on state expenditures on education and state expenditures on state institutions of higher education were taken from the census data. The data on state expenditures or student financial assistance were taken from the NASSGP survey and pertain only to those programs that are specifically listed on the profile. (In many instances the data were not given for all the years.)
2. Gross Costs to Students Attending School by Postsecondary Education Sectors	NASSGP Supplemental Survey	
3. Levels of Federal and State Program Funding by Institutional Sector	Office of Planning and Budget Evaluation of OE/HEW Higher Education Data Base: FY 1967 to FY 1975 (Preliminary release) NASSGP Supplemental Survey	
4. Relative Importance of Federal and State Program Funding by Sector	Office of Planning and Budget Evaluation of OE/HEW Higher Education Data Base: FY 1967 to FY 1975 (Preliminary release) NASSGP Supplemental Survey	The data pertaining to the federal programs (BEOG, SEOG, CW-S, NDSL) pertain to FY 1974, the last year available for all sources. The data pertaining to state programs are for FY 1976 (taken from the NASSGP survey).